



Legislative, Judicial, and Executive

Governmental bodies classified under the Legislative, Judicial, and Executive section of the Governor's Budget are either established as independent entities under the California Constitution, or are departments with a recognized need to operate outside of the administrative oversight and control of an agency secretary. Constitutionally-established bodies include the Legislature, Judicial Branch, Governor's Office, and constitutional officers. This section also includes such independent entities as the Inspector General and the California State Lottery.

0110 Senate

The Legislature is comprised of two houses, the Senate and the Assembly, and is responsible for enacting laws and establishing public policy for California. The State Senate is comprised of 40 members who are elected for 4-year terms. Members of the Legislature may serve a maximum of 12 years total in the Senate, the Assembly, or both, in any combination of terms. Members first elected before June 6, 2012, however, may generally serve a maximum of 14 years total, with no more than two terms in the Senate and three terms in the Assembly.

3-YEAR EXPENDITURES AND POSITIONS [†]

	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
0960 Support of the Senate	40.0	40.0	40.0	\$145,458	\$150,724	\$157,884
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	40.0	40.0	40.0	\$145,458	\$150,724	\$157,884
FUNDING	2019-20*			2020-21*		
0001 General Fund		\$145,458			\$150,724	\$157,884
TOTALS, EXPENDITURES, ALL FUNDS		\$145,458			\$150,724	\$157,884

[†] Fiscal year 2019-20 budget information reflects the latest available estimates for this department and/or fund(s). Changes resulting from the final reconciliation of the 2019-20 ending fund balance will be reflected as a prior year adjustment in the 2022-23 Governor's Budget publication.

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

California Constitution, Article IV, Sections 1 and 2.

DETAILED BUDGET ADJUSTMENTS

	2020-21*			2021-22*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
• Legislature SAL Adjustment	\$-	\$-	-	\$7,160	\$-	-
Totals, Other Workload Budget Adjustments	\$-	\$-	-	\$7,160	\$-	-
Totals, Workload Budget Adjustments	\$-	\$-	-	\$7,160	\$-	-
Totals, Budget Adjustments	\$-	\$-	-	\$7,160	\$-	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0110 Senate - Continued**Senate Expenditures By Category**

	2019-20*	2020-21*	2021-22*
General Fund Expenses:			
Salaries of Senators	\$6,145	\$6,366	\$6,637
Mileage of Senators	11	11	11
Session Per Diem	1,773	1,848	1,948
Totals, General Fund Expenses	\$7,929	\$8,225	\$8,596
Operating Fund Expenses:			
Salaries and Employee Benefits	\$120,095	\$124,442	\$130,608
Travel and Per Diem	2,997	3,105	3,438
Automotive Expenses	291	302	307
Automotive Repairs	40	41	42
Telephone	37	38	39
Postage	2,115	2,192	2,230
Freight	114	118	120
Office Supplies	312	323	329
Printing	621	643	655
Publications	133	138	140
Building Expense	3,977	4,121	4,030
Office Alterations	0	0	0
Furniture and Equipment Expense	544	564	574
Contracts	87	90	92
Meals	91	94	96
Ceremonies and Events	45	47	47
All Other Expenses	1,106	1,138	1,158
Total, Operating Fund Expenses	\$132,605	\$137,397	\$143,903
Operating Fund Transfers:			
Legislative Analyst	\$4,924	\$5,102	\$5,345
Total, Fund Transfers	\$4,924	\$5,102	\$5,345
TOTAL, Senate Expenses	\$145,458	\$150,724	\$157,844

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0110 Senate - Continued

DETAILED EXPENDITURES BY PROGRAM [†]

		2019-20*	2020-21*	2021-22*
0960	PROGRAM REQUIREMENTS			
	SUPPORT OF THE SENATE			
	State Operations:			
0001	General Fund	\$145,458	\$150,724	\$157,884
	Totals, State Operations	\$145,458	\$150,724	\$157,884
	TOTALS, EXPENDITURES			
	State Operations	145,458	150,724	157,884
	Totals, Expenditures	\$145,458	\$150,724	\$157,884

[†] Fiscal year 2019-20 budget information reflects the latest available estimates for this department and/or fund(s). Changes resulting from the final reconciliation of the 2019-20 ending fund balance will be reflected as a prior year adjustment in the 2022-23 Governor's Budget publication.

EXPENDITURES BY CATEGORY [†]

1 State Operations	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
PERSONAL SERVICES						
Baseline Positions	40.0	40.0	40.0	\$6,145	\$6,366	\$6,366
Other Adjustments	-	-	-	-	-	470
Net Totals, Salaries and Wages	40.0	40.0	40.0	\$6,145	\$6,366	\$6,836
Staff Benefits	-	-	-	-	-	-
Totals, Personal Services	40.0	40.0	40.0	\$6,145	\$6,366	\$6,836
OPERATING EXPENSES AND EQUIPMENT				\$139,313	\$144,358	\$151,048
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$145,458	\$150,724	\$157,884

[†] Fiscal year 2019-20 budget information reflects the latest available estimates for this department and/or fund(s). Changes resulting from the final reconciliation of the 2019-20 ending fund balance will be reflected as a prior year adjustment in the 2022-23 Governor's Budget publication.

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS [†]

1 STATE OPERATIONS	2019-20*	2020-21*	2021-22*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation (Senate)	\$145,458	\$150,724	\$157,884
TOTALS, EXPENDITURES	\$145,458	\$150,724	\$157,884
0348 Senate Operating Fund			
APPROPRIATIONS			
Government Code section 9129	\$145,458	\$150,724	\$157,884
TOTALS, EXPENDITURES	\$145,458	\$150,724	\$157,884
Less funding provided by General Fund	-145,458	-150,724	-157,884
NET TOTALS, EXPENDITURES	-	-	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0110 Senate - Continued

1 STATE OPERATIONS	2019-20*	2020-21*	2021-22*
Total Expenditures, All Funds, (State Operations)	\$145,458	\$150,724	\$157,884

† Fiscal year 2019-20 budget information reflects the latest available estimates for this department and/or fund(s). Changes resulting from the final reconciliation of the 2019-20 ending fund balance will be reflected as a prior year adjustment in the 2022-23 Governor's Budget publication.

FUND CONDITION STATEMENTS †

	2019-20*	2020-21*	2021-22*
0348 Senate Operating Fund^s			
BEGINNING BALANCE	\$7	\$7	\$7
Adjusted Beginning Balance	\$7	\$7	\$7
Total Resources	\$7	\$7	\$7
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0110 Senate (State Operations)	145,458	150,724	157,884
Less funding provided by General Fund (State Operations)	-145,458	-150,724	-157,884
FUND BALANCE	\$7	\$7	\$7
Reserve for economic uncertainties	7	7	7

† Fiscal year 2019-20 budget information reflects the latest available estimates for this department and/or fund(s). Changes resulting from the final reconciliation of the 2019-20 ending fund balance will be reflected as a prior year adjustment in the 2022-23 Governor's Budget publication.

CHANGES IN AUTHORIZED POSITIONS †

	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
Baseline Positions	40.0	40.0	40.0	\$6,145	\$6,366	\$6,366
Salary and Other Adjustments	-	-	-	-	-	470
Totals, Adjustments	-	-	-	\$-	\$-	\$470
TOTALS, SALARIES AND WAGES	40.0	40.0	40.0	\$6,145	\$6,366	\$6,836

† Fiscal year 2019-20 budget information reflects the latest available estimates for this department and/or fund(s). Changes resulting from the final reconciliation of the 2019-20 ending fund balance will be reflected as a prior year adjustment in the 2022-23 Governor's Budget publication.

0120 Assembly

The Legislature is comprised of two houses, the Senate and the Assembly, and is responsible for enacting laws and establishing public policy for California. The State Assembly is comprised of 80 members who are elected for 2-year terms. Members of the Legislature may serve a maximum of 12 years total in the Senate, the Assembly, or both, in any combination of terms. Members first elected before June 6, 2012, however, may generally serve a maximum of 14 years total, with no more than two terms in the Senate and three terms in the Assembly.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0120 Assembly - Continued**3-YEAR EXPENDITURES AND POSITIONS [†]**

	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
0970 Support of the Assembly	80.0	80.0	80.0	\$191,660	\$198,599	\$208,033
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	80.0	80.0	80.0	\$191,660	\$198,599	\$208,033
FUNDING	2019-20*		2020-21*		2021-22*	
0001 General Fund	\$191,660		\$198,599		\$208,033	
TOTALS, EXPENDITURES, ALL FUNDS	\$191,660		\$198,599		\$208,033	

[†] Fiscal year 2019-20 budget information reflects the latest available estimates for this department and/or fund(s). Changes resulting from the final reconciliation of the 2019-20 ending fund balance will be reflected as a prior year adjustment in the 2022-23 Governor's Budget publication.

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

California Constitution, Article IV, Sections 1 and 2.

DETAILED BUDGET ADJUSTMENTS

	2020-21*			2021-22*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
• Legislature SAL Adjustment	\$-	\$-	-	\$9,434	\$-	-
Totals, Other Workload Budget Adjustments	\$-	\$-	-	\$9,434	\$-	-
Totals, Workload Budget Adjustments	\$-	\$-	-	\$9,434	\$-	-
Totals, Budget Adjustments	\$-	\$-	-	\$9,434	\$-	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0120 Assembly - Continued**Assembly Expenditures By Category**

	2019-20*	2020-21*	2021-22*
General Fund Expenses:			
Salaries of Assembly Members	\$12,300	\$12,742	\$13,200
Mileage of Assembly Members	8	8	8
Session Per Diem	3,360	3,482	3,596
Totals, General Fund Expenses	\$15,668	\$16,232	\$16,804
Operating Fund Expenses:			
Salaries and Employee Benefits	\$150,822	\$156,282	\$163,627
Travel and Per Diem	2,700	2,798	2,846
Automotive Expenses	68	70	72
Automotive Repairs	46	48	48
Equipment and Furniture	285	295	300
Building Utilities, Maintenance, and Repairs	4,150	4,300	4,375
Office Alterations	88	91	93
Telephone	427	447	450
Postage	230	238	242
Freight	123	127	130
Communications	5,364	5,558	6,633
Office Supplies	692	717	730
Printing	3,873	4,013	4,019
Publications	108	112	114
Meals	18	19	19
Ceremonies and Events	27	28	28
All Other Expenses	2,047	2,121	2,158
Total, Operating Fund Expenses	\$171,068	\$177,265	\$185,884
Operating Fund Transfers:			
Office of the Legislative Analyst	\$4,924	\$5,102	\$5,345
State Agencies	0	0	0
Total, Fund Transfers	\$4,924	\$5,102	\$5,345
TOTAL, Assembly Expenses	\$191,660	\$198,599	\$208,033

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0120 Assembly - Continued**DETAILED EXPENDITURES BY PROGRAM [†]**

		2019-20*	2020-21*	2021-22*
0970	PROGRAM REQUIREMENTS			
	SUPPORT OF THE ASSEMBLY			
	State Operations:			
0001	General Fund	\$191,660	\$198,599	\$208,033
	Totals, State Operations	\$191,660	\$198,599	\$208,033
	TOTALS, EXPENDITURES			
	State Operations	191,660	198,599	208,033
	Totals, Expenditures	\$191,660	\$198,599	\$208,033

[†] Fiscal year 2019-20 budget information reflects the latest available estimates for this department and/or fund(s). Changes resulting from the final reconciliation of the 2019-20 ending fund balance will be reflected as a prior year adjustment in the 2022-23 Governor's Budget publication.

EXPENDITURES BY CATEGORY [†]

1 State Operations	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
PERSONAL SERVICES						
Baseline Positions	80.0	80.0	80.0	\$12,300	\$12,742	\$12,742
Other Adjustments	-	-	-	-	-	678
Net Totals, Salaries and Wages	80.0	80.0	80.0	\$12,300	\$12,742	\$13,420
Staff Benefits	-	-	-	-	-	-
Totals, Personal Services	80.0	80.0	80.0	\$12,300	\$12,742	\$13,420
OPERATING EXPENSES AND EQUIPMENT				\$179,360	\$185,857	\$194,613
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$191,660	\$198,599	\$208,033

[†] Fiscal year 2019-20 budget information reflects the latest available estimates for this department and/or fund(s). Changes resulting from the final reconciliation of the 2019-20 ending fund balance will be reflected as a prior year adjustment in the 2022-23 Governor's Budget publication.

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS [†]

1 STATE OPERATIONS	2019-20*	2020-21*	2021-22*
0001 General Fund			
APPROPRIATIONS			
011 Budget Act appropriation (Assembly)	\$191,660	\$198,599	\$208,033
TOTALS, EXPENDITURES	\$191,660	\$198,599	\$208,033
0125 Assembly Operating Fund			
APPROPRIATIONS			
Government Code section 9129	\$191,660	\$198,599	\$208,033
TOTALS, EXPENDITURES	\$191,660	\$198,599	\$208,033
Less funding provided by General Fund	-191,660	-198,599	-208,033
NET TOTALS, EXPENDITURES	-	-	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0120 Assembly - Continued

1 STATE OPERATIONS	2019-20*	2020-21*	2021-22*
Total Expenditures, All Funds, (State Operations)	\$191,660	\$198,599	\$208,033

† Fiscal year 2019-20 budget information reflects the latest available estimates for this department and/or fund(s). Changes resulting from the final reconciliation of the 2019-20 ending fund balance will be reflected as a prior year adjustment in the 2022-23 Governor's Budget publication.

FUND CONDITION STATEMENTS †

	2019-20*	2020-21*	2021-22*
0125 Assembly Operating Fund^s			
BEGINNING BALANCE	\$145	\$145	\$145
Adjusted Beginning Balance	\$145	\$145	\$145
Total Resources	\$145	\$145	\$145
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0120 Assembly (State Operations)	191,660	198,599	208,033
Less funding provided by General Fund (State Operations)	-191,660	-198,599	-208,033
FUND BALANCE	\$145	\$145	\$145
Reserve for economic uncertainties	145	145	145
0160 Operating Funds of the Assembly and Senate^s			
BEGINNING BALANCE	\$34	\$34	\$34
Adjusted Beginning Balance	\$34	\$34	\$34
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Transfers and Other Adjustments			
Revenue Transfer from the State Project Infrastructure Fund (3292) to the Operating Funds of the Assembly and Senate (0160) per GC Sections 14692(a)(2)(C)(I) and 9112(a)(1)	-	50,394	-
Revenue transfer from the State Project Infrastructure Fund (3292) to the Operating Funds of the Assembly and Senate (0160) per GC Sections 9112(a)(1) and 14692(a)(2)(c)(1)	8,809	-	-
Total Revenues, Transfers, and Other Adjustments	\$8,809	\$50,394	-
Total Resources	\$8,843	\$50,428	\$34
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0130 Joint Expenses (Capital Outlay)	8,809	50,394	-
Total Expenditures and Expenditure Adjustments	\$8,809	\$50,394	-
FUND BALANCE	\$34	\$34	\$34
Reserve for economic uncertainties	34	34	34

† Fiscal year 2019-20 budget information reflects the latest available estimates for this department and/or fund(s). Changes resulting from the final reconciliation of the 2019-20 ending fund balance will be reflected as a prior year adjustment in the 2022-23 Governor's Budget publication.

CHANGES IN AUTHORIZED POSITIONS †

	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
Baseline Positions	80.0	80.0	80.0	\$12,300	\$12,742	\$12,742
Salary and Other Adjustments	-	-	-	-	-	678
Totals, Adjustments	-	-	-	\$-	\$-	\$678

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0120 Assembly - Continued

	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
TOTALS, SALARIES AND WAGES	80.0	80.0	80.0	\$12,300	\$12,742	\$13,420

† Fiscal year 2019-20 budget information reflects the latest available estimates for this department and/or fund(s). Changes resulting from the final reconciliation of the 2019-20 ending fund balance will be reflected as a prior year adjustment in the 2022-23 Governor's Budget publication.

0130 Joint Expenses

The Legislature is comprised of two houses, the Senate and the Assembly, and is responsible for enacting laws and establishing public policy for California. Both houses contribute to support the Legislative Analyst's Office, which provides fiscal and policy advice to the Legislature, and is overseen by the 16-member bipartisan Joint Legislative Budget Committee.

Because the Legislature's programs drive a need for infrastructure investment, the Legislature has a capital outlay program to support this need. For the specifics on the Legislature's capital outlay program see "Infrastructure Overview."

3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
0980	Support of the Office of the Legislative Analyst	-	-	-	\$9,848	\$10,204	\$10,690
0985	Transferred from Item 0110-001-0001	-	-	-	-4,924	-5,102	-5,345
0990	Transferred from Item 0120-011-0001	-	-	-	-4,924	-5,102	-5,345
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		-	-	-	\$-	\$-	\$-

DETAILED EXPENDITURES BY PROGRAM

		2019-20*	2020-21*	2021-22*
PROGRAM REQUIREMENTS				
0980	SUPPORT OF THE OFFICE OF THE LEGISLATIVE ANALYST			
	State Operations:			
0001	General Fund	\$9,848	\$10,204	\$10,690
	Totals, State Operations	\$9,848	\$10,204	\$10,690
PROGRAM REQUIREMENTS				
0985	TRANSFERRED FROM ITEM 0110-001-0001			
	State Operations:			
0001	General Fund	-\$4,924	-\$5,102	-\$5,345
	Totals, State Operations	-\$4,924	-\$5,102	-\$5,345
PROGRAM REQUIREMENTS				
0990	TRANSFERRED FROM ITEM 0120-011-0001			
	State Operations:			
0001	General Fund	-\$4,924	-\$5,102	-\$5,345
	Totals, State Operations	-\$4,924	-\$5,102	-\$5,345
TOTALS, EXPENDITURES				
	Totals, Expenditures	\$-	\$-	\$-

INFRASTRUCTURE OVERVIEW

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0130 Joint Expenses - Continued

The California State Capitol, which serves as the state's working seat of government, began construction in 1860 and was completed in 1874. The Capitol remained largely unchanged until 1949, when additional space requirements drove the need for construction of the Capitol Annex, which attaches to the east side of the original Capitol building. The Annex, which was completed in 1951, houses legislative offices, committee rooms, and the Governor's offices.

Government Code Section 9112(a) authorizes the Joint Rules Committee to pursue the construction of a new, restored, rehabilitated, renovated, or reconstructed capitol building annex and associated projects.

SUMMARY OF PROJECTS

State Building Program Expenditures		2019-20*	2020-21*	2021-22*
0991	CAPITAL OUTLAY Projects			
0005229	Sacramento: Capitol Annex	8,809	50,394	-
	Study	8,809	50,394	-
TOTALS, EXPENDITURES, ALL PROJECTS		\$8,809	\$50,394	\$-
FUNDING		2019-20*	2020-21*	2021-22*
0160	Operating Funds of the Assembly and Senate	\$8,809	\$50,394	\$-
TOTALS, EXPENDITURES, ALL FUNDS		\$8,809	\$50,394	\$-

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

3 CAPITAL OUTLAY	2019-20*	2020-21*	2021-22*
0160 Operating Funds of the Assembly and Senate			
APPROPRIATIONS			
Government Code section 9114.5	\$8,809	-	-
Current Year Adjustments	-	50,394	-
TOTALS, EXPENDITURES	\$8,809	\$50,394	-
Total Expenditures, All Funds, (Capital Outlay)	\$8,809	\$50,394	\$0

0150 Contributions to the Legislators' Retirement System

Established in 1947, the Legislators' Retirement System provides retirement and death benefits for legislators, constitutional officers, and legislative statutory officers.

The California Public Employees' Retirement System (CalPERS) administers the Legislators' Retirement System on behalf of its members. Retirement benefits paid are based upon age, years of service, and final compensation. Benefits for death, disability, and surviving dependents of deceased members are also provided under specific conditions. The Legislators' Retirement System is funded through contributions from the state, members of the system, and investment earnings.

Proposition 140, approved by voters in 1990, closed the Legislators' Retirement System to all new legislators after November 6, 1990. Subsequently, the California Public Employees' Pension Reform Act of 2013, Chapter 296, Statutes of 2012 (AB 340) closed the Legislators' Retirement System to all new members effective January 1, 2013. New constitutional officers, statutory officers, Sergeant-at-Arms, and the Insurance Commissioner retain optional membership rights in CalPERS.

3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
0110	Legislators' Retirement System	-	-	-	\$6,966	\$7,064	\$7,163
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		-	-	-	\$6,966	\$7,064	\$7,163

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0150 Contributions to the Legislators' Retirement System - Continued

FUNDING	2019-20*	2020-21*	2021-22*
0820 Legislators Retirement Fund	\$6,966	\$7,064	\$7,163
TOTALS, EXPENDITURES, ALL FUNDS	\$6,966	\$7,064	\$7,163

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code, Title 2, Division 2, Part 1, Chapter 3.5.

DETAILED BUDGET ADJUSTMENTS

	2020-21*			2021-22*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Miscellaneous Baseline Adjustments	\$-	\$-47	-	\$-	\$52	-
Totals, Other Workload Budget Adjustments	\$-	\$-47	-	\$-	\$52	-
Totals, Workload Budget Adjustments	\$-	\$-47	-	\$-	\$52	-
Totals, Budget Adjustments	\$-	\$-47	-	\$-	\$52	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0150 Contributions to the Legislators' Retirement System - Continued**0820 Legislators' Retirement Fund Summary**

0820 Legislators' Retirement Fund ^{1/}	PY 2019-20*	CY 2020-21*	BY 2021-22*
Beginning Balance	\$114,403	\$114,048	\$113,750
Prior Year Adjustments	-52		
	<u>\$114,351</u>	<u>\$114,048</u>	<u>\$113,750</u>
Revenues:			
Investment Income	\$7,013	\$6,994	\$6,976
State Contributions	98	273	207
Member Contributions	22	65	60
Contribution Refunds		-115	-153
Total Revenues	<u>\$7,133</u>	<u>\$7,217</u>	<u>\$7,090</u>
Expenditures:			
Pension Benefit Payments	\$6,966	\$7,064	\$7,163
Administrative Expenditures	470	451	513
Total Expenditures	<u>\$7,436</u>	<u>\$7,515</u>	<u>\$7,676</u>
Ending Fund Balance	<u>\$114,048</u>	<u>\$113,750</u>	<u>\$113,164</u>

^{1/} This display reflects fund data as of the 2021-22 Governor's Budget.

^{2/} The 2021 Budget Act estimates 2021-22 administrative expenditures to be \$545,000, as approved by the CalPERS Board of Administration at its April 2021 meeting.

0150 Contributions to the Legislators' Retirement System - Continued**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS**

4 UNCLASSIFIED	2019-20*	2020-21*	2021-22*
0820 Legislators Retirement Fund			
APPROPRIATIONS			
Government Code section 9359.1	\$6,966	\$7,111	\$7,163
Revised Estimates	-	-47	-
TOTALS, EXPENDITURES	\$6,966	\$7,064	\$7,163
Total Expenditures, All Funds, (Unclassified)	\$6,966	\$7,064	\$7,163

0160 Legislative Counsel Bureau

The Legislative Counsel Bureau (Bureau) provides legal assistance to the two houses of the Legislature, and their members and committees, by resolving a large volume of complex legal problems arising in connection with the legislative process. The legal services furnished include rendering opinions, drafting bills, counseling, attending meetings of legislative committees as counsel, and representing the Legislature in litigation. The attorney-client relationship is maintained and all work is confidential.

In addition, the Bureau prepares and provides necessary indices and appropriate tables necessary to identify legislative measures and compiles and indexes statutes and codes.

The Bureau operates the Legislative Data Center, which provides information technology services in support of the legislative information system and the processing of legislative measures.

Pursuant to Chapter 46 of the Statutes of 2012, the California Commission on Uniform State Laws was transferred into the Bureau. The California Commission on Uniform State Laws presents to the Legislature uniform laws recommended by the National Conference of Commissioners on Uniform State Laws and then promotes passage of these uniform acts.

Pursuant to Chapter 450 of the Statutes of 2018, the Bureau provides advice and investigation services to the Legislature related to workplace misconduct through the Workplace Conduct Unit.

3-YEAR EXPENDITURES AND POSITIONS [†]

	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
0120 Support	532.0	634.0	634.0	\$114,187	\$117,887	\$118,461
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	532.0	634.0	634.0	\$114,187	\$117,887	\$118,461

FUNDING	2019-20*	2020-21*	2021-22*
0001 General Fund	\$94,949	\$97,982	\$98,541
0995 Reimbursements	130	131	131
9740 Central Service Cost Recovery Fund	19,108	19,774	19,789
TOTALS, EXPENDITURES, ALL FUNDS	\$114,187	\$117,887	\$118,461

[†] Fiscal year 2019-20 budget information reflects the latest available estimates for this department and/or fund(s). Changes resulting from the final reconciliation of the 2019-20 ending fund balance will be reflected as a prior year adjustment in the 2022-23 Governor's Budget publication.

LEGAL CITATIONS AND AUTHORITY**DEPARTMENT AUTHORITY**

Government Code, Sections 10200-10249 and 10270-10282.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0160 Legislative Counsel Bureau - Continued

DETAILED BUDGET ADJUSTMENTS

	2020-21*			2021-22*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Other Post-Employment Benefit Adjustments	\$388	\$85	-	\$388	\$85	-
• Section 3.90 Employee Compensation Reduction	-87	-19	-	-	-	-
• Salary Adjustments	2,840	624	-	2,840	624	-
• Benefit Adjustments	586	128	-	564	124	-
• Miscellaneous Baseline Adjustments	-	-	-	494	-	-
• Retirement Rate Adjustments	-782	-172	-	-782	-172	-
Totals, Other Workload Budget Adjustments	\$2,945	\$646	-	\$3,504	\$661	-
Totals, Workload Budget Adjustments	\$2,945	\$646	-	\$3,504	\$661	-
Totals, Budget Adjustments	\$2,945	\$646	-	\$3,504	\$661	-

DETAILED EXPENDITURES BY PROGRAM [†]

		2019-20*	2020-21*	2021-22*
PROGRAM REQUIREMENTS				
0120	SUPPORT			
	State Operations:			
0001	General Fund	\$94,949	\$97,982	\$98,541
0995	Reimbursements	130	131	131
9740	Central Service Cost Recovery Fund	19,108	19,774	19,789
	Totals, State Operations	\$114,187	\$117,887	\$118,461
TOTALS, EXPENDITURES				
	State Operations	114,187	117,887	118,461
	Totals, Expenditures	\$114,187	\$117,887	\$118,461

[†] Fiscal year 2019-20 budget information reflects the latest available estimates for this department and/or fund(s). Changes resulting from the final reconciliation of the 2019-20 ending fund balance will be reflected as a prior year adjustment in the 2022-23 Governor's Budget publication.

EXPENDITURES BY CATEGORY [†]

1 State Operations	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
PERSONAL SERVICES						
Baseline Positions	634.0	634.0	634.0	\$56,423	\$56,416	\$56,416
Other Adjustments	-102.0	-	-	-4,654	3,399	3,464
Net Totals, Salaries and Wages	532.0	634.0	634.0	\$51,769	\$59,815	\$59,880
Staff Benefits	-	-	-	27,529	30,358	30,373
Totals, Personal Services	532.0	634.0	634.0	\$79,298	\$90,173	\$90,253
OPERATING EXPENSES AND EQUIPMENT				\$34,889	\$27,714	\$28,208
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$114,187	\$117,887	\$118,461

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0160 Legislative Counsel Bureau - Continued

† Fiscal year 2019-20 budget information reflects the latest available estimates for this department and/or fund(s). Changes resulting from the final reconciliation of the 2019-20 ending fund balance will be reflected as a prior year adjustment in the 2022-23 Governor's Budget publication.

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS †

1 STATE OPERATIONS	2019-20*	2020-21*	2021-22*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$94,949	\$95,037	\$98,541
Allocation for Employee Compensation	-	2,840	-
Allocation for Other Post-Employment Benefits	-	388	-
Allocation for Staff Benefits	-	586	-
Section 3.60 Pension Contribution Adjustments	-	-782	-
Section 3.90 Employee Compensation Reduction	-	-87	-
TOTALS, EXPENDITURES	\$94,949	\$97,982	\$98,541
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$130	\$131	\$131
TOTALS, EXPENDITURES	\$130	\$131	\$131
9740 Central Service Cost Recovery Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$19,108	\$19,128	\$19,789
Allocation for Employee Compensation	-	624	-
Allocation for Other Post-Employment Benefits	-	85	-
Allocation for Staff Benefits	-	128	-
Section 3.60 Pension Contribution Adjustments	-	-172	-
Section 3.90 Employee Compensation Reduction	-	-19	-
TOTALS, EXPENDITURES	\$19,108	\$19,774	\$19,789
Total Expenditures, All Funds, (State Operations)	\$114,187	\$117,887	\$118,461

† Fiscal year 2019-20 budget information reflects the latest available estimates for this department and/or fund(s). Changes resulting from the final reconciliation of the 2019-20 ending fund balance will be reflected as a prior year adjustment in the 2022-23 Governor's Budget publication.

CHANGES IN AUTHORIZED POSITIONS †

	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
Baseline Positions	634.0	634.0	634.0	\$56,423	\$56,416	\$56,416
Salary and Other Adjustments	-102.0	-	-	-4,654	3,399	3,464
Totals, Adjustments	-102.0	-	-	\$-4,654	\$3,399	\$3,464
TOTALS, SALARIES AND WAGES	532.0	634.0	634.0	\$51,769	\$59,815	\$59,880

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0160 Legislative Counsel Bureau - Continued

† Fiscal year 2019-20 budget information reflects the latest available estimates for this department and/or fund(s). Changes resulting from the final reconciliation of the 2019-20 ending fund balance will be reflected as a prior year adjustment in the 2022-23 Governor's Budget publication.

0250 Judicial Branch

Article VI of the Constitution creates the Supreme Court of California and the Courts of Appeal to exercise the judicial power of the state at the appellate level. Article VI also creates the Judicial Council of California to administer the state's judicial system. Chapter 869, Statutes of 1997, created the California Habeas Corpus Resource Center to represent any person financially unable to employ appellate counsel in capital cases.

The Lockyer-Isenberg Trial Court Funding Act of 1997 (Chapter 850, Statutes of 1997) provided a stable and consistent funding source for the trial courts. Beginning with fiscal year 1997-98, consolidation of the costs of trial court operation was implemented at the state level, with the exception of facility, revenue collection, and local judicial benefit costs. This implementation capped the counties' general purpose revenue contributions to trial court costs at a revised 1994-95 level. The county contributions become part of the Trial Court Trust Fund, which supports all trial court operations. Fine and penalty revenue collected by each county is retained or distributed in accordance with statute. Each county makes quarterly payments to the Trial Court Trust Fund equal to the fine and penalty revenue received by the state General Fund in 1994-95, as adjusted by amounts equivalent to specified fine and fee revenues that counties benefited from in 2003-04. The Trial Court Facilities Act of 2002 (Chapter 1082, statutes of 2002) provided a process for the responsibility for court facilities to be transferred from the counties to the state by July 1, 2007, which was extended to December 31, 2009, by Chapter 9, Statutes of 2008. The Trial Court Facilities Act of 2002 also established several new revenue sources, effective on January 1, 2003. These revenues are deposited into the State Court Facilities Construction Fund for the purpose of funding the construction and maintenance of court facilities throughout the state. Counties contribute revenues for the ongoing operation and maintenance of court facilities based upon historical expenditures for facilities transferred to the state.

The mission of the Judicial Branch is to resolve disputes arising under the law and to interpret and apply the law consistently, impartially, and independently to protect the rights and liberties guaranteed by the Constitutions of California and the United States, in a fair, accessible, effective, and efficient manner.

Because the Judicial Branch's programs drive a need for infrastructure investment, the Judicial Branch has a capital outlay program to support this need. For the specifics on the Judicial Branch's capital outlay program, see "Infrastructure Overview."

3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
0130	Supreme Court	148.6	153.2	153.2	\$47,960	\$49,986	\$52,718
0135	Courts of Appeal	784.1	785.3	785.3	250,762	245,272	264,338
0140	Judicial Council	699.0	701.6	714.6	670,082	684,567	980,285
0150	State Trial Court Funding	-	-	-	3,231,159	3,000,945	3,616,825
0155	Habeas Corpus Resource Center	78.3	78.1	78.1	16,080	16,699	17,996
0170	Offset from Local Property Tax Revenue	-	-	-	-93,339	-102,270	-121,119
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		1,710.0	1,718.2	1,731.2	\$4,122,704	\$3,895,199	\$4,811,043
FUNDING					2019-20*	2020-21*	2021-22*
0001	General Fund				\$2,208,043	\$2,157,591	\$2,828,584
0044	Motor Vehicle Account, State Transportation Fund				202	225	225
0159	State Trial Court Improvement and Modernization Fund				5,355	5,458	15,105
0327	Court Interpreters Fund				156	156	156
0587	Family Law Trust Fund				1,307	1,706	1,706
0890	Federal Trust Fund				2,933	6,684	6,680
0932	Trial Court Trust Fund				1,302,143	1,070,894	1,268,102
0942	Special Deposit Fund				43	-	-
0995	Reimbursements				132,313	146,296	151,371

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0250 Judicial Branch - Continued

FUNDING	2019-20*	2020-21*	2021-22*
3037 State Court Facilities Construction Fund	156,555	150,057	385,567
3060 Appellate Court Trust Fund	1,181	7,341	7,341
3066 Court Facilities Trust Fund	91,108	109,030	104,030
3085 Mental Health Services Fund	1,159	1,174	1,174
3138 Immediate and Critical Needs Account, State Court Facilities Construction Fund	223,800	206,585	-
8059 State Community Corrections Performance Incentive Fund	768	1,000	1,000
8071 National Mortgage Special Deposit Fund	-	31,000	-
8506 Coronavirus Fiscal Recovery Fund of 2021	-	-	40,000
9728 Judicial Branch Workers Compensation Fund	-4,362	2	2
TOTALS, EXPENDITURES, ALL FUNDS	\$4,122,704	\$3,895,199	\$4,811,043

LEGAL CITATIONS AND AUTHORITY**DEPARTMENT AUTHORITY**

California Constitution, Article VI.

PROGRAM AUTHORITY

0150-State Trial Court Funding:

California Constitution, Article VI, Section 4.

0150037-Court Interpreters:

Trial Court Interpreter Employment and Labor Relations Act, Government Code Sections 71800-71829.

0155-Habeas Corpus Resource Center:

Government Code Sections 68660-68666.

MAJOR PROGRAM CHANGES

- Ongoing Support for the Judicial Branch and Trial Court Operations—The Budget includes \$272.2 million ongoing General Fund to restore the Judicial Branch to pre-Pandemic funding levels, allowing for courts to re-open temporarily closed courtrooms and to resolve case backlogs. It also includes resources to provide the trial courts a 3.7 percent increase in funding for general trial court operational costs.
- Resources to Address Trial Court Backlogs—The Budget includes \$60 million one-time General Fund for the trial courts to specifically address backlogs and workload delays resulting from the COVID-19 Pandemic.
- Early Disposition Readiness Conference Program—The Budget includes \$30 million one-time General Fund to expedite adjudications by making temporary assigned judges available to complete readiness conferences to resolve low-level misdemeanors or felony cases before going to trial, thereby reducing the backlog of criminal cases and providing victims and offenders a more timely resolution.
- Trial Court Trust Fund Revenue Backfill—The Budget includes \$118.3 million General Fund to continue backfilling the Trial Court Trust Fund for a decline in revenues expected in 2021-22.
- Facility Operations and Maintenance—The Budget includes \$53.5 million ongoing General Fund to support maintenance, utility, and lease costs for trial courts and the Courts of Appeal facilities.
- One-time Deferred Maintenance—The Budget includes \$188.1 million one-time General Fund to support deferred maintenance projects in trial courts and Courts of Appeal.
- Statewide Expansion of Pretrial Decisions—The Budget includes \$140 million General Fund in 2021-22 and \$70 million ongoing to promote the safe reduction in pretrial detention by supporting pretrial release decisions that impose the least restrictive conditions while maintaining public safety.
- Legal Aid Augmentation—The Budget includes \$50 million General Fund in 2021-22 and \$20 million in 2022-23 and ongoing for legal aid services funded through the Equal Access Fund for low-income litigants.
- Legal Aid for Renters in Landlord-Tenant Disputes and Homeowners to Avoid Foreclosure—The Budget includes \$40 million federal American Rescue Plan Act of 2021 funds in 2021-22, and \$20 million annually thereafter until 2023-24 (\$80 million

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0250 Judicial Branch - Continued

total) to provide legal aid services for renters and homeowners to avoid eviction and foreclosure.

- Continuation of Self-Help Center Funding in Trial Courts—The Budget includes \$19.1 million General Fund annually until 2023-24 for trial courts to provide self-help services to unrepresented litigants and to achieve court efficiencies.
- Ability to Pay Expansion—The Budget includes \$12.3 million General Fund in 2021-22, increasing to \$58.4 million beginning in 2024-25, to expand the use of an online ability to pay tool statewide for both traffic and non-traffic infractions. The ongoing amount includes \$2.7 million to implement the online application system and \$55.7 million to backfill the estimated loss in revenue to support trial court operations as a result of the penalty reductions.
- Dependency Counsel—The Budget includes \$10 million one-time General Fund to address increased caseloads due to the COVID-19 Pandemic. In addition, the Budget includes up to \$30 million ongoing General Fund to be transferred to dependency counsel service providers in the event federal reimbursements are less than anticipated.

DETAILED BUDGET ADJUSTMENTS

	2020-21*			2021-22*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Ongoing Support for the Judicial Branch	\$-	\$-	-	\$200,000	\$-	-
• MR Infrastructure Package - One-Time Deferred Maintenance	-	-	-	158,000	-	-
• Statewide Expansion for Pretrial Decisions	-	-	-	140,000	-	-
• Support for Trial Court Operations	-	-	-	72,173	-	-
• Resources to Address Trial Court Backlogs	-	-	-	60,000	-	-
• Trial Courts and Courts of Appeal Facilities, Maintenance, and Leases	-	-	-	53,506	-	5.0
• Legal Aid Augmentation	-	-	-	50,000	-	-
• Court-Appointed Dependency Counsel	-	-	-	40,000	-30,000	-
• Early Disposition Readiness Conference Program	-	-	-	30,000	-	-
• One-Time Deferred Maintenance Allocation	-	-	-	30,000	-	-
• Continuation of Self-Help Centers in Trial Courts	-	-	-	19,100	-	-
• Trial Court Facility Modifications	-	-	-	18,900	-	-
• County Law Library Backfill	-	-	-	16,500	-	-
• Ability to Pay Expansion	-	-	-	12,291	-	8.0
• Shasta Court Security Funding	-	-	-	330	-	-
• Court Appointed Special Advocate LA	-	-	-	200	-	-
• Legal Aid for Renters in Landlord-Tenant Disputes and Homeowners to Avoid Foreclosure	-	-	-	-	40,000	-
• Chapter 957, Statutes of 1996 (AB 1058) Reimbursement Authority Increase	-	-	-	-	5,000	-
• Court Construction Fund Consolidation	-	-	-	-	-	-
• Improvement and Modernization Fund Flexibility - Budget Bill Language Only	-	-	-	-	-	-
• Tenant, Homeowner, and Small Landlord Relief and Stabilization Act of 2020 (AB 3088)	11,700	-	-	-	-	-
• Withdraw - Tenant Homeowner and Small Landlord Relief and Stabilization Act of 2020 (AB 3088)	-11,700	-	-	-	-	-
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$901,000	\$15,000	13.0
Other Workload Budget Adjustments						
• Trial Court Trust Fund Revenue Backfill	-	-	-	39,288	-39,288	-
• Trial Court Employee Benefits	-	-	-	39,072	-	-
• Legal Aid Resources (AB 83)	-	31,000	-	-	-	-

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0250 Judicial Branch - Continued

	2020-21*			2021-22*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
• Trial Court Trust Fund Backfill Adjustment	-27,500	27,500	-	-	-	-
• Unanticipated Costs for Air Scrubbers	-	2,500	-	-	-	-
• Trial Court Benefit Adjustment	-	-	-	-7,822	-	-
• Control Section 15.45 Adjustment	-10,528	-	-	-10,528	-	-
• Adjustment per Control Section 15.45	1,604	-	-	-17,245	-	-
• Lease Revenue Debt Service Adjustment	44	-33,691	-	6,296	-2,370	-
• Miscellaneous Baseline Adjustments	37,988	-136,247	-	851	-38,180	-
• SWCAP	-	-	-	-	-4	-
• Benefit Adjustments	-77	-12	-	-134	-18	-
• Retirement Rate Adjustments	-2,964	-439	-	-2,964	-439	-
Totals, Other Workload Budget Adjustments	\$-1,433	\$-109,389	-	\$46,814	\$-80,299	-
Totals, Workload Budget Adjustments	\$-1,433	\$-109,389	-	\$947,814	\$-65,299	13.0
Totals, Budget Adjustments	\$-1,433	\$-109,389	-	\$947,814	\$-65,299	13.0

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0250 Judicial Branch - Continued

Trial Court Funding, Expenditures, and Positions - 2019-20 and 2020-21

Section 1. Actual and Estimated Funding, Expenditures, and Positions by Trial Court	Prior-Year Actuals				Current-Year Estimated			
	State Funding ^{1/} (Program 0150)	Non-State Funding ^{1/}	Total Court Expenditures ^{1/}	Filled Positions as of 7/1/2019 ^{2/}	State Funding ^{3/} (Program 0150)	Non-State Funding ^{3/}	Total Court Expenditures ^{3/}	Filled Positions as of 7/1/2020 ^{2/}
Court								
Alameda	94,021,887	15,635,967	110,397,799	675	93,613,449	18,627,255	112,001,733	695
Alpine	798,767	28,893	813,858	4	802,440	32,491	851,180	4
Amador	4,032,206	573,244	4,487,687	28	3,641,015	455,137	4,364,907	26
Butte	14,175,107	1,788,466	15,954,137	123	13,475,937	1,893,204	15,611,686	122
Calaveras	3,419,042	336,168	3,576,457	23	3,592,629	357,126	3,949,402	25
Colusa	2,114,114	199,682	2,125,879	14	2,006,692	222,206	2,164,515	13
Contra Costa	57,160,726	6,694,766	62,950,897	322	52,466,560	6,624,138	60,884,968	318
Del Norte	3,428,781	463,352	3,537,070	23	3,099,806	454,834	3,666,506	23
El Dorado	8,793,325	1,318,726	9,221,690	73	8,205,163	1,315,344	9,624,763	70
Fresno	63,220,291	5,847,027	70,593,159	472	60,749,059	6,046,804	67,385,521	492
Glenn	2,711,489	603,318	3,236,892	22	2,856,194	749,127	3,560,703	21
Humboldt	9,012,832	421,659	9,157,296	82	8,998,842	513,461	9,822,589	76
Imperial	11,243,790	1,596,636	11,934,452	116	10,700,998	1,444,774	12,012,946	112
Inyo	2,576,524	516,230	3,129,730	16	2,460,796	600,570	3,082,278	18
Kern	67,222,173	18,267,768	85,601,102	525	64,004,710	18,362,837	82,808,875	518
Kings	10,993,346	1,174,061	11,702,768	88	10,874,722	1,110,583	12,534,255	89
Lake	4,711,544	352,639	4,799,858	32	4,693,506	277,690	5,488,410	32
Lassen	3,027,205	331,473	3,346,961	19	2,809,345	305,874	3,045,854	19
Los Angeles	763,591,007	58,850,141	810,274,149	4,545	760,311,860	69,640,000	870,551,123	4,478
Madera	10,787,319	707,865	11,623,214	98	11,033,274	745,229	11,918,008	102
Marin	14,110,367	812,304	14,785,914	103	13,976,215	811,777	15,373,482	100
Mariposa	1,568,290	309,298	1,753,525	13	2,835,348	355,052	3,100,851	14
Mendocino	7,583,901	941,693	8,932,088	62	7,022,953	685,296	8,292,198	58
Merced	16,551,843	1,863,441	18,414,228	134	15,799,030	2,121,794	17,743,165	144
Modoc	1,681,276	86,207	1,709,642	10	1,504,274	476,939	1,999,977	12
Mono	2,399,004	190,817	2,199,377	13	1,972,567	221,217	2,322,724	14
Monterey	24,740,986	1,963,542	25,980,706	199	24,147,884	1,650,339	25,869,804	197
Napa	10,015,684	813,522	10,422,284	67	10,389,033	805,264	10,747,961	60
Nevada	6,451,072	983,968	7,239,727	52	6,464,718	1,064,301	7,399,252	51
Orange	175,374,387	26,767,893	207,031,051	1,400	171,396,786	28,453,449	204,381,326	1,377
Placer	21,238,506	2,290,632	23,440,375	119	20,540,657	2,146,365	22,847,467	133
Plumas	1,461,042	171,757	1,611,433	9	1,576,113	174,247	1,762,490	10
Riverside	150,975,676	21,634,765	174,398,300	1,086	141,771,584	22,219,084	166,375,421	1,082
Sacramento	100,466,108	6,934,991	104,895,504	701	104,439,516	6,808,984	110,362,835	715
San Benito	3,726,447	365,204	3,786,994	26	4,005,219	373,583	5,366,205	26
San Bernardino	136,612,012	9,765,299	145,752,161	1,056	133,401,885	9,776,860	150,284,733	1,056
San Diego	168,709,081	17,814,553	189,867,206	1,234	161,191,950	17,365,500	176,697,661	1,159
San Francisco	68,943,501	8,153,709	74,816,144	406	63,647,483	7,374,588	73,265,184	377
San Joaquin	42,886,049	6,210,556	47,583,994	346	41,652,802	5,966,203	48,738,751	331
San Luis Obispo	17,083,027	1,350,330	18,715,157	128	16,635,827	1,466,439	18,441,321	125
San Mateo	45,565,621	3,649,967	52,044,189	269	49,862,358	3,333,904	54,613,213	271
Santa Barbara	29,468,639	3,747,880	32,924,778	228	28,152,170	3,478,975	32,755,337	220
Santa Clara	91,731,559	15,188,846	106,512,556	656	87,644,175	13,542,675	100,745,882	590
Santa Cruz	15,621,210	1,732,264	17,185,629	119	14,809,434	1,748,261	16,750,062	119
Shasta	18,937,450	4,255,489	21,889,206	179	20,204,096	4,144,159	25,925,052	181
Sierra	904,467	44,342	862,299	5	1,005,088	66,568	1,034,080	5
Siskiyou	3,791,455	531,127	4,168,462	27	3,601,871	528,718	4,324,280	26
Solano	27,721,068	2,987,716	29,918,471	205	25,764,827	2,539,100	29,708,537	208
Sonoma	29,804,094	2,787,568	31,810,126	172	32,648,202	3,507,907	33,905,861	181
Stanislaus	29,236,812	2,595,510	31,050,725	250	27,822,083	2,851,694	31,611,629	240
Sutter	7,222,743	790,622	7,904,840	57	6,982,331	764,367	8,604,334	55
Tehama	5,629,444	569,279	5,808,523	43	5,178,354	620,253	6,452,122	43
Trinity	2,500,739	163,410	2,590,528	14	2,421,998	217,664	2,657,428	14
Tulare	29,717,387	5,367,656	34,913,019	250	28,322,622	5,714,622	33,946,345	249
Tuolumne	4,540,917	698,168	4,921,421	38	5,210,917	905,923	6,312,452	36
Ventura	47,507,738	10,205,300	59,597,587	387	48,509,924	11,185,363	59,702,835	386
Yolo	15,068,755	2,066,767	17,020,574	103	14,743,347	1,594,603	16,460,447	105
Yuba	6,158,680	997,392	7,109,275	49	5,947,591	1,331,371	7,137,586	49
Subtotal, Section 1	2,520,748,512	283,511,865	2,790,460,003	17,512	2,473,600,227	297,972,092	2,839,350,512	17,268

Section 2: Funding Not Yet Allocated or Not Distributed to the Trial Courts								
Pending State Funding to the Trial Courts^{4/}								
Pretrial Pilot Project Funding					9,227,774			
State Trial Court Funding Not Distributed to the Trial Courts ^{5/}	523,817,738				577,559,508			
Less: Expenses Made on Behalf of Courts from Courts' Share of State Trial Court Funding ^{6/}	(41,133,321)				(41,760,271)			
Other ^{7/}	227,726,071				76,628,762			
Subtotal, Section 2	710,410,488	-	-	-	621,655,773	-	-	-
State Trial Court Funding Total^{8/}								
	3,231,159,000				3,095,256,000			

^{1/} Reflects the 2019-20 4th quarter Quarterly Financial Statement information submitted by the trial courts. A detailed breakdown of this data can be found in the Report of Trial Court Revenue, Expenditure, and Fund Balance Constraints for 2019-20. Non-state funding includes local fees and non-fee revenue, enhanced collections and other reimbursements, grants from non-state entities, etc.

^{2/} Reflects the filled full-time equivalent positions reported on the Schedule 7As submitted by the trial courts. Excludes judges who are constitutional officers and not trial court employees.

^{3/} Reflects the preliminary budgets of 58 trial courts based on courts' 2020-21 Schedule 1 submissions, and includes both preliminary and certified submissions.

^{4/} Reflects pending allocations from the Judicial Council. Trial courts may have budgeted for some of these funds in Section 1 in anticipation of their allocation.

^{5/} See the "State Trial Court Funding Not Distributed to the Trial Courts" (Figure 2) display for more detail. Funds are either disbursed on behalf of trial courts, for statewide programs, for judges compensation, or to non-court entities, and as a result are not included in the trial courts' state funding in Section 1.

^{6/} See the "State Trial Court Funding Not Distributed to the Trial Courts" (Figure 2) display for more detail. These expenses are funded by the trial courts opting to participate in those programs from their "State Funding" revenue distribution amounts reported in Section 1 of the "State and Non-State Trial Court Funding, Expenditures, and Positions" (Figure 1) display. Because the funding for these expenses is already included in Section 1, they are subtracted from the total "State Trial Court Funding Not Distributed to Trial Courts" (Figure 2) amount used to calculate the total expenditures displayed for the "State Trial Court Funding Total."

^{7/} For the prior year, recognizes that the revenues courts report individually as received or to be received from the state will not be equal to the "State Trial Court Funding" expenditure amount reported at the state level due to timing and accounting differences. For the current year, this category reflects either differences in local versus state revenue projections, pending court budgets, or unallocated appropriation.

^{8/} Total state funding for trial courts ties to actual and accrued expenditures for 2019-20 and estimated expenditures for 2020-21 for Program 0150 "State Trial Court Funding" included in the 2020-21 Enacted Budget.

*** Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.**

0250 Judicial Branch - Continued**State Trial Court Funding Not Distributed to the Trial Courts - 2019-20 and 2020-21**

		2019-20 Past-Year Actuals		2020-21 Current-Year Estimate	
Programs by Category ^{1/}	Fund	From Judicial Council's Appropriation of State Trial Court Funding	From Courts' Distribution of State Trial Court Funding ^{2/}	From Judicial Council's Appropriation of State Trial Court Funding	From Courts' Distribution of State Trial Court Funding ^{2/}
Audit Services Programs					
Audit Services	IMF	260,648		409,804	
Branch Accounting and Procurement Programs					
Trial Court Procurement/TCAS -MSA	IMF	136,578		151,500	
Phoenix Program	TCTF	1,240,857		1,584,250	
Business Management Services Programs					
Workload Assessment Advisory Program	IMF			8,500	
Assigned Judges	TCTF	14,773,788		29,812,000	
Budget Services Programs					
Treasury Services - Cash Management	IMF	279,804		312,000	
Budget Focused Training and Meetings	IMF	14,622		50,000	
Revenue Distribution Training	IMF	6		9,500	
Trial Court Performance Measures Study	IMF	7,993		0	
Centralized Rent for IMF Staff ^{6/}	IMF	391,733		0	
Compensation of Superior Court Judges ^{3/}	TCTF	243,133,485		241,816,407	
Other Post Employment Benefits Valuation	TCTF	556,062		122,645	
Center for Families, Children & the Courts Programs					
Domestic Violence Forms Translation	IMF	17,000		17,000	
Self-Help Centers	IMF	5,000,000		5,000,000	
Self-Help Document Assembly Programs	IMF	60,000		60,000	
Shriver Civil Counsel	IMF	520,692		1,570,692	
Statewide Multidisciplinary Education	IMF	67,000		67,000	
Statewide Support for Self-Help Programs	IMF	100,000		100,000	
Court Interpreter Program (Testing, Development, Recruitment and Education)	IMF	143,000		143,000	
Children in Dependency Cases Training	TCTF	74,920		113,000	
Court-Appointed Special Advocate Program	TCTF	2,712,980		2,713,000	
Database Development - Court Interpreters	TCTF				
Direct Payments for Court-Appointed Dependency Counsel (DRAFT) ^{4/}	TCTF	112,714,079		104,180,000	
Direct Payments for Court-Appointed Dependency Counsel ^{4/}	TCTF			57,000,000	
Equal Access Fund	TCTF	5,482,000		5,482,000	
Equal Access Fund	GF	20,392,000		20,392,000	
Sargent Shriver Civil Representation Pilot Program	TCTF	6,748,172		18,094,937	
Center for Judicial Education and Research Programs					
Judicial Education	IMF	962,039		965,500	
Essential Court Management Education	IMF	11,045		38,500	
Essential Court Personnel Education	IMF	70,586		136,500	
Faculty Development	IMF	65,298		61,500	
Distance Learning	IMF	20,199		0	
Facilities Services Programs					
Facility-Related Costs Incurred on Behalf of the Courts	TCTF		21,689,940		21,186,152
Human Resources Programs					
Judicial Branch Workers' Compensation Program ^{5/}	TCTF		18,223,000		18,258,549
Judicial Branch Workers' Compensation Program ^{5/}	JBWCF		-		-
Trial Court Labor Relations Academies and Forums	IMF	-		22,700	
Information Technology Programs					
Data Center and Cloud Service (Formerly CCTC/Operations)	IMF	8,912,527		9,148,882	
California Courts Technology Center	TCTF		656,333		688,803
CCPOR (ROM) and Development	IMF	697,985		1,252,705	
Civil, Small Claims, Probate and Mental Health (V3) Case Management System	IMF	2,609,963		0	
Civil, Small Claims, Probate and Mental Health (V3) Case Management System	TCTF		564,048		1,626,767
Jury Management Systems	IMF	391,645		665,000	
Data Integration/ISB Support	IMF	2,632,587		2,308,342	
Statewide Planning and Development Support	IMF	4,345,520		4,399,681	
Interim Case Management Systems	IMF	391,813		0	
Interim Case Management Systems	TCTF		-		-
Telecommunications Support	IMF	17,249,593		18,713,873	
Phoenix Project	IMF	119,814		0	

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0250 Judicial Branch - Continued**State Trial Court Funding Not Distributed to the Trial Courts - 2019-20 and 2020-21**

Programs by Category ^{1/}	Fund	2019-20 Past-Year Actuals		2020-21 Current-Year Estimate	
		From Judicial Council's Appropriation of State Trial Court Funding	From Courts' Distribution of State Trial Court Funding ^{2/}	From Judicial Council's Appropriation of State Trial Court Funding	From Courts' Distribution of State Trial Court Funding ^{2/}
Uniform Civil Fees System	IMF	145,286		409,382	
CMS Replacement - Phase IV	IMF	22,756,370		6,358,000	
Digitizing Court Records	IMF	4,851,999		-	
Legal Services Programs					
Judicial Performance Defense Insurance	IMF	1,107,920		1,312,438	
Jury System Improvement Projects	IMF	3,526		19,000	
Regional Office Assistance Group	IMF	511,284		778,000	
Subtotal, From Judicial Council's Appropriation of State Trial Court Funding		482,684,417		535,799,237	
Subtotal, From Courts' Distribution of State Trial Court Funding^{2/}			41,133,321		41,760,271
Total, State Trial Court Funding Not Distributed to the Trial Courts			523,817,738		577,559,508
Subtotal by Fund					
Trial Court Trust Fund (TCTF)	TCTF	387,436,342	41,133,321	403,918,238	41,760,271
State Trial Court Improvement and Modernization Fund (IMF)	IMF	74,856,075	-	111,488,999	-
Judicial Branch Workers' Compensation Fund (JBWCF)	JBWCF	-	-	-	-
General Fund (GF)	GF	20,392,000	-	20,392,000	-
Total, State Trial Court Funding Not Distributed to the Trial Courts			523,817,738		577,559,508

^{1/} Includes all Program 0150 "State Funding" (Figure 1) expenses from all funds, including the TCTF, IMF, GF, and JBWCF. The expenses do not necessarily represent all expenses for those programs that have a state operations component (e.g., Equal Access Fund) and/or a distribution that is made directly to courts (e.g., court-appointed dependency counsel).

^{2/} These expenses are funded by the trial courts opting to participate in those programs from their "State Funding" (Figure 1) revenue distribution amounts reported in Section 1 of the "State and Non-State Trial Court Funding, Expenditures, and Positions" (Figure 1) display. Because the funding for these expenses is already included in Section 1, they are subtracted from the total "State Trial Court Funding Not Distributed to the Trial Courts" amount in this display and used to calculate the total expenditures displayed for "State Trial Court Funding Total" (Figure 1).

^{3/} This reflects judges' compensation paid, excluding any amounts related to the reimbursement of courts for payments of judges' compensation as these amounts are already included in the courts' "State Funding" (Figure 1) revenue amounts reported (\$145.9 million in 2019-20 and \$140.4 million in 2020-21). Judges from the Los Angeles, Riverside, and Ventura Superior Courts are compensated locally and the court/county is reimbursed by the TCTF. In addition, 23 courts in 2019-20 and 2020-21 participated in the local salary reimbursement program in which a small portion of the judges' salary is paid locally and reimbursed by the TCTF.

^{4/} Reflects actual or estimated payments made directly to court-appointed dependency counsel from the TCTF on behalf of trial courts participating in the Dependency Representation, Administration, Funding, and Training (DRAFT) Program. Courts not participating in the DRAFT program are reimbursed up to a maximum amount from the TCTF for payments to court-appointed dependency counsel. The second line reflects the appropriated funds for the Federally Funded Dependency Representation Program.

^{5/} For the TCTF, expenses are the annual premiums for 57 participating trial courts from their allocations and for superior court judges from the appropriation for the Compensation of Superior Court Judges that are transferred to the JBWCF. For the JBWCF, these expenses reflect payments of trial court employee and judge related workers' compensation claims from the JBWCF less the amount transferred from the TCTF.

^{6/} The 2020-21 estimated rent is incorporated into the request from each program that houses employees.

0250 Judicial Branch - Continued**Judicial Council Expenditures and Postions - 2019-20 and 2020-21**

Judicial Council of California ^{1/}	Fund ^{2/}	2019-20 Positions ^{3/}	2019-20 Actual Expenditures	2020-21 Estimated Positions ^{3/}	2020-21 Estimated Expenditures
Executive Division					
Executive	GF	10.9	3,431,000	12.0	3,488,000
	SDF		43,000		
Audit Services	GF	8.6	1,393,000	12.0	1,807,000
	IMF	1.7	261,000	2.0	385,000
	SCFCF	-	95,000	-	0
Governmental Affairs	GF	7.6	1,436,000	10.0	1,626,000
Public Affairs	GF	7.6	1,455,000	9.0	1,410,000
Leadership Services Division					
Leadership Support Services	GF	57.9	9,980,000	65.8	10,266,000
Legal Services	GF	38.0	17,721,000	47.0	15,340,000
	IMF	2.0	467,000	3.0	737,000
	SCFCF	4.7	1,431,000	5.0	1,845,000
Operations and Programs Division					
Business Management Services	GF	16.0	2,748,000	18.0	2,531,000
Center for Families, Children & the Courts	GF	32.7	8,308,000	46.1	9,024,000
	IMF	-	521,000	-	0
	EAF	-	-	-	1,550,000
	FLTF	6.7	1,307,000	8.0	1,706,000
	CIF	-	156,000	-	156,000
	FTF	10.7	2,147,000	14.0	3,383,000
	TCTF	-	950,000	2.0	1,102,000
	REIMB	12.0	4,240,000	12.0	5,362,000
	MHSF	4.4	1,159,000	6.0	1,174,000
Criminal Justice Services	GF	19.8	2,805,000	24.0	3,357,000
	MVA	0.8	202,000	1.0	225,000
	IMF	-	2,000	-	0
	FTF	-	2,000	-	0
	REIMB	-	139,000	-	161,000
	SCCPIF	1.0	768,000	1.0	1,000,000
Center for Judicial Education and Research	GF	41.7	6,989,000	48.5	7,258,000
	IMF	-	2,000	-	0
	REIMB	-	173,000	-	80,000
Information Technology	GF	78.6	28,162,000	111.0	58,558,000
	IMF	12.0	2,478,000	20.0	3,206,000
	TCTF	2.0	369,000	3.0	376,000
	REIMB	-	171,000	-	429,000
	SCFCF	5.0	2,015,000	9.0	2,291,000
Administrative Division					
Branch Accounting and Procurement	GF	105.4	24,271,000	122.0	20,766,000
	IMF	1.0	137,000	1.0	142,000
	REIMB	-	-	-	155,000
	TCTF	10.0	1,314,000	10.0	1,482,000
	SCFCF	11.0	1,326,000	14.0	1,775,000
Budget Services	GF	20.8	20,238,000	30.0	18,309,000
	IMF	1.0	671,000	2.0	1,608,000
	TCTF	1.9	712,000	2.0	1,693,000
	SCFCF	2.7	1,095,000	3.0	2,889,000
Human Resources	GF	40.1	7,878,000	47.0	8,350,000
	TCTF	-	1,000	-	0
	SCFCF	4.0	763,000	4.0	907,000
	JBWCF	-	(242,000)	-	2,000
Judicial Council of California Totals		580.3	161,690,000	724.4	197,911,000

^{1/} Excludes Facilities Services; expenditures rounded to the nearest thousand.^{2/} Fund descriptions are included on the first page of the Judicial Branch budget.^{3/} Position detail ties to 2019-20 filled positions & 2020-21 authorized positions located on the Schedule 8/7a Salaries and Wages Supplement.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0250 Judicial Branch - Continued

PROGRAM DESCRIPTIONS

0130 - SUPREME COURT

The Supreme Court is the highest court in the California judicial system. Its decisions are binding on all other California state courts. The Chief Justice of California and the six Associate Justices entertain petitions seeking review of decisions from the Courts of Appeal, original petitions for extraordinary relief (such as writs of mandate or habeas corpus), and recommendations for discipline of judicial officers and attorneys. The Court grants review and issues opinions in order to settle legal questions of statewide importance. In addition, under the California Constitution, all death penalty judgments are appealed directly to the Supreme Court.

0135 - COURTS OF APPEAL

Established by a constitutional amendment in 1904, the Courts of Appeal are California's intermediate courts of review. The six District Courts of Appeal hear appeals and original proceedings at nine different locations around the state. Cases before the Courts of Appeal involve every area of civil and criminal law.

0140 - JUDICIAL COUNCIL

The Judicial Council of California is the constitutional policy-making body for the state judiciary. The Council consists of 21 voting members and 9 advisory members; the Chief Justice of California serves as chair. The Judicial Council staff serve as the administrative arm of the Council. Staff provide policy support to the Council, administrative accountability in the operation of the courts as specified by law, strategic planning for capital outlay, design, and construction of court facilities; and administrative support for courts in areas such as budget, fiscal services, coordination of the assignment of retired judges, technology, education, legal advice and services, human resources, legislative advocacy, and research.

0140023 - JUDICIAL BRANCH FACILITY PROGRAM

The Judicial Branch Facility Program administers the acquisition, planning, construction, operations, and maintenance of judicial branch facilities. This program is responsible for the development of long-term facilities master plans, facility and real estate management, renovation of existing court facilities, and new courthouse planning, design, and construction.

0150 - STATE TRIAL COURT FUNDING

California has 58 trial courts, one in each county. In trial courts, or superior courts, a judge and sometimes a jury hears witnesses' testimony and other evidence and decides cases by applying the relevant law to the facts. Trial courts have trial jurisdiction over all criminal and civil cases. To accomplish various statutory mandates, the State Trial Court Funding Program supports trial courts through the following programs:

0150010 - SUPPORT FOR THE OPERATION OF THE TRIAL COURTS

This program's objective is to provide the resources necessary for the statewide trial court system to adjudicate civil and criminal cases. This program includes all allowable trial court administrative costs under Government Code section 77003.

0150011 - COURT APPOINTED DEPENDENCY COUNSEL

This program provides funding for court-appointed counsel for children, non-minor dependents and parents in juvenile court dependency proceedings pursuant to Welfare and Institutions Code section 317, subdivisions (b) and (c), and Government Code section 77003, subdivision (a)(4).

0150019 - COMPENSATION OF SUPERIOR COURT JUDGES

This program provides funding for the salaries and state benefits for Superior Court judges.

0150028 - ASSIGNED JUDGES

This program provides support for the salaries and related costs of retired as well as active judges who are assigned by the Chief Justice to positions in courts which require assistance due to judicial absences or other factors impacting the ability of a court to avoid case delay.

0150037 - COURT INTERPRETERS

This program supports the provision of qualified language interpreters in criminal, juvenile, family and civil proceedings as required by statute.

0150046 - GRANTS

This program provides funding for government grants providing public services related to the trial courts.

0250 Judicial Branch - Continued**0150051 – CHILD SUPPORT COMMISSIONER PROGRAM (AB 1058)**

This program provides funding for Child Support Commissioners and Family Law Facilitators in every court.

0150067 – COURT APPOINTED SPECIAL ADVOCATE (CASA) PROGRAM

This program provides funding for non-profits designated by the court to train and provide volunteers to advocate for children in foster care.

0150083 – EQUAL ACCESS FUND

This program provides funding for legal services programs to provide a range of services to low-income litigants.

0150095 - EXPENSES ON BEHALF OF THE TRIAL COURT

This program provides appropriation for statewide programs and services provided to the court or courts by the Judicial Council or payment for services or property of any kind contracted for the court or courts or on behalf of the courts by the Judicial Council, which are paid for or reimbursed by the trial courts. The appropriation also supports the implementation and administration of the Civil Representation Pilot Program.

In addition to the programs mentioned above, the State Trial Court Funding Program supports a number of smaller programs including Access to Visitation services in family law proceedings, JusticeCorps volunteers in the courts, and Substance Abuse Focus Grants supporting collaborative justice courts.

0155 - HABEAS CORPUS RESOURCE CENTER

The Habeas Corpus Resource Center provides legal representation for indigent petitioners in death penalty habeas corpus proceedings before the California state courts and the federal courts. The Center also recruits and trains attorneys to expand the pool of private counsel qualified to accept appointments in death penalty habeas corpus proceedings, serves as a resource to them, and thereby helps to reduce the number of unrepresented indigents on California's death row.

DETAILED EXPENDITURES BY PROGRAM

		<u>2019-20*</u>	<u>2020-21*</u>	<u>2021-22*</u>
	PROGRAM REQUIREMENTS			
0130	SUPREME COURT			
	State Operations:			
0001	General Fund	\$47,923	\$48,809	\$51,541
0995	Reimbursements	40	-	-
3060	Appellate Court Trust Fund	-	1,177	1,177
9728	Judicial Branch Workers Compensation Fund	-3	-	-
	Totals, State Operations	\$47,960	\$49,986	\$52,718
	PROGRAM REQUIREMENTS			
0135	COURTS OF APPEAL			
	State Operations:			
0001	General Fund	\$249,558	\$238,908	\$257,974
0995	Reimbursements	200	200	200
3060	Appellate Court Trust Fund	1,181	6,164	6,164
9728	Judicial Branch Workers Compensation Fund	-177	-	-
	Totals, State Operations	\$250,762	\$245,272	\$264,338
	PROGRAM REQUIREMENTS			
0140	JUDICIAL COUNCIL			
	State Operations:			
0001	General Fund	\$208,123	\$220,782	\$485,941
0044	Motor Vehicle Account, State Transportation Fund	202	225	225
0159	State Trial Court Improvement and Modernization Fund	4,539	6,078	6,078
0327	Court Interpreters Fund	156	156	156
0587	Family Law Trust Fund	1,307	1,706	1,706

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0250 Judicial Branch - Continued

		2019-20*	2020-21*	2021-22*
0890	Federal Trust Fund	2,149	3,383	3,379
0932	Trial Court Trust Fund	3,346	4,653	4,630
0942	Special Deposit Fund	43	-	-
0995	Reimbursements	27,069	28,186	28,496
3037	State Court Facilities Construction Fund	156,555	150,057	335,567
3066	Court Facilities Trust Fund	91,108	109,030	104,030
3085	Mental Health Services Fund	1,159	1,174	1,174
3138	Immediate and Critical Needs Account, State Court Facilities Construction Fund	173,800	156,585	-
8059	State Community Corrections Performance Incentive Fund	768	1,000	1,000
8071	National Mortgage Special Deposit Fund	-	1,550	-
9728	Judicial Branch Workers Compensation Fund	-242	2	2
	Totals, State Operations	\$670,082	\$684,567	\$972,384
	Local Assistance:			
0001	General Fund	\$-	\$-	\$7,901
	Totals, Local Assistance	\$-	\$-	\$7,901
	SUBPROGRAM REQUIREMENTS			
0140010	Judicial Council			
	State Operations:			
0001	General Fund	\$136,815	\$162,091	\$191,729
0044	Motor Vehicle Account, State Transportation Fund	202	225	225
0159	State Trial Court Improvement and Modernization Fund	4,539	6,078	6,078
0327	Court Interpreters Fund	156	156	156
0587	Family Law Trust Fund	1,307	1,706	1,706
0890	Federal Trust Fund	2,149	3,383	3,379
0932	Trial Court Trust Fund	3,346	4,653	4,630
0942	Special Deposit Fund	43	-	-
0995	Reimbursements	4,723	6,186	6,496
3037	State Court Facilities Construction Fund	6,725	9,707	9,706
3085	Mental Health Services Fund	1,159	1,174	1,174
8059	State Community Corrections Performance Incentive Fund	768	1,000	1,000
8071	National Mortgage Special Deposit Fund	-	1,550	-
9728	Judicial Branch Workers Compensation Fund	-242	2	2
	Totals, State Operations	\$161,690	\$197,911	\$226,281
	Local Assistance:			
0001	General Fund	\$-	\$-	\$7,901
	Totals, Local Assistance	\$-	\$-	\$7,901
	SUBPROGRAM REQUIREMENTS			
0140023	Judicial Branch Facility Program			
	State Operations:			
0001	General Fund	\$71,308	\$58,691	\$294,212
0995	Reimbursements	22,346	22,000	22,000
3037	State Court Facilities Construction Fund	149,830	140,350	325,861
3066	Court Facilities Trust Fund	91,108	109,030	104,030
3138	Immediate and Critical Needs Account, State Court Facilities Construction Fund	173,800	156,585	-
	Totals, State Operations	\$508,392	\$486,656	\$746,103
	PROGRAM REQUIREMENTS			
0150	STATE TRIAL COURT FUNDING			
	Local Assistance:			
0001	General Fund	\$1,779,726	\$1,735,689	\$2,129,376

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0250 Judicial Branch - Continued

		2019-20*	2020-21*	2021-22*
0159	State Trial Court Improvement and Modernization Fund	816	-620	9,027
0890	Federal Trust Fund	744	2,275	2,275
0932	Trial Court Trust Fund	1,298,797	1,066,241	1,263,472
0995	Reimbursements	105,004	117,910	122,675
3037	State Court Facilities Construction Fund	-	-	50,000
3138	Immediate and Critical Needs Account, State Court Facilities Construction Fund	50,000	50,000	-
8071	National Mortgage Special Deposit Fund	-	29,450	-
8506	Coronavirus Fiscal Recovery Fund of 2021	-	-	40,000
9728	Judicial Branch Workers Compensation Fund	-3,928	-	-
	Totals, Local Assistance	\$3,231,159	\$3,000,945	\$3,616,825
	SUBPROGRAM REQUIREMENTS			
0150010	Support for Operation of Trial Courts			
	Local Assistance:			
0001	General Fund	\$993,003	\$988,595	\$1,281,586
0159	State Trial Court Improvement and Modernization Fund	816	-620	9,027
0932	Trial Court Trust Fund	1,287,737	1,050,830	1,266,038
0995	Reimbursements	-	1	1
3037	State Court Facilities Construction Fund	-	-	50,000
3138	Immediate and Critical Needs Account, State Court Facilities Construction Fund	50,000	50,000	-
9728	Judicial Branch Workers Compensation Fund	-3,633	-	-
	Totals, Local Assistance	\$2,327,923	\$2,088,806	\$2,606,652
	SUBPROGRAM REQUIREMENTS			
0150011	Court Appointed Dependency Counsel			
	Local Assistance:			
0001	General Fund	\$156,700	\$156,700	\$196,700
0932	Trial Court Trust Fund	-	-	-30,000
0995	Reimbursements	49,211	57,009	57,009
	Totals, Local Assistance	\$205,911	\$213,709	\$223,709
	SUBPROGRAM REQUIREMENTS			
0150019	Compensation of Superior Court Judges			
	Local Assistance:			
0001	General Fund	\$430,776	\$395,802	\$396,033
0932	Trial Court Trust Fund	-2,066	-12,000	-
9728	Judicial Branch Workers Compensation Fund	-295	-	-
	Totals, Local Assistance	\$428,415	\$383,802	\$396,033
	SUBPROGRAM REQUIREMENTS			
0150028	Assigned Judges			
	Local Assistance:			
0001	General Fund	\$29,812	\$29,812	\$29,812
0932	Trial Court Trust Fund	-15,038	-	-
	Totals, Local Assistance	\$14,774	\$29,812	\$29,812
	SUBPROGRAM REQUIREMENTS			
0150037	Court Interpreters			
	Local Assistance:			
0001	General Fund	\$120,686	\$131,381	\$132,146
0932	Trial Court Trust Fund	13,542	-	-
	Totals, Local Assistance	\$134,228	\$131,381	\$132,146
	SUBPROGRAM REQUIREMENTS			
0150051	Child Support Commissioner Program (AB 1058)			

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0250 Judicial Branch - Continued

		2019-20*	2020-21*	2021-22*
	Local Assistance:			
0995	Reimbursements	53,138	54,332	59,082
	Totals, Local Assistance	\$53,138	\$54,332	\$59,082
	SUBPROGRAM REQUIREMENTS			
0150055	California Collaborative and Drug Court Projects			
	Local Assistance:			
0001	General Fund	\$1,160	\$1,160	\$1,160
0995	Reimbursements	1,100	4,588	4,588
	Totals, Local Assistance	\$2,260	\$5,748	\$5,748
	SUBPROGRAM REQUIREMENTS			
0150059	Federal Child Access and Visitation Grant Program			
	Local Assistance:			
0890	Federal Trust Fund	\$660	\$800	\$800
	Totals, Local Assistance	\$660	\$800	\$800
	SUBPROGRAM REQUIREMENTS			
0150063	Federal Court Improvement Grant Program			
	Local Assistance:			
0890	Federal Trust Fund	\$84	\$700	\$700
	Totals, Local Assistance	\$84	\$700	\$700
	SUBPROGRAM REQUIREMENTS			
0150067	Court Appointed Special Advocate (CASA) Program			
	Local Assistance:			
0001	General Fund	\$2,713	\$2,713	\$2,913
	Totals, Local Assistance	\$2,713	\$2,713	\$2,913
	SUBPROGRAM REQUIREMENTS			
0150071	Model Self-Help Program			
	Local Assistance:			
0001	General Fund	\$957	\$957	\$957
	Totals, Local Assistance	\$957	\$957	\$957
	SUBPROGRAM REQUIREMENTS			
0150075	Grants-Other			
	Local Assistance:			
0001	General Fund	\$-	\$7,000	\$16,500
0995	Reimbursements	1,555	1,980	1,995
	Totals, Local Assistance	\$1,555	\$8,980	\$18,495
	SUBPROGRAM REQUIREMENTS			
0150079	Federal Grants-Other			
	Local Assistance:			
0890	Federal Trust Fund	\$-	\$775	\$775
	Totals, Local Assistance	\$-	\$775	\$775
	SUBPROGRAM REQUIREMENTS			
0150083	Equal Access Fund			
	Local Assistance:			
0001	General Fund	\$42,742	\$20,392	\$70,392
0932	Trial Court Trust Fund	5,482	5,482	5,482
8071	National Mortgage Special Deposit Fund	-	29,450	-
8506	Coronavirus Fiscal Recovery Fund of 2021	-	-	40,000
	Totals, Local Assistance	\$48,224	\$55,324	\$115,874
	SUBPROGRAM REQUIREMENTS			
0150087	Family Law Information Centers			
	Local Assistance:			

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0250 Judicial Branch - Continued

		2019-20*	2020-21*	2021-22*
0001	General Fund	\$345	\$345	\$345
	Totals, Local Assistance	\$345	\$345	\$345
	SUBPROGRAM REQUIREMENTS			
0150091	Civil Case Coordination			
	Local Assistance:			
0001	General Fund	\$832	\$832	\$832
	Totals, Local Assistance	\$832	\$832	\$832
	SUBPROGRAM REQUIREMENTS			
0150095	Expenses on Behalf of the Trial Courts			
	Local Assistance:			
0932	Trial Court Trust Fund	\$9,140	\$21,929	\$21,952
	Totals, Local Assistance	\$9,140	\$21,929	\$21,952
	PROGRAM REQUIREMENTS			
0155	HABEAS CORPUS RESOURCE CENTER			
	State Operations:			
0001	General Fund	\$16,052	\$15,673	\$16,970
0890	Federal Trust Fund	40	1,026	1,026
9728	Judicial Branch Workers Compensation Fund	-12	-	-
	Totals, State Operations	\$16,080	\$16,699	\$17,996
	PROGRAM REQUIREMENTS			
0170	OFFSET FROM LOCAL PROPERTY TAX REVENUE			
	Local Assistance:			
0001	General Fund	-\$93,339	-\$102,270	-\$121,119
	Totals, Local Assistance	-\$93,339	-\$102,270	-\$121,119
	TOTALS, EXPENDITURES			
	State Operations	984,884	996,524	1,307,436
	Local Assistance	3,137,820	2,898,675	3,503,607
	Totals, Expenditures	\$4,122,704	\$3,895,199	\$4,811,043

EXPENDITURES BY CATEGORY

1 State Operations		Positions			Expenditures		
		2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
PERSONAL SERVICES							
Baseline Positions		1,714.1	1,718.2	1,718.2	\$166,175	\$170,668	\$170,668
Other Adjustments		-4.1	-	13.0	-1,731	59,763	61,147
Net Totals, Salaries and Wages		1,710.0	1,718.2	1,731.2	\$206,436	\$225,573	\$226,957
Staff Benefits		-	-	-	99,945	98,086	98,606
Totals, Personal Services		1,710.0	1,718.2	1,731.2	\$306,381	\$323,659	\$325,563
OPERATING EXPENSES AND EQUIPMENT					\$635,657	\$667,613	\$981,873
SPECIAL ITEMS OF EXPENSES					42,846	5,252	-
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)					\$984,884	\$996,524	\$1,307,436
2 Local Assistance		Expenditures					
		2019-20*	2020-21*	2021-22*			
Dental Insurance		\$-	\$1	\$1			
Earnings - Exempt/Statutory Employees		4,858	51,708	51,708			
Goods - Other		-	-	-1			

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0250 Judicial Branch - Continued

2 Local Assistance	Expenditures		
	2019-20*	2020-21*	2021-22*
Grants and Subventions - Governmental	3,166,618	2,835,918	3,520,525
Health Insurance	-	472	472
Other Items of Expense - Miscellaneous	-	1,604	-17,245
Other Special Items of Expense	-48,395	93,130	51,031
Printing - Other	-	-	1
Staff Benefits - Other	-	974	974
Unallocated Operating Expense and Equipment	-	-103,860	-103,860
Workers' Compensation	14,739	18,728	1
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$3,137,820	\$2,898,675	\$3,503,607

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2019-20*	2020-21*	2021-22*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$443,939	\$452,782	\$716,877
Allocation for Staff Benefits	-	-77	-
Section 3.60 Pension Contribution Adjustment	-	-2,964	-
003 Budget Act appropriation	4,387	4,376	10,672
Lease Revenue Debt Service Adjustments	-	3	-
Section 4.30 Lease Revenue Debt Service Payment Adjustment	-	41	-
011 Budget Act appropriation (transfer to Judicial Branch Workers' Compensation Fund)	889	1	1
012 Budget Act appropriation (transfer to Court Facilities Trust Fund)	34,203	34,203	84,876
Chapter 36, Statutes of 2019	490	-	-
Carryover	-	5,024	-
State operations expenditure from local assistance appropriation (Item 0250-101-0001)	1,663	-	-
Carryover	-	5,252	-
Prior Year Balances Available:			
Item 0250-001-0001, Budget Act of 2016	484	235	-
Item 0250-001-0001, Budget Act of 2018	35,601	8,253	-
Item 0250-001-0001, Budget Act of 2019	-	17,043	-
Totals Available	\$521,656	\$524,172	\$812,426
TOTALS, EXPENDITURES	\$521,656	\$524,172	\$812,426
0044 Motor Vehicle Account, State Transportation Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$202	\$225	\$225
Totals Available	\$202	\$225	\$225
TOTALS, EXPENDITURES	\$202	\$225	\$225
0159 State Trial Court Improvement and Modernization Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$4,539	\$6,137	\$6,078
Allocation for Staff Benefits	-	-1	-
Section 3.60 Pension Contribution Adjustment	-	-58	-
Totals Available	\$4,539	\$6,078	\$6,078
TOTALS, EXPENDITURES	\$4,539	\$6,078	\$6,078
0327 Court Interpreters Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$156	\$156	\$156
TOTALS, EXPENDITURES	\$156	\$156	\$156

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0250 Judicial Branch - Continued

1 STATE OPERATIONS	2019-20*	2020-21*	2021-22*
0587 Family Law Trust Fund			
APPROPRIATIONS			
011 Budget Act appropriation (loan to the General Fund)	(-)	(\$8,000)	(-)
Family Code section 1852	1,307	1,706	1,706
Totals Available	\$1,307	\$1,706	\$1,706
TOTALS, EXPENDITURES	\$1,307	\$1,706	\$1,706
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,189	\$4,441	\$4,405
Allocation for Staff Benefits	-	-1	-
Section 3.60 Pension Contribution Adjustment	-	-31	-
Totals Available	\$2,189	\$4,409	\$4,405
TOTALS, EXPENDITURES	\$2,189	\$4,409	\$4,405
0932 Trial Court Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$3,346	\$4,678	\$4,630
Allocation for Staff Benefits	-	-1	-
Section 3.60 Pension Contribution Adjustment	-	-24	-
Totals Available	\$3,346	\$4,653	\$4,630
TOTALS, EXPENDITURES	\$3,346	\$4,653	\$4,630
0942 Special Deposit Fund			
APPROPRIATIONS			
Government Code section 16370	\$43	-	-
TOTALS, EXPENDITURES	\$43	-	-
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$27,309	\$28,386	\$28,696
TOTALS, EXPENDITURES	\$27,309	\$28,386	\$28,696
3037 State Court Facilities Construction Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$77,119	\$81,869	\$106,570
Allocation for Staff Benefits	-	-8	-
Section 3.60 Pension Contribution Adjustment	-	-285	-
002 Budget Act appropriation	-	-	55,502
003 Budget Act appropriation	79,436	79,568	173,495
Lease Revenue Debt Service Adjustments	-	-11,087	-
Totals Available	\$156,555	\$150,057	\$335,567
TOTALS, EXPENDITURES	\$156,555	\$150,057	\$335,567
3060 Appellate Court Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,181	\$7,349	\$7,341
Section 3.60 Pension Contribution Adjustment	-	-8	-
011 Budget Act appropriation (loan to the General Fund)	(-)	(5,000)	(-)
Totals Available	\$1,181	\$7,341	\$7,341
TOTALS, EXPENDITURES	\$1,181	\$7,341	\$7,341
3066 Court Facilities Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$125,311	\$138,233	\$188,906
CFTF Expenditure Authority Increase	-	5,000	-
014 Budget Act appropriation	(4,103)	(4,103)	(4,103)
Totals Available	\$125,311	\$143,233	\$188,906

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0250 Judicial Branch - Continued

1 STATE OPERATIONS	2019-20*	2020-21*	2021-22*
TOTALS, EXPENDITURES	\$125,311	\$143,233	\$188,906
Less funding provided by General Fund	-34,203	-34,203	-84,876
NET TOTALS, EXPENDITURES	\$91,108	\$109,030	\$104,030
3085 Mental Health Services Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,159	\$1,183	\$1,174
Section 3.60 Pension Contribution Adjustment	-	-9	-
Totals Available	\$1,159	\$1,174	\$1,174
TOTALS, EXPENDITURES	\$1,159	\$1,174	\$1,174
3138 Immediate and Critical Needs Account, State Court Facilities Construction Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$24,826	\$25,000	-
Unanticipated Costs for Air Scrubbers	-	2,500	-
002 Budget Act appropriation	54,609	55,392	-
003 Budget Act appropriation	94,365	96,297	-
Lease Revenue Debt Service Adjustments	-	-22,604	-
011 Budget Act appropriation (transfer to the State Court Facilities Construction Fund)	-	-	(232,081)
Totals Available	\$173,800	\$156,585	-
TOTALS, EXPENDITURES	\$173,800	\$156,585	-
8059 State Community Corrections Performance Incentive Fund			
APPROPRIATIONS			
Penal Code section 1233.6	\$768	\$1,000	\$1,000
Totals Available	\$768	\$1,000	\$1,000
TOTALS, EXPENDITURES	\$768	\$1,000	\$1,000
8071 National Mortgage Special Deposit Fund			
APPROPRIATIONS			
Legal Aid Resources (AB 83)	-	\$1,550	-
TOTALS, EXPENDITURES	-	\$1,550	-
9728 Judicial Branch Workers Compensation Fund			
APPROPRIATIONS			
Government Code section 68114.10	\$455	\$3	\$3
TOTALS, EXPENDITURES	\$455	\$3	\$3
Less funding provided by General Fund	-889	-1	-1
NET TOTALS, EXPENDITURES	-\$434	\$2	\$2
Total Expenditures, All Funds, (State Operations)	\$984,884	\$996,524	\$1,307,436

2 LOCAL ASSISTANCE	2019-20*	2020-21*	2021-22*
0001 General Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$118,357	\$35,786	\$236,603
102 Budget Act appropriation	68,819	71,502	71,502
103 Budget Act appropriation	-	-	7,901
111 Budget Act appropriation (transfer to Trial Court Trust Fund)	1,324,570	1,180,471	1,459,011
Tenant, Homeowner, and Small Landlord Relief and Stabilization Act of 2020 (AB 3088)	-	11,700	-
Withdraw - Tenant Homeowner and Small Landlord Relief and Stabilization Act of 2020 (AB 3088)	-	-11,700	-
112 Budget Act appropriation (transfer to State Trial Court Improvement and Modernization Fund)	69,501	42,788	47,272
113 Budget Act appropriation (transfer to Trial Court Trust Fund)	41,779	273,761	118,288
Trial Court Trust Fund Backfill Adjustment	-	-27,500	-

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0250 Judicial Branch - Continued

2 LOCAL ASSISTANCE	2019-20*	2020-21*	2021-22*
114 Budget Act appropriation (transfer to Trial Court Trust Fund)	156,700	156,700	196,700
Prior Year Balances Available:			
Item 0250-101-0001, Budget Act of 2019	-	2,181	-
Totals Available	\$1,779,726	\$1,735,689	\$2,137,277
TOTALS, EXPENDITURES	\$1,779,726	\$1,735,689	\$2,137,277
Offset from local property tax revenue per Control Section 15.45	-93,339	-102,270	-121,119
NET TOTALS, EXPENDITURES	\$1,686,387	\$1,633,419	\$2,016,158
0159 State Trial Court Improvement and Modernization Fund			
APPROPRIATIONS			
102 Budget Act appropriation	\$70,317	\$56,815	\$56,299
Current Year Expenditure Adjustment	-	-4,500	-
Special Fund Adjustments	-	-11,000	-
111 Budget Act appropriation (transfer to Trial Court Trust Fund)	(594)	(594)	(594)
Prior Year Balances Available:			
Item 0250-102-0159, Budget Act of 2019	-	853	-
Totals Available	\$70,317	\$42,168	\$56,299
TOTALS, EXPENDITURES	\$70,317	\$42,168	\$56,299
Less funding provided by General Fund	-69,501	-42,788	-47,272
NET TOTALS, EXPENDITURES	\$816	-\$620	\$9,027
0890 Federal Trust Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$744	\$2,275	\$2,275
Totals Available	\$744	\$2,275	\$2,275
TOTALS, EXPENDITURES	\$744	\$2,275	\$2,275
0932 Trial Court Trust Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$2,644,462	\$2,620,207	\$2,870,770
Current Year Expenditure Adjustment	-	-52,000	-
Special Fund Adjustments	-	-75,000	-
Tenant, Homeowner, and Small Landlord Relief and Stabilization Act of 2020 (AB 3088)	-	11,700	-
Trial Court Workers' Compensation Adjustment	-	-18,727	-
Withdraw - Tenant Homeowner and Small Landlord Relief and Stabilization Act of 2020 (AB 3088)	-	-11,700	-
102 Budget Act appropriation	156,700	156,700	166,700
115 Budget Act appropriation (transfer to Judicial Branch Workers Compensation Fund)	18,667	1	1
Trial Court Workers' Compensation Adjustment	-	18,727	-
Prior Year Balances Available:			
Item 0250-101-0932, Budget Act of 2016	-	-235	-
Item 0250-101-0932, Budget Act of 2016 as amended by Chapter 318, Statutes of 2016	2,017	-	-
Totals Available	\$2,821,846	\$2,649,673	\$3,037,471
TOTALS, EXPENDITURES	\$2,821,846	\$2,649,673	\$3,037,471
Less funding provided by General Fund	-1,523,049	-1,583,432	-1,773,999
NET TOTALS, EXPENDITURES	\$1,298,797	\$1,066,241	\$1,263,472
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$105,004	\$117,910	\$122,675
TOTALS, EXPENDITURES	\$105,004	\$117,910	\$122,675
3037 State Court Facilities Construction Fund			
APPROPRIATIONS			
101 Budget Act appropriation	-	-	\$50,000

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0250 Judicial Branch - Continued

2 LOCAL ASSISTANCE	2019-20*	2020-21*	2021-22*
111 Budget Act appropriation (transfer to Trial Court Trust Fund)	(5,486)	(5,486)	(5,486)
TOTALS, EXPENDITURES	-	-	\$50,000
3138 Immediate and Critical Needs Account, State Court Facilities Construction Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$50,000	\$50,000	-
TOTALS, EXPENDITURES	\$50,000	\$50,000	-
8071 National Mortgage Special Deposit Fund			
APPROPRIATIONS			
Legal Aid Resources (AB 83)	-	\$29,450	-
TOTALS, EXPENDITURES	-	\$29,450	-
8506 Coronavirus Fiscal Recovery Fund of 2021			
APPROPRIATIONS			
162 Budget Act appropriation	-	-	\$40,000
TOTALS, EXPENDITURES	-	-	\$40,000
9728 Judicial Branch Workers Compensation Fund			
APPROPRIATIONS			
Government Code section 68114.10	\$14,739	\$1	\$1
Trial Court Workers' Compensation Adjustment	-	18,727	-
Totals Available	\$14,739	\$18,728	\$1
TOTALS, EXPENDITURES	\$14,739	\$18,728	\$1
Less funding provided by Trial Court Trust Fund	-18,667	-18,728	-1
NET TOTALS, EXPENDITURES	-\$3,928	-	-
Total Expenditures, All Funds, (Local Assistance)	\$3,137,820	\$2,898,675	\$3,503,607
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$4,122,704	\$3,895,199	\$4,811,043

FUND CONDITION STATEMENTS

	2019-20*	2020-21*	2021-22*
0159 State Trial Court Improvement and Modernization Fund ^S			
BEGINNING BALANCE	\$15,864	\$21,152	\$17,768
Prior Year Adjustments	5,087	-	-
Adjusted Beginning Balance	\$20,951	\$21,152	\$17,768
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4140000 Document Sales	693	621	689
4163000 Investment Income - Surplus Money Investments	1,504	527	527
4171400 Escheat - Unclaimed Checks, Warrants, Bonds, and Coupons	-	7	1
4172000 Fines and Forfeitures	16,338	12,024	10,885
4172200 Fine and Penalties - Horse Racing	-	2,300	-
4172500 Miscellaneous Revenue	60	70	2
4173500 Settlements and Judgments - Other	1,058	805	-
Transfers and Other Adjustments			
Revenue Transfer from the State Trial Court Improvement and Modernization Fund (0159) to the Trial Court Trust Fund (0932) per Government Code Section 77209(j)	-13,397	-13,397	-13,397
Revenue Transfer from the State Trial Court Improvement and Modernization Fund (0159) to the Trial Court Trust Fund (0932) per Item 0250-111-0159, Various Budget Acts	-594	-594	-594
Total Revenues, Transfers, and Other Adjustments	\$5,662	\$2,363	-\$1,887
Total Resources	\$26,613	\$23,515	\$15,881
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			

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0250 Judicial Branch - Continued

	2019-20*	2020-21*	2021-22*
0250 Judicial Branch (State Operations)	4,539	6,078	6,078
0250 Judicial Branch (Local Assistance)	70,317	42,168	56,299
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	106	289	308
Less funding provided by General Fund (Local Assistance)	-69,501	-42,788	-47,272
Total Expenditures and Expenditure Adjustments	\$5,461	\$5,747	\$15,413
FUND BALANCE	\$21,152	\$17,768	\$468
Reserve for economic uncertainties	21,152	17,768	468
0327 Court Interpreters Fund^s			
BEGINNING BALANCE	\$841	\$1,226	\$1,273
Prior Year Adjustments	401	-	-
Adjusted Beginning Balance	\$1,242	\$1,226	\$1,273
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129400 Other Regulatory Licenses and Permits	180	202	185
4172500 Miscellaneous Revenue	18	13	6
Total Revenues, Transfers, and Other Adjustments	\$198	\$215	\$191
Total Resources	\$1,440	\$1,441	\$1,464
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0250 Judicial Branch (State Operations)	156	156	156
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	58	12	-
Total Expenditures and Expenditure Adjustments	\$214	\$168	\$156
FUND BALANCE	\$1,226	\$1,273	\$1,308
Reserve for economic uncertainties	1,226	1,273	1,308
0587 Family Law Trust Fund^s			
BEGINNING BALANCE	\$8,805	\$10,219	\$2,331
Prior Year Adjustments	325	-	-
Adjusted Beginning Balance	\$9,130	\$10,219	\$2,331
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4163000 Investment Income - Surplus Money Investments	174	57	57
4172500 Miscellaneous Revenue	2,294	1,883	1,911
4173500 Settlements and Judgments - Other	-	1	-
Transfers and Other Adjustments			
Loan from the Family Law Trust Fund (0587) to the General Fund (0001) per Item 0250-011-0587, Budget Act of 2020	-	-8,000	-
Total Revenues, Transfers, and Other Adjustments	\$2,468	-\$6,059	\$1,968
Total Resources	\$11,598	\$4,160	\$4,299
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0250 Judicial Branch (State Operations)	1,307	1,706	1,706
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	72	123	88
Total Expenditures and Expenditure Adjustments	\$1,379	\$1,829	\$1,794
FUND BALANCE	\$10,219	\$2,331	\$2,505
Reserve for economic uncertainties	10,219	2,331	2,505
0932 Trial Court Trust Fund^s			
BEGINNING BALANCE	\$71,630	\$84,663	\$85,972
Prior Year Adjustments	-17,503	-	-
Adjusted Beginning Balance	\$54,127	\$84,663	\$85,972
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4135000 Local Agencies - Miscellaneous Revenue	498,600	498,600	498,600

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0250 Judicial Branch - Continued

	2019-20*	2020-21*	2021-22*
4163000 Investment Income - Surplus Money Investments	3,243	1,517	1,517
4170700 Civil and Criminal Violation Assessment	95,348	72,590	83,875
4171200 Court Filing Fees and Surcharges	430,476	402,866	431,698
4171400 Escheat - Unclaimed Checks, Warrants, Bonds, and Coupons	166	13	-
4172000 Fines and Forfeitures	162,769	161,457	161,377
4172500 Miscellaneous Revenue	162	500	842
4173000 Penalty Assessments - Other	21,715	16,796	19,611
4173500 Settlements and Judgments - Other	1,398	9	-
Transfers and Other Adjustments			
Short Term Cash Flow Loan From the Immediate and Critical Needs Account (3138) to the Trial Court Trust Fund (0932) per Government Code 68502.60	100,000	-	-
Loan Repayment from the Trial Court Trust Fund (0932) to the General Fund (0001) per Item 0250-013-0001, BAs of 2017 and 2018	-	-1,162	-
Revenue Transfer from Trial Court Trust Fund (0932) to General Fund (0001) per item 0250-101-0932 of Budget Act 2016. EO E19/20-85	-359	-	-
Revenue Transfer from the State Court Facilities Construction Fund (3037) to the Trial Court Trust Fund (0932) per Item 0250-111-3037, Various Budget Acts	5,486	5,486	5,486
Revenue Transfer from the State Trial Court Improvement and Modernization Fund (0159) to the Trial Court Trust Fund (0932) per Government Code Section 77209(j)	13,397	13,397	13,397
Revenue Transfer from the State Trial Court Improvement and Modernization Fund (0159) to the Trial Court Trust Fund (0932) per Item 0250-111-0159, Various Budget Acts	594	594	594
Short Term Cash Flow Loan Repayment from the Trial Court Trust Fund (0932) to the Immediate and Critical Needs Account (3138) per Government Code 68502.60	-	-100,000	-
Total Revenues, Transfers, and Other Adjustments	\$1,332,995	\$1,072,663	\$1,216,997
Total Resources	\$1,387,122	\$1,157,326	\$1,302,969
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0250 Judicial Branch (State Operations)	3,346	4,653	4,630
0250 Judicial Branch (Local Assistance)	2,821,846	2,649,673	3,037,471
0840 State Controller (State Operations)	174	174	174
9892 Supplemental Pension Payments (State Operations)	76	76	76
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	66	210	210
Less funding provided by General Fund (Local Assistance)	-156,700	-156,700	-196,700
Less funding provided by General Fund (Local Assistance)	-1,324,570	-1,180,471	-1,459,011
Less funding provided by General Fund (Local Assistance)	-41,779	-246,261	-118,288
Total Expenditures and Expenditure Adjustments	\$1,302,459	\$1,071,354	\$1,268,562
FUND BALANCE	\$84,663	\$85,972	\$34,407
Reserve for economic uncertainties	84,663	85,972	34,407
3037 State Court Facilities Construction Fund^s			
BEGINNING BALANCE	\$271,211	\$199,040	\$88,004
Prior Year Adjustments	-897	-	-
Adjusted Beginning Balance	\$270,314	\$199,040	\$88,004
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4135000 Local Agencies - Miscellaneous Revenue	19	12	16
4152500 Rental of State Property	1	1	26,840
4163000 Investment Income - Surplus Money Investments	4,424	1,591	1,810
4170400 Capital Asset Sales Proceeds	7,545	-	-
4171200 Court Filing Fees and Surcharges	22,803	22,638	51,431
4171400 Escheat - Unclaimed Checks, Warrants, Bonds, and Coupons	4	4	-
4172500 Miscellaneous Revenue	7,457	1,393	11,931
4172900 Penalty Assessments - Criminal Fines	42,155	30,363	115,448
4173000 Penalty Assessments - Other	10,873	7,594	25,412

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0250 Judicial Branch - Continued

	2019-20*	2020-21*	2021-22*
4173800 Traffic Violations	-	-	13,737
Transfers and Other Adjustments			
Revenue Transfer from the State Court Facilities Construction Fund (3037) to the Immediate and Critical Needs Account (3138) per Item 0250-311-3037, Budget Act of 2020	-	-10,969	-
Revenue Transfer from the State Court Facilities Construction Fund (3037) to the Trial Court Trust Fund (0932) per Item 0250-111-3037, Various Budget Acts	-5,486	-5,486	-5,486
Revenue Transfer from the Court Facilities Trust Fund (3066) to the State Court Facilities Construction Fund (3037), per Item 0250-014-3066, Pending Budget Act of 2021	-	-	4,103
Revenue Transfer from the Immediate and Critical Needs Account (3138) to the State Court Facilities Construction Fund (3037), per Item 0250-011-3138, Pending Budget Act of 2021	-	-	232,081
Revenue Transfer from the Immediate and Critical Needs Account (3138) to the State Court Facilities Construction Fund (3037), per Item 0250-011-3138, Pending Budget Act of 2021	-	-	-5,186
Revenue transfer from Court Facilities Trust Fund (3066) to State Court Facilities Construction Fund (3037) per item 0250-014-3066	-	-	-22
Total Revenues, Transfers, and Other Adjustments	\$89,795	\$47,141	\$472,115
Total Resources	\$360,109	\$246,181	\$560,119
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0250 Judicial Branch (State Operations)	156,555	150,057	335,567
0250 Judicial Branch (Local Assistance)	-	-	50,000
8880 Financial Information System for California (State Operations)	-2	-	-
9892 Supplemental Pension Payments (State Operations)	782	782	782
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	3,734	7,338	5,547
Total Expenditures and Expenditure Adjustments	\$161,069	\$158,177	\$391,896
FUND BALANCE	\$199,040	\$88,004	\$168,223
Reserve for economic uncertainties	199,040	88,004	168,223
3060 Appellate Court Trust Fund^s			
BEGINNING BALANCE	\$18,016	\$26,407	\$18,534
Prior Year Adjustments	4,074	-	-
Adjusted Beginning Balance	\$22,090	\$26,407	\$18,534
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4163000 Investment Income - Surplus Money Investments	428	132	95
4171200 Court Filing Fees and Surcharges	5,476	4,930	5,220
4172500 Miscellaneous Revenue	2	-	-
Transfers and Other Adjustments			
Loan from the Appellate Court Trust Fund (3060) to the General Fund (0001) per Item 0250-011-3060, Budget Act of 2020	-	-5,000	-
Total Revenues, Transfers, and Other Adjustments	\$5,906	\$62	\$5,315
Total Resources	\$27,996	\$26,469	\$23,849
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0250 Judicial Branch (State Operations)	1,181	7,341	7,341
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	408	594	372
Total Expenditures and Expenditure Adjustments	\$1,589	\$7,935	\$7,713
FUND BALANCE	\$26,407	\$18,534	\$16,136
Reserve for economic uncertainties	26,407	18,534	16,136
3066 Court Facilities Trust Fund^s			
BEGINNING BALANCE	\$11,560	\$17,780	\$6,314
Prior Year Adjustments	-428	-	-
Adjusted Beginning Balance	\$11,132	\$17,780	\$6,314
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			

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0250 Judicial Branch - Continued

	2019-20*	2020-21*	2021-22*
Revenues:			
4135000 Local Agencies - Miscellaneous Revenue	96,370	97,541	97,671
4152500 Rental of State Property	4,659	3,314	4,266
4163000 Investment Income - Surplus Money Investments	732	290	160
4171400 Escheat - Unclaimed Checks, Warrants, Bonds, and Coupons	60	-	-
4172500 Miscellaneous Revenue	38	500	-
Transfers and Other Adjustments			
Revenue Transfer from the Court Facilities Trust Fund (3066) to the Immediate and Critical Needs Account (3138) per Item 0250-014-3066, Various Budget Acts	-4,103	-4,103	-
Revenue Transfer from the Court Facilities Trust Fund (3066) to the State Court Facilities Construction Fund (3037), per Item 0250-014-3066, Pending Budget Act of 2021	-	-	-4,103
Revenue transfer from Court Facilities Trust Fund (3066) to Immediate and Critical Needs Account (3138) per item 0250-014-3138	-	22	-
Revenue transfer from Court Facilities Trust Fund (3066) to State Court Facilities Construction Fund (3037) per item 0250-014-3066	-	-	22
Total Revenues, Transfers, and Other Adjustments	\$97,756	\$97,564	\$98,016
Total Resources	\$108,888	\$115,344	\$104,330
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0250 Judicial Branch (State Operations)	125,311	143,233	188,906
Less funding provided by General Fund (State Operations)	-34,203	-34,203	-84,876
Total Expenditures and Expenditure Adjustments	\$91,108	\$109,030	\$104,030
FUND BALANCE	\$17,780	\$6,314	\$300
Reserve for economic uncertainties	17,780	6,314	300
3138 Immediate and Critical Needs Account, State Court Facilities Construction Fund^s			
BEGINNING BALANCE	\$215,779	\$176,015	\$224,395
Prior Year Adjustments	-3,799	-	-
Adjusted Beginning Balance	\$211,980	\$176,015	\$224,395
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4150500 Interest Income - Interfund Loans	2,541	-	-
4152500 Rental of State Property	895	6	-
4163000 Investment Income - Surplus Money Investments	4,629	1,250	-
4171200 Court Filing Fees and Surcharges	25,348	25,750	-
4172500 Miscellaneous Revenue	18,702	8,802	-
4172900 Penalty Assessments - Criminal Fines	106,024	76,831	-
4173000 Penalty Assessments - Other	21,753	15,390	-
4173800 Traffic Violations	17,322	11,886	-
Transfers and Other Adjustments			
Loan Repayment from the General Fund (0001) to the Immediate and Critical Needs Account (3138) per Item 0250-011-3138, Budget Act of 2011	90,000	-	-
Revenue Transfer from the Immediate and Critical Needs Account (3138) to the State Court Facilities Construction Fund (3037), per Item 0250-011-3138, Pending Budget Act of 2021	-	-	-232,081
Revenue Transfer from the Immediate and Critical Needs Account (3138) to the State Court Facilities Construction Fund (3037), per Item 0250-011-3138, Pending Budget Act of 2021	-	-	5,186
Revenue Transfer from the Court Facilities Trust Fund (3066) to the Immediate and Critical Needs Account (3138) per Item 0250-014-3066, Various Budget Acts	4,103	4,103	-
Revenue Transfer from the State Court Facilities Construction Fund (3037) to the Immediate and Critical Needs Account (3138) per Item 0250-311-3037, Budget Act of 2020	-	10,969	-
Revenue transfer from Court Facilities Trust Fund (3066) to Immediate and Critical Needs Account (3138) per item 0250-014-3138	-	-22	-

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0250 Judicial Branch - Continued

	2019-20*	2020-21*	2021-22*
Short Term Cash Flow Loan From the Immediate and Critical Needs Account (3138) to the Trial Court Trust Fund (0932) per Government Code 68502.60	-100,000	-	-
Short Term Cash Flow Loan Repayment from the Trial Court Trust Fund (0932) to the Immediate and Critical Needs Account (3138) per Government Code 68502.60	-	100,000	-
Total Revenues, Transfers, and Other Adjustments	\$191,317	\$254,965	-\$226,895
Total Resources	\$403,297	\$430,980	-\$2,500
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0250 Judicial Branch (State Operations)	173,800	156,585	-
0250 Judicial Branch (Local Assistance)	50,000	50,000	-
0250 Judicial Branch (Capital Outlay)	2,966	-	-
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	516	-	-
Total Expenditures and Expenditure Adjustments	\$227,282	\$206,585	-
FUND BALANCE	\$176,015	\$224,395	-\$2,500
Reserve for economic uncertainties	176,015	224,395	-2,500

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
Baseline Positions	1,714.1	1,718.2	1,718.2	\$166,175	\$170,668	\$170,668
Salary and Other Adjustments	-4.1	-	-	-1,731	59,763	59,763
Workload and Administrative Adjustments						
Ability to Pay Expansion						
Various	-	-	8.0	-	-	884
Trial Courts and Courts of Appeal Facilities, Maintenance, and Leases						
Various	-	-	5.0	-	-	500
Various Adjustments						
Various	-	-	-	-	-	-4,858
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	13.0	\$-	\$-	-\$3,474
Totals, Adjustments	-4.1	-	13.0	\$40,261	\$54,905	\$56,289
TOTALS, SALARIES AND WAGES	1,710.0	1,718.2	1,731.2	\$206,436	\$225,573	\$226,957

INFRASTRUCTURE OVERVIEW

The Judicial Branch consists of the Supreme Court, courts of appeal, trial courts, and the Judicial Council. The Supreme Court is located in the 103,300 square foot (sf) Civic Center Plaza in San Francisco and the 7,600 sf Ronald Reagan State Building in Los Angeles. The courts of appeal are organized into six districts, operate in nine different locations, and consist of approximately 508,000 sf. The trial courts are located in 58 counties statewide, in approximately 450 facilities, and 2,100 courtrooms of approximately 16 million sf of usable area and more than 21 million sf of space under Judicial Council responsibility and management. The facilities of the Supreme Court, courts of appeal, and trial courts encompass not only the public courtroom spaces but also the chambers and workspaces where judicial officers and courtroom staff prepare for proceedings; secure areas, including holding cells; and building support functions. Judicial Council administrative facilities, occupying approximately 250,000 sf, are located in San Francisco and Sacramento.

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0250 Judicial Branch - Continued**SUMMARY OF PROJECTS**

		State Building Program Expenditures	2019-20*	2020-21*	2021-22*
0165		CAPITAL OUTLAY Projects			
0000078	Glenn County: Renovation and Addition to Willows Courthouse		38,292	11,813	-
	Construction		38,292	11,813	-
0000079	Imperial County: New El Centro Courthouse		17,152	-	-
	Construction		17,152	-	-
0000084	Lake County: New Lakeport Courthouse		-	-	68,123
	Performance Criteria		-	-	1,626
	Design Build		-	-	66,497
0000092	Mendocino County: New Ukiah Courthouse		-	-	3,334
	Performance Criteria		-	-	3,334
0000096	Nevada County: New Nevada City Courthouse		-	-	972
	Study		-	-	972
0000101	Riverside County: New Indio Juvenile and Family Courthouse		1,366	19,764	-
	Working Drawings		1,366	-	-
	Construction		-	19,764	-
0000102	Riverside County: New Mid-County Civil Courthouse		-	-	75,792
	Construction		-	-	75,792
0000103	Sacramento County: New Sacramento Courthouse		1,600	473,536	-
	Working Drawings		1,600	-	-
	Construction		-	473,536	-
0000112	Siskiyou County: New Yreka Courthouse		-	3,552	-
	Construction		-	3,552	-
0000114	Sonoma County: New Santa Rosa Criminal Courthouse		-	179,183	-
	Construction		-	179,183	-
0000115	Stanislaus County: New Modesto Courthouse		-	-	250,486
	Construction		-	-	250,486
0000983	Los Angeles County: Los Angeles Master Plan		-	-	2,347
	Study		-	-	2,347
0008984	Butte County: Juvenile Hall Addition and Renovation		-	-	604
	Preliminary Plans		-	-	276
	Working Drawings		-	-	328
0008985	Monterey County: New Fort Ord Courthouse		-	-	38,720
	Acquisition		-	-	35,619
	Performance Criteria		-	-	3,101
0008986	San Bernardino County: Juvenile Dependency Courthouse Addition and Renovation		-	-	901
	Acquisition		-	-	422
	Preliminary Plans		-	-	479
TOTALS, EXPENDITURES, ALL PROJECTS			\$58,410	\$687,848	\$441,279
FUNDING			2019-20*	2020-21*	2021-22*
0001	General Fund		\$-	\$-	\$48,504
0660	Public Buildings Construction Fund		55,444	687,848	392,775
3138	Immediate and Critical Needs Account, State Court Facilities Construction Fund		2,966	-	-
TOTALS, EXPENDITURES, ALL FUNDS			\$58,410	\$687,848	\$441,279

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0250 Judicial Branch - Continued

3 CAPITAL OUTLAY	2019-20*	2020-21*	2021-22*
0001 General Fund			
APPROPRIATIONS			
301 Budget Act appropriation	-	-	\$48,504
TOTALS, EXPENDITURES	-	-	\$48,504
0660 Public Buildings Construction Fund			
APPROPRIATIONS			
301 Budget Act appropriation	\$17,152	\$13,243	\$66,497
0000078 - Glenn County: Renovation and Addition to Willows Courthouse (SB 115) - C	-	11,813	-
0000115 - Stanislaus County: New Modesto Courthouse Reappropriation - COBCP/ Reappropriation - C	-	-13,243	-
Prior Year Balances Available:			
Item 0250-301-0660, Budget Act of 2018	38,292	656,271	-
Item 0250-301-0660, Budget Act of 2018 as reappropriated by Item 0250-490, Budget Act of 2021	-	-	313,035
Item 0250-301-0660, Budget Act of 2019	-	19,764	-
Item 0250-301-0660, Budget Act of 2020	-	-	13,243
TOTALS, EXPENDITURES	\$55,444	\$687,848	\$392,775
0668 Public Buildings Construction Fund Subaccount			
TOTALS, EXPENDITURES	-	-	-
3037 State Court Facilities Construction Fund			
APPROPRIATIONS			
311 Budget Act appropriation (transfer to Immediate and Critical Needs Account, State Courts Facilities Construction Fund)	(-)	(\$10,969)	(-)
TOTALS, EXPENDITURES	-	-	-
3138 Immediate and Critical Needs Account, State Court Facilities Construction Fund			
APPROPRIATIONS			
301 Budget Act appropriation	\$1,366	-	-
Prior Year Balances Available:			
Item 0250-302-3138, Budget Act of 2014	1,600	-	-
TOTALS, EXPENDITURES	\$2,966	-	-
Total Expenditures, All Funds, (Capital Outlay)	\$58,410	\$687,848	\$441,279

0280 Commission on Judicial Performance

The California Commission on Judicial Performance is the independent state agency responsible for investigating complaints of judicial misconduct and judicial incapacity, and for disciplining judges pursuant to Article VI, Section 18 of the California Constitution. It is the only body with such authority in the state. Its jurisdiction includes all active judges and justices of California's superior courts, Courts of Appeal and Supreme Court, and former judges for conduct prior to retirement or resignation. The Commission also shares authority with the local courts for the oversight of court commissioners and referees. In addition to its disciplinary functions, the Commission is responsible for handling judges' applications for disability retirement.

The Commission's authority is limited to investigating alleged judicial misconduct and, if warranted, imposing discipline. Judicial misconduct usually involves conduct in conflict with the standards set forth in the Code of Judicial Ethics. After investigation and, in some cases a public hearing, the Commission may impose sanctions ranging from confidential discipline to removal from office.

The Commission is composed of 11 members: 3 judges appointed by the Supreme Court; 2 attorneys appointed by the Governor; and 6 lay citizens, of which 2 are appointed by the Governor, 2 are appointed by the Senate Committee on Rules, and 2 are appointed by the Speaker of the Assembly. Members are appointed to four-year terms and may serve two terms. Commission members do not receive a salary.

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0280 Commission on Judicial Performance - Continued**3-YEAR EXPENDITURES AND POSITIONS**

		Positions			Expenditures		
		2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
0180	Commission on Judicial Performance	21.7	24.2	24.2	\$5,507	\$6,828	\$6,745
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		21.7	24.2	24.2	\$5,507	\$6,828	\$6,745

		2019-20*	2020-21*	2021-22*
FUNDING				
0001	General Fund	\$5,433	\$6,750	\$6,667
0995	Reimbursements	82	79	79
9728	Judicial Branch Workers Compensation Fund	-8	-1	-1
TOTALS, EXPENDITURES, ALL FUNDS		\$5,507	\$6,828	\$6,745

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

California Constitution, Article VI, Sections 8, 18, 18.1 and 18.5; Government Code, Sections 75060 et seq. and 75560 et seq.

MAJOR PROGRAM CHANGES

- Committee to Study the Commission on Judicial Performance—The Budget includes \$200,000 one-time General Fund in 2021-22 for a committee to study and recommend changes concerning the structure and operations of the Commission on Judicial Performance.

DETAILED BUDGET ADJUSTMENTS

		2020-21*			2021-22*		
		General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments							
Workload Budget Change Proposals							
• Committee to Study Commission on Judicial Performance		\$-	\$-	-	\$200	\$-	-
Totals, Workload Budget Change Proposals		\$-	\$-	-	\$200	\$-	-
Other Workload Budget Adjustments							
• Benefit Adjustments		-2	-	-	-3	-	-
• Retirement Rate Adjustments		-57	-	-	-57	-	-
• Miscellaneous Baseline Adjustments		-	-	-	-91	-	-
Totals, Other Workload Budget Adjustments		\$-59	\$-	-	\$-151	\$-	-
Totals, Workload Budget Adjustments		\$-59	\$-	-	\$49	\$-	-
Totals, Budget Adjustments		\$-59	\$-	-	\$49	\$-	-

DETAILED EXPENDITURES BY PROGRAM

		2019-20*	2020-21*	2021-22*
PROGRAM REQUIREMENTS				
0180	COMMISSION ON JUDICIAL PERFORMANCE			
State Operations:				
0001	General Fund	\$5,433	\$6,750	\$6,667
0995	Reimbursements	82	79	79

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0280 Commission on Judicial Performance - Continued

		2019-20*	2020-21*	2021-22*
9728	Judicial Branch Workers Compensation Fund	-8	-1	-1
	Totals, State Operations	\$5,507	\$6,828	\$6,745
	TOTALS, EXPENDITURES			
	State Operations	5,507	6,828	6,745
	Totals, Expenditures	\$5,507	\$6,828	\$6,745

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
PERSONAL SERVICES						
Baseline Positions	20.2	24.2	24.2	\$2,508	\$3,093	\$3,093
Other Adjustments	1.5	-	-	229	-	-
Net Totals, Salaries and Wages	21.7	24.2	24.2	\$2,737	\$3,093	\$3,093
Staff Benefits	-	-	-	1,436	1,734	1,733
Totals, Personal Services	21.7	24.2	24.2	\$4,173	\$4,827	\$4,826
OPERATING EXPENSES AND EQUIPMENT				\$1,334	\$2,001	\$1,719
SPECIAL ITEMS OF EXPENSES				-	-	200
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$5,507	\$6,828	\$6,745

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS		2019-20*	2020-21*	2021-22*
	0001 General Fund			
APPROPRIATIONS				
001 Budget Act appropriation		\$5,425	\$6,808	\$6,666
Allocation for Staff Benefits		-	-2	-
Section 3.60 Pension Contribution Adjustment		-	-57	-
011 Budget Act appropriation (transfer to Judicial Branch Workers' Compensation Fund)		8	1	1
Totals Available		\$5,433	\$6,750	\$6,667
TOTALS, EXPENDITURES		\$5,433	\$6,750	\$6,667
	0995 Reimbursements			
APPROPRIATIONS				
Reimbursements		\$82	\$79	\$79
TOTALS, EXPENDITURES		\$82	\$79	\$79
	9728 Judicial Branch Workers Compensation Fund			
Less funding provided by General Fund		-8	-1	-1
NET TOTALS, EXPENDITURES		-\$8	-\$1	-\$1
Total Expenditures, All Funds, (State Operations)		\$5,507	\$6,828	\$6,745

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
Baseline Positions	20.2	24.2	24.2	\$2,508	\$3,093	\$3,093
Salary and Other Adjustments	1.5	-	-	229	-	-

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0280 Commission on Judicial Performance - Continued

	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
Totals, Adjustments	1.5	-	-	\$229	\$-	\$-
TOTALS, SALARIES AND WAGES	21.7	24.2	24.2	\$2,737	\$3,093	\$3,093

0390 Contributions to the Judges' Retirement System

The Judges' Retirement System provides retirement benefit funding for judges on California's Supreme, Appellate, and Superior/Municipal courts. The California Public Employees' Retirement System administers both the Judges' Retirement System and the Judges' Retirement System II on behalf of its members.

The Judges' Retirement System is closed to new members, and a second retirement system for judges was established in 1994. All new judges elected or appointed on or after November 9, 1994 become members of the Judges' Retirement System II. The Judges' Retirement System II provides retirement, disability, and death benefits based on age, years of service, final compensation, and eligibility, as determined by specific sections of the Judges' Retirement System II Law. Judges' Retirement System II members eligible for a service retirement have the option of choosing the monetary credit plan (a lump-sum return of contributions and interest earned) or the defined benefit plan. Judges' Retirement System II members not eligible for a defined benefit receive the balance of their monetary credits (in a lump-sum payment). The Judges' Retirement System II receives contributions from judges equal to 8 percent of salary as well as any investment income. The state's contributions are adjusted annually to maintain actuarial soundness of the fund.

The Judges' Retirement System provides retirement, disability, and death benefits based on age, years of service, compensation of active judges, and eligibility, as determined by specific sections of the Judges' Retirement Law. Active judges and the state each contribute 8 percent of salary to the Judges' Retirement System. Additional contributions come from filing fees for specific civil cases and investment income. These contributions, however, are not sufficient to fully fund benefit payments. Consequently, current law requires the state to fund the difference between existing contribution sources and the required benefit payments to retired judges.

Effective January 1, 2013, provisions of the California Public Employees' Pension Reform Act of 2013, Chapter 296, Statutes of 2012 (AB 340), require that new judges contribute 50 percent of the normal cost of their pension benefits.

3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
0190	State Operations	-	-	-	\$46,077	\$5,595	\$5,455
0195	Local Assistance	-	-	-	287,175	305,083	273,867
0200	Benefit Payments	-	-	-	247,553	258,986	270,203
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		-	-	-	\$580,805	\$569,664	\$549,525

		2019-20*	2020-21*	2021-22*
FUNDING				
0001	General Fund	\$333,252	\$310,678	\$279,322
0815	Judges Retirement Fund	212,884	219,472	220,999
0884	Judges Retirement System II Fund	34,669	39,514	49,204
TOTALS, EXPENDITURES, ALL FUNDS		\$580,805	\$569,664	\$549,525

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code, Title 8, Chapters 11 and 11.5.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0390 Contributions to the Judges' Retirement System - Continued**DETAILED BUDGET ADJUSTMENTS**

	2020-21*			2021-22*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Miscellaneous Baseline Adjustments	\$757	\$977	-	\$-24,325	\$4,250	-
Totals, Other Workload Budget Adjustments	\$757	\$977	-	\$-24,325	\$4,250	-
Totals, Workload Budget Adjustments	\$757	\$977	-	\$-24,325	\$4,250	-
Totals, Budget Adjustments	\$757	\$977	-	\$-24,325	\$4,250	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0390 Contributions to the Judges' Retirement System - Continued**Judges' Retirement Fund Summaries**

0815 Judges' Retirement Fund^{1/2/}	PY 2019-20*	CY 2020-21*	BY 2021-22*
Beginning Balance	\$10,169	\$43,727	\$56,613
Prior Year Adjustments	(341)	-	-
Adjusted Beginning Balance	\$9,828	\$43,727	\$56,613
Revenues:			
Investment Income	\$884	\$3,935	\$5,095
State Contributions	245,311	228,271	196,718
Member Contributions	2,866	2,069	1,549
Contribution Refunds	-458	-155	-155
Total Revenues	\$248,603	\$234,120	\$203,207
Expenditures:			
Pension Benefit Payments	\$212,884	\$219,472	\$220,999
Administrative Expenditures ^{4/}	1,820	1,762	1,988
Total Expenditures	\$214,704	\$221,234	\$222,987
Ending Fund Balance	\$43,727	\$56,613	\$36,833
0884 Judges' Retirement System II Fund^{1/2/}	PY 2019-20*	CY 2020-21*	BY 2021-22*
Beginning Balance	\$1,710,089	\$1,880,007	\$2,046,545
Prior Year Adjustments	(357)	-	-
Adjusted Beginning Balance	\$1,709,732	\$1,880,007	\$2,046,545
Revenues:			
Investment Income	\$80,074	\$88,048	\$95,848
State Contributions	91,001	85,658	86,422
Member Contributions	35,942	34,450	36,835
Contribution Refunds		-69	-69
Total Revenues	\$207,017	\$208,087	\$219,036
Expenditures:			
Pension Benefit Payments ^{3/}	\$34,669	\$39,514	\$49,204
Administrative Expenditures ^{4/}	2,073	2,035	2,269
Total Expenditures	\$36,742	\$41,549	\$51,473
Ending Fund Balance	\$1,880,007	\$2,046,545	\$2,214,108

^{1/} This display reflects fund data as of the 2021-22 Governor's Budget.

^{2/} This display reflects the \$40 million payment in 2019-20 for the post judgment award in Robert M. Mallano, Individually, and on Behalf of a Class of Similarly Situated Persons v. John Chiang, Controller of the State of California (Superior Court of California, County of Los Angeles, Case No. BC-533770).

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0390 Contributions to the Judges' Retirement System - Continued

Judges' Retirement Fund Summaries

^{3/} The 2021 Budget Act estimates 2021-22 state contributions to be \$85,856,000 to the Judges' Retirement System II, and 2021-22 pension benefit payments \$49,204,000 from the Judges' Retirement System II Fund. The revisions in the estimates from the 2021-22 Governor's Budget to the 2021 Budget Act are the result of a decrease in the employer contribution rate to the Judges' Retirement System II, as approved by the CalPERS Board of Administration at its February 2021 meeting.

^{4/} The 2021 Budget Act estimates 2021-22 administrative expenditures to be \$2,076,000 for the Judges' Retirement Fund and \$2,492,000 for the Judges' Retirement System II Fund, as approved by the CalPERS Board of Administration at its April 2021 meeting.

0390 Contributions to the Judges' Retirement System - Continued**DETAILED EXPENDITURES BY PROGRAM**

		2019-20*	2020-21*	2021-22*
	PROGRAM REQUIREMENTS			
0190	STATE OPERATIONS			
	State Operations:			
0001	General Fund	\$46,077	\$5,595	\$5,455
	Totals, State Operations	\$46,077	\$5,595	\$5,455
	PROGRAM REQUIREMENTS			
0195	LOCAL ASSISTANCE			
	Local Assistance:			
0001	General Fund	\$287,175	\$305,083	\$273,867
	Totals, Local Assistance	\$287,175	\$305,083	\$273,867
	PROGRAM REQUIREMENTS			
0200	BENEFIT PAYMENTS			
	Unclassified:			
0815	Judges Retirement Fund	\$212,884	\$219,472	\$220,999
0884	Judges Retirement System II Fund	34,669	39,514	49,204
	Totals, Unclassified	\$247,553	\$258,986	\$270,203
	TOTALS, EXPENDITURES			
	State Operations	46,077	5,595	5,455
	Local Assistance	287,175	305,083	273,867
	Unclassified	247,553	258,986	270,203
	Totals, Expenditures	\$580,805	\$569,664	\$549,525

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2019-20*	2020-21*	2021-22*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation (transfer to Judges' Retirement Fund)	\$41,150	\$1,150	\$1,150
Government Code section 75101 (JRS I)	832	530	441
Revised Estimates	-	60	-
Government Code section 75600.5 (JRS II)	4,095	3,824	3,864
Revised Estimates	-	31	-
TOTALS, EXPENDITURES	\$46,077	\$5,595	\$5,455
Total Expenditures, All Funds, (State Operations)	\$46,077	\$5,595	\$5,455
2 LOCAL ASSISTANCE	2019-20*	2020-21*	2021-22*
0001 General Fund			
APPROPRIATIONS			
101 Budget Act appropriation (transfer to Judges' Retirement Fund)	\$198,182	\$221,800	\$190,767
Government Code section 75101 (JRS I)	2,087	1,455	1,108
Revised Estimates	-	24	-
Government Code section 75600.5 (JRS II)	86,906	81,162	81,992
Revised Estimates	-	642	-
TOTALS, EXPENDITURES	\$287,175	\$305,083	\$273,867
Total Expenditures, All Funds, (Local Assistance)	\$287,175	\$305,083	\$273,867
4 UNCLASSIFIED	2019-20*	2020-21*	2021-22*
0815 Judges Retirement Fund			

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0390 Contributions to the Judges' Retirement System - Continued

4 UNCLASSIFIED	2019-20*	2020-21*	2021-22*
APPROPRIATIONS			
Government Code section 75025	\$212,884	\$219,728	\$220,999
Revised Estimates	-	-256	-
TOTALS, EXPENDITURES	\$212,884	\$219,472	\$220,999
0884 Judges Retirement System II Fund			
APPROPRIATIONS			
Government Code section 75522	\$34,669	\$38,281	\$49,204
Revised Estimates	-	1,233	-
TOTALS, EXPENDITURES	\$34,669	\$39,514	\$49,204
Total Expenditures, All Funds, (Unclassified)	\$247,553	\$258,986	\$270,203
TOTALS, EXPENDITURES, ALL FUNDS (State Operations, Local Assistance and Unclassified)	\$580,805	\$569,664	\$549,525

0500 Governor's Office

Article V of the California Constitution vests the supreme executive power in a chief magistrate, who is called the Governor of the State of California. The Office of the Governor is maintained in Sacramento.

The Office of the First Partner is dedicated to furthering the cause of gender equity in California, lifting up women and their families, and breaking down barriers for our youth.

3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
0210	Governor's Office	127.0	125.0	126.0	\$22,862	\$21,862	\$25,383
0215	Office of the First Partner	5.0	7.0	7.0	375	719	844
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		132.0	132.0	133.0	\$23,237	\$22,581	\$26,227
FUNDING		2019-20*			2020-21*		2021-22*
0001	General Fund	\$20,244			\$18,280		\$21,727
9740	Central Service Cost Recovery Fund	2,993			4,300		4,499
9750	Immigrant Integration Fund	-			1		1
TOTALS, EXPENDITURES, ALL FUNDS		\$23,237			\$22,581		\$26,227

LEGAL CITATIONS AND AUTHORITY**DEPARTMENT AUTHORITY**

California Constitution, Article V.

MAJOR PROGRAM CHANGES

- Senior Advisor on Alzheimer's—The Budget includes \$258,000 ongoing General Fund to appoint a Senior Advisor on Alzheimer's, which is one of many recommendations put forth by the Master Plan for Aging and the statewide Task Force on Alzheimer's Disease Prevention, Preparedness, and the Path Forward. The Senior Advisor will lead statewide implementation of the Task Force's framework and recommendations.

0500 Governor's Office - Continued**DETAILED BUDGET ADJUSTMENTS**

	2020-21*			2021-22*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Senior Advisor on Alzheimer's	\$-	\$-	-	\$258	\$-	1.0
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$258	\$-	1.0
Other Workload Budget Adjustments						
• Other Post-Employment Benefit Adjustments	107	-	-	107	-	-
• Executive Order E 20/21 - 263: COVID-19 Disaster Response-Emergency Operations Account Transfer	29	-	-	-	-	-
• Section 3.90 Employee Compensation Reduction	-3,421	-	-	-	-	-
• Central Service Function Cost Realignment	-	-	-	-199	199	-
• Salary Adjustments	365	-	-	365	-	-
• Benefit Adjustments	24	-	-	20	-	-
• Retirement Rate Adjustments	-229	-	-	-229	-	-
Totals, Other Workload Budget Adjustments	\$-3,125	\$-	-	\$64	\$199	-
Totals, Workload Budget Adjustments	\$-3,125	\$-	-	\$322	\$199	1.0
Totals, Budget Adjustments	\$-3,125	\$-	-	\$322	\$199	1.0

DETAILED EXPENDITURES BY PROGRAM

		2019-20*	2020-21*	2021-22*
0210	PROGRAM REQUIREMENTS			
	GOVERNOR'S OFFICE			
	State Operations:			
0001	General Fund	\$19,869	\$17,561	\$20,883
9740	Central Service Cost Recovery Fund	2,993	4,300	4,499
9750	Immigrant Integration Fund	-	1	1
	Totals, State Operations	\$22,862	\$21,862	\$25,383
0215	PROGRAM REQUIREMENTS			
	OFFICE OF THE FIRST PARTNER			
	State Operations:			
0001	General Fund	\$375	\$719	\$844
	Totals, State Operations	\$375	\$719	\$844
	TOTALS, EXPENDITURES			
	State Operations	23,237	22,581	26,227
	Totals, Expenditures	\$23,237	\$22,581	\$26,227

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
PERSONAL SERVICES						
Baseline Positions	132.0	132.0	132.0	\$15,789	\$15,889	\$15,889
Other Adjustments	-	-	1.0	-727	-2,030	520
Net Totals, Salaries and Wages	132.0	132.0	133.0	\$15,062	\$13,859	\$16,409
Staff Benefits	-	-	-	4,843	5,931	7,056

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0500 Governor's Office - Continued

1 State Operations	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
Totals, Personal Services	132.0	132.0	133.0	\$19,905	\$19,790	\$23,465
OPERATING EXPENSES AND EQUIPMENT				\$3,332	\$2,790	\$2,761
SPECIAL ITEMS OF EXPENSES				-	1	1
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$23,237	\$22,581	\$26,227

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2019-20*	2020-21*	2021-22*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$20,244	\$21,405	\$21,727
Allocation for Employee Compensation	-	365	-
Allocation for Other Post-Employment Benefits	-	107	-
Allocation for Staff Benefits	-	24	-
Executive Order E 20/21 - 263: COVID-19 Disaster Response-Emergency Operations Account Transfer	-	29	-
Section 3.60 Pension Contribution Adjustment	-	-229	-
Section 3.90 Employee Compensation Reduction	-	-3,421	-
Totals Available	\$20,244	\$18,280	\$21,727
TOTALS, EXPENDITURES	\$20,244	\$18,280	\$21,727
9740 Central Service Cost Recovery Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,993	\$4,300	\$4,499
Totals Available	\$2,993	\$4,300	\$4,499
TOTALS, EXPENDITURES	\$2,993	\$4,300	\$4,499
9750 Immigrant Integration Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	\$1	\$1
Totals Available	-	\$1	\$1
TOTALS, EXPENDITURES	-	\$1	\$1
Total Expenditures, All Funds, (State Operations)	\$23,237	\$22,581	\$26,227

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
Baseline Positions	132.0	132.0	132.0	\$15,789	\$15,889	\$15,889
Salary and Other Adjustments	-	-	-	-727	-2,030	365
Workload and Administrative Adjustments						
Senior Advisor on Alzheimer's						
Various	-	-	1.0	-	-	155
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	1.0	\$-	\$-	\$155
Totals, Adjustments	-	-	1.0	\$-727	\$-2,030	\$520
TOTALS, SALARIES AND WAGES	132.0	132.0	133.0	\$15,062	\$13,859	\$16,409

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0509 Governor's Office of Business and Economic Development (GO-Biz)

The Governor's Office of Business and Economic Development (GO-Biz) provides a single point of contact for economic development, business assistance and job creation efforts. The GO-Biz works with companies and organizations across the nation to market the benefits of doing business in California, recruit new businesses, retain businesses, and support private sector job growth. The GO-Biz serves as the Governor's lead entity for economic strategy and the marketing of California on issues relating to business development, private sector investment, economic growth, export promotion, permit assistance, innovation and entrepreneurship.

3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
0220	Go-Biz	46.4	39.1	51.1	\$25,546	\$33,372	\$130,697
0225	California Business Investment Services	11.5	16.3	16.3	2,893	3,331	8,525
0230	Office of the Small Business Advocate	5.3	5.8	14.8	21,772	2,555,401	1,825,849
0235	Infrastructure, Finance and Economic Development	34.1	40.1	40.1	89,804	123,118	185,015
0240	Community Reinvestment Grants Program	7.0	10.0	11.0	44,423	45,517	55,000
0245	Local Equity Grant Program	-	-	-	-	-	20,548
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		104.3	111.3	133.3	\$184,438	\$2,760,739	\$2,225,634
FUNDING					2019-20*	2020-21*	2021-22*
0001	General Fund				\$102,177	\$2,698,151	\$557,622
0649	California Infrastructure and Economic Development Bank Fund				35,135	12,382	12,747
0918	California Small Business Expansion Fund				144	2,139	2,159
0995	Reimbursements				17,327	16,908	1,399
3083	Welcome Center Fund				71	111	111
3095	Film Promotion and Marketing Fund				5	10	10
3237	Cost of Implementation Account, Air Pollution Control Fund				746	1,038	1,038
3314	California Cannabis Tax Fund				9,219	-	-
3348	Cannabis Tax Fund - Governor's Office of Business and Economic Development -Allocation 2				19,614	30,000	40,000
3376	Cannabis Tax Fund - Gov Office of Business and Economic Development				-	-	15,548
8506	Coronavirus Fiscal Recovery Fund of 2021				-	-	1,595,000
TOTALS, EXPENDITURES, ALL FUNDS					\$184,438	\$2,760,739	\$2,225,634

LEGAL CITATIONS AND AUTHORITY

Government Code Sections 12096.1-12100.69, 13995.150-13995.155, 14998-14998.13, 63050-63056, and 63088-63089.98; Corporations Code Sections 14000-14024; and Revenue and Taxation Code Section 34019(d).

MAJOR PROGRAM CHANGES

- **Small Business Grants**—The Budget includes \$1.5 billion one-time American Rescue Plan Act of 2021 funding in 2021-22 for three additional rounds of grants to provide relief to more small businesses. This brings the total investment for the California Small Business COVID-19 Relief Grant Program to \$4 billion.
- **California Competes Grant Program**—The Budget includes \$120 million one-time General Fund in 2021-22 to establish a CalCompetes grant program for businesses meeting certain criteria to locate in California or to stay, grow, and create quality full-time jobs in the state.
- **California Microbusiness Grant Program**—The Budget includes \$50 million one-time General Fund in 2021-22 for GO-Biz to administer the California Microbusiness COVID-19 Relief Grant Program to assist qualified microbusinesses that have been negatively affected by the COVID-19 Pandemic. Eligible microbusinesses will receive grants of \$2,500 each.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0509 Governor's Office of Business and Economic Development (GO-Biz) - Continued

- **California Nonprofit Performing Arts Grant Program**—The Budget includes \$49.5 million one-time General Fund in 2021-22 to provide grants of up to \$75,000 to eligible nonprofit performing arts organizations to encourage workforce development.
- **California Dream Fund**—The Budget includes \$35 million one-time General Fund in 2021-22 to support microgrants of up to \$10,000 to incentivize startups and strengthen their success outcomes. The funding will seed entrepreneurship and small business creation, especially in underserved small business groups that are facing opportunity gaps including people of color, women, and immigrant entrepreneurs.
- **California Infrastructure and Economic Development Bank (IBank)**—The Budget includes \$50 million one-time General Fund in 2021-22 to use across IBank's programs including the California Rebuilding Fund, with a focus on programs that benefit underserved businesses in California. In addition, the Budget includes \$20 million one-time General Fund in 2021-22 for the Small Business Loan Guarantee Program to continue to provide loans as businesses recover from the economic impacts of COVID-19.
- **California Venues Grant Program**—The Budget includes \$150 million one-time General Fund in 2021-22 to provide grants of up to \$250,000 to independent venues, live events businesses, and minor league sports.
- **California Travel and Tourism**—The Budget includes \$95 million one-time American Rescue Plan Act of 2021 funding in 2021-22 to implement strategic media recovery campaigns that will jump start the recovery of the travel and tourism industry. The California Office of Tourism, within GO-Biz, will coordinate with Visit California to implement this initiative.
- **Cannabis Local Equity Grant Program**—The Budget includes \$20 million one-time General Fund in 2021-22 for the Cannabis Local Equity Grant Program, administered by GO-Biz, to assist local equity applicants and licensees. This amount is in addition to the \$15.5 million ongoing Cannabis Tax Fund allocated to GO-Biz for this purpose, bringing the 2021-22 total to \$35.5 million.
- **Zero Emission Vehicle Package: Market Development Strategy**—The Budget includes \$5 million one-time General Fund for GO-Biz as part of a \$3.9 billion package to equitably scale the zero emission vehicle market and accelerate the state toward meeting its climate and transportation goals. This includes funding to support: ZEV Market Development Strategy.
- **Wildfire and Forest Resilience Package: Climate Catalyst Fund**—Chapter 14, Statutes of 2021 (SB 85) provided \$16 million one-time General Fund in 2020-21 as a part of a comprehensive package to increase the pace and scale of forest health activities and reduce wildfire risk. In total, the Budget includes a \$1.5 billion wildfire prevention and forest resilience investment over three years (\$536 million in 2020-21, \$458 million in 2021-22, and \$500 million in 2022-23). Allocations for the 2021-22 and 2022-23 budgets will be determined in subsequent legislation.
- **Inclusive Innovation Hub (iHub²) Program**—The Budget includes \$2.5 million one-time General Fund in 2021-22 to restart and expand upon the Innovation Hub Program established in Chapter 530, Statutes of 2013. This program will incentivize a focus on diversity, equity, and inclusion in the state's tech and science-based startup ecosystem.
- **Energy Unit**—The Budget includes \$950,000 ongoing General Fund to establish an Energy Unit to provide dedicated resources to expedite critical projects and essential infrastructure with an initial focus on energy generation and wildfire mitigation.
- **Office of the Small Business Advocate, Outreach Engagement**—The Budget includes \$901,000 ongoing General Fund to expand the program's formal duties to support small business opportunities for people of color, and designate staff to identify opportunity gaps and target engagement and outreach.

DETAILED BUDGET ADJUSTMENTS

	2020-21*			2021-22*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Legislative Proposal: Live Events Grant Program	\$-	\$-	-	\$150,000	\$-	-
• CalCompetes Grant Program	-	-	-	120,000	-	-
• Legislative Proposal: California Microbusiness Grant Program	-	-	-	50,000	-	-
• Small Business Finance Center	-	-	-	50,000	-	-
• Legislative Proposal: Support for Small Nonprofit Performing Arts Organizations	-	-	-	49,500	-	-

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0509 Governor's Office of Business and Economic Development (GO-Biz) - Continued

	2020-21*			2021-22*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
• California Dream Fund	-	-	-	35,000	-	-
• Legislative Proposal: Cannabis Local Equity Grant Program	-	-	-	20,000	-	-
• Small Business Loan Guarantees	-	-	-	20,000	-	-
• ZEV Market Development Strategy	-	-	-	5,000	-	-
• iHub Grants to Local Entities	-	-	-	2,500	-	-
• California Jobs Initiative Staffing	-	-	-	1,350	-	10.0
• Governor's Office of Business and Economic Development Energy Unit	-	-	-	950	-	5.0
• Office of the Small Business Advocate-Outreach and Engagement	-	-	-	901	-	6.0
• Small Business Grants - Additional Rounds	-	-	-	-	1,500,000	-
• Visit California-Travel and Tourism Industry Economic Recovery	-	-	-	-	95,000	-
Totals, Workload Budget Change Proposals	-\$-	-\$-	-	\$505,201	\$1,595,000	21.0
Other Workload Budget Adjustments						
• California Small Business COVID-19 Relief Grant Program (SB 87)	2,059,000	-	-	16,000	-	-
• California Jobs Initiative Staffing	408	-	9.0	1,223	-	9.0
• Governor's Office of Business and Economic Development Energy Unit	135	-	3.0	537	-	3.0
• Other Post-Employment Benefit Adjustments	74	52	-	74	52	-
• 2nd Round of Small Business Grants	550,000	-	-	-	-	-
• CalCompetes Grant Program	250,000	-	-	-	-	-
• California Dream Fund	35,000	-	-	-	-	-
• Grants to Cultural Institutions	25,000	-	-	-	-	-
• Section 3.90 Employee Compensation Reduction	-922	-497	-	-	-	-
• Small Business Grants	500,000	-	-	-	-	-
• Small Business Support, California Rebuilding Fund	12,500	-	-	-	-	-
• Wildfire and Forest Resilience Package: Climate Catalyst Fund	16,000	-	-	-	-	-
• Salary Adjustments	319	197	-	319	197	-
• Benefit Adjustments	36	13	-	34	12	-
• Retirement Rate Adjustments	-129	-83	-	-129	-83	-
• Miscellaneous Baseline Adjustments	-860,543	41	-12.0	-1,760	40,011	-11.0
Totals, Other Workload Budget Adjustments	\$2,586,878	-\$277	-	\$16,298	\$40,189	1.0
Totals, Workload Budget Adjustments	\$2,586,878	-\$277	-	\$521,499	\$1,635,189	22.0
Totals, Budget Adjustments	\$2,586,878	-\$277	-	\$521,499	\$1,635,189	22.0

PROGRAM DESCRIPTIONS

0220 - GO-Biz

The GO-Biz serves as the Governor's lead entity for economic strategy and the marketing of California on issues relating to business development, private sector investment, and economic growth, and export promotion. This program makes recommendations to the Governor and the Legislature regarding policies, programs, and actions to advance statewide economic goals.

0225 - CALIFORNIA BUSINESS INVESTMENT SERVICES

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0509 Governor's Office of Business and Economic Development (GO-Biz) - Continued

This program serves employers, corporate executives, business owners, and site location consultants who are considering California for business investment and expansion. This program convenes teams on key business development issues. This program works with local, state, and federal partners to attract, retain, and grow businesses in addition to providing permit assistance and helping businesses succeed in California.

0230 - CALIFORNIA OFFICE OF THE SMALL BUSINESS ADVOCATE

The California Office of the Small Business Advocate (CalOSBA) serves as the principal advocate in the state on behalf of small businesses, including legislation and administrative regulations that affect small business. CalOSBA ensures that information, content and direct support are available to minority and small businesses to better navigate state resources, programs and services. CalOSBA advocates on behalf of small businesses concerning the actions of state agencies, state laws, regulations and procurement opportunities, maintaining the connection to agency small business advocates/liaisons. It serves as the co-Coordinator with CalOES for the Economic Recovery Support Function under the California Disaster Recovery Framework. CalOSBA administers the Technical Assistance Expansion Program and Capital Infusion Program grants for support services to underserved business groups, as well as the Innovation Hub (iHub) and CA Made programs.

0235010 - CALIFORNIA FILM COMMISSION

The California Film Commission (CFC) enhances California's status as the leader in motion picture, television and commercial production. The Commission is tasked with retaining and increasing motion picture production and to see that it continues to create jobs and boost business throughout the State. A one-stop office for filmmakers, the Commission supports productions of all sizes and budgets with a variety of services. In addition to issuing film permits for all state properties, administering the film and TV tax credit program, maintaining an extensive location library, and offering production assistance on a wide variety of issues, CFC also works closely with cities and counties with the goal of creating "film friendly" policies that are consistent state-wide.

0235019 - DIVISION OF TOURISM

The California Tourism Market Act provides for the marketing of California through an assessment of businesses that benefit from travel and tourism. The objective of the Tourism Assessment Program is to identify potentially assessable businesses, assist companies with determining the appropriate amount of their self-assessment, and collect the fee.

0235028 - CALIFORNIA INFRASTRUCTURE AND ECONOMIC DEVELOPMENT BANK

California Infrastructure and Economic Development Bank (IBank) was created to finance public infrastructure and private development that promote a healthy climate for jobs, contribute to a strong economy and improve the quality of life in California communities. IBank has broad authority to participate in a variety of financing transactions, including issuing tax-exempt and taxable revenue bonds, providing financing to a variety of entities, providing credit enhancements, acquiring or leasing facilities, and leveraging State and Federal funds. IBank's current programs include the infrastructure state revolving fund program (ISRF Program), the California lending for energy and environmental needs center (CLEEN Center, a sub-program of the ISRF Program), the statewide energy and efficiency program and the light emitting diode street lighting program (each a component of the CLEEN Center), the bond financing program, which issues 501(c)(3)tax-exempt and taxable bonds,, industrial development revenue bonds, exempt facility revenue bonds, and public agency revenue bonds.

0235037 - SMALL BUSINESS LOAN GUARANTEE PROGRAM

The Small Business Loan Guarantee Program (SBLGP) promotes local economic development by providing guarantees for loans issued to small businesses from financial institutions, typically banks, that otherwise would not approve a term loan or line of credit to a small business. As a result of the SBLGP, participating small businesses are able to secure financing that allows them to grow and expand their business. The loan guarantee serves as a credit enhancement and an incentive for financial institutions to make loans to small businesses that otherwise would not be eligible for such financing.

0235046 - CALIFORNIA WELCOME CENTERS

California Welcome Centers are visitor information centers that are readily accessible to and recognizable by tourists to encourage tourism in California and provide benefits to the state economy. The objective of the California Welcome Center Program is to determine the locality of underserved travelers, designate a welcome center, and establish operating standards across the network.

0240 - CALIFORNIA COMMUNITY REINVESTMENT GRANT PROGRAM

The California Community Reinvestment Grants (CalCRG) Program was established on July 1, 2018 to implement the competitive grant program mandated by Proposition 64, the Adult Use of Marijuana Act, and the provisions specified in Revenue and Taxation Code section 34019(d). The CalCRG Program administers grants for local public health departments and qualified community-based nonprofit organizations to support various mental health treatment, substance use treatment, job placement, legal services and other health and wellness-related programs for communities disproportionately affected by past federal and state drug policies in California. GO-Biz must award grants annually and at least 50 percent of the grant funding will be allocated to qualified community-based nonprofit organizations.

0509 Governor's Office of Business and Economic Development (GO-Biz) - Continued

0245 - LOCAL EQUITY GRANT PROGRAM

The Local Equity Grant Program, administered by the Governor's Office of Business and Economic Development, will assist local equity applicants or local equity licensees pursuant to subparagraphs (A) through (C), inclusive, of paragraph (2) of subdivision (b) of Section 26244 of the Business and Professions Code.

DETAILED EXPENDITURES BY PROGRAM

		2019-20*	2020-21*	2021-22*
	PROGRAM REQUIREMENTS			
0220	GO-BIZ			
	State Operations:			
0001	General Fund	\$4,857	\$33,130	\$130,455
0995	Reimbursements	349	-	-
3237	Cost of Implementation Account, Air Pollution Control Fund	200	242	242
	Totals, State Operations	\$5,406	\$33,372	\$130,697
	Local Assistance:			
0001	General Fund	\$20,140	\$-	\$-
	Totals, Local Assistance	\$20,140	\$-	\$-
	PROGRAM REQUIREMENTS			
0225	CALIFORNIA BUSINESS INVESTMENT SERVICES			
	State Operations:			
0001	General Fund	\$2,297	\$2,485	\$7,679
0995	Reimbursements	50	50	50
3237	Cost of Implementation Account, Air Pollution Control Fund	546	796	796
	Totals, State Operations	\$2,893	\$3,331	\$8,525
	PROGRAM REQUIREMENTS			
0230	OFFICE OF THE SMALL BUSINESS ADVOCATE			
	State Operations:			
0001	General Fund	\$21,772	\$496,401	\$60,349
	Totals, State Operations	\$21,772	\$496,401	\$60,349
	Local Assistance:			
0001	General Fund	\$-	\$2,059,000	\$265,500
8506	Coronavirus Fiscal Recovery Fund of 2021	-	-	1,500,000
	Totals, Local Assistance	\$-	\$2,059,000	\$1,765,500
	PROGRAM REQUIREMENTS			
0235	INFRASTRUCTURE, FINANCE AND ECONOMIC DEVELOPMENT			
	State Operations:			
0001	General Fund	\$3,111	\$16,135	\$3,639
0649	California Infrastructure and Economic Development Bank Fund	4,795	6,882	7,247
0918	California Small Business Expansion Fund	144	2,139	2,159
0995	Reimbursements	1,338	1,341	1,349
3083	Welcome Center Fund	71	111	111
3095	Film Promotion and Marketing Fund	5	10	10
8506	Coronavirus Fiscal Recovery Fund of 2021	-	-	95,000
	Totals, State Operations	\$9,464	\$26,618	\$109,515
	Local Assistance:			
0001	General Fund	\$50,000	\$91,000	\$70,000
0649	California Infrastructure and Economic Development Bank Fund	30,340	5,500	5,500
	Totals, Local Assistance	\$80,340	\$96,500	\$75,500

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0509 Governor's Office of Business and Economic Development (GO-Biz) - Continued

		2019-20*	2020-21*	2021-22*
	SUBPROGRAM REQUIREMENTS			
0235010	California Film Commission			
	State Operations:			
0001	General Fund	\$2,500	\$2,578	\$2,582
3095	Film Promotion and Marketing Fund	5	10	10
	Totals, State Operations	\$2,505	\$2,588	\$2,592
	SUBPROGRAM REQUIREMENTS			
0235019	Tourism			
	State Operations:			
0001	General Fund	-\$35	\$191	\$191
0995	Reimbursements	639	642	650
3083	Welcome Center Fund	-	1	1
8506	Coronavirus Fiscal Recovery Fund of 2021	-	-	95,000
	Totals, State Operations	\$604	\$834	\$95,842
	SUBPROGRAM REQUIREMENTS			
0235028	California Infrastructure and Economic Development Bank			
	State Operations:			
0001	General Fund	-\$220	\$-	\$-
0649	California Infrastructure and Economic Development Bank Fund	4,795	7,248	7,247
0995	Reimbursements	212	212	212
	Totals, State Operations	\$4,787	\$7,460	\$7,459
	Local Assistance:			
0001	General Fund	\$-	\$16,000	\$-
0649	California Infrastructure and Economic Development Bank Fund	30,340	5,500	5,500
	Totals, Local Assistance	\$30,340	\$21,500	\$5,500
	SUBPROGRAM REQUIREMENTS			
0235037	Small Business Expansion			
	State Operations:			
0001	General Fund	\$866	\$13,366	\$866
0649	California Infrastructure and Economic Development Bank Fund	-	-366	-
0918	California Small Business Expansion Fund	144	2,139	2,159
0995	Reimbursements	487	487	487
	Totals, State Operations	\$1,497	\$15,626	\$3,512
	Local Assistance:			
0001	General Fund	\$50,000	\$75,000	\$70,000
	Totals, Local Assistance	\$50,000	\$75,000	\$70,000
	SUBPROGRAM REQUIREMENTS			
0235046	Welcome Center Program			
	State Operations:			
3083	Welcome Center Fund	\$71	\$110	\$110
	Totals, State Operations	\$71	\$110	\$110
	PROGRAM REQUIREMENTS			
0240	COMMUNITY REINVESTMENT GRANTS PROGRAM			
	State Operations:			
0995	Reimbursements	15,590	15,517	-
3348	Cannabis Tax Fund - Governor's Office of Business and Economic Development -Allocation 2	518	1,242	1,600
	Totals, State Operations	\$16,108	\$16,759	\$1,600
	Local Assistance:			
3314	California Cannabis Tax Fund	\$9,219	\$-	\$-

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0509 Governor's Office of Business and Economic Development (GO-Biz) - Continued

		2019-20*	2020-21*	2021-22*
3348	Cannabis Tax Fund - Governor's Office of Business and Economic Development -Allocation 2	19,096	28,758	38,400
3376	Cannabis Tax Fund - Gov Office of Business and Economic Development	-	-	15,000
	Totals, Local Assistance	\$28,315	\$28,758	\$53,400
PROGRAM REQUIREMENTS				
0245	LOCAL EQUITY GRANT PROGRAM			
	State Operations:			
3376	Cannabis Tax Fund - Gov Office of Business and Economic Development	\$-	\$-	\$548
	Totals, State Operations	\$-	\$-	\$548
	Local Assistance:			
0001	General Fund	\$-	\$-	\$20,000
	Totals, Local Assistance	\$-	\$-	\$20,000
	TOTALS, EXPENDITURES			
	State Operations	55,643	576,481	311,234
	Local Assistance	128,795	2,184,258	1,914,400
	Totals, Expenditures	\$184,438	\$2,760,739	\$2,225,634

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
PERSONAL SERVICES						
Baseline Positions	104.3	111.3	111.3	\$8,843	\$9,435	\$8,738
Other Adjustments	-	-	22.0	-998	-658	2,722
Net Totals, Salaries and Wages	104.3	111.3	133.3	\$7,845	\$8,777	\$11,460
Staff Benefits	-	-	-	7,390	7,780	8,598
Totals, Personal Services	104.3	111.3	133.3	\$15,235	\$16,557	\$20,058
OPERATING EXPENSES AND EQUIPMENT				\$24,547	\$29,576	\$35,828
SPECIAL ITEMS OF EXPENSES				15,861	530,348	255,348
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$55,643	\$576,481	\$311,234

2 Local Assistance	Expenditures		
	2019-20*	2020-21*	2021-22*
Earnings - Permanent Civil Service Employees	\$-	\$-	-\$18
Grants and Subventions - Governmental	98,455	2,178,758	1,888,942
Health Insurance	-	-	-2
OASDI	-	-	-1
Other Items of Expense - Miscellaneous	-	-	20,000
Other Post-Employment Benefits (OPEB) Employer Contributions	-	-	-6
Other Special Items of Expense	30,340	5,500	5,500
Retirement - General	-	-	-15
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$128,795	\$2,184,258	\$1,914,400

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2019-20*	2020-21*	2021-22*
0001 General Fund			

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0509 Governor's Office of Business and Economic Development (GO-Biz) - Continued

1 STATE OPERATIONS	2019-20*	2020-21*	2021-22*
APPROPRIATIONS			
001 Budget Act appropriation	\$31,176	\$35,412	\$81,261
Allocation for Employee Compensation	-	319	-
Allocation for Other Post-Employment Benefits	-	74	-
Allocation for Staff Benefits	-	36	-
Micro Grants	-	35,000	-
Micro Grants (California Dream Fund)	-	-35,000	-
Second Office and California Commitment Grant Program	-	250,000	-
Second Office and California Commitment Grant Program (CalCompetes Grant Program)	-	-250,000	-
Section 3.60 Pension Contribution Adjustment	-	-129	-
Section 3.90 Employee Compensation Reduction	-	-922	-
Small Business Grants	-	500,000	-
Small Business Support, California Rebuilding Fund	-	12,500	-
004 Budget Act appropriation	-	-	120,000
011 Budget Act appropriation (transfer to Small Business Expansion Fund)	861	861	861
Totals Available	\$32,037	\$548,151	\$202,122
TOTALS, EXPENDITURES	\$32,037	\$548,151	\$202,122
0649 California Infrastructure and Economic Development Bank Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$4,795	\$7,139	\$7,247
Allocation for Employee Compensation	-	123	-
Allocation for Other Post-Employment Benefits	-	34	-
Allocation for Staff Benefits	-	8	-
Section 3.60 Pension Contribution Adjustment	-	-56	-
Section 3.90 Employee Compensation Reduction	-	-366	-
Totals Available	\$4,795	\$6,882	\$7,247
TOTALS, EXPENDITURES	\$4,795	\$6,882	\$7,247
0918 California Small Business Expansion Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$144	\$167	\$172
Allocation for Employee Compensation	-	6	-
Allocation for Other Post-Employment Benefits	-	2	-
Section 3.60 Pension Contribution Adjustment	-	-3	-
Section 3.90 Employee Compensation Reduction	-	-20	-
Corporations Code section 14030	-	1,848	1,848
Corporations Code section 14030(a) (default payments)	861	1,000	1,000
Totals Available	\$1,005	\$3,000	\$3,020
TOTALS, EXPENDITURES	\$1,005	\$3,000	\$3,020
Less funding provided by General Fund	-861	-861	-861
NET TOTALS, EXPENDITURES	\$144	\$2,139	\$2,159
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$17,327	\$16,908	\$1,399
TOTALS, EXPENDITURES	\$17,327	\$16,908	\$1,399
3083 Welcome Center Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$71	\$111	\$111
Totals Available	\$71	\$111	\$111
TOTALS, EXPENDITURES	\$71	\$111	\$111
3095 Film Promotion and Marketing Fund			
APPROPRIATIONS			

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0509 Governor's Office of Business and Economic Development (GO-Biz) - Continued

1 STATE OPERATIONS	2019-20*	2020-21*	2021-22*
001 Budget Act appropriation	\$5	\$10	\$10
Totals Available	\$5	\$10	\$10
TOTALS, EXPENDITURES	\$5	\$10	\$10
3237 Cost of Implementation Account, Air Pollution Control Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$746	\$1,026	\$1,038
Allocation for Employee Compensation	-	15	-
Allocation for Other Post-Employment Benefits	-	4	-
Allocation for Staff Benefits	-	1	-
Section 3.60 Pension Contribution Adjustment	-	-8	-
Totals Available	\$746	\$1,038	\$1,038
TOTALS, EXPENDITURES	\$746	\$1,038	\$1,038
3348 Cannabis Tax Fund - Governor's Office of Business and Economic Development -Allocation 2			
APPROPRIATIONS			
Revenue and Taxation Code section 34019(d)	\$518	\$1,242	\$1,600
Adjustments to align Cannabis Tax Fund (Community Reinvestment Grants Program) expenditures	-	61	-
Allocation for Employee Compensation	-	44	-
Allocation for Other Post-Employment Benefits	-	10	-
Allocation for Staff Benefits	-	4	-
Section 3.60 Pension Contribution Adjustment	-	-16	-
Section 3.90 Employee Compensation Reduction	-	-103	-
Totals Available	\$518	\$1,242	\$1,600
TOTALS, EXPENDITURES	\$518	\$1,242	\$1,600
3376 Cannabis Tax Fund - Gov Office of Business and Economic Development			
APPROPRIATIONS			
Pending Legislation (Revenue and Taxation Code section 34019(a)(4))	-	-	\$548
TOTALS, EXPENDITURES	-	-	\$548
8506 Coronavirus Fiscal Recovery Fund of 2021			
APPROPRIATIONS			
062 Budget Act appropriation	-	-	\$95,000
TOTALS, EXPENDITURES	-	-	\$95,000
Total Expenditures, All Funds, (State Operations)	\$55,643	\$576,481	\$311,234

2 LOCAL ASSISTANCE	2019-20*	2020-21*	2021-22*
0001 General Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$20,140	-	\$49,500
102 Budget Act appropriation	-	-	20,000
103 Budget Act appropriation	-	-	50,000
104 Budget Act appropriation	-	-	150,000
111 Budget Act appropriation (transfer to California Infrastructure and Economic Development Bank Fund)	-	75,000	70,000
Wildfire and Forest Resilience Package: Climate Catalyst Fund	-	47,000	-
Wildfire and Forest Resilience Package: Climate Catalyst Fund (Technical Correction)	-	-47,000	-
Wildfire and Forest Resilience Package: Climate Catalyst Fund	-	-31,000	-
Wildfire and Forest Resilience Package: Climate Catalyst Fund (Technical Correction)	-	47,000	-
Control Section 36.00, Budget Act of 2019 pursuant to Executive Order 19/20-139 and 140 (COVID-19 response)	50,000	-	-
California Small Business COVID-19 Relief Grant Program (SB 87)	-	2,075,000	-
Prior Year Balances Available:			

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0509 Governor's Office of Business and Economic Development (GO-Biz) - Continued

2 LOCAL ASSISTANCE	2019-20*	2020-21*	2021-22*
Chapter 7, Statutes of 2021 (transfer to Golden State Stimulus Emergency Fund)	-	-	16,000
Totals Available	\$70,140	\$2,166,000	\$355,500
Balance available in subsequent years	-	-16,000	-
TOTALS, EXPENDITURES	\$70,140	\$2,150,000	\$355,500
0649 California Infrastructure and Economic Development Bank Fund			
APPROPRIATIONS			
Government Code section 63050	\$30,340	\$5,500	\$5,500
Totals Available	\$30,340	\$5,500	\$5,500
TOTALS, EXPENDITURES	\$30,340	\$5,500	\$5,500
0918 California Small Business Expansion Fund			
APPROPRIATIONS			
Pending Legislation	-	-	\$70,000
Small Business Support, California Rebuilding Fund	-	12,500	-
Control Section 36.00, Budget Act of 2019 pursuant to Executive Order 19/20-140 (COVID-19 response)	50,000	-	-
Pending Legislation	-	50,000	-
Less funding item true-up (2020-21 Small Business Expansion)	-	25,000	-
TOTALS, EXPENDITURES	\$50,000	\$87,500	\$70,000
Less funding provided by General Fund	-50,000	-87,500	-70,000
NET TOTALS, EXPENDITURES	-	-	-
3314 California Cannabis Tax Fund			
APPROPRIATIONS			
Revenue and Taxation Code section 34019(d)	\$9,219	-	-
Totals Available	\$9,219	-	-
TOTALS, EXPENDITURES	\$9,219	-	-
3348 Cannabis Tax Fund - Governor's Office of Business and Economic Development -Allocation 2			
APPROPRIATIONS			
Revenue and Taxation Code section 34019(d)	\$19,096	\$28,758	\$38,400
Totals Available	\$19,096	\$28,758	\$38,400
TOTALS, EXPENDITURES	\$19,096	\$28,758	\$38,400
3376 Cannabis Tax Fund - Gov Office of Business and Economic Development			
APPROPRIATIONS			
Pending Legislation (Revenue and Taxation Code section 34019(a)(4))	-	-	\$15,000
TOTALS, EXPENDITURES	-	-	\$15,000
3379 Golden State Stimulus Emergency Fund			
APPROPRIATIONS			
California Small Business COVID-19 Relief Grant Program (SB 87)	-	\$2,075,000	-
Prior Year Balances Available:			
Chapter 7, Statutes of 2021	-	-	16,000
Totals Available	-	\$2,075,000	\$16,000
Balance available in subsequent years	-	-16,000	-
TOTALS, EXPENDITURES	-	\$2,059,000	\$16,000
Less funding provided by General Fund pursuant to Chapter 7, Statutes of 2021	-	-2,059,000	-16,000
NET TOTALS, EXPENDITURES	-	-	-
8506 Coronavirus Fiscal Recovery Fund of 2021			
APPROPRIATIONS			
062 Budget Act appropriation	-	-	\$1,500,000
TOTALS, EXPENDITURES	-	-	\$1,500,000
9334 Climate Catalyst Revolving Loan Fund			
APPROPRIATIONS			

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0509 Governor's Office of Business and Economic Development (GO-Biz) - Continued

2 LOCAL ASSISTANCE	2019-20*	2020-21*	2021-22*
Wildfire and Forest Resilience Package: Climate Catalyst Fund	-	\$16,000	-
TOTALS, EXPENDITURES	-	\$16,000	-
Less funding provided by General Fund	-	-16,000	-
NET TOTALS, EXPENDITURES	-	-	-
Total Expenditures, All Funds, (Local Assistance)	\$128,795	\$2,184,258	\$1,914,400
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$184,438	\$2,760,739	\$2,225,634

FUND CONDITION STATEMENTS

	2019-20*	2020-21*	2021-22*
3083 Welcome Center Fund^s			
BEGINNING BALANCE	\$110	\$210	\$189
Prior Year Adjustments	-3	-	-
Adjusted Beginning Balance	\$107	\$210	\$189
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4172500 Miscellaneous Revenue	181	90	90
Total Revenues, Transfers, and Other Adjustments	\$181	\$90	\$90
Total Resources	\$288	\$300	\$279
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0509 Governor's Office of Business and Economic Development (GO-Biz) (State Operations)	71	111	111
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	7	-	-
Total Expenditures and Expenditure Adjustments	\$78	\$111	\$111
FUND BALANCE	\$210	\$189	\$168
Reserve for economic uncertainties	210	189	168
3095 Film Promotion and Marketing Fund^s			
BEGINNING BALANCE	\$27	\$34	\$34
Adjusted Beginning Balance	\$27	\$34	\$34
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4140000 Document Sales	12	10	10
Total Revenues, Transfers, and Other Adjustments	\$12	\$10	\$10
Total Resources	\$39	\$44	\$44
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0509 Governor's Office of Business and Economic Development (GO-Biz) (State Operations)	5	10	10
Total Expenditures and Expenditure Adjustments	\$5	\$10	\$10
FUND BALANCE	\$34	\$34	\$34
Reserve for economic uncertainties	34	34	34
3348 Cannabis Tax Fund - Governor's Office of Business and Economic Development -Allocation 2^s			
BEGINNING BALANCE	-	\$386	\$386
Adjusted Beginning Balance	-	\$386	\$386
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Transfers and Other Adjustments			
Revenue Transfer from the California Cannabis Tax Fund (3314) to Cannabis Tax Fund - Governor's Office of Business and Economic Development - Allocation 2 (3348) per Revenue Tax Code Section 34019(d)	\$20,000	30,000	40,000
Total Revenues, Transfers, and Other Adjustments	\$20,000	\$30,000	\$40,000

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0509 Governor's Office of Business and Economic Development (GO-Biz) - Continued

	2019-20*	2020-21*	2021-22*
Total Resources	\$20,000	\$30,386	\$40,386
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0509 Governor's Office of Business and Economic Development (GO-Biz) (State Operations)	518	1,242	1,600
0509 Governor's Office of Business and Economic Development (GO-Biz) (Local Assistance)	19,096	28,758	38,400
Total Expenditures and Expenditure Adjustments	\$19,614	\$30,000	\$40,000
FUND BALANCE	\$386	\$386	\$386
Reserve for economic uncertainties	386	386	386
3376 Cannabis Tax Fund - Gov Office of Business and Economic Development^s			
BEGINNING BALANCE	-	-	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Transfers and Other Adjustments			
Revenue Transfer from the California Cannabis Tax Fund (3314) to Cannabis Tax Fund-Governor's Office of Business and Economic Development (3376) per Revenue and Taxation Code Section 34019(a)(4)	-	-	15,548
Total Revenues, Transfers, and Other Adjustments	-	-	\$15,548
Total Resources	-	-	\$15,548
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0509 Governor's Office of Business and Economic Development (GO-Biz) (State Operations)	-	-	548
0509 Governor's Office of Business and Economic Development (GO-Biz) (Local Assistance)	-	-	15,000
Total Expenditures and Expenditure Adjustments	-	-	\$15,548
FUND BALANCE	-	-	-
3379 Golden State Stimulus Emergency Fund^s			
BEGINNING BALANCE	-	-	-
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0509 Governor's Office of Business and Economic Development (GO-Biz) (Local Assistance)	-	2,059,000	16,000
7730 Franchise Tax Board (State Operations)	-	-	8,100,000
Less funding provided by General Fund pursuant to Chapter 7, Statutes of 2021 (Local Assistance)	-	-2,059,000	-16,000
Less funding provided by General Fund (State Operations)	-	-	-8,100,000
FUND BALANCE	-	-	-

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
Baseline Positions	104.3	111.3	111.3	\$8,843	\$9,435	\$8,738
Salary and Other Adjustments	-	-	1.0	-998	-658	1,038
Workload and Administrative Adjustments						
California Jobs Initiative Staffing						
Various	-	-	10.0	-	-	725
Governor's Office of Business and Economic Development Energy Unit						
Various	-	-	5.0	-	-	515
Office of the Small Business Advocate-Outreach and Engagement						
Assoc Govtl Program Analyst	-	-	1.0	-	-	68
Staff Svcs Analyst (Gen)	-	-	1.0	-	-	44
Staff Svcs Mgr I	-	-	3.0	-	-	243

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0509 Governor's Office of Business and Economic Development (GO-Biz) - Continued

	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
Staff Svcs Mgr II (Supvry)	-	-	1.0	-	-	89
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	21.0	\$-	\$-	\$1,684
Totals, Adjustments	-	-	22.0	\$-998	\$-658	\$2,722
TOTALS, SALARIES AND WAGES	104.3	111.3	133.3	\$7,845	\$8,777	\$11,460

0511 Secretary for Government Operations Agency

The Government Operations Agency is responsible for coordinating and overseeing state operations, including procurement, information technology, and human resources. The mission of the Government Operations Agency is to improve management and accountability of government programs, increase efficiency, and promote better and more coordinated operational decisions. The Government Operations Agency oversees the Office of Administrative Law, California Public Employees' Retirement System, California State Teachers' Retirement System, State Personnel Board, Victim Compensation Board, Department of General Services, Department of Technology, Department of Human Resources, Franchise Tax Board, and Department of Tax and Fee Administration. In addition, the Government Operations Agency administers the California Complete Count-Census 2020 Office, Office of Digital Innovation, Cradle-to-Career Data System, and Racial Equity Advisory Council.

3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
0250	Administration of Government Operations Agency	16.1	16.0	25.0	\$4,378	\$317,714	\$97,846
0255	State Planning and Policy Development	30.7	-	-	72,809	15,122	-
0256	Digital Innovation	2.0	41.2	41.2	8,367	25,268	15,268
0257	Cradle to Career	-	-	12.0	-	93	15,000
0258	Racial Equity Advisory Council	-	-	-	-	-	200
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		48.8	57.2	78.2	\$85,554	\$358,197	\$128,314
FUNDING				2019-20*	2020-21*	2021-22*	
0001	General Fund			\$81,752	\$340,149	\$119,718	
0995	Reimbursements			3,802	8,048	8,596	
9753	Digital Innovation Services Revolving Fund			-	10,000	-	
TOTALS, EXPENDITURES, ALL FUNDS				\$85,554	\$358,197	\$128,314	

LEGAL CITATIONS AND AUTHORITY

Government Code sections 12803.2, 12815, and 12816.

MAJOR PROGRAM CHANGES

- **COVID-19 Direct Response Expenditures**—The Budget includes a total of \$1.7 billion for various state departments for direct response costs associated with responding to and mitigating the effects of the COVID-19 Pandemic in 2021-22. The Government Operations Agency budget includes \$90.8 million to manage the state's COVID-19 vaccine distribution and outreach campaigns.

- **Cradle to Career Data System Implementation**—The Budget includes \$15 million General Fund, of which \$3 million is one-time, and 12 positions to support the development and implementation of the Cradle-to-Career Data System.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0511 Secretary for Government Operations Agency - Continued

• **Statewide Data Strategy**—The Budget includes \$558,000 General Fund and 3 positions to support the Chief Data Officer in implementing the Statewide Data Strategy and evaluating existing programs for opportunities to create efficiencies.

• **Chief Equity Officer and Racial Equity Advisory Council**—The Budget Act includes \$539,000 ongoing General Fund to establish and support the state's first ever Chief Equity Officer within the Government Operations Agency (GovOps), which will build upon and implement the work of the California Leads Taskforce. The Chief Equity Officer will develop a uniform framework for creating equitable policies, practices, and metrics for hiring and procurement. The Budget also includes \$200,000 General Fund in 2021-22 through 2025-26 to establish the Racial Equity Advisory Council, which will advise GovOps in developing statewide policies that promote diversity, equity, and inclusion in the state workforce.

DETAILED BUDGET ADJUSTMENTS

	2020-21*			2021-22*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Cradle to Career Data System Implementation	\$-	\$-	-	\$15,000	\$-	12.0
• GovOps Workload BCP	-	-	-	649	362	4.0
• Statewide Data Strategy	-	-	-	558	-	3.0
• Chief Equity Officer Workload	-	-	-	298	-	2.0
• Racial Equity Advisory Council	-	-	-	200	-	-
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$16,705	\$362	21.0
Other Workload Budget Adjustments						
• Other Post-Employment Benefit Adjustments	50	39	-	50	39	-
• Executive Order E 20/21 - 210: COVID-19 Disaster Response-Emergency Operations Account Transfer	625	-	-	-	-	-
• Executive Order E 20/21 - 244: COVID-19 Disaster Response-Emergency Operations Account Transfer	72,411	-	-	-	-	-
• Past Year Expenditure Adjustments	22,880	-	-	-	-	-
• Section 3.90 Employee Compensation Reduction	-120	-187	-	-	-	-
• Miscellaneous Baseline Adjustments	232,172	10,000	-	90,832	-	-
• Salary Adjustments	172	125	-	172	125	-
• Benefit Adjustments	24	14	-	24	13	-
• Retirement Rate Adjustments	-89	-64	-	-89	-64	-
Totals, Other Workload Budget Adjustments	\$328,125	\$9,927	-	\$90,989	\$113	-
Totals, Workload Budget Adjustments	\$328,125	\$9,927	-	\$107,694	\$475	21.0
Totals, Budget Adjustments	\$328,125	\$9,927	-	\$107,694	\$475	21.0

DETAILED EXPENDITURES BY PROGRAM

		2019-20*	2020-21*	2021-22*
PROGRAM REQUIREMENTS				
0250	ADMINISTRATION OF GOVERNMENT OPERATIONS AGENCY			
	State Operations:			
0001	General Fund	\$1,576	\$314,737	\$94,321
0995	Reimbursements	2,802	2,977	3,525
	Totals, State Operations	\$4,378	\$317,714	\$97,846
PROGRAM REQUIREMENTS				
0255	STATE PLANNING AND POLICY DEVELOPMENT			
	State Operations:			
0001	General Fund	\$71,809	\$15,122	\$-

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0511 Secretary for Government Operations Agency - Continued

		2019-20*	2020-21*	2021-22*
0995	Reimbursements	1,000	-	-
	Totals, State Operations	\$72,809	\$15,122	\$-
	PROGRAM REQUIREMENTS			
0256	DIGITAL INNOVATION			
	State Operations:			
0001	General Fund	\$8,367	\$10,197	\$10,197
0995	Reimbursements	-	5,071	5,071
9753	Digital Innovation Services Revolving Fund	-	10,000	-
	Totals, State Operations	\$8,367	\$25,268	\$15,268
	PROGRAM REQUIREMENTS			
0257	CRADLE TO CAREER			
	State Operations:			
0001	General Fund	\$-	\$93	\$15,000
	Totals, State Operations	\$-	\$93	\$15,000
	PROGRAM REQUIREMENTS			
0258	RACIAL EQUITY ADVISORY COUNCIL			
	State Operations:			
0001	General Fund	\$-	\$-	\$200
	Totals, State Operations	\$-	\$-	\$200
	TOTALS, EXPENDITURES			
	State Operations	85,554	358,197	128,314
	Totals, Expenditures	\$85,554	\$358,197	\$128,314

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
PERSONAL SERVICES						
Baseline Positions	54.2	57.2	57.2	\$5,566	\$6,024	\$6,024
Other Adjustments	-5.4	-	21.0	129	90	2,627
Net Totals, Salaries and Wages	48.8	57.2	78.2	\$5,695	\$6,114	\$8,651
Staff Benefits	-	-	-	2,570	3,852	5,146
Totals, Personal Services	48.8	57.2	78.2	\$8,265	\$9,966	\$13,797
OPERATING EXPENSES AND EQUIPMENT				\$77,289	\$338,231	\$114,517
SPECIAL ITEMS OF EXPENSES				-	10,000	-
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$85,554	\$358,197	\$128,314

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2019-20*	2020-21*	2021-22*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$81,752	\$12,024	\$28,886
Allocation for Employee Compensation	-	172	-
Allocation for Other Post-Employment Benefits	-	50	-
Allocation for Staff Benefits	-	24	-

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0511 Secretary for Government Operations Agency - Continued

1 STATE OPERATIONS	2019-20*	2020-21*	2021-22*
Census Funding Reappropriation	-	2,242	-
Executive Order E 20/21 - 203: Digital Innovation Revolving Services Fund Transfer	-	-10,000	-
Executive Order E 20/21 - 210: COVID-19 Disaster Response-Emergency Operations Account Transfer	-	625	-
Executive Order E 20/21 - 244: COVID-19 Disaster Response-Emergency Operations Account Transfer	-	72,411	-
Executive Order E 20/21 - 275: COVID-19 Disaster Response-Emergency Operations Account Transfer	-	139,837	-
Executive Order E 20/21 - 311: COVID-19 Disaster-Response-Emergency Operations Account Transfer	-	100,000	-
Past Year Expenditure Adjustments	-	22,880	-
Section 3.60 Pension Contribution Adjustment	-	-89	-
Section 3.90 Employee Compensation Reduction	-	-120	-
021 Budget Act appropriation	-	-	90,832
Prior Year Balances Available:			
Education Code Section 10859 subdivision (d)	-	93	-
Totals Available	\$81,752	\$340,149	\$119,718
TOTALS, EXPENDITURES	\$81,752	\$340,149	\$119,718
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$3,802	\$8,048	\$8,596
TOTALS, EXPENDITURES	\$3,802	\$8,048	\$8,596
9753 Digital Innovation Services Revolving Fund			
APPROPRIATIONS			
Executive Order E 20/21 - 203: Digital Innovation Revolving Services Fund Transfer	-	\$10,000	-
TOTALS, EXPENDITURES	-	\$10,000	-
Total Expenditures, All Funds, (State Operations)	\$85,554	\$358,197	\$128,314

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
Baseline Positions	54.2	57.2	57.2	\$5,566	\$6,024	\$6,024
Salary and Other Adjustments	-5.4	-	-	129	90	297
Workload and Administrative Adjustments						
Chief Equity Officer Workload						
Assoc Govtl Program Analyst	-	-	1.0	-	-	77
Research Data Spec III	-	-	1.0	-	-	106
Cradle to Career Data System Implementation						
C.E.A. - A	-	-	1.0	-	-	128
C.E.A. - C	-	-	1.0	-	-	157
Administrative Asst	-	-	1.0	-	-	67
Assoc Govtl Program Analyst	-	-	1.0	-	-	77
Atty IV	-	-	1.0	-	-	143
Info Tech Mgr II	-	-	1.0	-	-	142
Info Tech Spec II	-	-	1.0	-	-	118
Office Techn (Gen)	-	-	1.0	-	-	49
Research Data Mgr	-	-	1.0	-	-	111
Research Program Spec II	-	-	1.0	-	-	93
Staff Svcs Mgr I	-	-	1.0	-	-	91
Staff Svcs Mgr II (Suprvy)	-	-	1.0	-	-	100

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0511 Secretary for Government Operations Agency - Continued

	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
GovOps Workload BCP						
Atty IV	-	-	1.0	-	-	161
Deputy Secty	-	-	1.0	-	-	152
Office Techn (Typing)	-	-	1.0	-	-	47
Special Asst to the Director	-	-	1.0	-	-	165
Statewide Data Strategy						
C.E.A. - A	-	-	1.0	-	-	128
Research Data Mgr	-	-	1.0	-	-	112
Research Data Spec III	-	-	1.0	-	-	106
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	21.0	\$-	\$-	\$2,330
Totals, Adjustments	-5.4	-	21.0	\$129	\$90	\$2,627
TOTALS, SALARIES AND WAGES	48.8	57.2	78.2	\$5,695	\$6,114	\$8,651

0515 Secretary for Business, Consumer Services, and Housing Agency

The Business, Consumer Services, and Housing Agency (Agency) is responsible for overseeing departments, boards, commissions, and agencies that provide the following services to the people of California: protection of the public through the licensing and regulation of more than 100 businesses and 200 professional categories; regulation of financial services and state-licensed financial institutions; enforcement of the sale of alcoholic beverages; regulation of the horse racing industry; protection of civil rights; homelessness prevention through the coordination of funding and services; and safe and affordable housing opportunities. The Agency is comprised of the following entities: the Department of Consumer Affairs, the Department of Real Estate, the Department of Housing and Community Development, the Department of Fair Employment and Housing, the Department of Financial Protection and Innovation, the Department of Alcoholic Beverage Control, the Alcoholic Beverage Control Appeals Board, the California Housing Finance Agency, the California Horse Racing Board, and the Cannabis Control Appeals Panel. In addition, the Agency is charged with administration of the Homeless Coordinating and Financing Council and is proposed to oversee the newly formed Department of Cannabis Control beginning in 2021-22. Due to recent passage of Proposition 24, the new California Privacy Protection Agency will be housed under the Agency.

3-YEAR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
0260 Support	24.9	17.0	17.0	\$724,215	\$801	\$4,261
0265 Homeless Coordinating and Financing Council	-	24.0	47.0	-	338,288	1,102,779
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	24.9	41.0	64.0	\$724,215	\$339,089	\$1,107,040
FUNDING		2019-20*	2020-21*	2021-22*		
0001 General Fund		\$720,153	\$335,458	\$1,102,996		
0067 State Corporations Fund		223	-	-		
0240 Local Agency Deposit Security Fund		1	1	1		
0298 Financial Institutions Fund		110	-	-		
0299 Credit Union Fund		29	30	33		
0317 Real Estate Fund		238	248	277		
0995 Reimbursements		3,160	2,690	2,996		
3036 Alcohol Beverage Control Fund		263	274	305		
3153 Horse Racing Fund		38	40	44		
3363 Financial Protection Fund		-	348	388		
TOTALS, EXPENDITURES, ALL FUNDS		\$724,215	\$339,089	\$1,107,040		

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0515 Secretary for Business, Consumer Services, and Housing Agency - Continued

LEGAL CITATIONS AND AUTHORITY

Government Code Sections 12800, 12804, 12804.5, 12855, and 12856; Welfare and Institutions Code Sections 8225-8257; Business and Professions Code section 26040; and Health and Safety Code Sections 35805, 50150-50154, and 50210-50221.

MAJOR PROGRAM CHANGES

- Homeless Landscape Assessment—The Budget includes \$5.6 million one-time General Fund for the Homeless Coordinating and Financing Council (HCFC) to contract with a vendor to conduct an analysis of the homelessness service providers and programs at the local and state level.
- Homeless Data Integration System—The Budget includes \$4 million one-time General Fund to support the state's Homeless Data Integration System.
- Family Homelessness Challenge Grants and Technical Assistance—The Budget includes \$40 million one-time General Fund to provide grants and technical assistance to local jurisdictions to develop action plans that will address family homelessness and accelerate local jurisdictions' rehousing efforts.
- Encampment Clean-up—The Budget includes \$50.3 million one-time General Fund for HCFC to partner with a selection of local governments and assist them with resolving critical encampments and transitioning individuals living on site into permanent housing.
- Flexible Local Aid—The Budget includes \$1 billion in 2021-22 and an additional \$1 billion in 2022-23 for flexible local homelessness funding, with robust oversight and accountability requirements.

DETAILED BUDGET ADJUSTMENTS

	2020-21*			2021-22*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Flexible Local Aid	\$-	\$-	-	\$1,000,000	\$-	14.0
• Encampment Clean-Up	-	-	-	50,300	-	2.0
• Family Homelessness Challenge Grants/Technical Assistance	-	-	-	40,000	-	-
• Homeless Landscape Assessment	-	-	-	5,622	-	4.0
• Homeless Data Integration System	-	-	-	4,000	-	5.0
• Facility Relocation Due to Renovation	-	-	-	8	142	-
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$1,099,930	\$142	25.0
Other Workload Budget Adjustments						
• Other Post-Employment Benefit Adjustments	20	19	-	20	19	-
• Carryover per Provision 1 of Item 0515-001-0001, Budget Act of 2019	1,795	-	-	-	-	-
• Carryover per Provision 2 of Item 0515-101-0001, Budget Act of 2019	30,129	-	-	-	-	-
• Section 3.90 Employee Compensation Reduction	-100	-271	-	-	-	-
• Salary Adjustments	72	52	-	72	52	-
• Benefit Adjustments	5	2	-	5	2	-
• Retirement Rate Adjustments	-31	-37	-	-31	-37	-
Totals, Other Workload Budget Adjustments	\$31,890	\$-235	-	\$66	\$36	-
Totals, Workload Budget Adjustments	\$31,890	\$-235	-	\$1,099,996	\$178	25.0
Totals, Budget Adjustments	\$31,890	\$-235	-	\$1,099,996	\$178	25.0

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0515 Secretary for Business, Consumer Services, and Housing Agency - Continued

DETAILED EXPENDITURES BY PROGRAM

		2019-20*	2020-21*	2021-22*
	PROGRAM REQUIREMENTS			
0260	SUPPORT			
	State Operations:			
0001	General Fund	\$2,153	\$205	\$217
0067	State Corporations Fund	223	-	-
0240	Local Agency Deposit Security Fund	1	1	1
0298	Financial Institutions Fund	110	-	-
0299	Credit Union Fund	29	30	33
0317	Real Estate Fund	238	248	277
0995	Reimbursements	3,160	2,690	2,996
3036	Alcohol Beverage Control Fund	263	274	305
3153	Horse Racing Fund	38	40	44
3363	Financial Protection Fund	-	348	388
	Totals, State Operations	\$6,215	\$3,836	\$4,261
	Local Assistance:			
0001	General Fund	\$718,000	-\$3,035	\$-
	Totals, Local Assistance	\$718,000	-\$3,035	\$-
	PROGRAM REQUIREMENTS			
0265	HOMELESS COORDINATING AND FINANCING COUNCIL			
	State Operations:			
0001	General Fund	\$-	\$8,159	\$12,779
	Totals, State Operations	\$-	\$8,159	\$12,779
	Local Assistance:			
0001	General Fund	\$-	\$330,129	\$1,090,000
	Totals, Local Assistance	\$-	\$330,129	\$1,090,000
	TOTALS, EXPENDITURES			
	State Operations	6,215	11,995	17,040
	Local Assistance	718,000	327,094	1,090,000
	Totals, Expenditures	\$724,215	\$339,089	\$1,107,040

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
PERSONAL SERVICES						
Baseline Positions	28.0	41.0	39.0	\$3,006	\$3,672	\$3,415
Other Adjustments	-3.1	-	25.0	-161	376	556
Net Totals, Salaries and Wages	24.9	41.0	64.0	\$2,845	\$4,048	\$3,971
Staff Benefits	-	-	-	1,349	2,196	2,121
Totals, Personal Services	24.9	41.0	64.0	\$4,194	\$6,244	\$6,092
OPERATING EXPENSES AND EQUIPMENT				\$2,021	\$5,751	\$10,948
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$6,215	\$11,995	\$17,040

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0515 Secretary for Business, Consumer Services, and Housing Agency - Continued

2 Local Assistance	Expenditures		
	2019-20*	2020-21*	2021-22*
Grants and Subventions - Governmental	\$718,000	\$327,094	\$1,090,000
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$718,000	\$327,094	\$1,090,000

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2019-20*	2020-21*	2021-22*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,153	\$3,568	\$8,996
Allocation for Employee Compensation	-	72	-
Allocation for Other Post-Employment Benefits	-	20	-
Allocation for Staff Benefits	-	5	-
Provision 1 of Item 0515-001-0001, Budget Act of 2020	-	3,035	-
Section 3.60 Pension Contribution Adjustment	-	-31	-
Section 3.90 Employee Compensation Reduction	-	-100	-
002 Budget Act appropriation	-	-	4,000
Prior Year Balances Available:			
Item 0515-001-0001, Budget Act of 2019	-	1,795	-
Totals Available	\$2,153	\$8,364	\$12,996
TOTALS, EXPENDITURES	\$2,153	\$8,364	\$12,996
0067 State Corporations Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$223	-	-
Totals Available	\$223	-	-
TOTALS, EXPENDITURES	\$223	-	-
0240 Local Agency Deposit Security Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1	\$1	\$1
TOTALS, EXPENDITURES	\$1	\$1	\$1
0298 Financial Institutions Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$110	-	-
Totals Available	\$110	-	-
TOTALS, EXPENDITURES	\$110	-	-
0299 Credit Union Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$29	\$32	\$33
Section 3.90 Employee Compensation Reduction	-	-2	-
Totals Available	\$29	\$30	\$33
TOTALS, EXPENDITURES	\$29	\$30	\$33
0317 Real Estate Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$238	\$265	\$277
Allocation for Employee Compensation	-	3	-
Allocation for Other Post-Employment Benefits	-	2	-
Section 3.60 Pension Contribution Adjustment	-	-3	-
Section 3.90 Employee Compensation Reduction	-	-19	-
Totals Available	\$238	\$248	\$277
TOTALS, EXPENDITURES	\$238	\$248	\$277

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0515 Secretary for Business, Consumer Services, and Housing Agency - Continued

1 STATE OPERATIONS	2019-20*	2020-21*	2021-22*
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$3,160	\$2,690	\$2,996
TOTALS, EXPENDITURES	\$3,160	\$2,690	\$2,996
3036 Alcohol Beverage Control Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$263	\$292	\$305
Allocation for Employee Compensation	-	4	-
Allocation for Other Post-Employment Benefits	-	1	-
Section 3.60 Pension Contribution Adjustment	-	-3	-
Section 3.90 Employee Compensation Reduction	-	-20	-
Totals Available	\$263	\$274	\$305
TOTALS, EXPENDITURES	\$263	\$274	\$305
3153 Horse Racing Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$38	\$42	\$44
Allocation for Employee Compensation	-	1	-
Section 3.90 Employee Compensation Reduction	-	-3	-
Totals Available	\$38	\$40	\$44
TOTALS, EXPENDITURES	\$38	\$40	\$44
3363 Financial Protection Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	\$371	\$388
Allocation for Employee Compensation	-	5	-
Allocation for Other Post-Employment Benefits	-	2	-
Section 3.60 Pension Contribution Adjustment	-	-4	-
Section 3.90 Employee Compensation Reduction	-	-26	-
TOTALS, EXPENDITURES	-	\$348	\$388
Total Expenditures, All Funds, (State Operations)	\$6,215	\$11,995	\$17,040
2 LOCAL ASSISTANCE	2019-20*	2020-21*	2021-22*
0001 General Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$718,000	\$300,000	-
102 Budget Act appropriation	-	-	40,000
103 Budget Act appropriation	-	-	1,000,000
104 Budget Act appropriation	-	-	50,000
Prior Year Balances Available:			
Item 0515-101-0001, Budget Act of 2019	-	27,094	-
Totals Available	\$718,000	\$327,094	\$1,090,000
TOTALS, EXPENDITURES	\$718,000	\$327,094	\$1,090,000
Total Expenditures, All Funds, (Local Assistance)	\$718,000	\$327,094	\$1,090,000
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$724,215	\$339,089	\$1,107,040

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
Baseline Positions	28.0	41.0	39.0	\$3,006	\$3,672	\$3,415
Salary and Other Adjustments	-3.1	-	-	-161	376	124

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0515 Secretary for Business, Consumer Services, and Housing Agency - Continued

	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
Workload and Administrative Adjustments						
Encampment Clean-Up						
Assoc Govtl Program Analyst	-	-	2.0	-	-	139
Flexible Local Aid						
Assoc Govtl Program Analyst	-	-	9.0	-	-	-
Staff Svcs Mgr I	-	-	4.0	-	-	-
Staff Svcs Mgr II (Suprvy)	-	-	1.0	-	-	-
Homeless Data Integration System						
Info Tech Assoc	-	-	1.0	-	-	-
Info Tech Mgr I	-	-	1.0	-	-	-
Info Tech Spec I	-	-	2.0	-	-	-
Info Tech Spec II	-	-	1.0	-	-	-
Homeless Landscape Assessment						
Assoc Govtl Program Analyst	-	-	3.0	-	-	209
Research Data Spec II	-	-	1.0	-	-	84
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	25.0	\$-	\$-	\$432
Totals, Adjustments	-3.1	-	25.0	\$-161	\$376	\$556
TOTALS, SALARIES AND WAGES	24.9	41.0	64.0	\$2,845	\$4,048	\$3,971

0521 Secretary for Transportation Agency

The California State Transportation Agency develops and coordinates the policies and programs of the state's transportation entities to achieve the state's mobility, safety and environmental sustainability objectives.

3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
0270	Administration of Transportation Agency	20.9	26.8	26.8	\$17,252	\$9,344	\$592,333
0275	California Traffic Safety Program	28.2	32.0	32.0	81,565	192,379	123,635
0276	Transit and Intercity Rail Capital Program	1.2	1.2	1.2	235,722	545,628	2,855,638
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		50.3	60.0	60.0	\$334,539	\$747,351	\$3,571,606
FUNDING					2019-20*	2020-21*	2021-22*
0001	General Fund				\$6,816	\$3,984	\$2,880,000
0042	State Highway Account, State Transportation Fund				-	3,563	3,718
0044	Motor Vehicle Account, State Transportation Fund				2,084	1,198	1,249
0046	Public Transportation Account, State Transportation Fund				49,356	45,496	324,428
0890	Federal Trust Fund				81,275	191,780	149,750
0995	Reimbursements				2,787	-	-
3228	Greenhouse Gas Reduction Fund				192,221	501,330	212,461
TOTALS, EXPENDITURES, ALL FUNDS					\$334,539	\$747,351	\$3,571,606

LEGAL CITATIONS AND AUTHORITY

Government Code, Title 2, Division 3, Part 2.5, commencing with Section 12800.

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0521 Secretary for Transportation Agency - Continued

MAJOR PROGRAM CHANGES

- **Transportation Infrastructure Package**—The Budget includes \$2 billion to deliver priority transit and rail projects statewide including projects that will be completed in time for the 2028 Olympic Games in Los Angeles. Additionally, the budget provides \$500 million to support high priority grade separations and grade crossing improvement, and \$280 million for improvements that facilitate freight and passenger access and promote the efficient and safe movement of goods and people.
- **Zero Emission Vehicle Package**—The Budget includes \$407 million state and federal funds to demonstrate and purchase or lease state-of-the-art, clean bus and rail equipment and infrastructure that eliminate fossil fuel emissions and increase intercity rail and intercity bus frequencies.

DETAILED BUDGET ADJUSTMENTS

	2020-21*			2021-22*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Transportation Infrastructure Package	\$-	\$-	-	\$2,500,000	\$-	-
• Port of Oakland Truck, Rail, and Neighborhood Safety Corridor Infrastructure	-	-	-	280,000	-	-
• Zero Emission Vehicle Package: Transportation Infrastructure	-	-	-	100,000	306,741	-
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$2,880,000	\$306,741	-
Other Workload Budget Adjustments						
• Green House Gas Reduction Fund Adjustment (3228)	-	-25,981	-	-	62,872	-
• SB 1 Incremental Revenue Adjustment to Public Transportation Account (0046)	-	-11,909	-	-	12,653	-
• CS 3.90, Employee Comp Reduction	-	-520	-	-	-	-
• Prior Year Adjustment - Federal Trust Fund (0890)	-	71,131	-	-	-	-
• Prior Year Adjustment - Greenhouse Gas Reduction Fund (3228)	-	281,830	-	-	-	-
• Prior Year Adjustment - Public Transportation Fund (0046)	-	9,000	-	-	-	-
• Miscellaneous Baseline Adjustments	-	21,373	-	-	7,926	-
• Salary Adjustments	-	206	-	-	206	-
• SWCAP	-	-	-	-	100	-
• Benefit Adjustments	-	72	-	-	71	-
• Carryover/Reappropriation	3,984	-	-	-	-	-
• Retirement Rate Adjustments	-	-96	-	-	-96	-
Totals, Other Workload Budget Adjustments	\$3,984	\$345,106	-	\$-	\$83,732	-
Totals, Workload Budget Adjustments	\$3,984	\$345,106	-	\$2,880,000	\$390,473	-
Totals, Budget Adjustments	\$3,984	\$345,106	-	\$2,880,000	\$390,473	-

PROGRAM DESCRIPTIONS

0270 - ADMINISTRATION OF TRANSPORTATION AGENCY

The Administration of the Transportation Agency, under direction of the Secretary, advises the Governor on major policy and program matters and provides oversight and support of the Agency's departments and programs.

0275 - CALIFORNIA TRAFFIC SAFETY PROGRAM

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0521 Secretary for Transportation Agency - Continued

The California Traffic Safety Program develops the California Highway Safety Plan. The plan uses available state and federal resources to identify and address major traffic safety problems throughout the state.

0276 - TRANSIT AND INTERCITY RAIL CAPITAL PROGRAM

The Transit and Intercity Rail Capital Program provides grants to encourage low carbon transit operations through the Transit, Affordable Housing, and Sustainable Communities Program. The program goals include reducing greenhouse gas emissions, improving mobility access across the state, and providing benefits to California's disadvantaged communities.

DETAILED EXPENDITURES BY PROGRAM

		<u>2019-20*</u>	<u>2020-21*</u>	<u>2021-22*</u>
	PROGRAM REQUIREMENTS			
0270	ADMINISTRATION OF TRANSPORTATION AGENCY			
	State Operations:			
0001	General Fund	\$-	\$-	\$500
0042	State Highway Account, State Transportation Fund	-	3,202	3,342
0044	Motor Vehicle Account, State Transportation Fund	1,794	1,080	1,125
0046	Public Transportation Account, State Transportation Fund	1,855	1,078	281,125
0995	Reimbursements	2,787	-	-
	Totals, State Operations	\$6,436	\$5,360	\$286,092
	Local Assistance:			
0001	General Fund	\$6,816	\$3,984	\$279,500
0046	Public Transportation Account, State Transportation Fund	4,000	-	-
0890	Federal Trust Fund	-	-	26,741
	Totals, Local Assistance	\$10,816	\$3,984	\$306,241
	PROGRAM REQUIREMENTS			
0275	CALIFORNIA TRAFFIC SAFETY PROGRAM			
	State Operations:			
0042	State Highway Account, State Transportation Fund	\$-	\$361	\$376
0044	Motor Vehicle Account, State Transportation Fund	290	118	124
0046	Public Transportation Account, State Transportation Fund	-	120	126
0890	Federal Trust Fund	30,417	92,836	59,008
	Totals, State Operations	\$30,707	\$93,435	\$59,634
	Local Assistance:			
0890	Federal Trust Fund	\$50,858	\$98,944	\$64,001
	Totals, Local Assistance	\$50,858	\$98,944	\$64,001
	PROGRAM REQUIREMENTS			
0276	TRANSIT AND INTERCITY RAIL CAPITAL PROGRAM			
	State Operations:			
0001	General Fund	\$-	\$-	\$70,000
0046	Public Transportation Account, State Transportation Fund	7	6	6
3228	Greenhouse Gas Reduction Fund	57	73	73
	Totals, State Operations	\$64	\$79	\$70,079
	Local Assistance:			
0001	General Fund	\$-	\$-	\$2,530,000
0046	Public Transportation Account, State Transportation Fund	43,494	44,292	43,171
3228	Greenhouse Gas Reduction Fund	192,164	501,257	212,388
	Totals, Local Assistance	\$235,658	\$545,549	\$2,785,559
	TOTALS, EXPENDITURES			
	State Operations	37,207	98,874	415,805

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0521 Secretary for Transportation Agency - Continued

	2019-20*	2020-21*	2021-22*
Local Assistance	297,332	648,477	3,155,801
Totals, Expenditures	\$334,539	\$747,351	\$3,571,606

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
PERSONAL SERVICES						
Baseline Positions	60.0	60.0	60.0	\$5,596	\$5,594	\$5,594
Other Adjustments	-9.7	-	-	-535	-126	206
Net Totals, Salaries and Wages	50.3	60.0	60.0	\$5,061	\$5,468	\$5,800
Staff Benefits	-	-	-	2,654	2,904	3,091
Totals, Personal Services	50.3	60.0	60.0	\$7,715	\$8,372	\$8,891
OPERATING EXPENSES AND EQUIPMENT				\$4,001	\$3,885	\$3,985
SPECIAL ITEMS OF EXPENSES				25,491	86,617	402,929
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$37,207	\$98,874	\$415,805

2 Local Assistance	Expenditures		
	2019-20*	2020-21*	2021-22*
Consulting and Professional Services - Interdepartmental - Other	-\$592	-\$573	-\$612
Grants and Subventions - Governmental	297,924	649,050	3,156,413
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$297,332	\$648,477	\$3,155,801

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2019-20*	2020-21*	2021-22*
0001 General Fund			
APPROPRIATIONS			
002 Budget Act appropriation	-	-	\$500
031 Budget Act appropriation	-	-	70,000
TOTALS, EXPENDITURES	-	-	\$70,500
0042 State Highway Account, State Transportation Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	\$3,685	\$3,718
Allocation for Employee Compensation	-	43	-
Allocation for Other Post-Employment Benefits	-	23	-
Allocation for Staff Benefits	-	2	-
CS 3.90, Employee Comp Reduction	-	-157	-
Section 3.60 Pension Contribution Adjustment	-	-33	-
TOTALS, EXPENDITURES	-	\$3,563	\$3,718
0044 Motor Vehicle Account, State Transportation Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,084	\$1,229	\$1,249
Allocation for Employee Compensation	-	22	-
Allocation for Other Post-Employment Benefits	-	8	-
Allocation for Staff Benefits	-	2	-
CS 3.90, Employee Comp Reduction	-	-52	-

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0521 Secretary for Transportation Agency - Continued

1 STATE OPERATIONS	2019-20*	2020-21*	2021-22*
Section 3.60 Pension Contribution Adjustment	-	-11	-
Totals Available	\$2,084	\$1,198	\$1,249
TOTALS, EXPENDITURES	\$2,084	\$1,198	\$1,249
0046 Public Transportation Account, State Transportation Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,862	\$1,235	\$281,257
Allocation for Employee Compensation	-	22	-
Allocation for Other Post-Employment Benefits	-	8	-
Allocation for Staff Benefits	-	2	-
CS 3.90, Employee Comp Reduction	-	-52	-
Section 3.60 Pension Contribution Adjustment	-	-11	-
Totals Available	\$1,862	\$1,204	\$281,257
TOTALS, EXPENDITURES	\$1,862	\$1,204	\$281,257
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$4,926	\$6,373	\$6,579
Allocation for Employee Compensation	-	119	-
Allocation for Other Post-Employment Benefits	-	21	-
Allocation for Staff Benefits	-	6	-
CS 3.90, Employee Comp Reduction	-	-259	-
Section 3.60 Pension Contribution Adjustment	-	-41	-
002 Budget Act appropriation	3,326	51,829	52,429
Prior Year Adjustment - Federal Trust Fund (0890)	-	34,788	-
Prior Year Balances Available:			
Item 0521-002-0890, Budget Act of 2018	22,165	-	-
Totals Available	\$30,417	\$92,836	\$59,008
TOTALS, EXPENDITURES	\$30,417	\$92,836	\$59,008
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$2,787	-	-
TOTALS, EXPENDITURES	\$2,787	-	-
3228 Greenhouse Gas Reduction Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$57	\$73	\$73
Totals Available	\$57	\$73	\$73
TOTALS, EXPENDITURES	\$57	\$73	\$73
Total Expenditures, All Funds, (State Operations)	\$37,207	\$98,874	\$415,805
2 LOCAL ASSISTANCE	2019-20*	2020-21*	2021-22*
0001 General Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$6,816	-	-
102 Budget Act appropriation	-	-	279,500
131 Budget Act appropriation	-	-	2,530,000
Prior Year Balances Available:			
Item 0521-101-0001, Budget Act of 2019 as reappropriated by Item 0521-490, Budget Act of 2020	-	3,984	-
Totals Available	\$6,816	\$3,984	\$2,809,500
TOTALS, EXPENDITURES	\$6,816	\$3,984	\$2,809,500
0046 Public Transportation Account, State Transportation Fund			
APPROPRIATIONS			

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0521 Secretary for Transportation Agency - Continued

2 LOCAL ASSISTANCE	2019-20*	2020-21*	2021-22*
Public Utilities Code section 99312.3	\$43,494	\$45,828	\$43,171
SB 1 Incremental Revenue Adjustment to Public Transportation Account (0046)	-	-10,536	-
Prior Year Balances Available:			
Chapter 934, Statutes of 2018	4,000	-	-
Item 0521-101-0046, Budget Act of 2019	-	9,000	-
Totals Available	\$47,494	\$44,292	\$43,171
TOTALS, EXPENDITURES	\$47,494	\$44,292	\$43,171
0890 Federal Trust Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$50,858	\$62,601	\$64,001
Prior Year Adjustment - Federal Trust Fund (0890)	-	36,343	-
131 Budget Act appropriation	-	-	26,741
Totals Available	\$50,858	\$98,944	\$90,742
TOTALS, EXPENDITURES	\$50,858	\$98,944	\$90,742
3228 Greenhouse Gas Reduction Fund			
APPROPRIATIONS			
Health and Safety Code section 39719(b)(1)(A)	\$192,164	\$225,408	\$212,388
Green House Gas Reduction Fund Adjustment (3228)	-	-5,981	-
Prior Year Adjustment - Greenhouse Gas Reduction Fund (3228)	-	281,830	-
Totals Available	\$192,164	\$501,257	\$212,388
TOTALS, EXPENDITURES	\$192,164	\$501,257	\$212,388
Total Expenditures, All Funds, (Local Assistance)	\$297,332	\$648,477	\$3,155,801
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$334,539	\$747,351	\$3,571,606

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
Baseline Positions	60.0	60.0	60.0	\$5,596	\$5,594	\$5,594
Salary and Other Adjustments	-9.7	-	-	-535	-126	206
Totals, Adjustments	-9.7	-	-	\$-535	\$-126	\$206
TOTALS, SALARIES AND WAGES	50.3	60.0	60.0	\$5,061	\$5,468	\$5,800

0530 Secretary for California Health and Human Services Agency

The primary mission of the Health and Human Services Agency (HHSA) is to provide policy leadership and direction to the departments, board and programs it oversees, to reduce duplication and fragmentation among HHSA departments in policy development and implementation, to improve coordination among departments on common programs, to ensure programmatic integrity, and to advance the Governor's priorities on health and human services issues.

The HHSA accomplishes its mission through the administration and coordination of state and federal programs for public health, health care services, social services, public assistance, health planning and licensing, and rehabilitation. These programs touch the lives of millions of California's most needy and vulnerable residents. The HHSA is committed to striking a balance between maintaining access to essential health and human services for California's most disadvantaged and at-risk residents while pursuing ways to better manage and control costs.

The following departments and entities fall under the purview of the HHSA:

- Department of Aging
- Department of Child Support Services

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0530 Secretary for California Health and Human Services Agency - Continued

- Department of Community Services and Development
- Department of Developmental Services
- Emergency Medical Services Authority
- Department of Health Care Services
- Department of Managed Health Care
- Department of Public Health
- Department of Rehabilitation
- Department of Social Services
- Department of State Hospitals
- Office of Statewide Health Planning and Development

3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
0280	Secretary of California Health and Human Services	38.2	41.7	49.7	\$11,286	\$26,149	\$97,628
0285	California Office of Health Information Integrity (CALOHII)	13.2	9.2	9.2	2,283	1,792	1,845
0286	Office of Youth and Community Restoration	-	-	33.0	-	-	27,600
0290	Office of Systems Integration	310.6	290.6	287.6	484,352	462,713	541,932
0295	Office of the Patient Advocate	14.3	10.2	10.2	1,838	2,037	2,205
0296	Center for Data Insights and Innovations	-	-	4.0	-	-	443
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		376.3	351.7	393.7	\$499,759	\$492,691	\$671,653
FUNDING				2019-20*	2020-21*	2021-22*	
0001	General Fund			\$12,601	\$6,626	\$105,004	
0890	Federal Trust Fund			-	13,415	13,415	
0995	Reimbursements			-1,122	3,810	4,131	
3209	Office of Patient Advocate Trust Fund			1,838	2,037	2,205	
3377	Data Insights Innovation Fund			-	-	443	
9740	Central Service Cost Recovery Fund			2,658	4,633	5,105	
9745	California Health and Human Services Automation Fund			483,784	462,170	541,350	
TOTALS, EXPENDITURES, ALL FUNDS				\$499,759	\$492,691	\$671,653	

LEGAL CITATIONS AND AUTHORITY

0280-Secretary for Health and Human Services:
Government Code, Title 2, Division 3, Part 2.5, commencing with Section 12800.

0285-The California Office of Health Information Integrity:
Health and Safety Code Division 110, Section 130300 et seq. Health and Safety Code Section 130200 et seq, 130250 et seq, 130275 et seq.

0290-Office of Systems Integration:
Government Code, Title 2, Division 3, Part 2.5, Section 12803.3.

0295-Office of the Patient Advocate:
Health and Safety Code Division 115, Section 136000 et seq.

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0530 Secretary for California Health and Human Services Agency - Continued**MAJOR PROGRAM CHANGES**

- The Budget would consolidate existing resources to establish a Center for Data Insights and Innovation within the Agency, contingent upon passage of future legislation. The Center would focus on leveraging data to develop knowledge and insights to improve program delivery and drive system transformation across health and human services.
- The Budget includes \$7.6 million General Fund in 2021-22 and \$7.2 million ongoing General Fund for 33 positions to stand up the Office of Youth and Community Restoration and perform the core functions laid out in SB 823 (Chapter 337, Statutes of 2020), including: (1) review of county's juvenile justice plans, (2) reporting on youth outcomes and identifying best practices, (3) providing technical assistance to counties, and (4) performing the ombudsperson duties. The Budget also includes \$20 million General Fund in 2021-22 to provide technical assistance, disseminate best practices, and issue grants to counties and probation departments for the purpose of transforming the juvenile justice system to improve outcomes for justice involved youth.
- The Budget includes resources for equity-centered programs, including: \$1.7 million General Fund for the Agency to conduct a retrospective analysis of COVID-19, health disparities and health equity to help inform future response; \$3.2 million General Fund to develop an equity dashboard to identify data completeness, disparities, disproportionalities, and program participation for Health and Human Services programs; \$2.5 million General Fund to support the CALeads initiative to diversify the state workforce within California Health and Human Services departments; and \$20.3 million General Fund to provide cross-departmental technical assistance and support the development and implementation of an Agency-wide language access policy and process framework.
- The Budget includes \$35.1 million General Fund ongoing to support public education, coordination, subject matter expertise, and evaluation activities for the Children and Youth Behavioral Health Initiative.
- The Budget includes \$2.5 million General Fund in 2021-22 to facilitate health and social service program information exchange activities, contingent upon passage of future legislation.

DETAILED BUDGET ADJUSTMENTS

	2020-21*			2021-22*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Office of Youth and Community Restoration	\$-	\$-	-	\$27,600	\$-	33.0
• Children and Youth Behavioral Health Initiative: Public Education and Change	-	-	-	25,100	-	1.0
• Language Access Services	-	-	-	20,000	-	-
• Children and Youth Behavioral Health Initiative: Coordination, Subject Matter Expertise, and Evaluation	-	-	-	10,000	-	-
• Equity Dashboard	-	-	-	3,172	-	3.0
• GARE Training Support	-	-	-	2,500	-	-
• Health Information Exchange Leadership	-	-	-	2,500	-	-
• California Affordable Drug Manufacturing Act Implementation (SB 852)	-	-	-	2,197	-	1.0
• Post COVID-19 Equity Analysis	-	-	-	1,654	-	1.0
• Relocation to the Clifford L. Allenby Building - Phase 3	-	-	-	744	-	-
• Language Access Resources	-	-	-	307	-	2.0
• Child Welfare Services-California Automated Response and Engagement System	-	-	-	-	64,762	-
• Electronic Visit Verification (EVV) Phase II	-	-	-	-	21,234	-
• Center for Data Insights and Innovations	-	-	-	-	443	4.0
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$95,774	\$86,439	45.0
Other Workload Budget Adjustments						
• Other Post-Employment Benefit Adjustments	19	429	-	19	429	-
• Section 3.90 Employee Compensation Reduction	-105	-5,721	-	-	-	-

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0530 Secretary for California Health and Human Services Agency - Continued

	2020-21*			2021-22*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
• Carryover/Reappropriation	-	-	-	2,500	-	-
• Salary Adjustments	93	2,151	-	93	2,151	-
• Benefit Adjustments	8	508	-	7	493	-
• Retirement Rate Adjustments	-55	-614	-	-55	-614	-
Totals, Other Workload Budget Adjustments	\$-40	\$-3,247	-	\$2,564	\$2,459	-
Totals, Workload Budget Adjustments	\$-40	\$-3,247	-	\$98,338	\$88,898	45.0
Totals, Budget Adjustments	\$-40	\$-3,247	-	\$98,338	\$88,898	45.0

PROGRAM DESCRIPTIONS**0280 - SECRETARY FOR HEALTH AND HUMAN SERVICES**

The Secretary for California Health and Human Services Agency provides the Governor with the highest level of advice on state health, human services and related budget policy issues. The Secretary also provides the highest level of leadership and oversight of the agency-wide efforts to promote the health and well-being of a growing and increasingly diverse California population.

0285 - THE CALIFORNIA OFFICE OF HEALTH INFORMATION INTEGRITY

The California Office of Health Information Integrity (CalOHII) is responsible for statewide leadership, coordination, policy formation, direction, and oversight responsibilities for federal Health Insurance Portability and Accountability Act (HIPAA) requirements. As the leading resource for providing HIPAA expertise to the State of California, CalOHII exercises full authority relative to state entities to establish policy, provide direction to state entities, monitor progress and report on implementation efforts based on state and federal laws.

0286 - OFFICE OF YOUTH AND COMMUNITY RESTORATION

The mission for the Office of Youth and Community Restoration Community is to support the transition of justice involved youth to be served in local communities and promote trauma responsive, culturally informed services for youth involved in the juvenile justice system that support the youths' successful transition into adulthood and help them become responsible, thriving, and engaged members of their communities.

0290 - OFFICE OF SYSTEMS INTEGRATION

The Office of Systems Integration's (OSI) mission is to procure, manage, and deliver complex technology systems that support the delivery of health and human services for automation projects for the Health and Human Services Agency, the Department of Social Services, the Department of Health Care Services, the Department of Public Health, and the Health Benefit Exchange, including:

- Appeals Case Management System
- California Healthcare Eligibility, Enrollment and Retention System (CalHEERS)
- Case Management, Information and Payrolling System II (CMIPS)
- Child Welfare Services/Case Management System (CWS/CMS)
- Child Welfare Digital Services (CWDS)
- Child Welfare Services - California Automated Response and Engagement System
- Electronic Benefit Transfer System (EBT)
- Electronic Women, Infants and Children Management Information System Project (eWIC)
- Medi-Cal Eligibility Data System Modernization (MEDS Mod)
- Statewide Automated Welfare System (SAWS)
- Welfare Data Tracking Implementation Project (WDTIP)

0295 - OFFICE OF THE PATIENT ADVOCATE

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0530 Secretary for California Health and Human Services Agency - Continued

The mission of the Office of the Patient Advocate is to improve the access to and quality of health care services by promoting transparency and accountability. The Office of the Patient Advocate is mandated to produce a number of public reports on health care service topics. Current reporting activities include:

- Online Annual Health Care Quality Report Cards on health plans and medical groups,
- A Baseline Review and annual report on the State Consumer Assistance Call Centers and health care complaint data,
- Online Timely Access Compliance report on California Department of Managed Health Care health care services plans and providers, and
- Model protocols for State Consumer Assistance Call Centers.

0296 - CENTER FOR DATA INSIGHTS AND INNOVATION

The mission of the Center for Data Insights and Innovation is to improve the lives of all Californians by turning data into insights, knowledge and action to increase the efficiency and utilization of data in the promotion of person-centered, data-driven decision making, and integrated care and services.

DETAILED EXPENDITURES BY PROGRAM

		<u>2019-20*</u>	<u>2020-21*</u>	<u>2021-22*</u>
	PROGRAM REQUIREMENTS			
0280	SECRETARY OF CALIFORNIA HEALTH AND HUMAN SERVICES			
	State Operations:			
0001	General Fund	\$11,039	\$5,768	\$76,541
0890	Federal Trust Fund	-	13,415	13,415
0995	Reimbursements	-2,411	2,311	2,545
9740	Central Service Cost Recovery Fund	2,658	4,633	5,105
9745	California Health and Human Services Automation Fund	-	22	22
	Totals, State Operations	\$11,286	\$26,149	\$97,628
	PROGRAM REQUIREMENTS			
0285	CALIFORNIA OFFICE OF HEALTH INFORMATION INTEGRITY (CALOHII)			
	State Operations:			
0001	General Fund	\$1,562	\$858	\$863
0995	Reimbursements	721	934	982
	Totals, State Operations	\$2,283	\$1,792	\$1,845
	PROGRAM REQUIREMENTS			
0286	OFFICE OF YOUTH AND COMMUNITY RESTORATION			
	State Operations:			
0001	General Fund	\$-	\$-	\$27,600
	Totals, State Operations	\$-	\$-	\$27,600
	PROGRAM REQUIREMENTS			
0290	OFFICE OF SYSTEMS INTEGRATION			
	State Operations:			
0995	Reimbursements	568	565	604
9745	California Health and Human Services Automation Fund	483,784	462,148	541,328
	Totals, State Operations	\$484,352	\$462,713	\$541,932
	PROGRAM REQUIREMENTS			
0295	OFFICE OF THE PATIENT ADVOCATE			
	State Operations:			
3209	Office of Patient Advocate Trust Fund	1,838	2,037	2,205
	Totals, State Operations	\$1,838	\$2,037	\$2,205
	PROGRAM REQUIREMENTS			
0296	CENTER FOR DATA INSIGHTS AND INNOVATIONS			

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0530 Secretary for California Health and Human Services Agency - Continued

	2019-20*	2020-21*	2021-22*
State Operations:			
3377 Data Insights Innovation Fund	\$-	\$-	\$443
Totals, State Operations	\$-	\$-	\$443
TOTALS, EXPENDITURES			
State Operations	499,759	492,691	671,653
Totals, Expenditures	\$499,759	\$492,691	\$671,653

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
PERSONAL SERVICES						
Baseline Positions	371.7	351.7	348.7	\$36,987	\$36,004	\$35,471
Other Adjustments	4.6	-	45.0	-1,906	-1,285	14,367
Net Totals, Salaries and Wages	376.3	351.7	393.7	\$35,081	\$34,719	\$49,838
Staff Benefits	-	-	-	21,897	20,071	27,870
Totals, Personal Services	376.3	351.7	393.7	\$56,978	\$54,790	\$77,708
OPERATING EXPENSES AND EQUIPMENT				\$440,488	\$437,901	\$573,945
SPECIAL ITEMS OF EXPENSES				2,293	-	20,000
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$499,759	\$492,691	\$671,653

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2019-20*	2020-21*	2021-22*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$11,843	\$6,912	\$104,141
Allocation for Employee Compensation	-	82	-
Allocation for Other Post-Employment Benefits	-	17	-
Allocation for Staff Benefits	-	7	-
Section 3.60 Pension Contribution Adjustment	-	-51	-
Section 3.90 Employee Compensation Reduction	-	-99	-
017 Budget Act appropriation	841	854	863
Allocation for Employee Compensation	-	11	-
Allocation for Other Post-Employment Benefits	-	2	-
Allocation for Staff Benefits	-	1	-
Section 3.60 Pension Contribution Adjustment	-	-4	-
Section 3.90 Employee Compensation Reduction	-	-6	-
Education Code section 10859(b)(2)(A)	-83	-	-
Totals Available	\$12,601	\$7,726	\$105,004
Balance available in subsequent years	-	-1,100	-
TOTALS, EXPENDITURES	\$12,601	\$6,626	\$105,004
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	\$13,415	\$13,415
TOTALS, EXPENDITURES	-	\$13,415	\$13,415
0995 Reimbursements			

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0530 Secretary for California Health and Human Services Agency - Continued

1 STATE OPERATIONS	2019-20*	2020-21*	2021-22*
APPROPRIATIONS			
Reimbursements	-\$1,122	\$3,810	\$4,131
TOTALS, EXPENDITURES	-\$1,122	\$3,810	\$4,131
3209 Office of Patient Advocate Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,838	\$2,185	\$2,205
Allocation for Employee Compensation	-	21	-
Allocation for Other Post-Employment Benefits	-	7	-
Allocation for Staff Benefits	-	2	-
Section 3.60 Pension Contribution Adjustment	-	-10	-
Section 3.90 Employee Compensation Reduction	-	-168	-
Totals Available	\$1,838	\$2,037	\$2,205
TOTALS, EXPENDITURES	\$1,838	\$2,037	\$2,205
3377 Data Insights Innovation Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	-	\$443
TOTALS, EXPENDITURES	-	-	\$443
9740 Central Service Cost Recovery Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,658	\$5,056	\$5,105
Allocation for Employee Compensation	-	77	-
Allocation for Staff Benefits	-	9	-
Section 3.60 Pension Contribution Adjustment	-	-37	-
Section 3.90 Employee Compensation Reduction	-	-472	-
TOTALS, EXPENDITURES	\$2,658	\$4,633	\$5,105
9745 California Health and Human Services Automation Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$483,784	\$464,605	\$541,350
Allocation for Employee Compensation	-	1,974	-
Allocation for Other Post-Employment Benefits	-	404	-
Allocation for Staff Benefits	-	483	-
Section 3.60 Pension Contribution Adjustment	-	-538	-
Section 3.90 Employee Compensation Reduction	-	-4,758	-
Totals Available	\$483,784	\$462,170	\$541,350
TOTALS, EXPENDITURES	\$483,784	\$462,170	\$541,350
Total Expenditures, All Funds, (State Operations)	\$499,759	\$492,691	\$671,653

FUND CONDITION STATEMENTS

	2019-20*	2020-21*	2021-22*
3209 Office of Patient Advocate Trust Fund^S			
BEGINNING BALANCE	\$2,462	\$4,766	\$6,905
Prior Year Adjustments	105	-	-
Adjusted Beginning Balance	\$2,567	\$4,766	\$6,905
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4163000 Investment Income - Surplus Money Investments	53	55	75
4173500 Settlements and Judgments - Other	-	8	-
Transfers and Other Adjustments			
Current and Budget Year Revenue Adjustments	-	-97	53

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0530 Secretary for California Health and Human Services Agency - Continued

	2019-20*	2020-21*	2021-22*
Current and Budget Year Revenue Adjustments	-	-12	6
Revenue Transfer from Insurance Fund (0217) to Office of Patient Advocate Trust Fund (3209) per Chapter 552, Statutes of 2011	145	143	97
Revenue Transfer from Managed Care Fund (0933) to Office of Patient Advocate Trust Fund (3209) per Chapter 552, Statutes of 2011	2,001	2,042	2,108
Total Revenues, Transfers, and Other Adjustments	\$2,199	\$2,139	\$2,339
Total Resources	\$4,766	\$6,905	\$9,244
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
FUND BALANCE	\$4,766	\$6,905	\$9,244
Reserve for economic uncertainties	4,766	6,905	9,244
3377 Data Insights Innovation Fund^s			
BEGINNING BALANCE	-	-	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4143500 Miscellaneous Services to the Public	-	-	443
Total Revenues, Transfers, and Other Adjustments	-	-	\$443
Total Resources	-	-	\$443
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
FUND BALANCE	-	-	\$443
Reserve for economic uncertainties	-	-	443

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
Baseline Positions	371.7	351.7	348.7	\$36,987	\$36,004	\$35,471
Salary and Other Adjustments	4.6	-	-	-1,906	-1,285	2,244
Workload and Administrative Adjustments						
California Affordable Drug Manufacturing Act Implementation (SB 852)						
Hlth Program Mgr III	-	-	1.0	-	-	108
Center for Data Insights and Innovations						
Office Techn (Gen)	-	-	2.0	-	-	-
Staff Svcs Mgr I	-	-	2.0	-	-	-
Child Welfare Services-California Automated Response and Engagement System						
Various	-	-	-	-	-	7,194
Children and Youth Behavioral Health Initiative: Public Education and Change						
Info Officer II	-	-	1.0	-	-	85
Electronic Visit Verification (EVV) Phase II						
Temporary Help	-	-	-	-	-	556
Equity Dashboard						
Assoc Govtl Program Analyst	-	-	2.0	-	-	180
Research Mgr I	-	-	1.0	-	-	90
Health Information Exchange Leadership						
Temporary Help	-	-	-	-	-	1,000
Language Access Resources						
Assoc Govtl Program Analyst	-	-	2.0	-	-	140
Office of Youth and Community Restoration						
Assoc Govtl Program Analyst	-	-	9.0	-	-	669

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0530 Secretary for California Health and Human Services Agency - Continued

	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
Atty IV	-	-	1.0	-	-	138
C.E.A.	-	-	2.0	-	-	304
Hlth Program Spec I	-	-	2.0	-	-	150
Info Tech Spec I	-	-	2.0	-	-	184
Office Techn (Typing)	-	-	2.0	-	-	86
Research Data Spec I	-	-	2.0	-	-	152
Research Data Spec II	-	-	2.0	-	-	168
Staff Svcs Analyst (Gen)	-	-	3.0	-	-	175
Staff Svcs Mgr I	-	-	5.0	-	-	405
Staff Svcs Mgr II (Supvry)	-	-	3.0	-	-	267
Post COVID-19 Equity Analysis						
Assoc Govtl Program Analyst	-	-	1.0	-	-	72
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	45.0	\$-	\$-	\$12,123
Totals, Adjustments	4.6	-	45.0	\$-1,906	\$-1,285	\$14,367
TOTALS, SALARIES AND WAGES	376.3	351.7	393.7	\$35,081	\$34,719	\$49,838

0540 Secretary of the Natural Resources Agency

The mission of the Natural Resources Agency is to restore, protect and manage the state's natural, historical and cultural resources for current and future generations using creative approaches and solutions based on science, collaboration and respect for all involved communities. The Secretary for Natural Resources, a member of the Governor's Cabinet, sets the policies and coordinates the environmental preservation and restoration activities of 26 various departments, boards, commissions and conservancies, and directly administers the Sea Grant Program, Ocean Protection Council, California Environmental Quality Act, Environmental Enhancement Mitigation Program, River Parkways, Urban Greening, and the California Cultural and Historical Endowment grant programs.

The Natural Resources Agency consists of the departments of Forestry and Fire Protection, Conservation, Fish and Wildlife, Parks and Recreation, and Water Resources; the California Conservation Corps; Exposition Park; California Science Center; California African American Museum; the State Lands Commission; the Colorado River Board; the San Francisco Bay Conservation and Development Commission; the Energy Resources Conservation and Development Commission; the Wildlife Conservation Board; the Delta Protection Commission; the California Coastal Commission; the State Coastal Conservancy; the California Tahoe Conservancy; the Santa Monica Mountains Conservancy; the Coachella Valley Mountains Conservancy; the San Joaquin River Conservancy; the San Gabriel and Lower Los Angeles Rivers and Mountains Conservancy; the Baldwin Hills Conservancy; the San Diego River Conservancy; the Sierra Nevada Conservancy; the Sacramento-San Joaquin Delta Conservancy; the Native American Heritage Commission; and the Special Resources Program.

3-YEAR EXPENDITURES AND POSITIONS [†]

	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
0320 Administration of Natural Resources Agency	59.5	59.1	62.1	\$293,280	\$317,941	\$167,209
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	59.5	59.1	62.1	\$293,280	\$317,941	\$167,209
FUNDING				2019-20*	2020-21*	2021-22*
0001 General Fund				\$116,490	\$16,020	\$109,890
0140 California Environmental License Plate Fund				10,838	16,701	7,078
0183 Environmental Enhancement and Mitigation Program Fund				7,318	7,393	7,082
0200 Fish and Game Preservation Fund				36	61	65
0263 Off-Highway Vehicle Trust Fund				7	12	12
0392 State Parks and Recreation Fund				29	42	45

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0540 Secretary of the Natural Resources Agency - Continued

FUNDING	2019-20*	2020-21*	2021-22*
0516 Harbors and Watercraft Revolving Fund	-	5	5
0890 Federal Trust Fund	-	1	1
0995 Reimbursements	8,353	12,696	20,504
1018 Lake Tahoe Science and Lake Improvement Account, General Fund	746	250	266
3046 Oil, Gas, and Geothermal Administrative Fund	36	61	65
3117 Alternative and Renewable Fuel and Vehicle Technology Fund	109	136	145
3212 Timber Regulation and Forest Restoration Fund	530	1,513	1,567
3228 Greenhouse Gas Reduction Fund	30,000	150	-
3237 Cost of Implementation Account, Air Pollution Control Fund	103	311	318
6029 California Clean Water, Clean Air, Safe Neighborhood Parks, and Coastal Protection Fund	895	-	-
6031 Water Security, Clean Drinking Water, Coastal and Beach Protection Fund of 2002	1,193	291	305
6051 Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Fund of 2006	1,209	15,315	3,371
6076 California Ocean Protection Trust Fund	6,885	7,644	6,039
6083 Water Quality, Supply, and Infrastructure Improvement Fund of 2014	12,166	11,129	1,824
6088 California Drought, Water, Parks, Climate, Coastal Protection, and Outdoor Access For All Fund	96,227	227,012	8,429
8058 California Cultural and Historical Endowment Fund	110	1,198	198
TOTALS, EXPENDITURES, ALL FUNDS	\$293,280	\$317,941	\$167,209

† Fiscal year 2019-20 budget information reflects the latest available estimates for this department and/or fund(s). Changes resulting from the final reconciliation of the 2019-20 ending fund balance will be reflected as a prior year adjustment in the 2022-23 Governor's Budget publication.

LEGAL CITATIONS AND AUTHORITY**DEPARTMENT AUTHORITY**

Government Code Sections 12800, 12801, 12802.5, 12805, 12807-12810, 12850-12850.7, 12851-12855. Public Resources Code Sections 32300, 36000, 85000. Education Code Sections 20053, 20080, 20092.

MAJOR PROGRAM CHANGES

- **Water Resilience Package**—The Budget includes \$6.3 million one-time General Fund as part of a \$5.1 billion package to support water resilience and drought response efforts. This includes funding to support rehabilitation strategies at Clear Lake as well as coordinating immediate drought response efforts.
- **Wildfire and Forest Resilience Package**—Chapter 14, Statutes of 2021 (SB 85) provided \$536 million one-time General Fund in 2020-21 for a comprehensive package to increase the pace and scale of forest health activities and reduce wildfire risk. In total, the Budget includes a \$1.5 billion wildfire prevention and forest resilience investment over three years (\$536 million in 2020 21, \$458 million in 2021-22, and \$500 million in 2022 23). Allocations for the 2021-22 and 2022-23 budgets will be determined in subsequent legislation.
- **Museum Grant Program**—The Budget includes \$50 million one-time General Fund for projects and programs supporting and enhancing museum services and serving historically underserved communities at the California Cultural and Historical Endowment.
- **Presidio Improvement Projects**—The Budget includes \$27.5 million one-time General Fund to support expanding and enhancing equitable access to the outdoors through increased seating, improved public transit access, and new paths and bikeways.
- **Investments to Accelerate Progress on the State's Clean Energy Goals**—The Budget includes \$812 million to accelerate California's progress on meeting its clean energy goals and better position California as a leader in advancing clean technologies. This includes \$2 million one-time General Fund to the Ocean Protection Council to support research

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0540 Secretary of the Natural Resources Agency - Continued

investments to evaluate the impacts of offshore wind development.

- New Natural Resources Building Move and Demobilization Costs—The Budget includes \$4.5 million one-time General Fund to support costs associated with various departments' relocation to the new Natural Resources Agency's facility, which includes resources for the physical movement of staff members and defined equipment, and the decommissioning of office furniture and equipment related to vacating buildings.

DETAILED BUDGET ADJUSTMENTS

	2020-21*			2021-22*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Legislative Investment: Museum Grant Program	\$-	\$-	-	\$50,000	\$-	-
• Presidio Improvement Projects	-	-	-	27,500	-	-
• Legislative Investment: Museum of Tolerance	-	-	-	10,000	-	-
• Water Resilience Package: Clear Lake Rehabilitation	-	-	-	5,700	-	-
• New Natural Resources Building Move and Demobilization Costs	-	-	-	4,543	-	-
• Legislative Investment: Holocaust Museum	-	-	-	2,500	-	-
• Energy Package: Ocean Protection Council Offshore Wind – Environmental and Port Analyses	-	-	-	2,000	-	-
• Legislative Investment: Ocean Protection Council: Marine Mammal Center	-	-	-	2,000	-	-
• Water Resilience Package: Data, Research, and Communications	-	-	-	600	-	-
• New Natural Resources Building Rent Increase	-	-	-	381	-	-
• Natural Resources Bond and Technical Proposals: Ocean Protection Council Proposition 68 Local Assistance	-	-	-	-	6,500	-
• Youth Community Access Grant Program Positions	-	-	-	-	-	3.0
• Natural Resources Bond and Technical Proposals: Proposition 1 Technical Adjustment	-	-	-	-	-46	-
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$105,224	\$6,454	3.0
Other Workload Budget Adjustments						
• Other Post-Employment Benefit Adjustments	32	630	-	32	630	-
• Adult Use of Marijuana Act: Youth Community Access	-	1,432	-	-	18,273	-
• Section 3.90 Employee Compensation Reduction	-55	-866	-	-	-	-
• Salary Adjustments	7	161	-	7	161	-
• Benefit Adjustments	1	24	-	1	23	-
• Carryover/Reappropriation	6,902	221,518	-	-	423	-
• Miscellaneous Baseline Adjustments	-	24,060	-	-	-	-
• Retirement Rate Adjustments	-7	-123	-	-7	-123	-
Totals, Other Workload Budget Adjustments	\$6,880	\$246,836	-	\$33	\$19,387	-
Totals, Workload Budget Adjustments	\$6,880	\$246,836	-	\$105,257	\$25,841	3.0
Totals, Budget Adjustments	\$6,880	\$246,836	-	\$105,257	\$25,841	3.0

PROGRAM DESCRIPTIONS

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0540 Secretary of the Natural Resources Agency - Continued

0320 - Administration of Natural Resources Agency

The Natural Resources Agency sets the policies and coordinates the environmental preservation and restoration activities of 26 various departments, boards, commissions, and conservancies; and directly administers the Sea Grant Program, Ocean Protection Council, California Environmental Quality Act, Environmental Enhancement Mitigation Program, River Parkways, Urban Greening, and the California Cultural and Historical Endowment grant programs.

DETAILED EXPENDITURES BY PROGRAM [†]

		<u>2019-20*</u>	<u>2020-21*</u>	<u>2021-22*</u>
PROGRAM REQUIREMENTS				
0320	ADMINISTRATION OF NATURAL RESOURCES AGENCY			
	State Operations:			
0001	General Fund	\$3,912	\$9,118	\$12,190
0140	California Environmental License Plate Fund	5,950	6,889	7,078
0183	Environmental Enhancement and Mitigation Program Fund	235	358	382
0200	Fish and Game Preservation Fund	36	61	65
0263	Off-Highway Vehicle Trust Fund	7	12	12
0392	State Parks and Recreation Fund	29	42	45
0516	Harbors and Watercraft Revolving Fund	-	5	5
0890	Federal Trust Fund	-	1	1
0995	Reimbursements	3,053	2,957	1,808
1018	Lake Tahoe Science and Lake Improvement Account, General Fund	746	250	266
3046	Oil, Gas, and Geothermal Administrative Fund	36	61	65
3117	Alternative and Renewable Fuel and Vehicle Technology Fund	109	136	145
3212	Timber Regulation and Forest Restoration Fund	530	1,513	1,567
3228	Greenhouse Gas Reduction Fund	-	150	-
3237	Cost of Implementation Account, Air Pollution Control Fund	103	311	318
6031	Water Security, Clean Drinking Water, Coastal and Beach Protection Fund of 2002	132	291	305
6051	Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Fund of 2006	899	3,302	3,371
6076	California Ocean Protection Trust Fund	5,914	7,617	6,039
6083	Water Quality, Supply, and Infrastructure Improvement Fund of 2014	1,108	1,779	1,824
6088	California Drought, Water, Parks, Climate, Coastal Protection, and Outdoor Access For All Fund	854	1,674	1,929
8058	California Cultural and Historical Endowment Fund	110	198	198
	Totals, State Operations	\$23,763	\$36,725	\$37,613
	Local Assistance:			
0001	General Fund	\$112,578	\$6,902	\$97,700
0140	California Environmental License Plate Fund	4,888	9,812	-
0183	Environmental Enhancement and Mitigation Program Fund	7,083	7,035	6,700
0995	Reimbursements	5,300	9,739	18,696
3228	Greenhouse Gas Reduction Fund	30,000	-	-
6029	California Clean Water, Clean Air, Safe Neighborhood Parks, and Coastal Protection Fund	895	-	-
6031	Water Security, Clean Drinking Water, Coastal and Beach Protection Fund of 2002	1,061	-	-
6051	Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Fund of 2006	310	12,013	-
6076	California Ocean Protection Trust Fund	971	27	-
6083	Water Quality, Supply, and Infrastructure Improvement Fund of 2014	11,058	9,350	-
6088	California Drought, Water, Parks, Climate, Coastal Protection, and Outdoor Access For All Fund	95,373	225,338	6,500
8058	California Cultural and Historical Endowment Fund	-	1,000	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0540 Secretary of the Natural Resources Agency - Continued

	2019-20*	2020-21*	2021-22*
Totals, Local Assistance	\$269,517	\$281,216	\$129,596
TOTALS, EXPENDITURES			
State Operations	23,763	36,725	37,613
Local Assistance	269,517	281,216	129,596
Totals, Expenditures	\$293,280	\$317,941	\$167,209

† Fiscal year 2019-20 budget information reflects the latest available estimates for this department and/or fund(s). Changes resulting from the final reconciliation of the 2019-20 ending fund balance will be reflected as a prior year adjustment in the 2022-23 Governor's Budget publication.

EXPENDITURES BY CATEGORY †

1 State Operations	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
PERSONAL SERVICES						
Baseline Positions	55.1	59.1	59.1	\$5,207	\$5,566	\$5,563
Other Adjustments	4.4	-	3.0	756	-346	538
Net Totals, Salaries and Wages	59.5	59.1	62.1	\$5,963	\$5,220	\$6,101
Staff Benefits	-	-	-	2,337	3,542	3,964
Totals, Personal Services	59.5	59.1	62.1	\$8,300	\$8,762	\$10,065
OPERATING EXPENSES AND EQUIPMENT				\$7,630	\$24,920	\$25,071
SPECIAL ITEMS OF EXPENSES				7,833	3,043	2,477
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$23,763	\$36,725	\$37,613

2 Local Assistance	Expenditures		
	2019-20*	2020-21*	2021-22*
Grants and Subventions - Governmental	\$269,517	\$281,216	\$129,596
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$269,517	\$281,216	\$129,596

† Fiscal year 2019-20 budget information reflects the latest available estimates for this department and/or fund(s). Changes resulting from the final reconciliation of the 2019-20 ending fund balance will be reflected as a prior year adjustment in the 2022-23 Governor's Budget publication.

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS †

1 STATE OPERATIONS	2019-20*	2020-21*	2021-22*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$3,912	\$9,140	\$12,190
Allocation for Employee Compensation	-	7	-
Allocation for Other Post-Employment Benefits	-	32	-
Allocation for Staff Benefits	-	1	-
Section 3.60 Pension Contribution Adjustment	-	-7	-
Section 3.90 Employee Compensation Reduction	-	-55	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0540 Secretary of the Natural Resources Agency - Continued

1 STATE OPERATIONS	2019-20*	2020-21*	2021-22*
Totals Available	\$3,912	\$9,118	\$12,190
TOTALS, EXPENDITURES	\$3,912	\$9,118	\$12,190
0042 State Highway Account, State Transportation Fund			
APPROPRIATIONS			
002 Budget Act appropriation (transfer to Environmental Enhancement and Mitigation Program Fund)	(\$7,000)	(\$7,000)	(\$7,000)
TOTALS, EXPENDITURES	-	-	-
0140 California Environmental License Plate Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$5,950	\$6,993	\$7,078
Allocation for Employee Compensation	-	95	-
Allocation for Other Post-Employment Benefits	-	370	-
Allocation for Staff Benefits	-	14	-
Section 3.60 Pension Contribution Adjustment	-	-72	-
Section 3.90 Employee Compensation Reduction	-	-511	-
Totals Available	\$5,950	\$6,889	\$7,078
TOTALS, EXPENDITURES	\$5,950	\$6,889	\$7,078
0183 Environmental Enhancement and Mitigation Program Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$235	\$365	\$382
Allocation for Employee Compensation	-	4	-
Allocation for Other Post-Employment Benefits	-	16	-
Allocation for Staff Benefits	-	1	-
Section 3.60 Pension Contribution Adjustment	-	-4	-
Section 3.90 Employee Compensation Reduction	-	-24	-
011 Budget Act appropriation (loan to the General Fund)	(-)	(17,000)	(-)
Totals Available	\$235	\$358	\$382
TOTALS, EXPENDITURES	\$235	\$358	\$382
0200 Fish and Game Preservation Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$36	\$62	\$65
Allocation for Employee Compensation	-	1	-
Allocation for Other Post-Employment Benefits	-	3	-
Section 3.60 Pension Contribution Adjustment	-	-1	-
Section 3.90 Employee Compensation Reduction	-	-4	-
Totals Available	\$36	\$61	\$65
TOTALS, EXPENDITURES	\$36	\$61	\$65
0263 Off-Highway Vehicle Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$7	\$12	\$12
Totals Available	\$7	\$12	\$12
TOTALS, EXPENDITURES	\$7	\$12	\$12
0392 State Parks and Recreation Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$29	\$42	\$45
Allocation for Employee Compensation	-	1	-
Allocation for Other Post-Employment Benefits	-	2	-
Section 3.90 Employee Compensation Reduction	-	-3	-
Totals Available	\$29	\$42	\$45
TOTALS, EXPENDITURES	\$29	\$42	\$45
0516 Harbors and Watercraft Revolving Fund			

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0540 Secretary of the Natural Resources Agency - Continued

1 STATE OPERATIONS	2019-20*	2020-21*	2021-22*
APPROPRIATIONS			
001 Budget Act appropriation	-	\$5	\$5
Totals Available	-	\$5	\$5
TOTALS, EXPENDITURES	-	\$5	\$5
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	\$1	\$1
Totals Available	-	\$1	\$1
TOTALS, EXPENDITURES	-	\$1	\$1
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$3,053	\$2,957	\$1,808
TOTALS, EXPENDITURES	\$3,053	\$2,957	\$1,808
1018 Lake Tahoe Science and Lake Improvement Account, General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$746	\$255	\$266
Allocation for Employee Compensation	-	3	-
Allocation for Other Post-Employment Benefits	-	10	-
Section 3.60 Pension Contribution Adjustment	-	-2	-
Section 3.90 Employee Compensation Reduction	-	-16	-
Totals Available	\$746	\$250	\$266
TOTALS, EXPENDITURES	\$746	\$250	\$266
3046 Oil, Gas, and Geothermal Administrative Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$36	\$62	\$65
Allocation for Employee Compensation	-	1	-
Allocation for Other Post-Employment Benefits	-	3	-
Section 3.60 Pension Contribution Adjustment	-	-1	-
Section 3.90 Employee Compensation Reduction	-	-4	-
Totals Available	\$36	\$61	\$65
TOTALS, EXPENDITURES	\$36	\$61	\$65
3117 Alternative and Renewable Fuel and Vehicle Technology Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$109	\$137	\$145
Allocation for Employee Compensation	-	2	-
Allocation for Other Post-Employment Benefits	-	7	-
Section 3.60 Pension Contribution Adjustment	-	-1	-
Section 3.90 Employee Compensation Reduction	-	-9	-
Totals Available	\$109	\$136	\$145
TOTALS, EXPENDITURES	\$109	\$136	\$145
3212 Timber Regulation and Forest Restoration Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$530	\$1,523	\$1,567
Allocation for Employee Compensation	-	10	-
Allocation for Other Post-Employment Benefits	-	41	-
Allocation for Staff Benefits	-	2	-
Section 3.60 Pension Contribution Adjustment	-	-8	-
Section 3.90 Employee Compensation Reduction	-	-55	-
Totals Available	\$530	\$1,513	\$1,567
TOTALS, EXPENDITURES	\$530	\$1,513	\$1,567
3228 Greenhouse Gas Reduction Fund			

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0540 Secretary of the Natural Resources Agency - Continued

1 STATE OPERATIONS	2019-20*	2020-21*	2021-22*
Prior Year Balances Available:			
Public Resources Code section 75200.3 and Health and Safety Code section 39719(b)(1)(C)	-	150	-
TOTALS, EXPENDITURES	-	\$150	-
3237 Cost of Implementation Account, Air Pollution Control Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$103	\$312	\$318
Allocation for Employee Compensation	-	1	-
Allocation for Other Post-Employment Benefits	-	6	-
Section 3.60 Pension Contribution Adjustment	-	-1	-
Section 3.90 Employee Compensation Reduction	-	-7	-
Totals Available	\$103	\$311	\$318
TOTALS, EXPENDITURES	\$103	\$311	\$318
6031 Water Security, Clean Drinking Water, Coastal and Beach Protection Fund of 2002			
APPROPRIATIONS			
001 Budget Act appropriation	\$132	\$294	\$305
Allocation for Employee Compensation	-	2	-
Allocation for Other Post-Employment Benefits	-	11	-
Section 3.60 Pension Contribution Adjustment	-	-2	-
Section 3.90 Employee Compensation Reduction	-	-14	-
Totals Available	\$132	\$291	\$305
TOTALS, EXPENDITURES	\$132	\$291	\$305
6051 Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Fund of 2006			
APPROPRIATIONS			
001 Budget Act appropriation	\$899	\$3,319	\$3,371
Allocation for Employee Compensation	-	13	-
Allocation for Other Post-Employment Benefits	-	46	-
Allocation for Staff Benefits	-	2	-
Section 3.60 Pension Contribution Adjustment	-	-9	-
Section 3.90 Employee Compensation Reduction	-	-69	-
Totals Available	\$899	\$3,302	\$3,371
TOTALS, EXPENDITURES	\$899	\$3,302	\$3,371
6076 California Ocean Protection Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$5,576	\$7,051	\$6,039
Prior Year Balances Available:			
Item 0540-001-6076, Budget Act of 2017 as reappropriated by Item 0540-490, Budget Act of 2018	338	103	-
Item 0540-001-6076, Budget Act of 2019	-	463	-
Totals Available	\$5,914	\$7,617	\$6,039
TOTALS, EXPENDITURES	\$5,914	\$7,617	\$6,039
6083 Water Quality, Supply, and Infrastructure Improvement Fund of 2014			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,108	\$1,786	\$1,824
Allocation for Employee Compensation	-	11	-
Allocation for Other Post-Employment Benefits	-	49	-
Allocation for Staff Benefits	-	2	-
Section 3.60 Pension Contribution Adjustment	-	-9	-
Section 3.90 Employee Compensation Reduction	-	-60	-
Totals Available	\$1,108	\$1,779	\$1,824
TOTALS, EXPENDITURES	\$1,108	\$1,779	\$1,824

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0540 Secretary of the Natural Resources Agency - Continued

1 STATE OPERATIONS	2019-20*	2020-21*	2021-22*
6088 California Drought, Water, Parks, Climate, Coastal Protection, and Outdoor Access For All Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$854	\$1,691	\$1,929
Allocation for Employee Compensation	-	17	-
Allocation for Other Post-Employment Benefits	-	66	-
Allocation for Staff Benefits	-	3	-
Section 3.60 Pension Contribution Adjustment	-	-13	-
Section 3.90 Employee Compensation Reduction	-	-90	-
Totals Available	\$854	\$1,674	\$1,929
TOTALS, EXPENDITURES	\$854	\$1,674	\$1,929
8058 California Cultural and Historical Endowment Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$110	\$198	\$198
Totals Available	\$110	\$198	\$198
TOTALS, EXPENDITURES	\$110	\$198	\$198
Total Expenditures, All Funds, (State Operations)	\$23,763	\$36,725	\$37,613
2 LOCAL ASSISTANCE	2019-20*	2020-21*	2021-22*
0001 General Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$101,495	-	\$97,700
Prior Year Balances Available:			
Item 0540-101-0001, Budget Act of 2017	10,750	-	-
Item 0540-101-0001, Budget Act of 2018	333	3,497	-
Item 0540-101-0001, Budget Act of 2019	-	3,405	-
Totals Available	\$112,578	\$6,902	\$97,700
TOTALS, EXPENDITURES	\$112,578	\$6,902	\$97,700
0140 California Environmental License Plate Fund			
Prior Year Balances Available:			
Item 0540-101-0140, Budget Act of 2018 as reappropriated by Item 0540-490, Budget Act of 2020	4,888	9,812	-
Totals Available	\$4,888	\$9,812	-
TOTALS, EXPENDITURES	\$4,888	\$9,812	-
0183 Environmental Enhancement and Mitigation Program Fund			
APPROPRIATIONS			
101 Budget Act appropriation	-	\$6,700	\$6,700
Prior Year Balances Available:			
Item 0540-101-0183, Budget Act of 2016 as reappropriated by Item 0540-490, Budget Act of 2017	218	-	-
Item 0540-101-0183, Budget Act of 2017	500	-	-
Item 0540-101-0183, Budget Act of 2018	6,365	335	-
Totals Available	\$7,083	\$7,035	\$6,700
TOTALS, EXPENDITURES	\$7,083	\$7,035	\$6,700
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$5,300	\$9,739	\$18,696
TOTALS, EXPENDITURES	\$5,300	\$9,739	\$18,696
3228 Greenhouse Gas Reduction Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$30,000	-	-
TOTALS, EXPENDITURES	\$30,000	-	-

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0540 Secretary of the Natural Resources Agency - Continued

2 LOCAL ASSISTANCE	2019-20*	2020-21*	2021-22*
6015 River Protection Subaccount			
TOTALS, EXPENDITURES	-	-	-
6029 California Clean Water, Clean Air, Safe Neighborhood Parks, and Coastal Protection Fund			
Prior Year Balances Available:			
Item 0540-101-6029, Budget Act of 2014 as reappropriated by Item 0540-490, Budget Act of 2017	395	-	-
Item 0540-101-6029, Budget Act of 2018	500	-	-
TOTALS, EXPENDITURES	\$895	-	-
6031 Water Security, Clean Drinking Water, Coastal and Beach Protection Fund of 2002			
Prior Year Balances Available:			
Item 0540-101-6031, Budget Act of 2006 as reappropriated by Item 0540-490, Budget Acts of 2011, 2014, and 2017	786	-	-
Item 0540-101-6031, Budget Act of 2007 as reappropriated by Item 0540-490, Budget Acts of 2011, 2014, and 2017	275	-	-
Totals Available	\$1,061	-	-
TOTALS, EXPENDITURES	\$1,061	-	-
6051 Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Fund of 2006			
APPROPRIATIONS			
101 Budget Act appropriation	-	\$12,013	-
Prior Year Balances Available:			
Item 0540-101-6051, Budget Act of 2008 as reappropriated by Item 0540-490, Budget Acts of 2011, 2014, and 2017	239	-	-
Item 0540-101-6051, Budget Act of 2010 as reappropriated by Item 0540-490, Budget Acts of 2013, 2014 and 2017	71	-	-
Totals Available	\$310	\$12,013	-
TOTALS, EXPENDITURES	\$310	\$12,013	-
6076 California Ocean Protection Trust Fund			
Prior Year Balances Available:			
Item 0540-101-6076, Budget Act of 2013 as reappropriated by Item 0540-490, Budget Act of 2018 and as reverted by Item 0540-495, Budget Act of 2015	-	27	-
Local assistance expenditure from capital outlay appropriation	971	-	-
Totals Available	\$971	\$27	-
TOTALS, EXPENDITURES	\$971	\$27	-
6083 Water Quality, Supply, and Infrastructure Improvement Fund of 2014			
APPROPRIATIONS			
Ocean Protection Council Ecosystem Restoration Projects (SB 115)	-	\$9,300	-
Prior Year Balances Available:			
Item 0540-101-6083, Budget Act of 2015 as reappropriated by Item 0540-490, Budget Act of 2018	1,758	50	-
Item 0540-101-6083, Budget Act of 2017	9,300	-	-
Totals Available	\$11,058	\$9,350	-
TOTALS, EXPENDITURES	\$11,058	\$9,350	-
6088 California Drought, Water, Parks, Climate, Coastal Protection, and Outdoor Access For All Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$1,120	-	\$6,500
Ocean Protection Council Ecosystem Restoration Projects (SB 115)	-	14,610	-
Prior Year Balances Available:			
Item 0540-101-6088, Budget Act of 2018	94,253	20,358	-
Item 0540-101-6088, Budget Act of 2019	-	190,370	-
Totals Available	\$95,373	\$225,338	\$6,500
TOTALS, EXPENDITURES	\$95,373	\$225,338	\$6,500

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0540 Secretary of the Natural Resources Agency - Continued

2 LOCAL ASSISTANCE	2019-20*	2020-21*	2021-22*
8058 California Cultural and Historical Endowment Fund			
APPROPRIATIONS			
101 Budget Act appropriation	-	\$1,000	-
TOTALS, EXPENDITURES	-	\$1,000	-
Total Expenditures, All Funds, (Local Assistance)	\$269,517	\$281,216	\$129,596
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$293,280	\$317,941	\$167,209

† Fiscal year 2019-20 budget information reflects the latest available estimates for this department and/or fund(s). Changes resulting from the final reconciliation of the 2019-20 ending fund balance will be reflected as a prior year adjustment in the 2022-23 Governor's Budget publication.

FUND CONDITION STATEMENTS †

	2019-20*	2020-21*	2021-22*
0183 Environmental Enhancement and Mitigation Program Fund^s			
BEGINNING BALANCE	\$30,287	\$30,914	\$14,204
Adjusted Beginning Balance	\$30,287	\$30,914	\$14,204
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4163000 Investment Income - Surplus Money Investments	965	693	693
Transfers and Other Adjustments			
Loan from Environmental Enhancement and Mitigation Program Fund (0183) to General Fund (0001) per Item 0540-011-0183, Budget Act of 2020	-	-17,000	-
Revenue Transfer from State Highway Account, State Transportation Fund (0042) to Environmental Enhancement and Mitigation Program Fund (0183) per Budget Act Item 2660-022-0042, Budget Act of 2014	7,000	7,000	7,000
Total Revenues, Transfers, and Other Adjustments	\$7,965	-\$9,307	\$7,693
Total Resources	\$38,252	\$21,607	\$21,897
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0540 Secretary of the Natural Resources Agency (State Operations)	235	358	382
0540 Secretary of the Natural Resources Agency (Local Assistance)	7,083	7,035	6,700
9892 Supplemental Pension Payments (State Operations)	8	8	8
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	12	2	32
Total Expenditures and Expenditure Adjustments	\$7,338	\$7,403	\$7,122
FUND BALANCE	\$30,914	\$14,204	\$14,775
Reserve for economic uncertainties	30,914	14,204	14,775
3212 Timber Regulation and Forest Restoration Fund^s			
BEGINNING BALANCE	\$23,655	\$23,386	\$20,552
Adjusted Beginning Balance	\$23,655	\$23,386	\$20,552
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4172400 Forest Product Sales	46,000	46,000	46,000
4173500 Settlements and Judgments - Other	-	7	-
Total Revenues, Transfers, and Other Adjustments	\$46,000	\$46,007	\$46,000
Total Resources	\$69,655	\$69,393	\$66,552
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0540 Secretary of the Natural Resources Agency (State Operations)	530	1,513	1,567
3480 Department of Conservation (State Operations)	4,630	4,382	4,626
3540 Department of Forestry and Fire Protection (State Operations)	20,018	24,105	23,891

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0540 Secretary of the Natural Resources Agency - Continued

	2019-20*	2020-21*	2021-22*
3600 Department of Fish and Wildlife (State Operations)	10,331	9,787	10,312
3600 Department of Fish and Wildlife (Local Assistance)	1,000	-	-
3855 Sierra Nevada Conservancy (Local Assistance)	690	-	-
3940 State Water Resources Control Board (State Operations)	4,633	4,316	4,657
3940 State Water Resources Control Board (Local Assistance)	1,006	-	-
7600 California Department of Tax and Fee Administration (State Operations)	569	1,257	1,329
8880 Financial Information System for California (State Operations)	-2	-	-
9892 Supplemental Pension Payments (State Operations)	899	898	898
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	1,965	2,583	3,682
Total Expenditures and Expenditure Adjustments	\$46,269	\$48,841	\$50,962
FUND BALANCE	\$23,386	\$20,552	\$15,590
Reserve for economic uncertainties	23,386	20,552	15,590
3312 Natural Resources and Parks Preservation Fund[§]			
BEGINNING BALANCE	\$100,000	\$95,310	-
Adjusted Beginning Balance	\$100,000	\$95,310	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Transfers and Other Adjustments			
Revenue Transfer from the Natural Resources and Parks Preservation Fund (3312) to the General Fund (0001) per Item 3790-311-3312, Budget Act of 2020	-	-95,310	-
Total Revenues, Transfers, and Other Adjustments	-	-\$95,310	-
Total Resources	\$100,000	-	-
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
3790 Department of Parks and Recreation (Capital Outlay)	4,690	-	-
Less funding provided by General Fund (Capital Outlay)	-	-	-\$95,310
Total Expenditures and Expenditure Adjustments	\$4,690	-	-\$95,310
FUND BALANCE	\$95,310	-	\$95,310
Reserve for economic uncertainties	95,310	-	95,310

[†] Fiscal year 2019-20 budget information reflects the latest available estimates for this department and/or fund(s). Changes resulting from the final reconciliation of the 2019-20 ending fund balance will be reflected as a prior year adjustment in the 2022-23 Governor's Budget publication.

CHANGES IN AUTHORIZED POSITIONS [†]

	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
Baseline Positions	55.1	59.1	59.1	\$5,207	\$5,566	\$5,563
Salary and Other Adjustments	4.4	-	-	756	-346	168
Workload and Administrative Adjustments						
Natural Resources Bond and Technical Proposals: Proposition 1 Technical Adjustment	-	-	-	-	-	-29
Water Resilience Package: Data, Research, and Communications	-	-	-	-	-	399
Youth Community Access Grant Program Positions						
Assoc Govtl Program Analyst	-	-	3.0	-	-	-
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	3.0	\$-	\$-	\$370

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0540 Secretary of the Natural Resources Agency - Continued

	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
Totals, Adjustments	4.4	-	3.0	\$756	\$-346	\$538
TOTALS, SALARIES AND WAGES	59.5	59.1	62.1	\$5,963	\$5,220	\$6,101

† Fiscal year 2019-20 budget information reflects the latest available estimates for this department and/or fund(s). Changes resulting from the final reconciliation of the 2019-20 ending fund balance will be reflected as a prior year adjustment in the 2022-23 Governor's Budget publication.

INFRASTRUCTURE OVERVIEW

The California Natural Resources Agency has one Capital Outlay project, overseeing the Species Conservation Habitat Project at the Salton Sea. The Project includes development of a series of ponds, sediment mixing basins, ditches, and berms allowing water currently detached from the sea to be managed to provide suitable habitat for fish and birds. The Project is one component of the Salton Sea Management Plan.

SUMMARY OF PROJECTS

		State Building Program Expenditures	2019-20*	2020-21*	2021-22*
0325	CAPITAL OUTLAY Projects				
0006289	Salton Sea Species Conservation Habitat Project		111,157	-	-
	Design Build		111,157	-	-
TOTALS, EXPENDITURES, ALL PROJECTS			\$111,157	\$-	\$-
FUNDING			2019-20*	2020-21*	2021-22*
6088	California Drought, Water, Parks, Climate, Coastal Protection, and Outdoor Access For All Fund		\$111,157	\$-	\$-
TOTALS, EXPENDITURES, ALL FUNDS			\$111,157	\$-	\$-

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

		2019-20*	2020-21*	2021-22*
3 CAPITAL OUTLAY				
6088 California Drought, Water, Parks, Climate, Coastal Protection, and Outdoor Access For All Fund				
APPROPRIATIONS				
301 Budget Act appropriation as added by Chapter 363, Statutes of 2019		\$111,157	-	-
TOTALS, EXPENDITURES		\$111,157	-	-
Total Expenditures, All Funds, (Capital Outlay)		\$111,157	\$0	\$0

0552 Office of the Inspector General

The Office of the Inspector General (OIG) protects public safety by safeguarding the integrity of California's correctional system. OIG is responsible for contemporaneous oversight of the California Department of Corrections and Rehabilitation's (CDCR) internal affairs investigations, use of force, staff complaints, and the employee disciplinary process. The Inspector General audits and reviews the policies, practices, and procedures of CDCR. The Inspector General reviews the Governor's candidates for appointment to serve as warden for the state's adult correctional institutions and as superintendents for the state's juvenile facilities, and conducts metric-oriented inspection programs to periodically review delivery of medical care at each state prison. OIG receives communications from individuals alleging improper governmental activity and maintains a toll-free public telephone number to receive allegations of wrongdoing by employees of CDCR; conducts formal reviews of

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0552 Office of the Inspector General - Continued

complaints of retaliation from CDCR employees against upper management where a legally cognizable cause of action is present; and reviews sexual abuse incidents within correctional institutions. OIG provides critical public transparency for the state correctional system by publicly reporting its findings.

In addition, OIG is responsible for the California Rehabilitation Oversight Board (Board) that examines CDCR's various mental health, substance abuse, educational, and employment programs for inmates and parolees. The Board meets at least twice annually to recommend modifications, additions, and eliminations of offender rehabilitation and treatment programs. The Board also submits biannual reports to the Governor, the Legislature, and the public to convey its findings on the effectiveness of treatment efforts, rehabilitation needs of offenders, gaps in offender rehabilitation services, and levels of offender participation and success.

3-YEAR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
0330 Office of the Inspector General	112.4	143.8	143.8	\$23,375	\$27,242	\$35,983
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	112.4	143.8	143.8	\$23,375	\$27,242	\$35,983
FUNDING	2019-20*			2020-21*		
0001 General Fund		\$23,375			\$27,242	\$35,983
TOTALS, EXPENDITURES, ALL FUNDS		\$23,375			\$27,242	\$35,983

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Penal Code, Part III, Title 1, Chapter 3, Section 2641 and Title 7, Chapter 8.2, Sections 6125 to 6141.

DETAILED BUDGET ADJUSTMENTS

	2020-21*			2021-22*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Office of the Inspector General Resources	\$-	\$-	-	\$7,000	\$-	-
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$7,000	\$-	-
Other Workload Budget Adjustments						
• Other Post-Employment Benefit Adjustments	47	-	-	47	-	-
• Section 3.90 Employee Compensation Reduction	-1,742	-	-	-	-	-
• Salary Adjustments	227	-	-	227	-	-
• Benefit Adjustments	23	-	-	22	-	-
• Retirement Rate Adjustments	-557	-	-	-557	-	-
Totals, Other Workload Budget Adjustments	\$-2,002	\$-	-	\$-261	\$-	-
Totals, Workload Budget Adjustments	\$-2,002	\$-	-	\$6,739	\$-	-
Totals, Budget Adjustments	\$-2,002	\$-	-	\$6,739	\$-	-

DETAILED EXPENDITURES BY PROGRAM

	2019-20*	2020-21*	2021-22*
PROGRAM REQUIREMENTS			
0330 OFFICE OF THE INSPECTOR GENERAL			
State Operations:			

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0552 Office of the Inspector General - Continued

		2019-20*	2020-21*	2021-22*
0001	General Fund	\$23,375	\$27,242	\$35,983
	Totals, State Operations	\$23,375	\$27,242	\$35,983
	TOTALS, EXPENDITURES			
	State Operations	23,375	27,242	35,983
	Totals, Expenditures	\$23,375	\$27,242	\$35,983

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
PERSONAL SERVICES						
Baseline Positions	143.8	143.8	143.8	\$17,142	\$17,348	\$17,348
Other Adjustments	-31.4	-	-	-2,976	-1,515	227
Net Totals, Salaries and Wages	112.4	143.8	143.8	\$14,166	\$15,833	\$17,575
Staff Benefits	-	-	-	8,376	7,914	7,913
Totals, Personal Services	112.4	143.8	143.8	\$22,542	\$23,747	\$25,488
OPERATING EXPENSES AND EQUIPMENT				\$833	\$3,495	\$10,495
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$23,375	\$27,242	\$35,983

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2019-20*	2020-21*	2021-22*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$23,375	\$29,244	\$28,983
Allocation for Employee Compensation	-	227	-
Allocation for Other Post-Employment Benefits	-	47	-
Allocation for Staff Benefits	-	23	-
Section 3.60 Pension Contribution Adjustment	-	-557	-
Section 3.90 Employee Compensation Reduction	-	-1,742	-
002 Budget Act appropriation	-	-	7,000
Totals Available	\$23,375	\$27,242	\$35,983
TOTALS, EXPENDITURES	\$23,375	\$27,242	\$35,983
Total Expenditures, All Funds, (State Operations)	\$23,375	\$27,242	\$35,983

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
Baseline Positions	143.8	143.8	143.8	\$17,142	\$17,348	\$17,348
Salary and Other Adjustments	-31.4	-	-	-2,976	-1,515	227
Totals, Adjustments	-31.4	-	-	\$-2,976	\$-1,515	\$227
TOTALS, SALARIES AND WAGES	112.4	143.8	143.8	\$14,166	\$15,833	\$17,575

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0555 Secretary for Environmental Protection

The Secretary for Environmental Protection is the cabinet-level agency responsible for protecting the environment. California Environmental Protection Agency programs reduce greenhouse gas emissions, restore and protect environmental quality, and protect public health. The Secretary coordinates the state's environmental regulatory programs and oversees the fair and consistent enforcement of environmental law, which safeguards the state's residents and promotes the state's economic vitality. The Secretary also administers environmental justice, environmental law enforcement, emergency preparedness and response, Certified Unified Program Agencies, and scientific review programs. The Secretary leads greenhouse gas emission reduction and climate change activities in state government, and is responsible for coordinating the implementation of Chapter 488, Statutes of 2006 (AB 32). The Secretary also centralizes coordination of California-Mexico border environmental efforts.

3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
0340	Support	73.8	74.3	82.3	\$22,135	\$20,555	\$29,385
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		73.8	74.3	82.3	\$22,135	\$20,555	\$29,385
FUNDING					2019-20*	2020-21*	2021-22*
0001	General Fund				\$4,827	\$3,530	\$8,089
0014	Hazardous Waste Control Account				351	357	386
0028	Unified Program Account				4,421	4,389	8,056
0044	Motor Vehicle Account, State Transportation Fund				1,352	2,064	2,233
0106	Department of Pesticide Regulation Fund				960	983	1,062
0115	Air Pollution Control Fund				1,359	1,313	1,419
0133	California Beverage Container Recycling Fund				36	-	-
0193	Waste Discharge Permit Fund				829	602	650
0226	California Tire Recycling Management Fund				119	130	140
0235	Public Resources Account, Cigarette and Tobacco Products Surtax Fund				93	41	-
0387	Integrated Waste Management Account, Integrated Waste Management Fund				248	273	295
0439	Underground Storage Tank Cleanup Fund				1,300	1,324	1,431
0679	State Water Quality Control Fund				189	192	208
0890	Federal Trust Fund				94	300	300
0995	Reimbursements				2,181	2,201	2,201
1006	Rural CUPA Reimbursement Account				-55	-	-
3058	Water Rights Fund				34	33	36
3228	Greenhouse Gas Reduction Fund				2,564	-	-
3237	Cost of Implementation Account, Air Pollution Control Fund				1,233	691	747
8013	Environmental Enforcement and Training Account				-	2,132	2,132
TOTALS, EXPENDITURES, ALL FUNDS					\$22,135	\$20,555	\$29,385

LEGAL CITATIONS AND AUTHORITY

Governor's Reorganization Plan No. 1 of 1991; Government Code Section 12812.6; Health and Safety Code, Division 37, Section 57000 et seq.; Public Resources Code Sections 71000 and 71110; and Governor's Reorganization Plan No. 2 of 2012.

MAJOR PROGRAM CHANGES

- California Green Business Program—The Budget includes \$5 million General Fund in 2021-22 to provide incentives to help businesses conserve energy and water, minimize waste, prevent pollution, and shrink their overall carbon footprint.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0555 Secretary for Environmental Protection - Continued**DETAILED BUDGET ADJUSTMENTS**

	2020-21*			2021-22*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• California Green Business Program	\$-	\$-	-	\$5,000	\$-	-
• California Environmental Reporting System Project	-	-	-	-	1,723	4.0
• Unified Hazardous Waste and Hazardous Materials Regulatory Management Program Realignment	-	-	-	-	843	4.0
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$5,000	\$2,566	8.0
Other Workload Budget Adjustments						
• Other Post-Employment Benefit Adjustments	8	51	-	8	51	-
• Section 3.90 Employee Compensation Reduction	-75	-1,001	-	-	-	-
• Salary Adjustments	27	171	-	27	171	-
• Benefit Adjustments	4	23	-	3	21	-
• Miscellaneous Baseline Adjustments	-	-	-	-	-44	-
• Retirement Rate Adjustments	-18	-114	-	-18	-114	-
Totals, Other Workload Budget Adjustments	\$-54	\$-870	-	\$20	\$85	-
Totals, Workload Budget Adjustments	\$-54	\$-870	-	\$5,020	\$2,651	8.0
Totals, Budget Adjustments	\$-54	\$-870	-	\$5,020	\$2,651	8.0

PROGRAM DESCRIPTIONS**0340 - SUPPORT PROGRAM**

The Secretary for Environmental Protection's primary program objectives are restoring, protecting, and enhancing environmental quality, and protecting public health while fostering economic vitality. The Secretary oversees the state's environmental regulatory programs with particular emphasis on promoting "cross-media" coordination, enhancing risk assessment (especially for disadvantaged communities and other sensitive populations), enforcing laws consistently and fairly, and objectively measuring and reporting results.

DETAILED EXPENDITURES BY PROGRAM

		2019-20*	2020-21*	2021-22*
PROGRAM REQUIREMENTS				
0340	SUPPORT			
	State Operations:			
0001	General Fund	\$3,992	\$2,695	\$2,254
0014	Hazardous Waste Control Account	351	357	386
0028	Unified Program Account	4,421	4,389	8,056
0044	Motor Vehicle Account, State Transportation Fund	1,352	2,064	2,233
0106	Department of Pesticide Regulation Fund	960	983	1,062
0115	Air Pollution Control Fund	1,310	1,313	1,419
0193	Waste Discharge Permit Fund	588	602	650
0226	California Tire Recycling Management Fund	119	130	140
0235	Public Resources Account, Cigarette and Tobacco Products Surtax Fund	93	41	-
0387	Integrated Waste Management Account, Integrated Waste Management Fund	248	273	295
0439	Underground Storage Tank Cleanup Fund	1,300	1,324	1,431
0679	State Water Quality Control Fund	189	192	208
0890	Federal Trust Fund	94	300	300

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0555 Secretary for Environmental Protection - Continued

		2019-20*	2020-21*	2021-22*
0995	Reimbursements	2,181	2,201	2,201
3058	Water Rights Fund	34	33	36
3228	Greenhouse Gas Reduction Fund	2,564	-	-
3237	Cost of Implementation Account, Air Pollution Control Fund	1,233	691	747
	Totals, State Operations	\$21,029	\$17,588	\$21,418
	Local Assistance:			
0001	General Fund	\$835	\$835	\$5,835
0115	Air Pollution Control Fund	49	-	-
0133	California Beverage Container Recycling Fund	36	-	-
0193	Waste Discharge Permit Fund	241	-	-
1006	Rural CUPA Reimbursement Account	-55	-	-
8013	Environmental Enforcement and Training Account	-	2,132	2,132
	Totals, Local Assistance	\$1,106	\$2,967	\$7,967
	TOTALS, EXPENDITURES			
	State Operations	21,029	17,588	21,418
	Local Assistance	1,106	2,967	7,967
	Totals, Expenditures	\$22,135	\$20,555	\$29,385

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
PERSONAL SERVICES						
Baseline Positions	74.3	74.3	74.3	\$7,063	\$7,165	\$7,165
Other Adjustments	-0.5	-	8.0	274	-556	965
Net Totals, Salaries and Wages	73.8	74.3	82.3	\$7,337	\$6,609	\$8,130
Staff Benefits	-	-	-	3,702	3,258	4,020
Totals, Personal Services	73.8	74.3	82.3	\$11,039	\$9,867	\$12,150
OPERATING EXPENSES AND EQUIPMENT				\$8,232	\$7,721	\$9,268
SPECIAL ITEMS OF EXPENSES				1,758	-	-
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$21,029	\$17,588	\$21,418

2 Local Assistance	Expenditures		
	2019-20*	2020-21*	2021-22*
Grants and Subventions - Governmental	\$1,106	\$2,967	\$7,967
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$1,106	\$2,967	\$7,967

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2019-20*	2020-21*	2021-22*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$3,992	\$2,749	\$2,254
Allocation for Employee Compensation	-	27	-
Allocation for Other Post-Employment Benefits	-	8	-
Allocation for Staff Benefits	-	4	-
Section 3.60 Pension Contribution Adjustment	-	-18	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0555 Secretary for Environmental Protection - Continued

1 STATE OPERATIONS	2019-20*	2020-21*	2021-22*
Section 3.90 Employee Compensation Reduction	-	-75	-
Totals Available	\$3,992	\$2,695	\$2,254
TOTALS, EXPENDITURES	\$3,992	\$2,695	\$2,254
0014 Hazardous Waste Control Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$351	\$383	\$386
Allocation for Employee Compensation	-	4	-
Allocation for Other Post-Employment Benefits	-	1	-
Allocation for Staff Benefits	-	1	-
Section 3.60 Pension Contribution Adjustment	-	-3	-
Section 3.90 Employee Compensation Reduction	-	-29	-
Totals Available	\$351	\$357	\$386
TOTALS, EXPENDITURES	\$351	\$357	\$386
0028 Unified Program Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$4,421	\$4,702	\$8,056
Allocation for Employee Compensation	-	52	-
Allocation for Other Post-Employment Benefits	-	17	-
Allocation for Staff Benefits	-	7	-
Section 3.60 Pension Contribution Adjustment	-	-35	-
Section 3.90 Employee Compensation Reduction	-	-354	-
Totals Available	\$4,421	\$4,389	\$8,056
TOTALS, EXPENDITURES	\$4,421	\$4,389	\$8,056
0044 Motor Vehicle Account, State Transportation Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,352	\$2,213	\$2,233
Allocation for Employee Compensation	-	24	-
Allocation for Other Post-Employment Benefits	-	7	-
Allocation for Staff Benefits	-	3	-
Section 3.60 Pension Contribution Adjustment	-	-16	-
Section 3.90 Employee Compensation Reduction	-	-167	-
Totals Available	\$1,352	\$2,064	\$2,233
TOTALS, EXPENDITURES	\$1,352	\$2,064	\$2,233
0106 Department of Pesticide Regulation Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$960	\$1,054	\$1,062
Allocation for Employee Compensation	-	12	-
Allocation for Other Post-Employment Benefits	-	3	-
Allocation for Staff Benefits	-	2	-
Section 3.60 Pension Contribution Adjustment	-	-8	-
Section 3.90 Employee Compensation Reduction	-	-80	-
Totals Available	\$960	\$983	\$1,062
TOTALS, EXPENDITURES	\$960	\$983	\$1,062
0115 Air Pollution Control Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,310	\$1,405	\$1,419
Allocation for Employee Compensation	-	16	-
Allocation for Other Post-Employment Benefits	-	5	-
Allocation for Staff Benefits	-	2	-
Section 3.60 Pension Contribution Adjustment	-	-9	-

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0555 Secretary for Environmental Protection - Continued

1 STATE OPERATIONS	2019-20*	2020-21*	2021-22*
Section 3.90 Employee Compensation Reduction	-	-106	-
Totals Available	\$1,310	\$1,313	\$1,419
TOTALS, EXPENDITURES	\$1,310	\$1,313	\$1,419
0193 Waste Discharge Permit Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$588	\$645	\$650
Allocation for Employee Compensation	-	7	-
Allocation for Other Post-Employment Benefits	-	2	-
Allocation for Staff Benefits	-	1	-
Section 3.60 Pension Contribution Adjustment	-	-5	-
Section 3.90 Employee Compensation Reduction	-	-48	-
Totals Available	\$588	\$602	\$650
TOTALS, EXPENDITURES	\$588	\$602	\$650
0226 California Tire Recycling Management Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$119	\$139	\$140
Allocation for Employee Compensation	-	2	-
Section 3.60 Pension Contribution Adjustment	-	-1	-
Section 3.90 Employee Compensation Reduction	-	-10	-
Totals Available	\$119	\$130	\$140
TOTALS, EXPENDITURES	\$119	\$130	\$140
0235 Public Resources Account, Cigarette and Tobacco Products Surtax Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$93	\$44	-
Allocation for Employee Compensation	-	1	-
Section 3.60 Pension Contribution Adjustment	-	-1	-
Section 3.90 Employee Compensation Reduction	-	-3	-
Totals Available	\$93	\$41	-
TOTALS, EXPENDITURES	\$93	\$41	-
0387 Integrated Waste Management Account, Integrated Waste Management Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$248	\$292	\$295
Allocation for Employee Compensation	-	3	-
Allocation for Other Post-Employment Benefits	-	1	-
Allocation for Staff Benefits	-	1	-
Section 3.60 Pension Contribution Adjustment	-	-2	-
Section 3.90 Employee Compensation Reduction	-	-22	-
Totals Available	\$248	\$273	\$295
TOTALS, EXPENDITURES	\$248	\$273	\$295
0439 Underground Storage Tank Cleanup Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,300	\$1,418	\$1,431
Allocation for Employee Compensation	-	16	-
Allocation for Other Post-Employment Benefits	-	5	-
Allocation for Staff Benefits	-	2	-
Section 3.60 Pension Contribution Adjustment	-	-10	-
Section 3.90 Employee Compensation Reduction	-	-107	-
Totals Available	\$1,300	\$1,324	\$1,431
TOTALS, EXPENDITURES	\$1,300	\$1,324	\$1,431
0679 State Water Quality Control Fund			

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0555 Secretary for Environmental Protection - Continued

1 STATE OPERATIONS	2019-20*	2020-21*	2021-22*
APPROPRIATIONS			
001 Budget Act appropriation	\$189	\$207	\$208
Allocation for Employee Compensation	-	2	-
Allocation for Other Post-Employment Benefits	-	1	-
Section 3.60 Pension Contribution Adjustment	-	-2	-
Section 3.90 Employee Compensation Reduction	-	-16	-
Totals Available	\$189	\$192	\$208
TOTALS, EXPENDITURES	\$189	\$192	\$208
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$94	\$300	\$300
Totals Available	\$94	\$300	\$300
TOTALS, EXPENDITURES	\$94	\$300	\$300
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$2,181	\$2,201	\$2,201
TOTALS, EXPENDITURES	\$2,181	\$2,201	\$2,201
3058 Water Rights Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$34	\$37	\$36
Section 3.60 Pension Contribution Adjustment	-	-1	-
Section 3.90 Employee Compensation Reduction	-	-3	-
Totals Available	\$34	\$33	\$36
TOTALS, EXPENDITURES	\$34	\$33	\$36
3228 Greenhouse Gas Reduction Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,564	-	-
Totals Available	\$2,564	-	-
TOTALS, EXPENDITURES	\$2,564	-	-
3237 Cost of Implementation Account, Air Pollution Control Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,233	\$741	\$747
Allocation for Employee Compensation	-	8	-
Allocation for Other Post-Employment Benefits	-	2	-
Allocation for Staff Benefits	-	1	-
Section 3.60 Pension Contribution Adjustment	-	-5	-
Section 3.90 Employee Compensation Reduction	-	-56	-
Totals Available	\$1,233	\$691	\$747
TOTALS, EXPENDITURES	\$1,233	\$691	\$747
8013 Environmental Enforcement and Training Account			
TOTALS, EXPENDITURES	-	-	-
Total Expenditures, All Funds, (State Operations)	\$21,029	\$17,588	\$21,418
2 LOCAL ASSISTANCE	2019-20*	2020-21*	2021-22*
0001 General Fund			
APPROPRIATIONS			
101 Budget Act appropriation	-	-	\$5,000
111 Budget Act appropriation (transfer to Rural CUPA Reimbursement Account)	835	835	835
TOTALS, EXPENDITURES	\$835	\$835	\$5,835
0115 Air Pollution Control Fund			
APPROPRIATIONS			

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0555 Secretary for Environmental Protection - Continued

	2019-20*	2020-21*	2021-22*
2 LOCAL ASSISTANCE			
101 Budget Act appropriation	\$49	-	-
Totals Available	\$49	-	-
TOTALS, EXPENDITURES	\$49	-	-
0133 California Beverage Container Recycling Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$36	-	-
Totals Available	\$36	-	-
TOTALS, EXPENDITURES	\$36	-	-
0193 Waste Discharge Permit Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$241	-	-
Totals Available	\$241	-	-
TOTALS, EXPENDITURES	\$241	-	-
1006 Rural CUPA Reimbursement Account			
APPROPRIATIONS			
101 Budget Act appropriation	\$780	\$835	\$835
Totals Available	\$780	\$835	\$835
TOTALS, EXPENDITURES	\$780	\$835	\$835
Less funding provided by General Fund	-835	-835	-835
NET TOTALS, EXPENDITURES	-\$55	-	-
8013 Environmental Enforcement and Training Account			
APPROPRIATIONS			
101 Budget Act appropriation	-	\$2,132	\$2,132
TOTALS, EXPENDITURES	-	\$2,132	\$2,132
Total Expenditures, All Funds, (Local Assistance)	\$1,106	\$2,967	\$7,967
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$22,135	\$20,555	\$29,385

FUND CONDITION STATEMENTS [†]

	2019-20*	2020-21*	2021-22*
0028 Unified Program Account [§]			
BEGINNING BALANCE	\$1,075	\$1,858	\$1,923
Prior Year Adjustments	2,442	-	-
Adjusted Beginning Balance	\$3,517	\$1,858	\$1,923
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129200 Other Regulatory Fees	6,840	8,644	8,644
4129400 Other Regulatory Licenses and Permits	-	-	3,052
4163000 Investment Income - Surplus Money Investments	71	30	8
4173500 Settlements and Judgments - Other	-	7	-
Transfers and Other Adjustments			
Total Revenues, Transfers, and Other Adjustments	\$6,911	\$8,681	\$11,704
Total Resources	\$10,428	\$10,539	\$13,627
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0555 Secretary for Environmental Protection (State Operations)	4,421	4,389	8,056
0690 Office of Emergency Services (State Operations)	639	892	101
3540 Department of Forestry and Fire Protection (State Operations)	666	691	746
3940 State Water Resources Control Board (State Operations)	655	641	661
3960 Department of Toxic Substances Control (State Operations)	1,398	1,303	1,413

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0555 Secretary for Environmental Protection - Continued

	2019-20*	2020-21*	2021-22*
3980 Office of Environmental Health Hazard Assessment (State Operations)	187	182	200
8880 Financial Information System for California (State Operations)	-1	-	-
9892 Supplemental Pension Payments (State Operations)	160	160	160
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	445	358	274
Total Expenditures and Expenditure Adjustments	\$8,570	\$8,616	\$11,611
FUND BALANCE	\$1,858	\$1,923	\$2,016
Reserve for economic uncertainties	1,858	1,923	2,016
1006 Rural CUPA Reimbursement Account^s			
BEGINNING BALANCE	\$861	\$916	\$916
Adjusted Beginning Balance	\$861	\$916	\$916
Total Resources	\$861	\$916	\$916
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0555 Secretary for Environmental Protection (Local Assistance)	780	835	835
Less funding provided by General Fund (Local Assistance)	-835	-835	-835
Total Expenditures and Expenditure Adjustments	-\$55	-	-
FUND BALANCE	\$916	\$916	\$916
Reserve for economic uncertainties	916	916	916

[†] Fiscal year 2019-20 budget information reflects the latest available estimates for this department and/or fund(s). Changes resulting from the final reconciliation of the 2019-20 ending fund balance will be reflected as a prior year adjustment in the 2022-23 Governor's Budget publication.

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
Baseline Positions	74.3	74.3	74.3	\$7,063	\$7,165	\$7,165
Salary and Other Adjustments	-0.5	-	-	274	-556	188
Workload and Administrative Adjustments						
California Environmental Reporting System Project						
Info Tech Mgr II	-	-	1.0	-	-	129
Info Tech Spec II	-	-	1.0	-	-	103
Info Tech Spec III	-	-	1.0	-	-	114
Sr Envirnal Scientist (Spec)	-	-	1.0	-	-	92
Unified Hazardous Waste and Hazardous Materials Regulatory Management Program Realignment						
Environmental Scientist	-	-	3.0	-	-	239
Sr Emergency Svcs Coord	-	-	1.0	-	-	100
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	8.0	\$-	\$-	\$777
Totals, Adjustments	-0.5	-	8.0	\$274	\$-556	\$965
TOTALS, SALARIES AND WAGES	73.8	74.3	82.3	\$7,337	\$6,609	\$8,130

0559 Secretary for Labor and Workforce Development Agency

The Labor and Workforce Development Agency was established to address issues relating to California workers and their employers. The Agency is responsible for labor law enforcement, workforce development, and benefit payment and

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0559 Secretary for Labor and Workforce Development Agency - Continued

adjudication. The Agency works to combat the underground economy and help legitimate businesses and workers in California through a combination of enforcement and education activities.

3-YEAR EXPENDITURES AND POSITIONS [†]

		Positions			Expenditures		
		2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
0350	Office of the Secretary of Labor and Workforce Development	37.2	39.2	41.2	\$3,786	\$44,719	\$606,149
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		37.2	39.2	41.2	\$3,786	\$44,719	\$606,149
FUNDING					2019-20*	2020-21*	2021-22*
0001	General Fund				\$1,014	\$34,951	\$2,181
0995	Reimbursements				2,701	2,616	2,751
3078	Labor and Workforce Development Fund				71	7,152	1,217
8506	Coronavirus Fiscal Recovery Fund of 2021				-	-	600,000
TOTALS, EXPENDITURES, ALL FUNDS					\$3,786	\$44,719	\$606,149

[†] Fiscal year 2019-20 budget information reflects the latest available estimates for this department and/or fund(s). Changes resulting from the final reconciliation of the 2019-20 ending fund balance will be reflected as a prior year adjustment in the 2022-23 Governor's Budget publication.

LEGAL CITATIONS AND AUTHORITY**DEPARTMENT AUTHORITY**

Government Code Sections 12800 and 12813.

MAJOR PROGRAM CHANGES

Community Economic Resilience Fund—The Budget includes \$600 million one-time Coronavirus State Fiscal Recovery Funds to implement the Community Economic Resilience Fund, which will provide resources for planning and implementation grants to support communities recovering across California.

DETAILED BUDGET ADJUSTMENTS

			2020-21*			2021-22*		
			General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments								
Workload Budget Change Proposals								
• Workforce Development Strategy and Economic Recovery			\$-	\$-	-	\$563	\$-	3.0
• Community Economic Resilience Fund			-	-	-	-	600,000	-
• Labor Law Implementation and Interpretation Workload			-	-	-	-	765	4.0
Totals, Workload Budget Change Proposals			\$-	\$-	-	\$563	\$600,765	7.0
Other Workload Budget Adjustments								
• Other Post-Employment Benefit Adjustments			5	16	-	5	16	-
• Chapter 40, Statutes of 2020 (SB 115)			32,500	-	-	-	-	-

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0559 Secretary for Labor and Workforce Development Agency - Continued

	2020-21*			2021-22*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
• Section 3.90 Employee Compensation Reduction	-93	-139	-	-	-	-
• Salary Adjustments	16	35	-	16	35	-
• Benefit Adjustments	3	2	-	3	2	-
• Miscellaneous Baseline Adjustments	-	-	-	-	-	-
• Retirement Rate Adjustments	-13	-31	-	-13	-31	-
Totals, Other Workload Budget Adjustments	\$32,418	\$-117	-	\$11	\$22	-
Totals, Workload Budget Adjustments	\$32,418	\$-117	-	\$574	\$600,787	7.0
Totals, Budget Adjustments	\$32,418	\$-117	-	\$574	\$600,787	7.0

DETAILED EXPENDITURES BY PROGRAM [†]

		2019-20*	2020-21*	2021-22*
PROGRAM REQUIREMENTS				
0350 OFFICE OF THE SECRETARY OF LABOR AND WORKFORCE DEVELOPMENT				
State Operations:				
0001 General Fund		\$1,014	\$34,951	\$2,181
0995 Reimbursements		2,701	2,616	2,751
3078 Labor and Workforce Development Fund		71	7,152	1,217
Totals, State Operations		\$3,786	\$44,719	\$6,149
Local Assistance:				
8506 Coronavirus Fiscal Recovery Fund of 2021		\$-	\$-	\$600,000
Totals, Local Assistance		\$-	\$-	\$600,000
TOTALS, EXPENDITURES				
State Operations		3,786	44,719	6,149
Local Assistance		-	-	600,000
Totals, Expenditures		\$3,786	\$44,719	\$606,149

[†] Fiscal year 2019-20 budget information reflects the latest available estimates for this department and/or fund(s). Changes resulting from the final reconciliation of the 2019-20 ending fund balance will be reflected as a prior year adjustment in the 2022-23 Governor's Budget publication.

EXPENDITURES BY CATEGORY [†]

1 State Operations	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
PERSONAL SERVICES						
Baseline Positions	37.2	39.2	34.2	\$2,396	\$2,575	-\$3,816
Other Adjustments	-	-	7.0	-254	302	6,606
Net Totals, Salaries and Wages	37.2	39.2	41.2	\$2,142	\$2,877	\$2,790
Staff Benefits	-	-	-	1,037	1,554	1,530
Totals, Personal Services	37.2	39.2	41.2	\$3,179	\$4,431	\$4,320
OPERATING EXPENSES AND EQUIPMENT				\$607	\$39,788	\$1,829
SPECIAL ITEMS OF EXPENSES				-	500	-
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$3,786	\$44,719	\$6,149

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0559 Secretary for Labor and Workforce Development Agency - Continued

1 State Operations	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
2 Local Assistance				Expenditures		
	2019-20*			2020-21*		2021-22*
Grants and Subventions - Governmental		\$-		\$-		\$600,000
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)		\$-		\$-		\$600,000

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DETAIL OF APPROPRIATIONS AND ADJUSTMENTS †

1 STATE OPERATIONS	2019-20*	2020-21*	2021-22*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,014	\$2,033	\$2,181
Allocation for Employee Compensation	-	16	-
Allocation for Other Post-Employment Benefits	-	5	-
Allocation for Staff Benefits	-	3	-
Section 3.60 Pension Contribution Adjustment	-	-13	-
Section 3.90 Employee Compensation Reduction	-	-93	-
Chapter 40, Statutes of 2020 (SB 115)	-	32,500	-
Prior Year Balances Available:			
Item 0559-001-0001, Budget Act of 2019 as reappropriated by Item 0559-490, Budget Act of 2020	-	500	-
Totals Available	\$1,014	\$34,951	\$2,181
TOTALS, EXPENDITURES	\$1,014	\$34,951	\$2,181
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$2,701	\$2,616	\$2,751
TOTALS, EXPENDITURES	\$2,701	\$2,616	\$2,751
3078 Labor and Workforce Development Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$71	\$7,159	\$1,217
Allocation for Other Post-Employment Benefits	-	2	-
Section 3.60 Pension Contribution Adjustment	-	-5	-
Section 3.90 Employee Compensation Reduction	-	-4	-
011 Budget Act appropriation (loan to the General Fund)	(-)	(107,000)	(-)
Totals Available	\$71	\$7,152	\$1,217
TOTALS, EXPENDITURES	\$71	\$7,152	\$1,217
Total Expenditures, All Funds, (State Operations)	\$3,786	\$44,719	\$6,149
2 LOCAL ASSISTANCE	2019-20*	2020-21*	2021-22*
8506 Coronavirus Fiscal Recovery Fund of 2021			
APPROPRIATIONS			
162 Budget Act appropriation	-	-	\$600,000
TOTALS, EXPENDITURES	-	-	\$600,000

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0559 Secretary for Labor and Workforce Development Agency - Continued

2 LOCAL ASSISTANCE	2019-20*	2020-21*	2021-22*
Total Expenditures, All Funds, (Local Assistance)	\$0	\$0	\$600,000
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$3,786	\$44,719	\$606,149

† Fiscal year 2019-20 budget information reflects the latest available estimates for this department and/or fund(s). Changes resulting from the final reconciliation of the 2019-20 ending fund balance will be reflected as a prior year adjustment in the 2022-23 Governor's Budget publication.

FUND CONDITION STATEMENTS †

	2019-20*	2020-21*	2021-22*
3078 Labor and Workforce Development Fund^s			
BEGINNING BALANCE	\$101,494	\$206,046	\$113,334
Adjusted Beginning Balance	\$101,494	\$206,046	\$113,334
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4121800 Employment Agency Filing Fees	443	435	435
4173500 Settlements and Judgments - Other	109,808	50,000	50,000
Transfers and Other Adjustments			
Loan from Labor and Workforce Development Fund (3078) to General Fund (0001) per Item 0559-011-3078, Budget Act of 2020	-	-107,000	-
Total Revenues, Transfers, and Other Adjustments	\$110,251	-\$56,565	\$50,435
Total Resources	\$211,745	\$149,481	\$163,769
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0559 Secretary for Labor and Workforce Development Agency (State Operations)	71	7,152	1,217
7300 Agricultural Labor Relations Board (State Operations)	1,263	1,763	1,296
7350 Department of Industrial Relations (State Operations)	3,687	26,721	8,355
8880 Financial Information System for California (State Operations)	-1	-	-
9892 Supplemental Pension Payments (State Operations)	74	74	74
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	605	437	290
Total Expenditures and Expenditure Adjustments	\$5,699	\$36,147	\$11,232
FUND BALANCE	\$206,046	\$113,334	\$152,537
Reserve for economic uncertainties	206,046	113,334	152,537

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CHANGES IN AUTHORIZED POSITIONS †

	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
Baseline Positions	37.2	39.2	34.2	\$2,396	\$2,575	-\$3,816
Salary and Other Adjustments	-	-	-	-254	302	5,977
Workload and Administrative Adjustments						
Labor Law Implementation and Interpretation Workload						
Assoc Govtl Program Analyst	-	-	2.0	-	-	128
Atty III	-	-	2.0	-	-	236

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0559 Secretary for Labor and Workforce Development Agency - Continued

	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
Workforce Development Strategy and Economic Recovery						
Staff Svcs Analyst (Gen)	-	-	1.0	-	-	48
Staff Svcs Mgr II (Supvry)	-	-	1.0	-	-	82
Various	-	-	1.0	-	-	135
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	7.0	\$-	\$-	\$629
Totals, Adjustments	-0.0	-	7.0	\$-254	\$302	\$6,606
TOTALS, SALARIES AND WAGES	37.2	39.2	41.2	\$2,142	\$2,877	\$2,790

† Fiscal year 2019-20 budget information reflects the latest available estimates for this department and/or fund(s). Changes resulting from the final reconciliation of the 2019-20 ending fund balance will be reflected as a prior year adjustment in the 2022-23 Governor's Budget publication.

0650 Office of Planning and Research

The Office of Planning and Research (OPR) assists the Governor and the Administration in planning, research, policy development, and legislative analysis. OPR formulates long-range state goals and policies to address four key areas: land use and planning, climate risk and resilience, sustainable economic development, and targeted long-range research needs. OPR acts as the state's liaison to a variety of entities including local and regional government, planning professionals, small business, and the Department of Defense. OPR also serves a role as convener across multiple agencies on cross-cutting issues in the four key areas of our work.

The Office of Planning and Research includes three main budget programs: the State Policy Program (often referred to as OPR), which houses most of core planning and research functions; the California Strategic Growth Council, which coordinates and works collaboratively with public agencies, communities, and stakeholders to realize healthy, thriving, resilient communities for all and provides direct grant funding to projects demonstrating the value and feasibility of sustainable communities; and California Volunteers, a program aimed to increase the number and impact of Californians involved with service and volunteering throughout the state.

3-YEAR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
0360 State Planning & Policy Development	32.5	20.5	29.5	\$35,769	\$44,454	\$35,951
0365 California Volunteers	24.8	43.3	50.3	54,623	71,931	418,795
0370 Strategic Growth Council	23.1	24.6	24.6	70,216	447,113	427,335
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	80.4	88.4	104.4	\$160,608	\$563,498	\$882,081
FUNDING		2019-20*	2020-21*	2021-22*		
0001 General Fund		\$41,979	\$58,491	\$85,429		
0890 Federal Trust Fund		46,375	53,295	53,485		
0995 Reimbursements		2,564	5,110	4,307		
3228 Greenhouse Gas Reduction Fund		69,147	445,788	426,000		
8506 Coronavirus Fiscal Recovery Fund of 2021		-	-	312,519		
9740 Central Service Cost Recovery Fund		543	814	341		
TOTALS, EXPENDITURES, ALL FUNDS		\$160,608	\$563,498	\$882,081		

LEGAL CITATIONS AND AUTHORITY

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0650 Office of Planning and Research - Continued

Fish and Game Code sections: 711.4, 1854, and 1856; Government Code sections: 4530-4535.3, 7562, 7564, 13073.5, 17525, 50470; 63024, 65025-65049, 65040.15, 65059.1-65059.3, 65073, 65352, 65352.3, 65352.4 65400, 65565.1, 65850.8, 65940, 65944, 66521, 8589.65 and 99502; Health and Safety Code sections: 25199.7, 25395.23, 25395.41, 39719, and 50901; Public Contract Code section 10340; Public Resources Code sections: 4205, 5024.5, 21071, 21080.2-21080.4, 21083, 21083.05, 21086, 21091, 21092.2, 21094.5.5, 21095, 21108, 21152.1, 21153, 21159.9, 21161, 21165, 25404, 30400, 30415, 30420, 71340-71360, and 75121; California Code of Regulations: title 2, section 1896.38; title 14, sections: 763, 1683.7, 15000-15007, 15053, 15062, 15073, 15075, 15082, 15087, 15094, 15105, 15205, 15300.3, 16000-16041, and 16500; title 20, section 1862; title 21, section 8122.

MAJOR PROGRAM CHANGES

- Youth Workforce Development—The Budget includes \$185 million one-time federal American Rescue Plan Act of 2021 (ARPA) funds to administer grants to cities and counties to create or expand youth employment opportunities.
- CaliforniansForAll College Service Program—The Budget includes \$146.3 million one-time (\$18.8 million General Fund and \$127.5 million ARPA) to provide paid service opportunities for college students in critical issue areas such as climate action, education and youth development, tutoring and mentoring, health, and disaster response.
- Student Success Coach Grant Program—The Budget includes \$15 million one-time General Fund to establish student success coaches in communities to support K-12 students.
- Precision Medicine—The Budget includes \$12.4 million one-time General Fund for seven projects focused on advancing research on, and building scalable approaches to, treating and preventing adverse childhood experiences.
- Wildfire and Forest Resilience Package: Innovative Wood Products—The Budget includes \$3 million one-time General Fund in 2020-21 as a part of a comprehensive package to increase the pace and scale of forest health activities and reduce wildfire risk.
- California Volunteers Statewide Emergency Response Framework—The Budget includes \$1.3 million ongoing General Fund to maintain an emergency response framework capable of preparing, training, and mobilizing a collective team of disaster volunteers efficiently and effectively.
- Regional Climate Collaborative Program Implementation—The Budget includes \$508,000 ongoing General Fund to continue work already underway to fulfill the requirements of Chapter 377, Statutes of 2018 (SB 1072).
- Just Transition Roadmap—The Budget includes \$406,000 ongoing General Fund to develop and implement the Just Transition Roadmap as required by Executive Order N-79-20.

DETAILED BUDGET ADJUSTMENTS

	2020-21*			2021-22*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Youth Workforce Development	\$-	\$-	-	\$18,750	\$127,519	-
• Student Success Coach Grant Program	-	-	-	15,000	-	-
• Precision Medicine: Adverse Childhood Experiences	-	-	-	12,415	-	-
• California Volunteers' Statewide Emergency Response Framework	-	-	-	1,324	-	7.0
• Administrative Staff Augmentation	-	-	-	1,115	-	7.0
• Regional Climate Collaborative Program Implementation	-	-	-	508	-	3.0
• Just Transition Roadmap (EO N-79-20)	-	-	-	406	-	2.0
• Volunteer and Donation Management Planning Guidance (AB 2213)	-	-	-	173	-	-
• Summer Youth Employment through California Volunteers	-	-	-	-	185,000	-
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$49,691	\$312,519	19.0

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0650 Office of Planning and Research - Continued

	2020-21*			2021-22*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Other Workload Budget Adjustments						
• Central Service Function Cost Realignment	-	-	-	499	-499	-
• Other Post-Employment Benefit Adjustments	31	30	-	27	26	-
• Control Section 1.50 - California High Speed Rail and Employment Development Department Reimbursements	-	910	-	-	-	-
• Control Section 28.00 - Military Economic Impact Study	-	600	-	-	-	-
• Executive Order 20/21 - 250: COVID-19 Disaster Response-Emergency Operations Account Transfer	156	-	-	-	-	-
• Executive Order E 20/21 - 160: COVID-19 Disaster Response-Emergency Operations Account Transfer	295	-	-	-	-	-
• Section 3.90 Employee Compensation Reduction	-545	-411	-	-	-	-
• Wildfire and Forest Resilience Package: Innovative Wood Products	3,000	-	-	-	-	-
• Salary Adjustments	121	119	-	120	114	-
• Benefit Adjustments	8	6	-	7	5	-
• Miscellaneous Baseline Adjustments	28,917	-84,711	-	-	126,678	-
• SWCAP	-	-	-	-	12	-
• Retirement Rate Adjustments	-53	-52	-	-53	-52	-
Totals, Other Workload Budget Adjustments	\$31,930	\$-83,509	-	\$600	\$126,284	-
Totals, Workload Budget Adjustments	\$31,930	\$-83,509	-	\$50,291	\$438,803	19.0
Totals, Budget Adjustments	\$31,930	\$-83,509	-	\$50,291	\$438,803	19.0

PROGRAM DESCRIPTIONS**0360 - STATE PLANNING AND POLICY DEVELOPMENT**

By statute, the office is the state comprehensive planning agency. The major activities of the State Planning and Policy Development program can be grouped into four major categories: land use and planning, climate risk and resilience, sustainable economic development, and targeted long-range research needs. More specifically these include: (1) recommending and implementing state policies on land-use and growth planning and compliance with environmental statutes and regulations, including General Plan Guidelines and other guidance to local and regional governments; (2) coordinating the implementation of the California Environmental Quality Act (CEQA), including: (a) operating the State Clearinghouse; (b) maintaining an online database for the electronic submission and archiving of CEQA documents; (c) developing CEQA guidelines amendments; (d) providing technical assistance; (e) providing training, and (f) coordinating AB 900 project review (3) supporting the Governor's Advisor for Military Affairs and Governor's Military Council; (4) providing policy research for the Governor and Cabinet; (5) supporting local planning efforts related to the impacts of droughts and wildfires; (6) supporting development of state plans including, but not limited to, the Water Action Plan, the State Multi-Hazard Mitigation Plan, the Safeguarding California Plan, the Integrated Energy Policy Report, the 5-Year Infrastructure Plan, and the 5th Climate Assessment; (7) supporting multiple efforts to address climate change and response to carbon pollution; (8) administering the Integrated Climate Adaptation and Resiliency Program to coordinate regional and local efforts with state climate adaptation strategies to adapt to the impacts of climate change; (9) housing the Federal Grants Administrator for tracking of federal grants coming to California and providing information on federal grants to help maximize federal grant opportunities within the state; (10) administering the California Education Learning Lab, California Initiative to Advance Precision Medicine, the Cradle-to-Career, and Higher Education Innovation grant programs; (11) Establishing and facilitating the Rural Economic Development Steering Committee/Wood Utilization Work Group to promote rural economic development that drives healthy and sustainable forest management consistent with our rural economic development, public health and safety, and climate goals; and (13) support the state's effort on a "just transition" to a carbon neutral economy by 2045 (14) conducting other activities as the Governor may direct.

0365 - CALIFORNIA VOLUNTEERS

California Volunteers is the state office tasked with engaging Californians in services, volunteering and civic action to tackle our state's most pressing challenges while lifting up all communities. From the California Climate Action Corps, the country's first

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0650 Office of Planning and Research - Continued

statewide climate corps program, to the #CaliforniansForAll service initiative launched in response to COVID-19 to establish a volunteer corps to support the state's response to emergencies and disasters, California Volunteers plays an integral role in tackling the state's problems. California Volunteers is led by the State's Chief Service Officer and supported by a bipartisan 25-member Commission and a team of experts driven by a mission to empower and mobilize all Californians to volunteer and serve in their communities.

0370 - CALIFORNIA STRATEGIC GROWTH COUNCIL

The California Strategic Growth Council (SGC) coordinates and works collaboratively with public agencies, communities, and stakeholders to advance healthy, thriving, and resilient communities for all. SGC integrates State, regional, and local efforts to reduce greenhouse gas emissions; increase the availability of affordable housing located near jobs, services, and green space; conserve agriculture lands; promote public health; and advance racial equity. SGC administers the following grant programs: Affordable Housing and Sustainable Communities; Transformative Climate Communities; Sustainable Agricultural Lands Conservation; Climate Change Research – all of which are part of the California Climate Investments Program, funded by proceeds from the State's cap-and-trade auction revenues. SGC operates these funding programs in collaboration with interagency teams to develop program guidelines, review applications, and administer program funds. SGC's Community Assistance for Climate Equity Program encompasses both the Regional Climate Collaboratives, which supports local capacity building and technical assistance in under-resourced communities across California; and the California Climate Investments Technical Assistance Program, which increases access to SGC's own grant programs as well as those of many other State agencies. SGC partners with High-Speed Rail Authority (HSRA) to support sustainable development and conservation practices, especially in future HSR station areas. SGC's Health and Equity Program advances the integration of health and equity principles in State programs and planning through the Health in All Policies Task Force, partnerships and collaborations, and in the implementation of SGC's Racial Equity Action Plan. Finally, SGC is charged with reviewing the State's 5-Year Infrastructure Plan and to contribute to interagency efforts to promote sustainability, equity, and resilience in State investments.

DETAILED EXPENDITURES BY PROGRAM

		2019-20*	2020-21*	2021-22*
	PROGRAM REQUIREMENTS			
0360	STATE PLANNING & POLICY DEVELOPMENT			
	State Operations:			
0001	General Fund	\$19,110	\$29,892	\$25,562
0890	Federal Trust Fund	321	1,295	995
0995	Reimbursements	1,640	1,933	1,133
9740	Central Service Cost Recovery Fund	543	814	341
	Totals, State Operations	\$21,614	\$33,934	\$28,031
	Local Assistance:			
0001	General Fund	\$10,000	\$3,000	\$-
0890	Federal Trust Fund	4,155	7,520	7,920
	Totals, Local Assistance	\$14,155	\$10,520	\$7,920
	PROGRAM REQUIREMENTS			
0365	CALIFORNIA VOLUNTEERS			
	State Operations:			
0001	General Fund	\$2,811	\$5,364	\$6,052
0890	Federal Trust Fund	1,242	1,911	2,001
0995	Reimbursements	674	3,177	3,174
8506	Coronavirus Fiscal Recovery Fund of 2021	-	-	28,590
	Totals, State Operations	\$4,727	\$10,452	\$39,817
	Local Assistance:			
0001	General Fund	\$9,239	\$18,910	\$52,480
0890	Federal Trust Fund	40,657	42,569	42,569
8506	Coronavirus Fiscal Recovery Fund of 2021	-	-	283,929
	Totals, Local Assistance	\$49,896	\$61,479	\$378,978
	PROGRAM REQUIREMENTS			
0370	STRATEGIC GROWTH COUNCIL			

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0650 Office of Planning and Research - Continued

		2019-20*	2020-21*	2021-22*
State Operations:				
0001	General Fund	\$819	\$1,325	\$1,335
0995	Reimbursements	250	-	-
3228	Greenhouse Gas Reduction Fund	22,258	12,423	1,980
	Totals, State Operations	\$23,327	\$13,748	\$3,315
Local Assistance:				
3228	Greenhouse Gas Reduction Fund	\$46,889	\$433,365	\$424,020
	Totals, Local Assistance	\$46,889	\$433,365	\$424,020
TOTALS, EXPENDITURES				
	State Operations	49,668	58,134	71,163
	Local Assistance	110,940	505,364	810,918
	Totals, Expenditures	\$160,608	\$563,498	\$882,081

EXPENDITURES BY CATEGORY

1 State Operations		Positions			Expenditures		
		2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
PERSONAL SERVICES							
	Baseline Positions	69.4	88.4	85.4	\$6,685	\$7,563	\$7,139
	Other Adjustments	11.0	-	19.0	507	427	1,974
	Net Totals, Salaries and Wages	80.4	88.4	104.4	\$7,192	\$7,990	\$9,113
	Staff Benefits	-	-	-	586	2,923	4,172
	Totals, Personal Services	80.4	88.4	104.4	\$7,778	\$10,913	\$13,285
	OPERATING EXPENSES AND EQUIPMENT				\$29,262	\$26,743	\$49,025
	SPECIAL ITEMS OF EXPENSES				12,628	20,478	8,853
	TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$49,668	\$58,134	\$71,163

2 Local Assistance		Expenditures		
		2019-20*	2020-21*	2021-22*
	Goods - Other	8,000	400	-
	Grants and Subventions - Governmental	102,940	504,964	678,239
	Other Items of Expense - Miscellaneous	-	-	132,679
	TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$110,940	\$505,364	\$810,918

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS		2019-20*	2020-21*	2021-22*
0001 General Fund				
APPROPRIATIONS				
001	Budget Act appropriation	\$16,006	\$17,141	\$32,949
	Allocation for Employee Compensation	-	121	-
	Allocation for Other Post-Employment Benefits	-	31	-
	Allocation for Staff Benefits	-	8	-
	Executive Order 20/21 - 250: COVID-19 Disaster Response-Emergency Operations Account Transfer	-	156	-
	Executive Order E 20/21 - 160: COVID-19 Disaster Response-Emergency Operations Account Transfer	-	295	-

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0650 Office of Planning and Research - Continued

1 STATE OPERATIONS	2019-20*	2020-21*	2021-22*
Section 3.60 Pension Contribution Adjustment	-	-53	-
Section 3.90 Employee Compensation Reduction	-	-545	-
Education Code section 10859 (CA Cradle-to-Career Data System Act)	2,236	-	-
State operations expenditure from local assistance appropriation (Item 0650-102-0001)	873	-	-
Carryover	-	47	-
Transfer to 0650-594-0001 for Program Administration Costs per Provision 1 of Item 0650-102-0001 Budget Act of 2019	-	351	-
Prior Year Balances Available:			
Education Code section 10859 (CA Cradle-to-Career Data System Act)	-	6,464	-
Item 0650-001-0001, Budget Act of 2016 as reappropriated by Item 0650-491, Budget Act of 2019	496	4	-
Item 0650-001-0001, Budget Act of 2017 as reappropriated by Item 0650-491, Budget Act of 2019	3,124	666	-
Item 0650-001-0001, Budget Act of 2018 as reappropriated by Item 0650-491, Budget Act of 2019	5	11,895	-
Totals Available	\$22,740	\$36,581	\$32,949
TOTALS, EXPENDITURES	\$22,740	\$36,581	\$32,949
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,563	\$2,695	\$2,996
Allocation for Employee Compensation	-	26	-
Allocation for Other Post-Employment Benefits	-	7	-
Control Section 28.00 - Military Economic Impact Study	-	600	-
Section 3.60 Pension Contribution Adjustment	-	-13	-
Section 3.90 Employee Compensation Reduction	-	-109	-
Totals Available	\$1,563	\$3,206	\$2,996
TOTALS, EXPENDITURES	\$1,563	\$3,206	\$2,996
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$2,564	\$5,110	\$4,307
TOTALS, EXPENDITURES	\$2,564	\$5,110	\$4,307
3228 Greenhouse Gas Reduction Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$3,240	\$1,316	\$1,980
Allocation for Employee Compensation	-	36	-
Allocation for Other Post-Employment Benefits	-	8	-
Allocation for Staff Benefits	-	3	-
Section 3.60 Pension Contribution Adjustment	-	-11	-
Section 3.90 Employee Compensation Reduction	-	-166	-
Prior Year Balances Available:			
Item 0650-001-3228, Budget Act of 2017 as amended by Chapter 249, Statutes of 2017 as reappropriated by Item 0650-490, Budget Act of 2019	-	22	-
Item 0650-001-3228, Budget Act of 2018 as reappropriated by Item 0650-490, Budget Act of 2020	18,379	535	-
Item 0650-001-3228, Budget Act of 2019	-	7,640	-
State operations expenditure from local assistance appropriation	2	1,677	-
State operations expenditure from local assistance appropriation	637	1,363	-
Totals Available	\$22,258	\$12,423	\$1,980
TOTALS, EXPENDITURES	\$22,258	\$12,423	\$1,980
8506 Coronavirus Fiscal Recovery Fund of 2021			
APPROPRIATIONS			
062 Budget Act appropriation	-	-	\$28,590
TOTALS, EXPENDITURES	-	-	\$28,590

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0650 Office of Planning and Research - Continued

1 STATE OPERATIONS	2019-20*	2020-21*	2021-22*
9740 Central Service Cost Recovery Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$543	\$829	\$341
Allocation for Employee Compensation	-	15	-
Allocation for Other Post-Employment Benefits	-	4	-
Section 3.60 Pension Contribution Adjustment	-	-8	-
Section 3.90 Employee Compensation Reduction	-	-26	-
Totals Available	\$543	\$814	\$341
TOTALS, EXPENDITURES	\$543	\$814	\$341
Total Expenditures, All Funds, (State Operations)	\$49,668	\$58,134	\$71,163
2 LOCAL ASSISTANCE	2019-20*	2020-21*	2021-22*
0001 General Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$10,000	-	\$33,750
Wildfire and Forest Resilience Package: Innovative Wood Products	-	3,000	-
102 Budget Act appropriation	9,239	9,420	18,730
Prior Year Balances Available:			
Item 0650-102-0001, Budget Act of 2019	-	9,490	-
Totals Available	\$19,239	\$21,910	\$52,480
TOTALS, EXPENDITURES	\$19,239	\$21,910	\$52,480
0890 Federal Trust Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$44,812	\$50,089	\$50,489
Totals Available	\$44,812	\$50,089	\$50,489
TOTALS, EXPENDITURES	\$44,812	\$50,089	\$50,489
3228 Greenhouse Gas Reduction Fund			
APPROPRIATIONS			
Health and Safety Code section 39719(b)(1)(C)	\$889	\$452,000	\$424,020
Adjustment to Reflect Greenhouse Gas Auction Funds	-	439,814	-
Carryover	-	388,580	-
GGRF Current Year and Outyear Adjustments	-	-452,000	-
Transfer to 0540-501-3228 Pursuant to Public Resources Code 75200.3 and Health and Safety Code 39719(b)(1)(C)	-	-150	-
Transfer to 2240-501-3228 Pursuant to Public Resources Code 75200.3 and Health and Safety Code 39719(b)(1)(C)	-	-405,449	-
Transfer to 3480-602-3228 Pursuant to Public Resources Code 75200.3 and Health and Safety Code 39719(b)(1)(C)	-	-38,545	-
Prior Year Balances Available:			
Item 0650-101-3228, BA of 2017 as added by Chapter 249, Statutes of 2017 as reappropriated by Item 0650-491, BA of 2018, Item 0650-492, BA of 2019, and Item 0650-490, BA of 2020	-	400	-
Item 0650-101-3228, BA of 2017 as added by Chapter 249, Statutes of 2017, as reappropriated by Item 0650-491, BA of 2018, Item 0650-492, BA of 2019, and Item 0650-490, BA of 2020	8,000	-	-
Item 0650-101-3228, Budget Act of 2018 as reappropriated by Item 0650-492, Budget Act of 2019 and by Item 0650-490, Budget Act of 2020	38,000	-	-
Item 0650-101-3228, Budget Act of 2019	-	48,715	-
Totals Available	\$46,889	\$433,365	\$424,020
TOTALS, EXPENDITURES	\$46,889	\$433,365	\$424,020
8506 Coronavirus Fiscal Recovery Fund of 2021			
APPROPRIATIONS			
162 Budget Act appropriation	-	-	\$98,929

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0650 Office of Planning and Research - Continued

2 LOCAL ASSISTANCE	2019-20*	2020-21*	2021-22*
163 Budget Act appropriation	-	-	185,000
TOTALS, EXPENDITURES	-	-	\$283,929
Total Expenditures, All Funds, (Local Assistance)	\$110,940	\$505,364	\$810,918
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$160,608	\$563,498	\$882,081

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
Baseline Positions	69.4	88.4	85.4	\$6,685	\$7,563	\$7,139
Salary and Other Adjustments	11.0	-	-	507	427	234
Workload and Administrative Adjustments						
Administrative Staff Augmentation						
Various	-	-	7.0	-	-	583
California Volunteers' Statewide Emergency Response Framework						
Assoc Intergovtl Program Analyst	-	-	3.0	-	-	222
Sr Projs Analyst - Office of Plan & Research	-	-	1.0	-	-	112
Staff Intergovtl Program Analyst	-	-	3.0	-	-	246
Just Transition Roadmap (EO N-79-20)						
Asst to the Governor	-	-	1.0	-	-	140
Sr Intergovtl Program Analyst	-	-	1.0	-	-	83
Regional Climate Collaborative Program Implementation						
Assoc Intergovtl Program Analyst (Limited Term 06-30-2029)	-	-	1.0	-	-	74
Sr Intergovtl Program Analyst (Limited Term 06-30-2029)	-	-	1.0	-	-	83
Sr Projs Analyst - Office of Plan & Research (Limited Term 06-30-2029)	-	-	1.0	-	-	112
Volunteer and Donation Management Planning Guidance (AB 2213)						
Various	-	-	-	-	-	85
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	19.0	\$-	\$-	\$1,740
Totals, Adjustments	11.0	-	19.0	\$507	\$427	\$1,974
TOTALS, SALARIES AND WAGES	80.4	88.4	104.4	\$7,192	\$7,990	\$9,113

0690 Office of Emergency Services

The principal objective of the Office of Emergency Services (Cal OES) is to protect lives and property, build emergency response capabilities, and support communities for a resilient California. The Cal OES Director serves as both the Governor's Homeland Security Advisor and Emergency Management Director, with responsibility to implement and facilitate the state's homeland security and counter-terrorism strategy. Cal OES serves the public through effective collaboration in preparing for, protecting against, responding to, recovering from, and mitigating the impacts of hazards and threats.

Cal OES provides leadership, assistance, training, and support to state and local agencies and coordinates with federal agencies in planning and preparing for the most effective use of federal, state, local, and private sector resources in emergencies. This emergency planning is based upon a system of mutual aid whereby a jurisdiction relies first on its own resources, and then requests assistance from its neighbors. Cal OES' plans and programs are coordinated with those of the federal government, other states, private sector, utilities, and state and local agencies within California.

During an emergency, Cal OES functions as the Governor's immediate staff to provide guidance and coordinate the state's responsibilities under the Emergency Services Act and applicable federal statutes. It also acts as the conduit for federal assistance through natural disaster grants and federal agency support. Additionally, Cal OES is responsible for the

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0690 Office of Emergency Services - Continued

development and coordination of a comprehensive state strategy related to all hazards, including terrorism, which includes prevention, preparedness, mitigation, response, and recovery.

Cal OES implements the state's homeland security strategy by overseeing the California Cybersecurity Integration Center and the State Threat Assessment System (STAS), which includes management of the State Threat Assessment Center. The STAS assists in the detection, prevention, and investigation of and response to criminal and terrorist activity and also facilitates information and intelligence sharing between local, state, federal, tribal agencies, and private sector partners.

The Alfred E. Alquist Seismic Safety Commission is a separate unit within the Office of Emergency Services. Its mission is to lower earthquake risk to life and property of Californians. The Commission works with federal, state, and local agencies, as well as the private sector, on a variety of activities that guide and stimulate earthquake risk reduction and management.

Because Cal OES' programs drive a need for infrastructure investment, Cal OES has a capital outlay program to support this need. For the specifics on Cal OES' capital outlay program, see "Infrastructure Overview."

3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
0380	Emergency Management Services	231.6	243.6	346.6	\$133,374	\$122,300	\$202,939
0385	Special Programs and Grant Management	331.6	331.1	436.1	4,087,233	1,781,164	1,765,409
0390	Alfred E. Alquist Seismic Safety Commission	-	6.0	6.0	-	2,439	2,380
0395	Public Safety Communications	362.4	372.3	372.3	257,610	257,699	281,154
9900100	Administration	166.2	166.2	183.2	25,905	26,186	36,230
9900200	Administration - Distributed	-	-	-	-25,905	-26,186	-36,230
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		1,091.8	1,119.2	1,344.2	\$4,478,217	\$2,163,602	\$2,251,882
FUNDING					2019-20*	2020-21*	2021-22*
0001	General Fund				\$1,505,416	\$735,859	\$816,590
0022	State Emergency Telephone Number Account				106,029	163,411	176,563
0028	Unified Program Account				639	892	101
0029	Nuclear Planning Assessment Special Account				3,377	3,481	3,628
0217	Insurance Fund				-	1,221	1,314
0437	State Assistance For Fire Equipment Account				107	100	100
0890	Federal Trust Fund				2,725,673	1,138,044	1,142,067
0903	State Penalty Fund				9,668	9,475	9,533
0942	Special Deposit Fund				-	700	700
0995	Reimbursements				20,104	6,406	10,444
3034	Antiterrorism Fund				699	770	842
3228	Greenhouse Gas Reduction Fund				722	1,133	1,191
3361	California Earthquake Safety Fund				-	17,283	-
6061	Transit System Safety, Security, and Disaster Response Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006				163	2,837	2,906
8039	Disaster Resistant Communities Account				25,000	207	207
8093	California Sexual Violence Victim Services Fund				-	250	-
8104	California Domestic Violence Victims Fund				214	250	-
9751	Public Safety Communications Revolving Fund				80,406	81,283	85,696
TOTALS, EXPENDITURES, ALL FUNDS					\$4,478,217	\$2,163,602	\$2,251,882

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code, Title 2, Division 1, Chapter 7 and Chapter 12; Government Code, Title 2, Division 3, Part 6.5; Government Code, Title 5, Division 2, Part 1, Chapter 1, Article 6; Penal Code, Part 4, Title 6, Chapter 3.

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0690 Office of Emergency Services - Continued

MAJOR PROGRAM CHANGES

- **Wildfire and Forest Resilience Package: Home Hardening and Community Protection**—Chapter 14, Statutes of 2021 (SB 85) provided \$21.9 million one-time General Fund in 2020-21 as a part of a comprehensive package to increase the pace and scale of forest health activities and reduce wildfire risk. In total, the Budget includes a \$1.5 billion wildfire prevention and forest resilience investment over three years (\$536 million in 2020-21, \$458 million in 2021-22, and \$500 million in 2022-23). Allocations for the 2021-22 and 2022-23 budgets will be determined in subsequent legislation.
- **Building Resilience**—The Budget includes \$100 million one-time General Fund for Community Hardening to Build Disaster Resilient Communities. Specifically, this funding will support community hardening efforts within under-resourced communities by covering the local government's cost share for participating in the federal hazard mitigation grant program and providing outreach and technical assistance to disadvantaged local communities and governments as they apply for federal grants.
- **Victims of Crime Act Supplemental Funding**—The Budget includes \$100 million one-time General Fund to supplement federal funding supporting a variety of services for domestic violence victims. This one-time augmentation will allow existing programs to continue, while building capacity to handle the increased need.
- **Strengthening California's Emergency Response Capacity and Capabilities**—The Budget includes \$60.6 million ongoing General Fund and 114 positions to strengthen California's emergency capacity and capabilities to respond to increasingly complex disasters, security threats, and emergencies; maximize federal funds for disasters; modernize technology and data analytics; and strengthen equity and connections with vulnerable populations. Specifically, the budget includes the following:
 - **Incident Response Team**—\$16.5 million ongoing General Fund and 73 positions to establish permanent and dedicated incident response teams and needed support to operate California's State Operations Center or Regional Emergency Operations Centers to respond to all-hazard events. These teams will strengthen the capabilities of the State Operations Center and lessen the Department's reliance on redirected staff from other areas in the organization.
 - **Strengthening Equity and Connections with Communities**—\$29.9 million ongoing General Fund and 13 positions to establish a new Office of Equity and make permanent an annual \$25 million Listos California grant program to further strengthen connections and build resiliency with all communities.
 - **Modernizing Technology and Data Analytics**—\$10.2 million ongoing General Fund and 14 positions to modernize the Department's technology and data capabilities through new technology and updating outdated systems.
 - **Strengthening Tracking and Reporting of Disaster Costs and Funding**—\$4 million ongoing General Fund and 14 positions to establish a new unit within Cal OES to better coordinate and lead the state's disaster cost tracking and reporting efforts in coordination with all state agencies. This new unit will serve as a liaison.
- **Nonprofit Security Grant Program**—The Budget includes \$50 million one-time General Fund for the California State Nonprofit Security Grant Program, to assist nonprofit organizations that have historically been targets of hate-motivated violence. This program, administered by the Cal OES, provides support for target hardening and other physical security enhancements to nonprofit organizations that are at high risk of violence and hate crimes based on ideology and beliefs.
- **Long Term Recovery Support**—The Budget includes \$37.8 million (\$15.7 million General Fund) ongoing to provide permanent funding for 104 permanent recovery positions provided in 2018 with three-year limited-term funding, and 110 new positions to better support California's recovery efforts and recoupment of eligible federal disaster cost reimbursements for the state and local governments, and to maximize the hazard mitigation program to mitigate the impacts of future disasters on the state and local jurisdictions.
- **COVID-19 Direct Response Expenditures**—The Budget includes a total of \$1.7 billion for various state departments for direct response costs associated with responding to and mitigating the impacts of the COVID-19 Pandemic in 2021-22. Specifically, the budget includes \$18.9 million one-time General Fund for Cal OES for state response operation costs to continue providing resources through the State Operation Center and funding subject matter experts for various response activities.
- **California Earthquake Early Warning System**—The Budget includes \$17.3 million one-time General Fund to continue to support system operations and program management, an education and outreach campaign, and research and development to expand earthquake mitigation use of the system.
- **Gun Violence Restraining Orders**—The Budget includes \$11 million one-time General Fund to facilitate education and training efforts related to gun violence restraining orders. This funding includes: (1) \$5 million for to conduct a public awareness campaign, (2) \$5 million for grants to domestic violence groups to conduct outreach, and (3) \$1 million to the City of San Diego for the city attorney to provide temporary gun violence restraining order trainings to entities statewide.
- **Medical Evidentiary Sexual Assault Examination Reimbursement Claims**—The Budget includes \$6.7 million ongoing General

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0690 Office of Emergency Services - Continued

Fund to increase reimbursements to local law enforcement agencies to offset the cost of reimbursing qualified health care professionals, hospitals, or other emergency medical facilities for medical evidentiary examinations for all sexual assault victims.

- **Regional Hazardous Materials Response**—The Budget includes \$3.6 million ongoing General Fund to continue implementation of the Regional Hazardous Materials Response program, which strategically positions and trains response teams throughout the state in high risk areas to respond to hazardous material incidents.
- **Wildfire Forecast and Threat Intelligence Integration Center (SB 209)**—The Budget includes \$7.5 million General Fund in 2021-22, \$4.5 million ongoing General Fund, and 22 positions for Cal OES, California Military Department, Department of Forestry and Fire Protection (CAL FIRE), and Public Utilities Commission to implement Chapter 405, Statutes of 2019 (SB 209) which requires Cal OES and CAL FIRE to establish a Wildfire Forecast and Threat Intelligence Integration Center (Wildfire Forecast Center). The Wildfire Forecast Center will: (1) provide “real time” intelligence and data regarding wildfire threats to government agencies; (2) develop intelligence products for public and private entities involved in wildfire risk mitigation efforts; and (3) serve as a central organizing hub for wildfire forecasting, weather information, threat intelligence gathering, and analysis. This additional investment builds on the \$2 million ongoing General Fund provided in the 2020 Budget Act for a total investment of \$9.5 million, of which \$2.8 million is appropriated to Cal OES.

DETAILED BUDGET ADJUSTMENTS

	2020-21*			2021-22*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• California Disaster Assistance Act Adjustment	\$-	\$-	-	\$100,003	\$-	-
• Building Resilience	-	-	-	100,000	-	-
• Victims of Crime Act Supplemental Funding	-	-	-	100,000	-	-
• Strengthening California's Emergency Capacity and Capabilities	-	-	-	60,556	1	114.0
• Legislative Investment: Homeless Youth Emergency Services and Housing Program	-	-	-	50,000	-	-
• Nonprofit Security Grant Program	-	-	-	50,000	-	-
• COVID-19 Direct Response Expenditures	-	-	-	18,860	-	-
• California Earthquake Early Warning System	-	-	-	17,283	-	-
• Long Term Recovery Support	-	-	-	15,690	22,128	110.0
• Legislative Investment: Domestic and Sexual Violence Prevention	-	-	-	15,000	-	-
• Legislative Investment: Family Justice Centers	-	-	-	11,000	-	-
• Legislative Investment: Support for Human Trafficking Survivors	-	-	-	10,000	-	-
• Southern Region Emergency Operations Center Relocation	-	-	-	7,726	-	-
• Legislative Investment: Medical Evidentiary Sexual Assault Examination Reimbursement Claims	-	-	-	6,700	-	-
• Legislative Investment: Statewide High Frequency Backup Disaster Communications System	-	-	-	6,000	-	-
• Gun Violence Restraining Orders: "See Something, Say Something" Public Awareness Campaign	-	-	-	5,000	-	-
• Gun Violence Restraining Orders: Domestic Violence Stakeholder Outreach	-	-	-	5,000	-	-
• One-Time Deferred Maintenance Allocation	-	-	-	5,000	-	-
• Energy Package: Operational Observer Contract	-	-	-	3,600	5,100	-
• Regional Hazardous Materials Response	-	-	-	3,595	-	-
• Gun Violence Restraining Orders: Training	-	-	-	1,000	-	-
• Legislative Investment: Mass Casualty Training for Coroner Offices	-	-	-	1,000	-	-

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0690 Office of Emergency Services - Continued

	2020-21*			2021-22*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
• Wildfire Forecast and Threat Intelligence Integration Center (SB 209)	-	-	-	799	-	5.0
• Volunteer and Donation Management Planning Guidance (AB 2213)	-	-	-	175	-	-
• Next Generation 9-1-1 Grant Program	-	-	-	-	570	-
• Reimbursement Authority Increase	-	-	-	-	90	-
• Elimination of Voluntary Contributions Tax Form Expenditure Authority	-	-	-	-	-500	-
• Unified Hazardous Waste and Hazardous Materials Regulatory Management Program Realignment	-	-	-	-	-843	-4.0
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$593,987	\$26,546	225.0
Other Workload Budget Adjustments						
• Other Post-Employment Benefit Adjustments	224	611	-	224	611	-
• Nuclear Planning Assessment Special Account Consumer Price Index Adjustment per Government Code section 8610.5	-	-	-	-	57	-
• Control Section 1.50: CalRecycle - Other State Agency Personnel Tree Debris Removal Reimbursement	-	1,348	-	-	-	-
• Control Section 28.00: Next Generation 9-1-1 Federal Grant Program	-	570	-	-	-	-
• Control Section 8.50: Emergency Management Performance Grant Supplemental Allocation	-	7,895	-	-	-	-
• Control Section 8.50: Family Violence Prevention and Services Act - CARES Act	-	4,155	-	-	-	-
• Executive Order E 20/21 - 101: Mission Tasking Appropriation Transfer	-500	-	-	-	-	-
• Executive Order E 20/21 - 128: 2020 Wildfires Disaster Response-Emergency Operations Account Transfer	1,700	-	-	-	-	-
• Executive Order E 20/21 - 174: 2020 Wildfires Disaster Response-Emergency Operations Account Transfer	50,553	-	-	-	-	-
• Executive Order E 20/21 - 178: COVID-19 Disaster Response-Emergency Operations Account Transfer	68,539	-	-	-	-	-
• Executive Order E 20/21 - 193: 2020 Wildfires Disaster Response-Emergency Operations Account Transfer	10,416	-	-	-	-	-
• Executive Order E 20/21 - 218: Item 9840 Augmentation for Contingencies or Emergencies	176	-	-	-	-	-
• Executive Order E 20/21 - 263: COVID-19 Disaster Response-Emergency Operations Account Transfer	22,257	-	-	-	-	-
• Executive Order E 20/21 - 63: COVID-19 Disaster Response-Emergency Operations Account Transfer	36,563	-	-	-	-	-
• Executive Order E 20/21 - 92: Mission Tasking Appropriation Transfer	-2,000	-	-	-	-	-
• Section 3.90 Employee Compensation Reduction	-4,587	-9,218	-	-	-	-
• Wildfire and Forest Resilience Package: Home Hardening and Community Protection	21,924	-	-	-	-	-
• Wildfire Forecast and Threat Intelligence Integration Center (SB 209) Current Year Reversion	-2,000	-	-	-	-	-
• Salary Adjustments	1,109	1,843	-	1,110	1,835	-

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0690 Office of Emergency Services - Continued

	2020-21*			2021-22*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
• Benefit Adjustments	165	188	-	158	167	-
• Lease Revenue Debt Service Adjustment	-6	-	-	15	-	-
• SWCAP	-	-	-	-	1,985	-
• Carryover/Reappropriation	206,489	-	-	-	-	-
• Miscellaneous Baseline Adjustments	4,297	-	-	-	-	-
• Retirement Rate Adjustments	-1,202	-1,288	-	-1,202	-1,288	-
Totals, Other Workload Budget Adjustments	\$414,117	\$6,104	-	\$305	\$3,367	-
Totals, Workload Budget Adjustments	\$414,117	\$6,104	-	\$594,292	\$29,913	225.0
Totals, Budget Adjustments	\$414,117	\$6,104	-	\$594,292	\$29,913	225.0

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0690 Office of Emergency Services - Continued**2020 Wildfire Costs as of 2021-22 Budget Act**

	2021-22 Governor's Budget ^{1/}	2021-22 Enactment ^{2/}	Difference from Governor's Budget	Purpose
2020 Wildfires^{2/}				
Department of Resources Recycling and Recovery	\$1,762,000	\$1,762,000	\$0	Debris removal
Department of General Services	76,000	40,000	-\$36,000	Equipment, base camp, and sheltering and logistics support
Office of Emergency Services	62,953	62,669	-\$284	Debris removal, EMAC, staging, state ops, and fire response
Department of Toxic Substances Control ^{3/}	25,000	20,303	-\$4,697	Debris removal
Military Department	7,019	10,640	\$3,621	Disaster response and coordination
Department of Social Services	\$0	5,000	\$5,000	Rapid Response
Department of Parks and Recreation	2,120	1,183	-\$937	Disaster response and recovery
California Conservation Corps	\$0	856	\$856	Additional fire crews
Department of Conservation	\$0	474	\$474	Debris flow mapping
Emergency Medical Services Authority	\$0	383	\$383	Incident Support
Department of Public Health	\$0	105	\$105	Disaster response and recovery
Various Departments	8,726	\$0	-\$8,726	Funding has been fully allocated to respective department budgets for costs incurred as displayed immediately above
Subtotal 2020 Wildfires	\$1,943,818	\$1,903,613	-\$40,205	
Total Estimated Expenditures	\$1,943,818	\$1,903,613	-\$40,205	
Total Estimated Federal Cost-Share^{4/}	-\$1,176,451	-\$1,176,451	\$0	
Net General Fund Cost	\$767,367	\$727,162	-\$40,205	

^{1/}Unless otherwise noted, the amounts reflect total allocations into the Disaster Response-Emergency Operations Account (DREOA) for the departments specified. The amounts reflected in departments' budget displays reflect amounts transferred to departments as of June 30, 2021.

^{2/}Reflects point-in-time information as of the 2021-22 Enacted Budget. Does not reflect costs incurred by the Department of Forestry and Fire Protection.

^{3/}Activities funded by DREOA and the statewide mission tasking appropriation.

^{4/}Assumes 75 percent federal cost-share for eligible costs; not all 2020 Wildfire costs are eligible.

0690 Office of Emergency Services - Continued**Program 0385 – Victim Services Projects, Local Assistance**

Component	Program Name	Source of Funds	Actual Expenditures 2019-20	Proposed Expenditures 2020-21	Proposed Expenditures 2021-22
Public Safety / Victim Services					
0385.101	Victim-Witness Assistance	0903 State Penalty Fund	5,894	5,894	5,894
0385.102	Victims' Legal Resource Center	0001 General Fund	37	37	37
0385.105	Gun Violence	0001 General Fund	-	-	5,000
0385.151	Domestic Violence ^{1/}	0001 General Fund	20,591	20,602	20,602
0385.151	Domestic Violence ^{1/}	0890 Federal Trust Fund	9,005	9,580	8,510
0385.152	Family Violence Prevention	0001 General Fund	45	45	45
0385.161	Violence Against Women Act	0890 Federal Trust Fund	14,543	14,300	14,300
0385.301	Rape Crisis ^{1/}	0001 General Fund	45	45	45
0385.301	Rape Crisis ^{1/}	0903 State Penalty Fund	1,710	1,710	1,710
0385.351	Homeless Youth ^{1/}	0001 General Fund	6,693	356	50,356
0385.351	Homeless Youth ^{1/}	0903 State Penalty Fund	344	344	344
0385.352	Youth Emergency Telephone Refer	0001 General Fund	314	314	314
0385.353	Child Sexual Abuse & Exploitation	0903 State Penalty Fund	115	115	115
0385.354	Child Sexual Abuse Prevention/Trng	0001 General Fund	272	272	272
0385.451	Victims of Crime Act	0890 Federal Trust Fund	276,882	282,000	282,000
0385.452	Victims of Crime Act Supplemental	0001 General Fund	-	-	100,000
0385.504	Project Safe Neighborhoods	0890 Federal Trust Fund	-	700	700
0385.523	Forensic Science Improvement Act	0890 Federal Trust Fund	1,771	2,090	2,090
0385.541	Public Pros/Pub Defender Training ^{1/}	0001 General Fund	250	-	-
0385.541	Public Pros/Pub Defender Training ^{1/}	0903 State Penalty Fund	450	450	450
0385.902	Child Justice Act	0890 Federal Trust Fund	1,854	1,800	1,800
0385.908	Internet Crimes Against Children	0001 General Fund	4,750	5,000	5,000
0385.912	Human Trafficking Victims Assistance	0001 General Fund	10,000	10,000	20,000
0385.913	California Sexual Violence Victim Services	8093 California Sexual Violence Victim Services Fund	-	250	-
0385.914	Domestic Violence and Sexual Assault Prevention	0001 General Fund	5,000	-	15,000
0385.918	California Domestic Violence Victims Program	8104 California Domestic Violence Victims Fund	214	250	-
0385.921	Family Justice Centers	0001 General Fund	-	-	11,000
0385.924	Medical Training	0001 General Fund	-	310	125
0385.925	Family Violence Prevention Services - Stimulus	0890 Federal Trust Fund	-	4,155	-
Public Safety / Victim Services Total			\$360,779	\$360,619	\$545,709
Total, Program 0385-Victim Services Projects, Local Assistance			\$360,779	\$360,619	\$545,709

^{1/} Program has multiple funding sources.

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0690 Office of Emergency Services - Continued**PROGRAM DESCRIPTIONS****0380 - EMERGENCY MANAGEMENT SERVICES**

Working through the state's mutual aid system, the Emergency Management Services and Homeland Security Program coordinates the provision of federal, state, and local resources to jurisdictions whose resources and services are overextended in a disaster situation. In addition, this program develops and coordinates a comprehensive state strategy related to an all-hazards approach that includes prevention, preparedness, mitigation, response, and recovery consistent with the National Strategy on Homeland Security. Central to this effort is maintaining operational readiness at all levels of government. Within this program, Cal OES also trains emergency managers, responders, and state and local leaders in preparedness and response to all hazards.

0385 - SPECIAL PROGRAMS AND GRANT MANAGEMENT

Cal OES serves as the State Administering Agent for federal homeland security, emergency management, and victim services grants and is the primary liaison with the Federal Emergency Management Agency, the United States Department of Homeland Security, and other federal agencies. Additionally, Cal OES serves as an advocate for local public safety, emergency management, and public and private agencies operating public safety and victim service programs. As part of its grant management role, Cal OES administers the California Disaster Assistance Act and provides eligible local agencies with financial assistance to recover from disasters. Included in this program is the State Threat Assessment Center, which is the centerpiece of the state's intelligence information sharing environment and serves as the Governor's designated primary fusion center.

0390 - ALFRED E. ALQUIST SEISMIC SAFETY COMMISSION

The Alfred E. Alquist Seismic Safety Commission program provides a coordinated framework for establishing consistent earthquake policies; advising the Governor, the Legislature, local governments, and the public; and tracking the state's progress toward higher levels of seismic safety. The Commission uses the expertise of its commissioners to review, evaluate, and translate scientific information and make recommendations to guide and influence earthquake safety policies. The Commission works with federal, state, and local agencies, as well as the private sector, on a variety of activities that support the state's earthquake preparedness, mitigation, response, and recovery efforts. These activities include: (1) developing and reviewing seismic safety projects; (2) providing consistent policy direction; (3) proposing and reviewing earthquake-related legislation; (4) conducting public hearings on seismic safety issues; (5) using existing knowledge and conducting studies, where necessary, to improve the performance of structures in California; (6) recommending earthquake safety programs and supporting cost-effective partnerships that help reduce earthquake risks and speed economic recovery.

0395 - PUBLIC SAFETY COMMUNICATIONS

The Public Safety Communications program is responsible for the design, installation, maintenance, and repair of the state's public safety communications networks and oversight of the state's 9-1-1 system. The program is dedicated to the preservation and protection of human life and public safety by delivering reliable and dependable communication services that keep the public connected during times of crisis.

9900 - ADMINISTRATION AND EXECUTIVE

The Administration and Executive Program provides the overall policy direction of Cal OES and support services such as accounting, fiscal, personnel, information technology, and business services.

DETAILED EXPENDITURES BY PROGRAM

		<u>2019-20*</u>	<u>2020-21*</u>	<u>2021-22*</u>
	PROGRAM REQUIREMENTS			
0380	EMERGENCY MANAGEMENT SERVICES			
	State Operations:			
0001	General Fund	\$44,314	\$64,107	\$120,229
0028	Unified Program Account	639	892	101
0029	Nuclear Planning Assessment Special Account	770	1,209	1,308
0437	State Assistance For Fire Equipment Account	107	100	100
0890	Federal Trust Fund	16,091	22,591	23,770
0995	Reimbursements	20,104	6,371	5,309
3034	Antiterrorism Fund	665	652	724

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0690 Office of Emergency Services - Continued

		<u>2019-20*</u>	<u>2020-21*</u>	<u>2021-22*</u>
3228	Greenhouse Gas Reduction Fund	722	1,133	1,191
8039	Disaster Resistant Communities Account	25,000	207	207
	Totals, State Operations	\$108,412	\$97,262	\$152,939
	Local Assistance:			
0001	General Fund	\$24,962	\$25,038	\$50,000
	Totals, Local Assistance	\$24,962	\$25,038	\$50,000
	PROGRAM REQUIREMENTS			
0385	SPECIAL PROGRAMS AND GRANT MANAGEMENT			
	State Operations:			
0001	General Fund	\$1,138,588	\$404,116	\$84,585
0890	Federal Trust Fund	609,546	64,062	78,561
0903	State Penalty Fund	1,155	962	1,020
0995	Reimbursements	-	20	5,120
3034	Antiterrorism Fund	34	118	118
3361	California Earthquake Safety Fund	-	17,283	-
6061	Transit System Safety, Security, and Disaster Response Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	163	2,837	2,906
	Totals, State Operations	\$1,749,486	\$489,398	\$172,310
	Local Assistance:			
0001	General Fund	\$236,737	\$229,660	\$543,100
0029	Nuclear Planning Assessment Special Account	2,607	2,272	2,320
0890	Federal Trust Fund	2,089,676	1,050,821	1,039,166
0903	State Penalty Fund	8,513	8,513	8,513
8093	California Sexual Violence Victim Services Fund	-	250	-
8104	California Domestic Violence Victims Fund	214	250	-
	Totals, Local Assistance	\$2,337,747	\$1,291,766	\$1,593,099
	PROGRAM REQUIREMENTS			
0390	ALFRED E. ALQUIST SEISMIC SAFETY COMMISSION			
	State Operations:			
0001	General Fund	\$-	\$503	\$351
0217	Insurance Fund	-	1,221	1,314
0942	Special Deposit Fund	-	700	700
0995	Reimbursements	-	15	15
	Totals, State Operations	\$-	\$2,439	\$2,380
	PROGRAM REQUIREMENTS			
0395	PUBLIC SAFETY COMMUNICATIONS			
	State Operations:			
0001	General Fund	\$60,815	\$12,435	\$18,325
0022	State Emergency Telephone Number Account	-30,254	21,020	21,572
0890	Federal Trust Fund	101	570	570
9751	Public Safety Communications Revolving Fund	80,406	81,283	85,696
	Totals, State Operations	\$111,068	\$115,308	\$126,163
	Local Assistance:			
0022	State Emergency Telephone Number Account	\$136,283	\$142,391	\$154,991
0890	Federal Trust Fund	10,259	-	-
	Totals, Local Assistance	\$146,542	\$142,391	\$154,991
	SUBPROGRAM REQUIREMENTS			
9900100	Administration			
	State Operations:			

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0690 Office of Emergency Services - Continued

		2019-20*	2020-21*	2021-22*
0001	General Fund	\$25,905	\$26,186	\$36,230
	Totals, State Operations	\$25,905	\$26,186	\$36,230
	SUBPROGRAM REQUIREMENTS			
9900200	Administration - Distributed			
	State Operations:			
0001	General Fund	-\$25,905	-\$26,186	-\$36,230
	Totals, State Operations	-\$25,905	-\$26,186	-\$36,230
	TOTALS, EXPENDITURES			
	State Operations	1,968,966	704,407	453,792
	Local Assistance	2,509,251	1,459,195	1,798,090
	Totals, Expenditures	\$4,478,217	\$2,163,602	\$2,251,882

EXPENDITURES BY CATEGORY

1 State Operations		Positions			Expenditures		
		2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
PERSONAL SERVICES							
	Baseline Positions	1,091.8	1,119.2	1,119.2	\$90,937	\$95,884	\$87,547
	Other Adjustments	-	-	225.0	15,077	-6,101	39,657
	Net Totals, Salaries and Wages	1,091.8	1,119.2	1,344.2	\$105,985	\$89,783	\$127,204
	Staff Benefits	-	-	-	48,781	42,600	61,014
	Totals, Personal Services	1,091.8	1,119.2	1,344.2	\$154,766	\$132,383	\$188,218
OPERATING EXPENSES AND EQUIPMENT					\$1,779,663	\$572,024	\$265,574
SPECIAL ITEMS OF EXPENSES					34,537	-	-
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)					\$1,968,966	\$704,407	\$453,792
2 Local Assistance		Expenditures					
		2019-20*		2020-21*		2021-22*	
	Grants and Subventions - Governmental	2,509,251		1,459,195		1,798,090	
	TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$2,509,251		\$1,459,195		\$1,798,090	

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS		2019-20*	2020-21*	2021-22*
0001 General Fund				
APPROPRIATIONS				
001	Budget Act appropriation	\$954,197	\$100,763	\$198,132
	Allocation for Employee Compensation	-	1,104	-
	Allocation for Other Post-Employment Benefits	-	223	-
	Allocation for Staff Benefits	-	165	-
	Executive Order E 20/21 - 124: COVID-19 Disaster Response-Emergency Operations Account Transfer	-	4,297	-
	Executive Order E 20/21 - 128: 2020 Wildfires Disaster Response-Emergency Operations Account Transfer	-	1,700	-
	Executive Order E 20/21 - 174: 2020 Wildfires Disaster Response-Emergency Operations Account Transfer	-	50,553	-
	Executive Order E 20/21 - 178: COVID-19 Disaster Response-Emergency Operations Account Transfer	-	68,539	-

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0690 Office of Emergency Services - Continued

1 STATE OPERATIONS	2019-20*	2020-21*	2021-22*
Executive Order E 20/21 - 193: 2020 Wildfires Disaster Response-Emergency Operations Account Transfer	-	10,416	-
Executive Order E 20/21 - 218: Item 9840 Augmentation for Contingencies or Emergencies	-	176	-
Executive Order E 20/21 - 263: COVID-19 Disaster Response-Emergency Operations Account Transfer	-	22,257	-
Executive Order E 20/21 - 63: COVID-19 Disaster Response-Emergency Operations Account Transfer	-	36,563	-
Section 3.60 Pension Contribution Adjustment	-	-1,192	-
Section 3.90 Employee Compensation Reduction	-	-4,525	-
Wildfire Forecast and Threat Intelligence Integration Center (SB 209) Current Year Reversion	-	-2,000	-
003 Budget Act appropriation	5,453	5,480	5,487
Lease Revenue Debt Service Adjustment	-	-6	-
004 Budget Act appropriation	836	1,014	1,010
Allocation for Employee Compensation	-	5	-
Allocation for Other Post-Employment Benefits	-	1	-
Section 3.60 Pension Contribution Adjustment	-	-10	-
Section 3.90 Employee Compensation Reduction	-	-62	-
006 Budget Act appropriation	504	-	1
011 Budget Act appropriation (transfer to State Emergency Telephone Number Account)	50,000	-	-
021 Budget Act appropriation	-	-	18,860
Prior Year Balances Available:			
Item 0690-001-0001, Budget Act of 2018	232,727	-	-
Item 0690-001-0001, Budget Act of 2019	-	172,348	-
Item 0690-006-0001, Budget Act of 2019	-	13,352	-
Totals Available	\$1,243,717	\$481,161	\$223,490
TOTALS, EXPENDITURES	\$1,243,717	\$481,161	\$223,490
0022 State Emergency Telephone Number Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$19,746	\$21,442	\$21,572
Allocation for Employee Compensation	-	145	-
Allocation for Other Post-Employment Benefits	-	41	-
Allocation for Staff Benefits	-	18	-
Section 3.60 Pension Contribution Adjustment	-	-72	-
Section 3.90 Employee Compensation Reduction	-	-554	-
Totals Available	\$19,746	\$21,020	\$21,572
TOTALS, EXPENDITURES	\$19,746	\$21,020	\$21,572
Less funding provided by General Fund	-50,000	-	-
NET TOTALS, EXPENDITURES	-\$30,254	\$21,020	\$21,572
0028 Unified Program Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$639	\$958	\$101
Section 3.60 Pension Contribution Adjustment	-	-14	-
Section 3.90 Employee Compensation Reduction	-	-52	-
Totals Available	\$639	\$892	\$101
TOTALS, EXPENDITURES	\$639	\$892	\$101
0029 Nuclear Planning Assessment Special Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$770	\$1,314	\$1,308
Section 3.60 Pension Contribution Adjustment	-	-15	-
Section 3.90 Employee Compensation Reduction	-	-90	-

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0690 Office of Emergency Services - Continued

1 STATE OPERATIONS	2019-20*	2020-21*	2021-22*
Totals Available	\$770	\$1,209	\$1,308
TOTALS, EXPENDITURES	\$770	\$1,209	\$1,308
0217 Insurance Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	\$1,300	\$1,314
Allocation for Employee Compensation	-	20	-
Allocation for Other Post-Employment Benefits	-	6	-
Allocation for Staff Benefits	-	1	-
Section 3.60 Pension Contribution Adjustment	-	-13	-
Section 3.90 Employee Compensation Reduction	-	-93	-
TOTALS, EXPENDITURES	-	\$1,221	\$1,314
0347 School Land Bank Fund			
APPROPRIATIONS			
011 Budget Act appropriation (loan to California Earthquake Safety Fund)	(-)	(\$17,283)	(-)
TOTALS, EXPENDITURES	-	-	-
0437 State Assistance For Fire Equipment Account			
APPROPRIATIONS			
Government Code section 8589.16	\$107	\$100	\$100
TOTALS, EXPENDITURES	\$107	\$100	\$100
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$625,738	\$89,030	\$102,900
Allocation for Employee Compensation	-	976	-
Allocation for Other Post-Employment Benefits	-	244	-
Allocation for Staff Benefits	-	83	-
Control Section 28.00: Next Generation 9-1-1 Federal Grant Program	-	570	-
Control Section 8.50: Emergency Management Performance Grant Supplemental Allocation	-	395	-
Section 3.60 Pension Contribution Adjustment	-	-531	-
Section 3.90 Employee Compensation Reduction	-	-3,544	-
006 Budget Act appropriation	-	-	1
Totals Available	\$625,738	\$87,223	\$102,901
TOTALS, EXPENDITURES	\$625,738	\$87,223	\$102,901
0903 State Penalty Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,155	\$1,000	\$1,020
Allocation for Employee Compensation	-	21	-
Allocation for Other Post-Employment Benefits	-	6	-
Allocation for Staff Benefits	-	2	-
Section 3.60 Pension Contribution Adjustment	-	-8	-
Section 3.90 Employee Compensation Reduction	-	-59	-
Totals Available	\$1,155	\$962	\$1,020
TOTALS, EXPENDITURES	\$1,155	\$962	\$1,020
0942 Special Deposit Fund			
APPROPRIATIONS			
Government Code section 16370	-	\$700	\$700
TOTALS, EXPENDITURES	-	\$700	\$700
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$20,104	\$6,406	\$10,444
TOTALS, EXPENDITURES	\$20,104	\$6,406	\$10,444

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0690 Office of Emergency Services - Continued

1 STATE OPERATIONS	2019-20*	2020-21*	2021-22*
3034 Antiterrorism Fund			
APPROPRIATIONS			
010 Budget Act appropriation	\$699	\$847	\$842
Allocation for Employee Compensation	-	5	-
Allocation for Other Post-Employment Benefits	-	2	-
Section 3.60 Pension Contribution Adjustment	-	-12	-
Section 3.90 Employee Compensation Reduction	-	-72	-
Totals Available	\$699	\$770	\$842
TOTALS, EXPENDITURES	\$699	\$770	\$842
3228 Greenhouse Gas Reduction Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$722	\$1,176	\$1,191
Allocation for Employee Compensation	-	17	-
Allocation for Other Post-Employment Benefits	-	6	-
Allocation for Staff Benefits	-	1	-
Section 3.60 Pension Contribution Adjustment	-	-8	-
Section 3.90 Employee Compensation Reduction	-	-59	-
Totals Available	\$722	\$1,133	\$1,191
TOTALS, EXPENDITURES	\$722	\$1,133	\$1,191
3361 California Earthquake Safety Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	\$17,283	-
TOTALS, EXPENDITURES	-	\$17,283	-
6061 Transit System Safety, Security, and Disaster Response Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006			
APPROPRIATIONS			
001 Budget Act appropriation	\$163	\$2,874	\$2,906
Allocation for Employee Compensation	-	30	-
Allocation for Other Post-Employment Benefits	-	8	-
Allocation for Staff Benefits	-	3	-
Section 3.60 Pension Contribution Adjustment	-	-9	-
Section 3.90 Employee Compensation Reduction	-	-69	-
Totals Available	\$163	\$2,837	\$2,906
TOTALS, EXPENDITURES	\$163	\$2,837	\$2,906
8039 Disaster Resistant Communities Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$25,000	\$207	\$207
Totals Available	\$25,000	\$207	\$207
TOTALS, EXPENDITURES	\$25,000	\$207	\$207
9751 Public Safety Communications Revolving Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$80,406	\$85,338	\$85,696
Allocation for Employee Compensation	-	583	-
Allocation for Other Post-Employment Benefits	-	291	-
Allocation for Staff Benefits	-	75	-
Section 3.60 Pension Contribution Adjustment	-	-575	-
Section 3.90 Employee Compensation Reduction	-	-4,429	-
Totals Available	\$80,406	\$81,283	\$85,696
TOTALS, EXPENDITURES	\$80,406	\$81,283	\$85,696
Total Expenditures, All Funds, (State Operations)	\$1,968,966	\$704,407	\$453,792

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0690 Office of Emergency Services - Continued

2 LOCAL ASSISTANCE	2019-20*	2020-21*	2021-22*
0001 General Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$110,737	\$61,981	\$178,796
Wildfire and Forest Resilience Package: Home Hardening and Community Protection	-	21,924	-
103 Budget Act appropriation	14,264	-	50,000
104 Budget Act appropriation	37,500	50,000	-
105 Budget Act appropriation	-	-	100,000
105 Budget Act appropriation as added by Chapter 363, Statutes of 2019, as reappropriated by Item 0690-490, Budget Act of 2020	6,351	-	-
106 Budget Act appropriation	-	-	100,000
112 Budget Act appropriation	91,364	100,817	162,617
115 Budget Act appropriation	1,483	1,687	1,687
Prior Year Balances Available:			
0690-101-0001, Budget Act of 2019	-	1,404	-
105 Budget Act appropriation as added by Chapter 363, Statutes of 2019 as reappropriated by Item 0690-490, Budget Act of 2020	-	3,649	-
Item 0690-103-0001, as reappropriated by Item 0690-490, Budget Act of 2020	-	736	-
Item 0690-104-0001, Budget Act of 2019	-	12,500	-
Totals Available	\$261,699	\$254,698	\$593,100
TOTALS, EXPENDITURES	\$261,699	\$254,698	\$593,100
0022 State Emergency Telephone Number Account			
APPROPRIATIONS			
101 Budget Act appropriation	\$136,283	\$142,391	\$154,991
Totals Available	\$136,283	\$142,391	\$154,991
TOTALS, EXPENDITURES	\$136,283	\$142,391	\$154,991
0029 Nuclear Planning Assessment Special Account			
APPROPRIATIONS			
101 Budget Act appropriation	\$2,607	\$2,272	\$2,320
TOTALS, EXPENDITURES	\$2,607	\$2,272	\$2,320
0890 Federal Trust Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$1,794,802	\$729,766	\$729,766
Control Section 8.50: Emergency Management Performance Grant Supplemental Allocation	-	7,500	-
102 Budget Act appropriation	305,133	309,400	309,400
Control Section 8.50: Family Violence Prevention and Services Act - CARES Act	-	4,155	-
Totals Available	\$2,099,935	\$1,050,821	\$1,039,166
TOTALS, EXPENDITURES	\$2,099,935	\$1,050,821	\$1,039,166
0903 State Penalty Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$8,513	\$8,513	\$8,513
TOTALS, EXPENDITURES	\$8,513	\$8,513	\$8,513
8093 California Sexual Violence Victim Services Fund			
APPROPRIATIONS			
101 Budget Act appropriation	-	\$250	-
Totals Available	-	\$250	-
TOTALS, EXPENDITURES	-	\$250	-
8104 California Domestic Violence Victims Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$214	\$250	-
Totals Available	\$214	\$250	-
TOTALS, EXPENDITURES	\$214	\$250	-

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0690 Office of Emergency Services - Continued

2 LOCAL ASSISTANCE	2019-20*	2020-21*	2021-22*
Total Expenditures, All Funds, (Local Assistance)	\$2,509,251	\$1,459,195	\$1,798,090
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$4,478,217	\$2,163,602	\$2,251,882

FUND CONDITION STATEMENTS

	2019-20*	2020-21*	2021-22*
0022 State Emergency Telephone Number Account ^s			
BEGINNING BALANCE	\$241	\$23,568	\$37,613
Prior Year Adjustments	22,394	-	-
Adjusted Beginning Balance	\$22,635	\$23,568	\$37,613
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4140500 Emergency Telephone User's Surcharge	111,889	184,514	184,424
4171100 Cost Recoveries - Other	1	2	2
4171400 Escheat - Unclaimed Checks, Warrants, Bonds, and Coupons	2	-	-
4173500 Settlements and Judgments - Other	-	3	-
Transfers and Other Adjustments			
Revenue Transfer from Prepaid MTS 911 Account (3266) to State Emergency Telephone Number Account (0022) per Chapter 885 Statutes of 2014 (AB 1717) Section 8 Revenue and Taxation Code 42023 (a)	-	-1,408	-1,408
Revenue Transfer from Prepaid MTS 911 Account (3266) to State Emergency Telephone Number Account (0022) per Chapter 885, Statutes of 2014 (AB 1717) Section 8 Revenue and Taxation Code 42023 (a)	17	1,408	1,408
Total Revenues, Transfers, and Other Adjustments	\$111,909	\$184,519	\$184,426
Total Resources	\$134,544	\$208,087	\$222,039
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0690 Office of Emergency Services (State Operations)	19,746	21,020	21,572
0690 Office of Emergency Services (Local Assistance)	136,283	142,391	154,991
3540 Department of Forestry and Fire Protection (State Operations)	3,815	3,815	3,815
7600 California Department of Tax and Fee Administration (State Operations)	784	1,659	1,755
8880 Financial Information System for California (State Operations)	-1	-	-
9892 Supplemental Pension Payments (State Operations)	95	102	102
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	254	1,487	481
Less funding provided by General Fund (State Operations)	-50,000	-	-
Total Expenditures and Expenditure Adjustments	\$110,976	\$170,474	\$182,716
FUND BALANCE	\$23,568	\$37,613	\$39,323
Reserve for economic uncertainties	23,568	37,613	39,323
0029 Nuclear Planning Assessment Special Account ^s			
BEGINNING BALANCE	\$312	\$1,126	\$379
Prior Year Adjustments	152	-	-
Adjusted Beginning Balance	\$464	\$1,126	\$379
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129200 Other Regulatory Fees	4,769	3,821	4,735
Total Revenues, Transfers, and Other Adjustments	\$4,769	\$3,821	\$4,735
Total Resources	\$5,233	\$4,947	\$5,114
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0690 Office of Emergency Services (State Operations)	770	1,209	1,308
0690 Office of Emergency Services (Local Assistance)	2,607	2,272	2,320
4265 Department of Public Health (State Operations)	624	971	1,020

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0690 Office of Emergency Services - Continued

	2019-20*	2020-21*	2021-22*
8880 Financial Information System for California (State Operations)	-1	-	-
9892 Supplemental Pension Payments (State Operations)	39	39	39
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	68	77	48
Total Expenditures and Expenditure Adjustments	<u>\$4,107</u>	<u>\$4,568</u>	<u>\$4,735</u>
FUND BALANCE	<u>\$1,126</u>	<u>\$379</u>	<u>\$379</u>
Reserve for economic uncertainties	1,126	379	379
0241 Local Public Prosecutors and Public Defenders Training Fund^s			
BEGINNING BALANCE	\$999	\$1,012	\$1,016
Prior Year Adjustments	-4	-	-
Adjusted Beginning Balance	<u>\$995</u>	<u>\$1,012</u>	<u>\$1,016</u>
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4163000 Investment Income - Surplus Money Investments	18	4	4
Total Revenues, Transfers, and Other Adjustments	<u>\$18</u>	<u>\$4</u>	<u>\$4</u>
Total Resources	<u>\$1,013</u>	<u>\$1,016</u>	<u>\$1,020</u>
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
9892 Supplemental Pension Payments (State Operations)	1	-	-
Total Expenditures and Expenditure Adjustments	<u>\$1</u>	<u>-</u>	<u>-</u>
FUND BALANCE	<u>\$1,012</u>	<u>\$1,016</u>	<u>\$1,020</u>
Reserve for economic uncertainties	1,012	1,016	1,020
0257 Earthquake Emergency Investigations Account, Disaster Assistance Fund^s			
BEGINNING BALANCE	\$30	\$27	\$27
Adjusted Beginning Balance	<u>\$30</u>	<u>\$27</u>	<u>\$27</u>
Total Resources	<u>\$30</u>	<u>\$27</u>	<u>\$27</u>
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
1690 Alfred E. Alquist Seismic Safety Commission (State Operations)	3	-	-
Total Expenditures and Expenditure Adjustments	<u>\$3</u>	<u>-</u>	<u>-</u>
FUND BALANCE	<u>\$27</u>	<u>\$27</u>	<u>\$27</u>
Reserve for economic uncertainties	27	27	27
0375 Disaster Response-Emergency Operations Account, Special Fund for Economic Uncertainties^s			
BEGINNING BALANCE	\$23	\$23	\$23
Adjusted Beginning Balance	<u>\$23</u>	<u>\$23</u>	<u>\$23</u>
Total Resources	<u>\$23</u>	<u>\$23</u>	<u>\$23</u>
FUND BALANCE	<u>\$23</u>	<u>\$23</u>	<u>\$23</u>
Reserve for economic uncertainties	23	23	23
0425 Victim - Witness Assistance Fund^s			
BEGINNING BALANCE	\$6	\$7	\$9
Prior Year Adjustments	-1	-	-
Adjusted Beginning Balance	<u>\$5</u>	<u>\$7</u>	<u>\$9</u>
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4131500 Felony Conviction Penalties	2	2	2
Total Revenues, Transfers, and Other Adjustments	<u>\$2</u>	<u>\$2</u>	<u>\$2</u>
Total Resources	<u>\$7</u>	<u>\$9</u>	<u>\$11</u>
FUND BALANCE	<u>\$7</u>	<u>\$9</u>	<u>\$11</u>
Reserve for economic uncertainties	7	9	11
0437 State Assistance For Fire Equipment Account^s			
BEGINNING BALANCE	\$2,185	\$2,919	\$2,919

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0690 Office of Emergency Services - Continued

	2019-20*	2020-21*	2021-22*
Prior Year Adjustments	81	-	-
Adjusted Beginning Balance	\$2,266	\$2,919	\$2,919
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4170400 Capital Asset Sales Proceeds	760	100	100
Total Revenues, Transfers, and Other Adjustments	\$760	\$100	\$100
Total Resources	\$3,026	\$3,019	\$3,019
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0690 Office of Emergency Services (State Operations)	107	100	100
Total Expenditures and Expenditure Adjustments	\$107	\$100	\$100
FUND BALANCE	\$2,919	\$2,919	\$2,919
Reserve for economic uncertainties	2,919	2,919	2,919
0903 State Penalty Fund ^N			
BEGINNING BALANCE	\$10,786	\$20,086	\$23,999
Prior Year Adjustments	-6,112	-	-
Adjusted Beginning Balance	\$4,674	\$20,086	\$23,999
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4171400 Escheat - Unclaimed Checks, Warrants, Bonds, and Coupons	87	80	80
4172240 Fines and Penalties - External - Other	78,984	72,541	72,541
4172500 Miscellaneous Revenue	20	20	20
4173500 Settlements and Judgments - Other	-	33	-
Transfers and Other Adjustments			
Revenue Transfer from the State Penalty Fund (0903) to the Fish and Game Preservation Fund (0200) per Penal Code 1464	-450	-450	-450
Revenue Transfer from the State Penalty Fund (0903) to the Restitution Fund (0214) per Proposed Item 7870-011-0903	-6,534	-6,534	-6,534
Revenue Transfer from the Peace Officers' Training Fund (0268) to the State Penalty Fund (0903) per Penal Code 13520	581	-	-
Total Revenues, Transfers, and Other Adjustments	\$72,688	\$65,690	\$65,657
Total Resources	\$77,362	\$85,776	\$89,656
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0690 Office of Emergency Services (State Operations)	1,155	962	1,020
0690 Office of Emergency Services (Local Assistance)	8,513	8,513	8,513
0820 Department of Justice (State Operations)	129	122	132
0820 Department of Justice (Local Assistance)	2,354	2,354	2,354
0840 State Controller (State Operations)	1,623	1,571	1,698
8120 Commission on Peace Officer Standards and Training (State Operations)	30,212	31,123	32,567
8120 Commission on Peace Officer Standards and Training (Local Assistance)	9,888	13,428	13,428
9892 Supplemental Pension Payments (State Operations)	573	573	573
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	2,829	3,131	2,060
Total Expenditures and Expenditure Adjustments	\$57,276	\$61,777	\$62,345
FUND BALANCE	\$20,086	\$23,999	\$27,311
Reserve for economic uncertainties	20,086	23,999	27,311
3034 Antiterrorism Fund ^S			
BEGINNING BALANCE	\$2,216	\$2,394	\$1,925
Prior Year Adjustments	160	-	-
Adjusted Beginning Balance	\$2,376	\$2,394	\$1,925
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4115600 Motor Vehicles - Other Fees	877	-	-

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0690 Office of Emergency Services - Continued

	2019-20*	2020-21*	2021-22*
4142500 License Plate Fees - Personalized Plates	72	885	885
Total Revenues, Transfers, and Other Adjustments	\$949	\$885	\$885
Total Resources	\$3,325	\$3,279	\$2,810
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0690 Office of Emergency Services (State Operations)	699	770	842
8570 Department of Food and Agriculture (State Operations)	175	534	534
9892 Supplemental Pension Payments (State Operations)	6	6	6
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	51	44	23
Total Expenditures and Expenditure Adjustments	\$931	\$1,354	\$1,405
FUND BALANCE	\$2,394	\$1,925	\$1,405
Reserve for economic uncertainties	2,394	1,925	1,405
3075 Unlawful Sales Reduction Fund^s			
BEGINNING BALANCE	\$85	\$85	\$85
Adjusted Beginning Balance	\$85	\$85	\$85
Total Resources	\$85	\$85	\$85
FUND BALANCE	\$85	\$85	\$85
Reserve for economic uncertainties	85	85	85
3112 Equality in Prevention and Services for Domestic Abuse Fund^s			
BEGINNING BALANCE	\$61	\$143	\$143
Prior Year Adjustments	-3	-	-
Adjusted Beginning Balance	\$58	\$143	\$143
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129200 Other Regulatory Fees	85	-	-
Total Revenues, Transfers, and Other Adjustments	\$85	-	-
Total Resources	\$143	\$143	\$143
FUND BALANCE	\$143	\$143	\$143
Reserve for economic uncertainties	143	143	143
3260 Regional Railroad Accident Preparedness and Immediate Response Fund^s			
BEGINNING BALANCE	\$1,325	\$1,271	\$1,271
Adjusted Beginning Balance	\$1,325	\$1,271	\$1,271
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Transfers and Other Adjustments			
Loan Repayment from Regional Railroad Accident Preparedness and Immediate Response Fund (3260) to California High-Cost Fund-B Administrative Committee Fund (0470) per Chapter 663, Stats. 2014	-	-	-1,264
Total Revenues, Transfers, and Other Adjustments	-	-	-1,264
Total Resources	\$1,325	\$1,271	\$7
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
9892 Supplemental Pension Payments (State Operations)	7	-	-
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	47	-	-
Total Expenditures and Expenditure Adjustments	\$54	-	-
FUND BALANCE	\$1,271	\$1,271	\$7
Reserve for economic uncertainties	1,271	1,271	7
3266 Prepaid MTS 911 Account^s			
BEGINNING BALANCE	\$1	\$14	\$14
Prior Year Adjustments	-55	-	-
Adjusted Beginning Balance	-\$54	\$14	\$14
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			

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0690 Office of Emergency Services - Continued

	2019-20*	2020-21*	2021-22*
4140500 Emergency Telephone User's Surcharge	13	-	-
Transfers and Other Adjustments			
Revenue Transfer from Prepaid MTS 911 Account (3266) to State Emergency Telephone Number Account (0022) per Chapter 885 Statutes of 2014 (AB 1717) Section 8 Revenue and Taxation Code 42023 (a)	-	1,408	1,408
Revenue Transfer from Prepaid MTS 911 Account (3266) to State Emergency Telephone Number Account (0022) per Chapter 885, Statutes of 2014 (AB 1717) Section 8 Revenue and Taxation Code Section 42023 (a)	-17	-1,408	-1,408
Revenue Transfer from Prepaid Mobile Telephony Services Surcharge Fund (3251) to Prepaid MTS 911 Account (3266) per Revenue and Taxation Code Section 42023(a)	72	-	-
Total Revenues, Transfers, and Other Adjustments	\$68	-	-
Total Resources	\$14	\$14	\$14
FUND BALANCE	\$14	\$14	\$14
Reserve for economic uncertainties	14	14	14
3361 California Earthquake Safety Fund^s			
BEGINNING BALANCE	-	-	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Transfers and Other Adjustments			
Loan from the School Land Bank Fund (0347) to the California Earthquake Safety Fund (3361) per Item 0690-011-0347 Budget Act 2020	-	17,283	-
Total Revenues, Transfers, and Other Adjustments	-	\$17,283	-
Total Resources	-	\$17,283	-
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0690 Office of Emergency Services (State Operations)	-	17,283	-
Total Expenditures and Expenditure Adjustments	-	\$17,283	-
FUND BALANCE	-	-	-

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
Baseline Positions	1,091.8	1,119.2	1,119.2	\$90,937	\$95,884	\$87,547
Salary and Other Adjustments	-	-	-	15,077	-6,101	2,945
Workload and Administrative Adjustments						
COVID-19 Direct Response Expenditures						
Various	-	-	-	-	-	7,234
California Earthquake Early Warning System						
Temporary Help	-	-	-	-	-	333
Long Term Recovery Support						
Accounting Administrator I (Supvr)	-	-	-	-	-	82
Accounting Administrator II	-	-	-	-	-	90
Accounting Officer (Spec)	-	-	-	-	-	185
Assoc Accounting Analyst	-	-	1.0	-	-	221
Assoc Budget Analyst	-	-	1.0	-	-	70
Assoc Govtl Program Analyst	-	-	42.0	-	-	4,600
Assoc Mgmt Auditor	-	-	-	-	-	75
Assoc Pers Analyst	-	-	-	-	-	140
Atty III	-	-	1.0	-	-	130
C.E.A.	-	-	1.0	-	-	150
Disaster Assistance Programs Spec I	-	-	2.0	-	-	930
Disaster Assistance Programs Spec II	-	-	5.0	-	-	785
Emergency Svcs Coord	-	-	5.0	-	-	690

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0690 Office of Emergency Services - Continued

	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
Engring Geologist	-	-	1.0	-	-	96
Info Tech Spec I	-	-	-	-	-	250
Info Tech Spec II	-	-	-	-	-	98
Info Tech Spec III	-	-	-	-	-	109
Info Tech Supvr I	-	-	-	-	-	176
Office Asst (Typing)	-	-	1.0	-	-	35
Office Techn (Typing)	-	-	10.0	-	-	476
Overtime	-	-	-	-	-	2,340
Pers Techn I	-	-	1.0	-	-	42
Personnel Spec	-	-	-	-	-	98
Program Mgr I	-	-	3.0	-	-	706
Program Mgr II	-	-	-	-	-	385
Program Mgr III	-	-	1.0	-	-	110
Research Data Spec II	-	-	1.0	-	-	84
Sr Emergency Svcs Coord	-	-	6.0	-	-	743
Sr Envirnal Scientist (Spec)	-	-	1.0	-	-	92
Sr Structural Engr	-	-	1.0	-	-	138
Staff Svcs Analyst (Gen)	-	-	20.0	-	-	1,355
Staff Svcs Mgr I	-	-	4.0	-	-	986
Staff Svcs Mgr II (Supvry)	-	-	-	-	-	540
Staff Svcs Mgr III	-	-	1.0	-	-	315
Support Svcs Asst (Gen)	-	-	-	-	-	34
Waste Mgmt Engr	-	-	1.0	-	-	96
Next Generation 9-1-1 Grant Program						
Temporary Help	-	-	-	-	-	348
Regional Hazardous Materials Response						
Various	-	-	-	-	-	448
Strengthening California's Emergency Capacity and Capabilities						
Accounting Administrator I (Spec)	-	-	2.0	-	-	157
Accounting Administrator I (Supvr)	-	-	1.0	-	-	82
Accounting Administrator II	-	-	1.0	-	-	90
Assoc Accounting Analyst	-	-	3.0	-	-	219
Assoc Adm Analyst - Accounting Sys	-	-	1.0	-	-	73
Assoc Budget Analyst	-	-	1.0	-	-	70
Assoc Govtl Program Analyst	-	-	23.0	-	-	1,600
C.E.A.	-	-	2.0	-	-	300
Coord (Fire & Rescue Svcs)	-	-	1.0	-	-	107
Coord -Law Enforcement	-	-	1.0	-	-	107
Emergency Svcs Coord	-	-	3.0	-	-	207
Info Officer II	-	-	3.0	-	-	258
Info Tech Mgr II	-	-	1.0	-	-	123
Info Tech Spec I	-	-	6.0	-	-	499
Info Tech Spec II	-	-	7.0	-	-	689
Info Tech Spec III	-	-	5.0	-	-	543
Labor Relations Mgr I	-	-	1.0	-	-	95
Office Techn (Typing)	-	-	1.0	-	-	43
Overtime	-	-	-	-	-	1,039
Pers Techn I	-	-	1.0	-	-	42
Program Mgr I	-	-	20.0	-	-	1,751

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0690 Office of Emergency Services - Continued

	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
Program Mgr II	-	-	4.0	-	-	385
Sr Accounting Officer (Spec)	-	-	1.0	-	-	70
Sr Emergency Svcs Coord	-	-	7.0	-	-	575
Staff Svcs Analyst (Gen)	-	-	3.0	-	-	156
Staff Svcs Mgr I	-	-	8.0	-	-	659
Staff Svcs Mgr II (Suprvy)	-	-	2.0	-	-	180
Staff Svcs Mgr III	-	-	2.0	-	-	209
Tv Spec	-	-	2.0	-	-	139
Various	-	-	1.0	-	-	141
Unified Hazardous Waste and Hazardous Materials Regulatory Management Program Realignment						
Various	-	-	-4.0	-	-	-339
Volunteer and Donation Management Planning Guidance (AB 2213)						
Assoc Govtl Program Analyst	-	-	-	-	-	70
Overtime	-	-	-	-	-	12
Wildfire Forecast and Threat Intelligence Integration Center (SB 209)						
Assoc Govtl Program Analyst	-	-	1.0	-	-	70
Info Tech Spec II	-	-	1.0	-	-	98
Info Tech Spec III	-	-	2.0	-	-	218
Overtime	-	-	-	-	-	41
Sr Coord (Fire & Rescue Svcs)	-	-	1.0	-	-	119
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	225.0	\$-	\$-	\$36,712
Totals, Adjustments	-	-	225.0	\$15,048	\$-6,101	\$39,657
TOTALS, SALARIES AND WAGES	1,091.8	1,119.2	1,344.2	\$105,985	\$89,783	\$127,204

INFRASTRUCTURE OVERVIEW

The Office of Emergency Services' infrastructure includes a headquarters facility, an administrative building, and an Inland Regional Operations Center in Sacramento County; a Coastal Regional Operations Center in Fairfield in Solano County; a Southern Regional Operations Center located at Los Alamitos Joint Forces Training Base in Orange County; the California Specialized Training Institute at Camp San Luis Obispo; and various small field offices throughout the state.

The Public Safety Communications division (PSC) is comprised of a main leased complex in Sacramento and 44 field locations throughout the state. These locations include 7 area offices and 37 area shops, positioned geographically to facilitate maintenance and installation services to remote communication sites and customers throughout the state. In addition, the PSC maintains and operates 11 communications vaults/towers and a total of more than 3,500 radio frequency points of presence.

SUMMARY OF PROJECTS

		State Building Program Expenditures	2019-20*	2020-21*	2021-22*
0405	CAPITAL OUTLAY Projects				
0000121	Relocation of Red Mountain Communications Site, Del Norte County		3,257	979	16,681
	Acquisition		1,515	-	-
	Working Drawings		1,185	979	-
	Construction		-	15,382	15,382
	Design Build		-	-15,382	-

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0690 Office of Emergency Services - Continued

		State Building Program Expenditures		
		2019-20*	2020-21*	2021-22*
0405	CAPITAL OUTLAY Projects			
	Equipment	557	-	1,299
0000985	Sacramento: Fire Apparatus Maintenance Shop and General Purpose Warehouse Acquisition	200	2,000	-
		200	2,000	-
0006751	Mather: State Operations Center Modification	-	-	465
	Preliminary Plans	-	-	116
	Working Drawings	-	-	349
0008385	Mather: Headquarters Lobby Security Enhancements	-	-	251
	Preliminary Plans	-	-	78
	Working Drawings	-	-	173
0008390	Mather: Headquarters Checkpoint Security Enhancements	-	-	300
	Preliminary Plans	-	-	100
	Working Drawings	-	-	200
0008943	Southern Region: Emergency Operations Center	-	-	26,490
	Acquisition	-	-	26,490
TOTALS, EXPENDITURES, ALL PROJECTS		\$3,457	\$2,979	\$44,187
FUNDING		2019-20*	2020-21*	2021-22*
0001	General Fund	\$3,457	\$2,979	\$44,187
TOTALS, EXPENDITURES, ALL FUNDS		\$3,457	\$2,979	\$44,187

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

3 CAPITAL OUTLAY		2019-20*	2020-21*	2021-22*
0001 General Fund				
APPROPRIATIONS				
301	Budget Act appropriation	\$1,715	\$979	\$27,506
Prior Year Balances Available:				
Item 0690-301-0001, Budget Act of 2015 as reappropriated by Item 0690-491, Budget Acts of 2016, 2017, 2018, and 2019, and as reverted by Item 0690-495, Budget Act of 2016		1,185	-	-
Item 0690-301-0001, Budget Act of 2017 as reappropriated by Item 0690-491, Budget Acts of 2020 and 2021		557	1,299	1,299
Item 0690-301-0001, Budget Act of 2018 as reappropriated by Item 0690-491, Budget Act of 2021		-	15,382	15,382
Item 0690-301-0001, Budget Act of 2019		-	2,000	-
Totals Available		\$3,457	\$19,660	\$44,187
Balance available in subsequent years		-	-16,681	-
TOTALS, EXPENDITURES		\$3,457	\$2,979	\$44,187
Total Expenditures, All Funds, (Capital Outlay)		\$3,457	\$2,979	\$44,187

0720 Governor

These funds are budgeted for the traditional painting of the Governor's portrait. The portrait will be displayed in the State Capitol Building along with the portraits of all Governors of California.

3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
0410	Governor's Portrait	-	-	-	\$-	\$-	\$-

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0720 Governor - Continued

	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	-	-	-	\$-	\$-	\$-

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2019-20*	2020-21*	2021-22*
0001 General Fund			
APPROPRIATIONS			
Prior Year Balances Available:			
Item 0720-001-0001, Budget Act of 2018 as reappropriated by Item 0720-490, Budget Act of 2019	\$25	-	-
Past Year Adjustment	-25	-	-
TOTALS, EXPENDITURES	\$0	-	-
Total Expenditures, All Funds, (State Operations)	\$0	\$0	\$0

0750 Office of the Lieutenant Governor

Under California's Constitution, the Lieutenant Governor serves as Acting Governor whenever the Governor is absent from the state, and automatically becomes Governor if a vacancy occurs in the Office of Governor. The Lieutenant Governor is also President of the Senate and votes in case of a tie.

The Lieutenant Governor serves as a voting member of the Board of Regents of the University of California, the Board of Trustees of the California State University system, and the Board of Governors of the California Community Colleges system. The Lieutenant Governor also sits on the Calbright College Board of Trustees.

The Lieutenant Governor also serves on, and rotates with the State Controller, as chair of the three-member State Lands Commission, which oversees the control and leasing of millions of acres of state-owned land, including offshore oil resources, as well as use and permitting for all navigable waterways in California. The Commission also manages state land-use planning and revenues, and related interstate issues. During alternate years, when the Lieutenant Governor serves as Chairperson of the State Lands Commission, the Lieutenant Governor also serves as a member of the Ocean Protection Council and as a non-voting member of the California Coastal Commission.

In addition, under state statutes, the Lieutenant Governor chairs the California Commission for Economic Development, which provides support and guidance to the Governor, Legislature, and private sector regarding the development of California's economy.

3-YEAR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
0430 General Activities	8.9	10.9	13.9	\$1,626	\$1,840	\$2,684
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	8.9	10.9	13.9	\$1,626	\$1,840	\$2,684
FUNDING	2019-20*	2020-21*	2021-22*			
0001 General Fund		\$1,626		\$1,840		\$2,684
TOTALS, EXPENDITURES, ALL FUNDS		\$1,626		\$1,840		\$2,684

LEGAL CITATIONS AND AUTHORITY**DEPARTMENT AUTHORITY**

California Constitution, Article V, Sections 9 and 10, and Article IX, Section 9; Education Code Section 66602; Government Code Sections 8704, 8575, 14999-14999.8, and 15364.2; Public Resources Code Section 6101; Unemployment Insurance Code Section 15036; Vehicle Code Section 2600.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0750 Office of the Lieutenant Governor - Continued**MAJOR PROGRAM CHANGES**

- **Lieutenant Governor Support Staff**—The Budget includes \$500,000 ongoing General Fund to improve communication outreach and constituent services, and analyze education policy.
- **Lieutenant Governor Relocation Costs**—The Budget includes \$58,000 General Fund in 2021-22 and \$7,000 annually thereafter until 2023-24 to cover the associated costs for the Lieutenant Governor's move from the Capitol Annex to 1021 O Street in November 2021 while renovations are being completed.

DETAILED BUDGET ADJUSTMENTS

	2020-21*			2021-22*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Lieutenant Governor Support Staff	\$-	\$-	-	\$500	\$-	3.0
• Lieutenant Governor Relocation Costs	-	-	-	58	-	-
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$558	\$-	3.0
Other Workload Budget Adjustments						
• Other Post-Employment Benefit Adjustments	9	-	-	9	-	-
• Section 3.90 Employee Compensation Reduction	-286	-	-	-	-	-
• Salary Adjustments	34	-	-	34	-	-
• Benefit Adjustments	2	-	-	2	-	-
• Retirement Rate Adjustments	-19	-	-	-19	-	-
Totals, Other Workload Budget Adjustments	\$-260	\$-	-	\$26	\$-	-
Totals, Workload Budget Adjustments	\$-260	\$-	-	\$584	\$-	3.0
Totals, Budget Adjustments	\$-260	\$-	-	\$584	\$-	3.0

DETAILED EXPENDITURES BY PROGRAM

		2019-20*	2020-21*	2021-22*
PROGRAM REQUIREMENTS				
0430 GENERAL ACTIVITIES				
State Operations:				
0001 General Fund		\$1,626	\$1,840	\$2,684
Totals, State Operations		\$1,626	\$1,840	\$2,684
TOTALS, EXPENDITURES				
State Operations		1,626	1,840	2,684
Totals, Expenditures		\$1,626	\$1,840	\$2,684

EXPENDITURES BY CATEGORY

1 State Operations		Positions			Expenditures		
		2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
PERSONAL SERVICES							
Baseline Positions		10.9	10.9	10.9	\$1,149	\$1,182	\$1,182
Other Adjustments		-2.0	-	3.0	-233	-154	459
Net Totals, Salaries and Wages		8.9	10.9	13.9	\$916	\$1,028	\$1,641

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0750 Office of the Lieutenant Governor - Continued

1 State Operations	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
Staff Benefits	-	-	-	476	352	450
Totals, Personal Services	8.9	10.9	13.9	\$1,392	\$1,380	\$2,091
OPERATING EXPENSES AND EQUIPMENT				\$234	\$460	\$593
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$1,626	\$1,840	\$2,684

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2019-20*	2020-21*	2021-22*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,626	\$2,100	\$2,684
Allocation for Employee Compensation	-	34	-
Allocation for Other Post-Employment Benefits	-	9	-
Allocation for Staff Benefits	-	2	-
Section 3.60 Pension Contribution Adjustment	-	-19	-
Section 3.90 Employee Compensation Reduction	-	-286	-
Totals Available	\$1,626	\$1,840	\$2,684
TOTALS, EXPENDITURES	\$1,626	\$1,840	\$2,684
Total Expenditures, All Funds, (State Operations)	\$1,626	\$1,840	\$2,684

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
Baseline Positions	10.9	10.9	10.9	\$1,149	\$1,182	\$1,182
Salary and Other Adjustments	-2.0	-	-	-233	-154	34
Workload and Administrative Adjustments						
Lieutenant Governor Support Staff						
Principal Asst	-	-	2.0	-	-	300
Special Consultant	-	-	1.0	-	-	125
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	3.0	\$-	\$-	\$425
Totals, Adjustments	-2.0	-	3.0	\$-233	\$-154	\$459
TOTALS, SALARIES AND WAGES	8.9	10.9	13.9	\$916	\$1,028	\$1,641

0820 Department of Justice

The constitutional office of the Attorney General, as chief law officer of the state, has the responsibility to see that the laws of California are uniformly and adequately enforced. This responsibility is fulfilled through the diverse programs of the Department of Justice.

The Department of Justice is responsible for providing skillful and efficient legal services on behalf of the people of California. The Attorney General represents the people in all matters before the Appellate and Supreme Courts of California and the United States; serves as legal counsel to state officers, boards, commissioners, and departments; represents the people in actions to protect the environment and to enforce consumer, antitrust, and civil laws; and assists district attorneys in the administration of justice. The Department provides oversight, enforcement, education, and regulation of California's firearms/dangerous weapon laws; provides evaluation and analysis of physical evidence; regulates legal gambling activities in

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0820 Department of Justice - Continued

California; supports the telecommunications and data processing needs of the California criminal justice community; and pursues projects designed to protect the people of California from fraudulent, unfair, and illegal activities.

Because the Department of Justice's programs drive a need for infrastructure investment, the Department has a capital outlay program to support this need. For the specifics on the Department's capital outlay program see "Infrastructure Overview."

3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
0435	Division of Legal Services	1,702.0	1,714.7	1,735.7	\$508,014	\$524,980	\$576,164
0440	Law Enforcement	942.6	1,050.9	1,109.0	277,417	272,329	300,161
0445	California Justice Information Services	1,099.1	1,139.0	1,106.9	224,838	239,884	255,925
9900100	Administration	944.6	908.1	935.1	140,531	137,486	149,727
9900200	Administration - Distributed	-	-	-	-140,531	-137,486	-149,727
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		4,688.3	4,812.7	4,886.7	\$1,010,269	\$1,037,193	\$1,132,250
FUNDING					2019-20*	2020-21*	2021-22*
0001	General Fund				\$691,023	\$353,407	\$386,977
0012	Attorney General Antitrust Account				5,721	10,575	10,927
0017	Fingerprint Fees Account				87,708	91,864	96,023
0032	Firearm Safety Account				341	345	361
0044	Motor Vehicle Account, State Transportation Fund				28,888	28,423	29,958
0142	Department of Justice Sexual Habitual Offender Fund				1,685	2,670	2,852
0158	Travel Seller Fund				827	1,453	1,507
0256	Sexual Predator Public Information Account				102	170	180
0367	Indian Gaming Special Distribution Fund				20,068	21,563	20,641
0378	False Claims Act Fund				15,793	16,525	21,178
0460	Dealers Record of Sale Special Account				23,086	25,721	24,350
0566	Department of Justice Child Abuse Fund				440	445	448
0567	Gambling Control Fund				15,959	15,543	18,247
0569	Gambling Control Fines and Penalties Account				263	977	314
0641	Domestic Violence Restraining Order Reimbursement Fund				1,018	1,018	1,018
0890	Federal Trust Fund				37,930	41,450	53,657
0903	State Penalty Fund				2,483	2,476	2,486
0942	Special Deposit Fund				22	2,119	2,119
0995	Reimbursements				22,917	41,925	43,818
1008	Firearms Safety and Enforcement Special Fund				7,423	9,637	10,214
3016	Missing Persons DNA Data Base Fund				3,040	3,622	3,803
3053	Public Rights Law Enforcement Special Fund				6,325	18,582	21,189
3086	DNA Identification Fund				46,668	37,488	56,955
3087	Unfair Competition Law Fund				27,497	33,098	34,647
3088	Registry of Charitable Trusts Fund				5,503	6,010	6,406
3240	Secondhand Dealer and Pawnbroker Fund				675	656	1,182
3285	Electronic Recording Authorization Fund				141	300	300
3297	Major League Sporting Event Raffle Fund				642	608	652
3303	Ammunition Safety and Enforcement Special Fund				2,579	3,984	3,984
3320	Department of Justice Subaccount, Tobacco Law Enforcement Account, CA Healthcare, Research and Prevention Tobacco Tax Act of 2016 Fund				57,883	34,000	31,375
3372	Data Brokers' Registry Fund				-	353	188
8071	National Mortgage Special Deposit Fund				-331,044	-	-
9731	Legal Services Revolving Fund				224,893	228,585	242,514
9740	Central Service Cost Recovery Fund				1,770	1,601	1,780

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0820 Department of Justice - Continued

FUNDING	2019-20*	2020-21*	2021-22*
TOTALS, EXPENDITURES, ALL FUNDS	\$1,010,269	\$1,037,193	\$1,132,250

LEGAL CITATIONS AND AUTHORITY**DEPARTMENT AUTHORITY**

California Constitution, Article V, Section 13.

PROGRAM AUTHORITY**0435-Legal Services:**

California Constitution, Article V, Section 13; Business and Professions Code Sections 16700, 17200, and 17500; Civil Code Section 51 et seq.; Government Code Sections 4458, 11040 et seq., 11157, 11180 et seq., 12510 et seq., 12525.5, 12528-12528.1, 12580 et seq., 12600 et seq., 12650 et seq., 12657 et seq., 12989.3, 15006, and 16645-16649; Health and Safety Code Sections 19958.5, 25180, 25249.7, and 104555-104558; Penal Code Sections 320.5, 320.6, 1256, 1475, 1548.3, 13012, and 13519.4; Revenue and Taxation Code Section 30165.1-30165.2, Welfare & Institutions Code Sections 14107-14107.4 and 15600-15656, and 42 United States Code Section 1396b(q).

0440-Law Enforcement:

California Constitution, Article V, Section 13; Business and Professions Code Sections 7583.26, 19800-19987; Penal Code Sections 295, 295.1, 297, 319-337z, 320.6, 830.1, 832.15, 11006-11054, 11060, 11061, 11061.5, 13511, 14160-14167, 14250, 18900, 26190, 27560, 28225-28245, 29055, 30900-30905, 31005, 31115, 32010, 32015-32025, 32655, 32715, 33305; Government Code Sections 12012.5-12012.101, 12019.65, 12525.3, 15001.1, and 15001.2; and Health and Safety Code Sections 11100-11103, 11106-11106.7, 11450-11454, 11641, and 11646.

0445-California Justice Information Services:

California Constitution, Article V, Section 13; Government Code Sections 15150-15167 and 27390-27399; and Penal Code Sections 11102.1, 11105, and 14200-14213.

9900-Directorate and Administration:

Government Code Section 12519.

MAJOR PROGRAM CHANGES

- **DNA Identification Fund Revenue Backfill**—The Budget includes \$16 million one-time (\$6 million General Fund and \$10 million Fingerprint Fees Account) to backfill declining fine and fee revenues in the DNA Identification Fund. This funding will enable the Department of Justice to continue processing forensic evidence for client counties.
- **Police Use of Force (Chapter 326, Statutes of 2020 (AB 1506))**—The Budget includes \$15.3 million General Fund in 2021-22, increasing to \$15.6 million ongoing, to establish four teams, two in the northern region of California, and two in the southern region of California, to conduct independent investigations of officer involved shootings that result in the death of an unarmed individual, and provide victim advocacy and supportive services to the survivors of those killed in officer-involved shootings that are being investigated.
- **Medi-Cal Fraud and Elder Abuse Workload**—The Budget includes \$13.9 million ongoing (\$3.5 million False Claims Act Fund and \$10.4 million Federal Trust Fund) to allow full expenditure of a reoccurring federal grant that supports the Division's workload in eight regional offices.
- **Gun Violence Reduction Grant Program**—The Budget includes \$10.3 million one-time General Fund to provide grants to county sheriffs departments conducting activities related to the seizure of weapons and ammunition from persons who are prohibited from possessing them.
- **Retroactive Expungement of Arrest Records**—The Budget includes \$7.5 million one-time General Fund and statutory changes to extend Chapter 578, Statutes of 2019 (AB 1076), which provides prospective arrest records relief for certain non-violent crimes, to retroactively provide such relief going back to 1973.
- **Sexual Assault Kit Backlog for Local Law Enforcement Agencies**—The Budget includes \$4 million one-time General Fund for grants to reduce local law enforcement agencies' sexual assault kit backlog.

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0820 Department of Justice - Continued

- Bureau of Gambling Control Licensing Positions and Support—The Budget includes \$3.4 million Gambling Control Fund in 2021-22 and 2022-23 to process license applications, renewals, and background investigations for cardrooms and third-party providers.
- Healthcare Rights and Access Workload—The Budget includes \$2.1 million ongoing Public Rights Law Enforcement Special Fund to address workload concerns in the recently established Healthcare Rights and Access Unit within the Division of Legal Services.
- Juvenile Court and Probation Statistical System Update (Chapter 337, Statutes of 2020 (SB 823))—The Budget includes \$1.9 million General Fund in 2021-22 and \$1 million in 2022-23 to convene a working group to submit a plan for the replacement of the Juvenile Court and Probation Statistical System with a modern database.
- Reparation Task Force (Chapter 319, Statutes of 2020 (AB 3121))—The Budget includes \$1.1 million General Fund in 2021-22 and 2022-23 to support the Task Force to Study and Develop Reparation Proposals for African Americans, with a Special Consideration for African Americans Who are Descendants of Persons Enslaved in the United States.
- Firearms: Unsafe Handguns (Chapter 289, Statutes of 2020 (AB 2699))—The Budget includes \$982,000 General Fund in 2021-22, increasing to \$2.9 million in 2023-24, and decreasing to \$912,000 ongoing to gather information about unsafe firearm transactions involving peace officers, develop information technology infrastructure to maintain a database of such transactions, and conduct investigations to verify that transactions are being appropriately recorded.
- Firearms: Unsafe Handguns (Chapter 292, Statutes of 2020 (AB 2847))—The Budget includes \$674,000 Dealers Record of Sale Special Account in 2021-22 and \$1.3 million in 2022-23 for information technology resources to update systems to track microstamp information.

DETAILED BUDGET ADJUSTMENTS

	2020-21*			2021-22*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Police Use of Force (AB 1506)	\$-	\$-	-	\$13,045	\$-	67.0
• Gun Violence Reduction Grant Program	-	-	-	10,300	-	-
• Retroactive Expungement of Arrest Records	-	-	-	7,500	-	-
• Sexual Assault Kit Backlog for Local Law Enforcement Agencies	-	-	-	4,000	-	-
• Juvenile Court and Probation Statistical System Update (SB 823)	-	-	-	1,890	-	-
• Police Use of Force (AB 1506) Additional Investigative Team	-	-	-	1,742	-	10.0
• Reparation Task Force (AB 3121)	-	-	-	1,127	-	-
• Firearms: Unsafe Handguns (AB 2699)	-	-	-	982	-	7.0
• Victim Advocacy and Professional Support Workload (Officer Involved Shootings)	-	-	-	522	-	4.0
• Personal Information: Social Security Numbers: State Agencies (AB 499)	-	-	-	425	-	-
• Regional Property Crimes Task Force	-	-	-	149	-	-
• Medi-Cal Fraud and Elder Abuse Workload	-	-	-	-	13,938	-
• Bureau of Gambling Control Licensing Positions and Support	-	-	-	-	3,443	6.0
• Healthcare Rights and Access Workload	-	-	-	-	2,102	10.0
• Firearms: Unsafe Handguns (AB 2847)	-	-	-	-	674	-
• Pawnbrokers (AB 1969)	-	-	-	-	491	-
• Continuation of CURES Help Desk Resources	-	-	-	-	484	-
• Firearms Dealers: Conduct of Business (AB 2362)	-	-	-	-	301	1.0
• Firearms: Inspections (AB 2061)	-	-	-	-	152	-
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$41,682	\$21,585	105.0
Other Workload Budget Adjustments						

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0820 Department of Justice - Continued

	2020-21*			2021-22*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
• DNA Identification Fund Revenue Backfill	-	-	-	6,000	4,000	-
• Other Post-Employment Benefit Adjustments	783	1,579	-	783	1,579	-
• Section 3.90 Employee Compensation Reduction	-23,313	-41,794	-	-	-	-
• Central Service Function Cost Realignment	-	-	-	-51	51	-
• Salary Adjustments	6,468	9,269	-	6,480	9,293	-
• Miscellaneous Baseline Adjustments	2,633	1,395	11.5	4,000	-2,652	-20.5
• Benefit Adjustments	706	1,323	-	656	1,207	-
• Lease Revenue Debt Service Adjustment	-52	-	-	1	-	-
• SWCAP	-	-	-	-	16	-
• Retirement Rate Adjustments	-3,023	-10,350	-	-3,023	-10,350	-
Totals, Other Workload Budget Adjustments	\$-15,798	\$-38,578	11.5	\$14,846	\$3,144	-20.5
Totals, Workload Budget Adjustments	\$-15,798	\$-38,578	11.5	\$56,528	\$24,729	84.5
Totals, Budget Adjustments	\$-15,798	\$-38,578	11.5	\$56,528	\$24,729	84.5

PROGRAM DESCRIPTIONS**0435 - LEGAL SERVICES**

Legal Services is organized into four elements: (1) Civil Law, (2) Criminal Law, (3) Public Rights, and (4) Medi-Cal and Elder Abuse.

Civil Law represents the State of California and its officers, agencies, departments, boards, commissions, and employees in civil matters. It provides advice to these clients, defends cases brought against them, and prosecutes cases to vindicate state interests. Deputy Attorneys General in Civil Law are responsible for managing and litigating cases before administrative tribunals, and in both state and federal courts at the trial level and on appeal, including appeals before the United States and California Supreme Courts. Deputies work in one of nine sections: Business and Tax; Cannabis Control; Correctional Law; Employment and Administrative Mandates; Government Law; Health, Education, and Welfare; Health Quality Enforcement; Licensing; or Tort and Condemnation.

Criminal Law represents the People of the State of California in criminal matters before the Appellate and Supreme courts. Criminal Law also fulfills the Attorney General's responsibilities of assisting district attorneys in cases for which they are recused, conducting criminal investigations, representing the Governor, Board of Parole Hearings, and California Department of Corrections and Rehabilitation in state and federal habeas corpus cases and appeals, and other proceedings relating to parole decisions and conditions of confinement in the state prisons, and defending criminal judgements in state and federal habeas corpus matters. Additional responsibilities include enforcing the Political Reform Act, advising the Governor on extradition matters, and investigating, prosecuting, and coordinating litigation involving white-collar crimes, high-tech/computer/privacy crimes, financial crimes, human trafficking, environmental crimes, and public corruption.

Public Rights protects and preserves the public's interests by providing legal services to state agencies, boards and commissions, and Constitutional Officers, and by bringing actions in the name of the Attorney General to protect the public. Public Rights provides specialized services in the following areas: Civil Rights Enforcement (including the Bureau of Children's Justice); Worker Rights and Fair Labor; Charitable Trusts Enforcement and Regulation (including the Registry of Charitable Trusts); Natural Resources Law; Corporate Fraud (including False Claims, Energy and Corporate Responsibility); Healthcare Rights and Access (including Tobacco Litigation and Enforcement, and Healthcare Competition and Pharmaceutical pricing); Indian and Gaming Law; Environment Law (including the Bureau of Environmental Justice); Land Law; Consumer Protection (including Privacy Enforcement); and Antitrust Law.

The Division of Medi-Cal Fraud and Elder Abuse represents the People of the State of California in both criminal and civil matters involving Medi-Cal provider fraud and the abuse or neglect of elder and dependent adults living in residential facilities. The Division is a vertical prosecution unit whose investigations are conducted by multidisciplinary teams consisting of Special Agents, Investigative Auditors, and Deputy Attorneys General. Deputy Attorneys General from the Division represent the People of the State of California in both federal and state courts. Types of cases frequently prosecuted by the Division include fraud committed against the Medi-Cal program by doctors, pharmacies, clinics, dentists, medical equipment suppliers, hospice, home health companies, and all other Medi-Cal providers; elder or dependent adult abuse or neglect in skilled nursing facilities, residential care facilities for the elderly, intermediate care facilities for the developmentally disabled, and other specified residential care facilities.

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0820 Department of Justice - Continued**0440 - LAW ENFORCEMENT**

The Division of Law Enforcement is organized into five areas: (1) Bureau of Firearms, (2) Bureau of Forensic Services, (3) Bureau of Gambling Control, (4) Bureau of Investigation, and (5) the Office of the Chief.

The Bureau of Firearms provides oversight, enforcement, education, and regulation of California's firearms and ammunitions laws by administering a multitude of different state-mandated firearms and ammunition-related programs. It is accomplished by conducting firearm and ammunition eligibility checks, conducting compliance inspections at firearm dealers, ammunition vendors, and manufacturers, and by providing valuable training to stakeholders statewide. Special Agents conduct investigations on armed and prohibited persons and other investigations resulting in the seizure of firearms, magazines, and ammunition. Agents also conduct investigations to monitor and prevent illegal firearm trafficking at permitted gun shows in accordance with state and federal laws.

The Bureau of Forensic Services (BFS) provides evaluation and analysis of physical evidence, crime scene investigation, and expert court testimony to federal, state and local law enforcement agencies, district attorneys, and courts. The BFS operates 10 regional crime laboratories and two specialized laboratories, the Jan Bashinski DNA Laboratory and Statewide Toxicology Laboratory, which serve 46 of California's 58 counties. The BFS also operates the California Criminalistics Institute forensic training facility that provides training for forensic scientists worldwide. The BFS maintains the state DNA database, which stores and regularly searches the DNA profiles of sex and violent offenders and felony arrestees against the DNA profiles of evidence from unsolved crimes.

The Bureau of Gambling Control regulates legal gambling activities in California to ensure gambling is conducted honestly and is free from criminal and corruptive elements. This is accomplished by investigating the qualifications of individuals and business entities who apply for licenses and monitoring the conduct of these licensees to ensure compliance with the Gambling Control Act and applicable regulations. The Bureau conducts criminal investigations in and around tribal casinos and California cardrooms. In addition, the Bureau conducts audits and reviews for tribal gaming to ensure that each tribe is in compliance with all aspects of the state gaming compact.

The Bureau of Investigation is the premiere investigative bureau that is responsible for investigating and dismantling criminal organizations, as well as assisting with the prosecution of serious criminal offenses which present a significant and multi-jurisdictional threat to California. The Bureau prioritizes investigations related to transnational criminal organizations involved in gangs, as well as cases involving major white-collar fraud, underground economy, drug trafficking, recycle fraud, officer-involved shootings, homicides, foreign prosecution, human trafficking and sexual predator apprehension crimes. In addition, the Bureau serves a principal role in providing leadership, coordination, and support to law enforcement through intelligence units and multi-agency drug, gang and major crimes task forces statewide.

The Office of the Chief provides administrative support to the investigative, regulatory, and forensic components of the Division of Law Enforcement and other criminal justice agencies. The Office serves as the policy-making and oversight body for its four operational bureaus.

0445 - CALIFORNIA JUSTICE INFORMATION SERVICES

The California Justice Information Services Division provides criminal justice intelligence, information, and identification services to law enforcement, regulatory agencies, and the public. Four major functional areas carry out these primary services: 1) The Justice Data and Investigative Services Bureau consolidates the identification, investigative, and field services functions, providing information and technical assistance on manual and automated systems including the fingerprint identification system and the violent crime information system; 2) The Bureau of Criminal Information and Analysis consolidates the functions related to the authorization, release, and use of criminal offender record information for law enforcement investigatory and regulatory purposes; 3) The Hawkins Data Center operates the Criminal Justice Information System and the California Law Enforcement Telecommunications System, as well as provides IT services for the Attorney General's Office; 4) the Operations Support Program provides business resumption planning and administrative support and oversight; and 5) the Department of Justice Research Center, within the Operations Support Program, oversees statistical models and engages in the analysis of data to provide evidence-based research.

9900 - DIRECTORATE AND ADMINISTRATION

The Directorate and the Administration Division of the Department of Justice consists of the Division of Operations and the Attorney General's Executive Office. The Executive Office maintains the overall direction and administration of the diverse programs and projects of the department, including the Equal Employment Rights and Resolution Office, the Office of Program Oversight and Accountability, the Opinions Unit, the Solicitor General's Unit, the Office of Legislative Affairs, the Office of Communications and the Public Inquiry Unit. In addition, the Division of Operations provides support functions essential to the department's operations, including fiscal, personnel, and specialized business services such as legal secretarial support, litigation support, legal case management services, and facilities management.

0820 Department of Justice - Continued**DETAILED EXPENDITURES BY PROGRAM**

		<u>2019-20*</u>	<u>2020-21*</u>	<u>2021-22*</u>
	PROGRAM REQUIREMENTS			
0435	DIVISION OF LEGAL SERVICES			
	State Operations:			
0001	General Fund	\$520,106	\$184,753	\$193,134
0012	Attorney General Antitrust Account	5,678	10,537	10,886
0158	Travel Seller Fund	814	1,441	1,494
0367	Indian Gaming Special Distribution Fund	2,073	2,202	2,386
0378	False Claims Act Fund	14,260	15,113	19,671
0567	Gambling Control Fund	415	441	477
0890	Federal Trust Fund	33,722	31,490	43,641
0995	Reimbursements	1,047	1,342	1,342
3053	Public Rights Law Enforcement Special Fund	6,325	10,722	21,189
3087	Unfair Competition Law Fund	27,497	33,098	34,647
3088	Registry of Charitable Trusts Fund	5,162	5,675	6,053
3297	Major League Sporting Event Raffle Fund	320	345	369
3320	Department of Justice Subaccount, Tobacco Law Enforcement Account, CA Healthcare, Research and Prevention Tobacco Tax Act of 2016 Fund	1,671	3,550	2,953
8071	National Mortgage Special Deposit Fund	-331,044	-	-
9731	Legal Services Revolving Fund	218,198	222,670	236,142
9740	Central Service Cost Recovery Fund	1,770	1,601	1,780
	Totals, State Operations	\$508,014	\$524,980	\$576,164
	PROGRAM REQUIREMENTS			
0440	LAW ENFORCEMENT			
	State Operations:			
0001	General Fund	\$78,971	\$81,251	\$77,824
0012	Attorney General Antitrust Account	29	25	27
0032	Firearm Safety Account	341	345	361
0142	Department of Justice Sexual Habitual Offender Fund	694	931	1,021
0367	Indian Gaming Special Distribution Fund	17,618	18,992	17,865
0378	False Claims Act Fund	957	845	909
0460	Dealers Record of Sale Special Account	15,240	15,363	17,936
0567	Gambling Control Fund	15,544	15,102	17,770
0569	Gambling Control Fines and Penalties Account	235	238	257
0890	Federal Trust Fund	1,301	6,156	6,214
0903	State Penalty Fund	129	122	132
0942	Special Deposit Fund	22	2,119	2,119
0995	Reimbursements	17,683	32,794	34,568
1008	Firearms Safety and Enforcement Special Fund	7,423	9,637	10,214
3016	Missing Persons DNA Data Base Fund	3,040	3,622	3,803
3053	Public Rights Law Enforcement Special Fund	-	7,860	-
3086	DNA Identification Fund	46,167	36,433	55,898
3297	Major League Sporting Event Raffle Fund	322	263	283
3303	Ammunition Safety and Enforcement Special Fund	1,098	1,484	1,484
3320	Department of Justice Subaccount, Tobacco Law Enforcement Account, CA Healthcare, Research and Prevention Tobacco Tax Act of 2016 Fund	1,751	2,164	1,622
9731	Legal Services Revolving Fund	6,695	5,915	6,372
	Totals, State Operations	\$215,260	\$241,661	\$256,679
	Local Assistance:			
0001	General Fund	\$5,314	\$-	\$14,300

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0820 Department of Justice - Continued

		2019-20*	2020-21*	2021-22*
0460	Dealers Record of Sale Special Account	28	28	28
0903	State Penalty Fund	2,354	2,354	2,354
3320	Department of Justice Subaccount, Tobacco Law Enforcement Account, CA Healthcare, Research and Prevention Tobacco Tax Act of 2016 Fund	54,461	28,286	26,800
	Totals, Local Assistance	\$62,157	\$30,668	\$43,482
	PROGRAM REQUIREMENTS			
0445	CALIFORNIA JUSTICE INFORMATION SERVICES			
	State Operations:			
0001	General Fund	\$86,632	\$87,403	\$101,719
0012	Attorney General Antitrust Account	14	13	14
0017	Fingerprint Fees Account	87,708	91,864	96,023
0044	Motor Vehicle Account, State Transportation Fund	28,888	28,423	29,958
0142	Department of Justice Sexual Habitual Offender Fund	991	1,739	1,831
0158	Travel Seller Fund	13	12	13
0256	Sexual Predator Public Information Account	102	170	180
0367	Indian Gaming Special Distribution Fund	377	369	390
0378	False Claims Act Fund	576	567	598
0460	Dealers Record of Sale Special Account	7,818	10,330	6,386
0566	Department of Justice Child Abuse Fund	440	445	448
0569	Gambling Control Fines and Penalties Account	28	739	57
0890	Federal Trust Fund	2,907	3,804	3,802
0995	Reimbursements	4,187	7,789	7,908
3086	DNA Identification Fund	501	1,055	1,057
3088	Registry of Charitable Trusts Fund	341	335	353
3240	Secondhand Dealer and Pawnbroker Fund	675	656	1,182
3285	Electronic Recording Authorization Fund	141	300	300
3303	Ammunition Safety and Enforcement Special Fund	1,481	2,500	2,500
3372	Data Brokers' Registry Fund	-	353	188
	Totals, State Operations	\$223,820	\$238,866	\$254,907
	Local Assistance:			
0641	Domestic Violence Restraining Order Reimbursement Fund	\$1,018	\$1,018	\$1,018
	Totals, Local Assistance	\$1,018	\$1,018	\$1,018
	SUBPROGRAM REQUIREMENTS			
9900100	Administration			
	State Operations:			
0001	General Fund	\$140,531	\$137,486	\$149,727
	Totals, State Operations	\$140,531	\$137,486	\$149,727
	SUBPROGRAM REQUIREMENTS			
9900200	Administration - Distributed			
	State Operations:			
0001	General Fund	-\$140,531	-\$137,486	-\$149,727
	Totals, State Operations	-\$140,531	-\$137,486	-\$149,727
	TOTALS, EXPENDITURES			
	State Operations	947,094	1,005,507	1,087,750
	Local Assistance	63,175	31,686	44,500
	Totals, Expenditures	\$1,010,269	\$1,037,193	\$1,132,250

EXPENDITURES BY CATEGORY

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0820 Department of Justice - Continued

1 State Operations	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
PERSONAL SERVICES						
Baseline Positions	4,712.5	4,801.2	4,802.2	\$506,214	\$521,142	\$516,503
Other Adjustments	-24.2	11.5	84.5	-50,909	-32,000	40,216
Net Totals, Salaries and Wages	4,688.3	4,812.7	4,886.7	\$455,305	\$489,142	\$556,719
Staff Benefits	-	-	-	233,876	240,183	248,510
Totals, Personal Services	4,688.3	4,812.7	4,886.7	\$689,181	\$729,325	\$805,229
OPERATING EXPENSES AND EQUIPMENT				\$257,913	\$276,182	\$265,996
SPECIAL ITEMS OF EXPENSES				-	-	16,525
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$947,094	\$1,005,507	\$1,087,750

2 Local Assistance	Expenditures		
	2019-20*	2020-21*	2021-22*
Grants and Subventions - Governmental	\$63,175	\$31,686	\$44,500
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$63,175	\$31,686	\$44,500

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2019-20*	2020-21*	2021-22*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$349,290	\$338,177	\$357,431
Allocation for Employee Compensation	-	6,468	-
Allocation for Other Post-Employment Benefits	-	783	-
Allocation for Staff Benefits	-	706	-
Chapter 40, Statutes of 2020 (SB 115)	-	2,438	-
Section 3.60 Pension Contribution Adjustment	-	-3,023	-
Section 3.90 Employee Compensation Reduction	-	-23,313	-
Transfer from Item 8640-001-0001, per Provision 1	-	195	-
003 Budget Act appropriation (rental payments on lease-revenue bonds)	3,875	3,764	3,746
Lease Revenue Debt Service Adjustment	-	-52	-
013 Budget Act appropriation (transfer to DNA Identification Fund)	-	25,764	6,000
015 Budget Act appropriation (transfer to Legal Services Revolving Fund)	1,500	1,500	5,500
National Mortgage Court Order (transfer to National Mortgage Special Deposit Fund)	331,044	-	-
Totals Available	\$685,709	\$353,407	\$372,677
TOTALS, EXPENDITURES	\$685,709	\$353,407	\$372,677
0012 Attorney General Antitrust Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$5,721	\$11,070	\$10,927
Allocation for Employee Compensation	-	93	-
Allocation for Other Post-Employment Benefits	-	20	-
Allocation for Staff Benefits	-	8	-
Section 3.60 Pension Contribution Adjustment	-	-73	-
Section 3.90 Employee Compensation Reduction	-	-543	-
TOTALS, EXPENDITURES	\$5,721	\$10,575	\$10,927
0017 Fingerprint Fees Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$87,708	\$94,704	\$96,023

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0820 Department of Justice - Continued

1 STATE OPERATIONS	2019-20*	2020-21*	2021-22*
Allocation for Employee Compensation	-	1,899	-
Allocation for Other Post-Employment Benefits	-	380	-
Allocation for Staff Benefits	-	273	-
Section 3.60 Pension Contribution Adjustment	-	-712	-
Section 3.90 Employee Compensation Reduction	-	-4,680	-
011 Budget Act appropriation (loan to the General Fund)	(-)	(50,000)	(-)
Totals Available	\$87,708	\$91,864	\$96,023
TOTALS, EXPENDITURES	\$87,708	\$91,864	\$96,023
0032 Firearm Safety Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$341	\$357	\$361
Allocation for Employee Compensation	-	7	-
Allocation for Other Post-Employment Benefits	-	1	-
Section 3.60 Pension Contribution Adjustment	-	-3	-
Section 3.90 Employee Compensation Reduction	-	-17	-
011 Budget Act appropriation (loan to the General Fund)	(-)	(6,400)	(-)
Totals Available	\$341	\$345	\$361
TOTALS, EXPENDITURES	\$341	\$345	\$361
0044 Motor Vehicle Account, State Transportation Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$28,888	\$28,997	\$29,958
Allocation for Employee Compensation	-	871	-
Allocation for Other Post-Employment Benefits	-	141	-
Allocation for Staff Benefits	-	205	-
Section 3.60 Pension Contribution Adjustment	-	-215	-
Section 3.90 Employee Compensation Reduction	-	-1,576	-
Totals Available	\$28,888	\$28,423	\$29,958
TOTALS, EXPENDITURES	\$28,888	\$28,423	\$29,958
0142 Department of Justice Sexual Habitual Offender Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,685	\$2,809	\$2,852
Allocation for Employee Compensation	-	61	-
Allocation for Other Post-Employment Benefits	-	5	-
Allocation for Staff Benefits	-	12	-
Section 3.60 Pension Contribution Adjustment	-	-33	-
Section 3.90 Employee Compensation Reduction	-	-184	-
Totals Available	\$1,685	\$2,670	\$2,852
TOTALS, EXPENDITURES	\$1,685	\$2,670	\$2,852
0158 Travel Seller Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$827	\$1,486	\$1,507
Allocation for Employee Compensation	-	22	-
Allocation for Other Post-Employment Benefits	-	5	-
Allocation for Staff Benefits	-	3	-
Section 3.60 Pension Contribution Adjustment	-	-7	-
Section 3.90 Employee Compensation Reduction	-	-56	-
Totals Available	\$827	\$1,453	\$1,507
TOTALS, EXPENDITURES	\$827	\$1,453	\$1,507
0256 Sexual Predator Public Information Account			
APPROPRIATIONS			

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0820 Department of Justice - Continued

1 STATE OPERATIONS	2019-20*	2020-21*	2021-22*
001 Budget Act appropriation	\$102	\$181	\$180
Budget Year and Outyear Expenditure Estimates	-	-4	-
Section 3.60 Pension Contribution Adjustment	-	-1	-
Section 3.90 Employee Compensation Reduction	-	-6	-
Totals Available	\$102	\$170	\$180
TOTALS, EXPENDITURES	\$102	\$170	\$180
0367 Indian Gaming Special Distribution Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$20,068	\$23,222	\$20,641
Allocation for Employee Compensation	-	419	-
Allocation for Other Post-Employment Benefits	-	80	-
Allocation for Staff Benefits	-	63	-
Section 3.60 Pension Contribution Adjustment	-	-630	-
Section 3.90 Employee Compensation Reduction	-	-1,591	-
Totals Available	\$20,068	\$21,563	\$20,641
TOTALS, EXPENDITURES	\$20,068	\$21,563	\$20,641
0378 False Claims Act Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$15,793	\$17,460	\$21,178
Allocation for Employee Compensation	-	468	-
Allocation for Other Post-Employment Benefits	-	81	-
Allocation for Staff Benefits	-	69	-
Section 3.60 Pension Contribution Adjustment	-	-349	-
Section 3.90 Employee Compensation Reduction	-	-1,204	-
Totals Available	\$15,793	\$16,525	\$21,178
TOTALS, EXPENDITURES	\$15,793	\$16,525	\$21,178
0460 Dealers Record of Sale Special Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$23,058	\$27,272	\$24,322
Allocation for Employee Compensation	-	514	-
Allocation for Other Post-Employment Benefits	-	98	-
Allocation for Staff Benefits	-	93	-
Section 3.60 Pension Contribution Adjustment	-	-434	-
Section 3.90 Employee Compensation Reduction	-	-1,850	-
Totals Available	\$23,058	\$25,693	\$24,322
TOTALS, EXPENDITURES	\$23,058	\$25,693	\$24,322
0566 Department of Justice Child Abuse Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$440	\$468	\$448
Allocation for Employee Compensation	-	15	-
Allocation for Other Post-Employment Benefits	-	2	-
Allocation for Staff Benefits	-	3	-
Section 3.60 Pension Contribution Adjustment	-	-6	-
Section 3.90 Employee Compensation Reduction	-	-37	-
Totals Available	\$440	\$445	\$448
TOTALS, EXPENDITURES	\$440	\$445	\$448
0567 Gambling Control Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$15,959	\$16,617	\$18,247
Allocation for Employee Compensation	-	306	-

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0820 Department of Justice - Continued

1 STATE OPERATIONS	2019-20*	2020-21*	2021-22*
Allocation for Other Post-Employment Benefits	-	80	-
Allocation for Staff Benefits	-	28	-
Section 3.60 Pension Contribution Adjustment	-	-287	-
Section 3.90 Employee Compensation Reduction	-	-1,201	-
011 Budget Act appropriation (loan to the General Fund)	(-)	(85,000)	(-)
Totals Available	\$15,959	\$15,543	\$18,247
TOTALS, EXPENDITURES	\$15,959	\$15,543	\$18,247
0569 Gambling Control Fines and Penalties Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$263	\$1,008	\$314
Allocation for Employee Compensation	-	30	-
Allocation for Other Post-Employment Benefits	-	6	-
Allocation for Staff Benefits	-	6	-
Section 3.60 Pension Contribution Adjustment	-	-12	-
Section 3.90 Employee Compensation Reduction	-	-61	-
011 Budget Act appropriation (loan to the General Fund)	(-)	(7,300)	(-)
Totals Available	\$263	\$977	\$314
TOTALS, EXPENDITURES	\$263	\$977	\$314
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$37,930	\$44,054	\$53,657
Allocation for Employee Compensation	-	246	-
Allocation for Other Post-Employment Benefits	-	38	-
Allocation for Staff Benefits	-	31	-
Section 3.60 Pension Contribution Adjustment	-	-1,186	-
Section 3.90 Employee Compensation Reduction	-	-1,733	-
Totals Available	\$37,930	\$41,450	\$53,657
TOTALS, EXPENDITURES	\$37,930	\$41,450	\$53,657
0903 State Penalty Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$129	\$129	\$132
Allocation for Employee Compensation	-	3	-
Allocation for Other Post-Employment Benefits	-	1	-
Section 3.60 Pension Contribution Adjustment	-	-1	-
Section 3.90 Employee Compensation Reduction	-	-10	-
TOTALS, EXPENDITURES	\$129	\$122	\$132
0942 Special Deposit Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$22	\$1,551	\$1,551
011 Budget Act appropriation (State Asset Forfeiture Account)	-	568	568
Totals Available	\$22	\$2,119	\$2,119
TOTALS, EXPENDITURES	\$22	\$2,119	\$2,119
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$22,917	\$41,925	\$43,818
TOTALS, EXPENDITURES	\$22,917	\$41,925	\$43,818
1008 Firearms Safety and Enforcement Special Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$7,423	\$10,315	\$10,214
Allocation for Employee Compensation	-	79	-
Allocation for Other Post-Employment Benefits	-	20	-

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0820 Department of Justice - Continued

1 STATE OPERATIONS	2019-20*	2020-21*	2021-22*
Allocation for Staff Benefits	-	5	-
Section 3.60 Pension Contribution Adjustment	-	-199	-
Section 3.90 Employee Compensation Reduction	-	-583	-
011 Budget Act appropriation (loan to Dealers Record of Sale Special Account)	(866)	(-)	(-)
011 Budget Act appropriation (loan to the General Fund)	(-)	(6,500)	(-)
Totals Available	\$7,423	\$9,637	\$10,214
TOTALS, EXPENDITURES	\$7,423	\$9,637	\$10,214
3016 Missing Persons DNA Data Base Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$3,040	\$3,772	\$3,803
Allocation for Employee Compensation	-	62	-
Allocation for Other Post-Employment Benefits	-	2	-
Allocation for Staff Benefits	-	12	-
Section 3.60 Pension Contribution Adjustment	-	-41	-
Section 3.90 Employee Compensation Reduction	-	-185	-
Totals Available	\$3,040	\$3,622	\$3,803
TOTALS, EXPENDITURES	\$3,040	\$3,622	\$3,803
3053 Public Rights Law Enforcement Special Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$6,325	\$19,074	\$21,189
Allocation for Employee Compensation	-	93	-
Allocation for Other Post-Employment Benefits	-	13	-
Allocation for Staff Benefits	-	5	-
Section 3.60 Pension Contribution Adjustment	-	-69	-
Section 3.90 Employee Compensation Reduction	-	-534	-
Totals Available	\$6,325	\$18,582	\$21,189
TOTALS, EXPENDITURES	\$6,325	\$18,582	\$21,189
3086 DNA Identification Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$46,668	\$67,254	\$62,955
Allocation for Employee Compensation	-	35	-
Allocation for Other Post-Employment Benefits	-	56	-
Allocation for Staff Benefits	-	219	-
Section 3.60 Pension Contribution Adjustment	-	-795	-
Section 3.90 Employee Compensation Reduction	-	-3,517	-
Totals Available	\$46,668	\$63,252	\$62,955
TOTALS, EXPENDITURES	\$46,668	\$63,252	\$62,955
Less funding provided by General Fund	-	-25,764	-6,000
NET TOTALS, EXPENDITURES	\$46,668	\$37,488	\$56,955
3087 Unfair Competition Law Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$27,497	\$34,595	\$34,647
Allocation for Employee Compensation	-	374	-
Allocation for Other Post-Employment Benefits	-	58	-
Allocation for Staff Benefits	-	31	-
Section 3.60 Pension Contribution Adjustment	-	-256	-
Section 3.90 Employee Compensation Reduction	-	-1,704	-
Totals Available	\$27,497	\$33,098	\$34,647
TOTALS, EXPENDITURES	\$27,497	\$33,098	\$34,647
3088 Registry of Charitable Trusts Fund			

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0820 Department of Justice - Continued

1 STATE OPERATIONS	2019-20*	2020-21*	2021-22*
APPROPRIATIONS			
001 Budget Act appropriation	\$5,503	\$6,259	\$6,406
Allocation for Employee Compensation	-	160	-
Allocation for Other Post-Employment Benefits	-	31	-
Allocation for Staff Benefits	-	17	-
Section 3.60 Pension Contribution Adjustment	-	-52	-
Section 3.90 Employee Compensation Reduction	-	-405	-
Totals Available	\$5,503	\$6,010	\$6,406
TOTALS, EXPENDITURES	\$5,503	\$6,010	\$6,406
3240 Secondhand Dealer and Pawnbroker Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$675	\$675	\$1,182
Allocation for Employee Compensation	-	17	-
Allocation for Other Post-Employment Benefits	-	2	-
Allocation for Staff Benefits	-	4	-
Section 3.60 Pension Contribution Adjustment	-	-6	-
Section 3.90 Employee Compensation Reduction	-	-36	-
TOTALS, EXPENDITURES	\$675	\$656	\$1,182
3285 Electronic Recording Authorization Fund			
APPROPRIATIONS			
Government Code section 27397	\$141	\$300	\$300
Totals Available	\$141	\$300	\$300
TOTALS, EXPENDITURES	\$141	\$300	\$300
3297 Major League Sporting Event Raffle Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$642	\$647	\$652
Allocation for Employee Compensation	-	13	-
Allocation for Other Post-Employment Benefits	-	2	-
Allocation for Staff Benefits	-	2	-
Section 3.60 Pension Contribution Adjustment	-	-12	-
Section 3.90 Employee Compensation Reduction	-	-44	-
Totals Available	\$642	\$608	\$652
TOTALS, EXPENDITURES	\$642	\$608	\$652
3303 Ammunition Safety and Enforcement Special Fund			
APPROPRIATIONS			
Penal Code section 30370 (f)	\$2,579	\$3,984	\$3,984
Totals Available	\$2,579	\$3,984	\$3,984
TOTALS, EXPENDITURES	\$2,579	\$3,984	\$3,984
3320 Department of Justice Subaccount, Tobacco Law Enforcement Account, CA Healthcare, Research and Prevention Tobacco Tax Act of 2016 Fund			
APPROPRIATIONS			
Revenue and Taxation Code section 30130.57(e)(1) and (f)	\$1,751	\$1,950	\$1,622
Budget Year and Outyear Expenditure Estimates	-	214	-
Revenue and Taxation Code section 30130.57(e)(4) and (f)	1,671	3,550	2,953
Totals Available	\$3,422	\$5,714	\$4,575
TOTALS, EXPENDITURES	\$3,422	\$5,714	\$4,575
3372 Data Brokers' Registry Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	\$360	\$188
Allocation for Employee Compensation	-	1	-
Budget Year and Outyear Expenditure Estimates	-	-4	-

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0820 Department of Justice - Continued

1 STATE OPERATIONS	2019-20*	2020-21*	2021-22*
Section 3.90 Employee Compensation Reduction	-	-4	-
TOTALS, EXPENDITURES	-	\$353	\$188
8071 National Mortgage Special Deposit Fund			
Less funding provided by General Fund	-331,044	-	-
NET TOTALS, EXPENDITURES	-\$331,044	-	-
9731 Legal Services Revolving Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$226,393	\$246,720	\$248,014
Allocation for Employee Compensation	-	3,377	-
Allocation for Other Post-Employment Benefits	-	444	-
Allocation for Staff Benefits	-	225	-
Section 3.60 Pension Contribution Adjustment	-	-2,714	-
Section 3.90 Employee Compensation Reduction	-	-17,967	-
Totals Available	\$226,393	\$230,085	\$248,014
TOTALS, EXPENDITURES	\$226,393	\$230,085	\$248,014
Less funding provided by General Fund	-1,500	-1,500	-5,500
NET TOTALS, EXPENDITURES	\$224,893	\$228,585	\$242,514
9740 Central Service Cost Recovery Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,770	\$1,710	\$1,780
Allocation for Employee Compensation	-	22	-
Allocation for Other Post-Employment Benefits	-	1	-
Section 3.60 Pension Contribution Adjustment	-	-14	-
Section 3.90 Employee Compensation Reduction	-	-118	-
Totals Available	\$1,770	\$1,601	\$1,780
TOTALS, EXPENDITURES	\$1,770	\$1,601	\$1,780
Total Expenditures, All Funds, (State Operations)	\$947,094	\$1,005,507	\$1,087,750
2 LOCAL ASSISTANCE	2019-20*	2020-21*	2021-22*
0001 General Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$2,000	-	\$14,300
102 Budget Act appropriation	1,500	-	-
Local assistance expenditure from state operations appropriation	1,814	-	-
TOTALS, EXPENDITURES	\$5,314	-	\$14,300
0460 Dealers Record of Sale Special Account			
APPROPRIATIONS			
101 Budget Act appropriation	\$28	\$28	\$28
TOTALS, EXPENDITURES	\$28	\$28	\$28
0641 Domestic Violence Restraining Order Reimbursement Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$1,018	\$1,018	\$1,018
TOTALS, EXPENDITURES	\$1,018	\$1,018	\$1,018
0903 State Penalty Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$2,354	\$2,354	\$2,354
TOTALS, EXPENDITURES	\$2,354	\$2,354	\$2,354
3320 Department of Justice Subaccount, Tobacco Law Enforcement Account, CA Healthcare, Research and Prevention Tobacco Tax Act of 2016 Fund			
APPROPRIATIONS			
Revenue and Taxation Code section 30130.57(e)(1)	\$54,461	\$28,500	\$26,800

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0820 Department of Justice - Continued

2 LOCAL ASSISTANCE	2019-20*	2020-21*	2021-22*
Budget Year and Outyear Expenditure Estimates	-	-214	-
Totals Available	\$54,461	\$28,286	\$26,800
TOTALS, EXPENDITURES	\$54,461	\$28,286	\$26,800
Total Expenditures, All Funds, (Local Assistance)	\$63,175	\$31,686	\$44,500
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$1,010,269	\$1,037,193	\$1,132,250

FUND CONDITION STATEMENTS

	2019-20*	2020-21*	2021-22*
0012 Attorney General Antitrust Account^s			
BEGINNING BALANCE	\$380	\$1,058	\$2,169
Prior Year Adjustments	14	-	-
Adjusted Beginning Balance	\$394	\$1,058	\$2,169
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4163000 Investment Income - Surplus Money Investments	16	16	16
4173400 Settlements and Judgments - Anti-Trust Actions (Attorney General)	6,543	12,055	12,055
Total Revenues, Transfers, and Other Adjustments	\$6,559	\$12,071	\$12,071
Total Resources	\$6,953	\$13,129	\$14,240
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0820 Department of Justice (State Operations)	5,721	10,575	10,927
9892 Supplemental Pension Payments (State Operations)	52	52	52
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	122	333	302
Total Expenditures and Expenditure Adjustments	\$5,895	\$10,960	\$11,281
FUND BALANCE	\$1,058	\$2,169	\$2,959
Reserve for economic uncertainties	1,058	2,169	2,959
0017 Fingerprint Fees Account^s			
BEGINNING BALANCE	\$66,623	\$72,625	\$10,467
Prior Year Adjustments	549	-	-
Adjusted Beginning Balance	\$67,172	\$72,625	\$10,467
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4127400 Renewal Fees	320	288	288
4129400 Other Regulatory Licenses and Permits	19	15	15
4132000 Fingerprint Identification Card Fees	75,516	73,760	73,760
4150500 Interest Income - Interfund Loans	699	-	-
4163000 Investment Income - Surplus Money Investments	1,188	370	370
4173500 Settlements and Judgments - Other	-	15	-
Transfers and Other Adjustments			
Loan Repayment from the General Fund (0001) to the Fingerprint Fees Account (0017) per Item 0820-011-0017, Budget Act of 2011	24,000	-	-
Loan repayment from the General Fund (0001) to the Fingerprint Fees Account (0017) per Item 0820-011-0017 Budget Act of 2020	-	10,000	5,000
Loan repayment from the General Fund (0001) to the Fingerprint Fees Account (0017) per Item 0820-011-0017, Budget Act of 2020	-	5,000	30,000
Loan from the Fingerprint Fees Account (0017) to the General Fund (0001) per Item 0820-011-0017, Budget Act of 2020	-	-50,000	-
Total Revenues, Transfers, and Other Adjustments	\$101,742	\$39,448	\$109,433
Total Resources	\$168,914	\$112,073	\$119,900
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			

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0820 Department of Justice - Continued

	2019-20*	2020-21*	2021-22*
0820 Department of Justice (State Operations)	87,708	91,864	96,023
8880 Financial Information System for California (State Operations)	-11	-	-
9892 Supplemental Pension Payments (State Operations)	2,631	2,631	1,309
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	5,961	7,111	2,591
Total Expenditures and Expenditure Adjustments	\$96,289	\$101,606	\$99,923
FUND BALANCE	\$72,625	\$10,467	\$19,977
Reserve for economic uncertainties	72,625	10,467	19,977
0032 Firearm Safety Account ^s			
BEGINNING BALANCE	\$5,959	\$6,517	\$771
Prior Year Adjustments	27	-	-
Adjusted Beginning Balance	\$5,986	\$6,517	\$771
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4143500 Miscellaneous Services to the Public	810	1,012	730
4163000 Investment Income - Surplus Money Investments	83	8	12
Transfers and Other Adjustments			
Loan from the Firearm Safety Account (0032) to the General Fund (0001) per Item 0820-011-0032, Budget Act of 2020	-	-6,400	-
Total Revenues, Transfers, and Other Adjustments	\$893	-\$5,380	\$742
Total Resources	\$6,879	\$1,137	\$1,513
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0820 Department of Justice (State Operations)	341	345	361
9892 Supplemental Pension Payments (State Operations)	6	6	6
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	15	15	10
Total Expenditures and Expenditure Adjustments	\$362	\$366	\$377
FUND BALANCE	\$6,517	\$771	\$1,136
Reserve for economic uncertainties	6,517	771	1,136
0142 Department of Justice Sexual Habitual Offender Fund ^s			
BEGINNING BALANCE	\$3,393	\$3,737	\$2,557
Prior Year Adjustments	-5	-	-
Adjusted Beginning Balance	\$3,388	\$3,737	\$2,557
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4143500 Miscellaneous Services to the Public	2,156	1,621	1,621
4163000 Investment Income - Surplus Money Investments	48	36	36
4172500 Miscellaneous Revenue	17	16	16
Total Revenues, Transfers, and Other Adjustments	\$2,221	\$1,673	\$1,673
Total Resources	\$5,609	\$5,410	\$4,230
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0820 Department of Justice (State Operations)	1,685	2,670	2,852
9892 Supplemental Pension Payments (State Operations)	67	67	67
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	120	116	79
Total Expenditures and Expenditure Adjustments	\$1,872	\$2,853	\$2,998
FUND BALANCE	\$3,737	\$2,557	\$1,232
Reserve for economic uncertainties	3,737	2,557	1,232
0158 Travel Seller Fund ^s			
BEGINNING BALANCE	\$1,753	\$1,603	\$845
Prior Year Adjustments	1	-	-
Adjusted Beginning Balance	\$1,754	\$1,603	\$845
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			

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0820 Department of Justice - Continued

	2019-20*	2020-21*	2021-22*
Revenues:			
4143500 Miscellaneous Services to the Public	728	745	745
4163000 Investment Income - Surplus Money Investments	31	31	31
Total Revenues, Transfers, and Other Adjustments	<u>\$759</u>	<u>\$776</u>	<u>\$776</u>
Total Resources	<u>\$2,513</u>	<u>\$2,379</u>	<u>\$1,621</u>
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0820 Department of Justice (State Operations)	827	1,453	1,507
9892 Supplemental Pension Payments (State Operations)	19	19	19
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	64	62	39
Total Expenditures and Expenditure Adjustments	<u>\$910</u>	<u>\$1,534</u>	<u>\$1,565</u>
FUND BALANCE	<u>\$1,603</u>	<u>\$845</u>	<u>\$56</u>
Reserve for economic uncertainties	1,603	845	56
0256 Sexual Predator Public Information Account^s			
BEGINNING BALANCE	\$257	\$204	\$109
Prior Year Adjustments	-1	-	-
Adjusted Beginning Balance	<u>\$256</u>	<u>\$204</u>	<u>\$109</u>
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4143500 Miscellaneous Services to the Public	55	80	80
4163000 Investment Income - Surplus Money Investments	5	5	5
Total Revenues, Transfers, and Other Adjustments	<u>\$60</u>	<u>\$85</u>	<u>\$85</u>
Total Resources	<u>\$316</u>	<u>\$289</u>	<u>\$194</u>
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0820 Department of Justice (State Operations)	102	170	180
9892 Supplemental Pension Payments (State Operations)	2	2	2
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	8	8	5
Total Expenditures and Expenditure Adjustments	<u>\$112</u>	<u>\$180</u>	<u>\$187</u>
FUND BALANCE	<u>\$204</u>	<u>\$109</u>	<u>\$7</u>
Reserve for economic uncertainties	204	109	7
0288 The Registry of International Student Exchange Visitor Placement Organizations Fund^s			
BEGINNING BALANCE	\$148	\$157	\$166
Adjusted Beginning Balance	<u>\$148</u>	<u>\$157</u>	<u>\$166</u>
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4143500 Miscellaneous Services to the Public	6	6	6
4163000 Investment Income - Surplus Money Investments	3	3	3
Total Revenues, Transfers, and Other Adjustments	<u>\$9</u>	<u>\$9</u>	<u>\$9</u>
Total Resources	<u>\$157</u>	<u>\$166</u>	<u>\$175</u>
FUND BALANCE	<u>\$157</u>	<u>\$166</u>	<u>\$175</u>
Reserve for economic uncertainties	157	166	175
0378 False Claims Act Fund^s			
BEGINNING BALANCE	\$1,761	\$551	\$1,695
Prior Year Adjustments	112	-	-
Adjusted Beginning Balance	<u>\$1,873</u>	<u>\$551</u>	<u>\$1,695</u>
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4163000 Investment Income - Surplus Money Investments	90	113	113
4170700 Civil and Criminal Violation Assessment	16,022	18,770	22,253
4173500 Settlements and Judgments - Other	-	10	-

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0820 Department of Justice - Continued

	2019-20*	2020-21*	2021-22*
Total Revenues, Transfers, and Other Adjustments	\$16,112	\$18,893	\$22,366
Total Resources	\$17,985	\$19,444	\$24,061
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0820 Department of Justice (State Operations)	15,793	16,525	21,178
8880 Financial Information System for California (State Operations)	-2	-	-
9892 Supplemental Pension Payments (State Operations)	309	309	309
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	1,334	915	264
Total Expenditures and Expenditure Adjustments	\$17,434	\$17,749	\$21,751
FUND BALANCE	\$551	\$1,695	\$2,310
Reserve for economic uncertainties	551	1,695	2,310
0460 Dealers Record of Sale Special Account^s			
BEGINNING BALANCE	\$5,669	\$9,740	\$19,683
Prior Year Adjustments	521	-	-
Adjusted Beginning Balance	\$6,190	\$9,740	\$19,683
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129400 Other Regulatory Licenses and Permits	3,511	4,819	3,788
4143500 Miscellaneous Services to the Public	23,684	32,506	25,550
4163000 Investment Income - Surplus Money Investments	55	190	240
4173500 Settlements and Judgments - Other	-	41	-
Transfers and Other Adjustments			
Loan from the Firearms Safety and Enforcement Fund (1008) to the Dealers' Record of Sale Special Account (0460), per Item 0820-011-1008	866	-	-
Total Revenues, Transfers, and Other Adjustments	\$28,116	\$37,556	\$29,578
Total Resources	\$34,306	\$47,296	\$49,261
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0820 Department of Justice (State Operations)	23,058	25,693	24,322
0820 Department of Justice (Local Assistance)	28	28	28
8880 Financial Information System for California (State Operations)	-5	-	-
9892 Supplemental Pension Payments (State Operations)	666	666	666
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	819	1,226	615
Total Expenditures and Expenditure Adjustments	\$24,566	\$27,613	\$25,631
FUND BALANCE	\$9,740	\$19,683	\$23,630
Reserve for economic uncertainties	9,740	19,683	23,630
0566 Department of Justice Child Abuse Fund^s			
BEGINNING BALANCE	\$1,546	\$1,458	\$1,237
Prior Year Adjustments	3	-	-
Adjusted Beginning Balance	\$1,549	\$1,458	\$1,237
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4143500 Miscellaneous Services to the Public	361	236	236
4163000 Investment Income - Surplus Money Investments	21	21	21
Total Revenues, Transfers, and Other Adjustments	\$382	\$257	\$257
Total Resources	\$1,931	\$1,715	\$1,494
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0820 Department of Justice (State Operations)	440	445	448
9892 Supplemental Pension Payments (State Operations)	13	13	13
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	20	20	14
Total Expenditures and Expenditure Adjustments	\$473	\$478	\$475
FUND BALANCE	\$1,458	\$1,237	\$1,019

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0820 Department of Justice - Continued

	2019-20*	2020-21*	2021-22*
Reserve for economic uncertainties	1,458	1,237	1,019
0567 Gambling Control Fund^s			
BEGINNING BALANCE	\$64,992	\$95,912	\$44,952
Prior Year Adjustments	-313	-	-
Adjusted Beginning Balance	\$64,679	\$95,912	\$44,952
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4127400 Renewal Fees	1,069	634	1,621
4129200 Other Regulatory Fees	14,217	12,461	18,652
4129400 Other Regulatory Licenses and Permits	1,803	1,044	1,946
4143500 Miscellaneous Services to the Public	1,800	1,062	1,346
4150500 Interest Income - Interfund Loans	3,446	-	-
4163000 Investment Income - Surplus Money Investments	1,303	60	110
4171400 Escheat - Unclaimed Checks, Warrants, Bonds, and Coupons	21	21	21
4173500 Settlements and Judgments - Other	-	27	-
Transfers and Other Adjustments			
Loan Repayment from the General Fund (0001) to the Gambling Control Fund (0567) per Item 0855-011-0567, Budget Act of 2008	10,000	-	-
Loan Repayment from the General Fund (0001) to the Gambling Control Fund (0567) per Item 0855-011-0567, Budget Act of 2011	19,000	-	-
Loan repayment from the General Fund (0001) to the Gambling Control Fund (0567) per Item 0820-011-0567, Budget Act of 2020	-	40,000	-
Loan from the Gambling Control Fund (0567) to the General Fund (0001) per Item 0820-011-0567, Budget Act of 2020	-	-85,000	-
Total Revenues, Transfers, and Other Adjustments	\$52,659	-\$29,691	\$23,696
Total Resources	\$117,338	\$66,221	\$68,648
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0820 Department of Justice (State Operations)	15,959	15,543	18,247
0855 California Gambling Control Commission (State Operations)	3,767	3,944	4,242
8880 Financial Information System for California (State Operations)	-2	-	-
9892 Supplemental Pension Payments (State Operations)	402	402	402
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	1,300	1,380	882
Total Expenditures and Expenditure Adjustments	\$21,426	\$21,269	\$23,773
FUND BALANCE	\$95,912	\$44,952	\$44,875
Reserve for economic uncertainties	95,912	44,952	44,875
0569 Gambling Control Fines and Penalties Account^s			
BEGINNING BALANCE	\$7,978	\$11,177	\$3,182
Prior Year Adjustments	2	-	-
Adjusted Beginning Balance	\$7,980	\$11,177	\$3,182
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4163000 Investment Income - Surplus Money Investments	126	126	126
4173000 Penalty Assessments - Other	3,365	175	175
Transfers and Other Adjustments			
Loan from the Gambling Control Fines & Penalties Account (0569) to the General Fund (0001) per Item 0820-011-0569, Budget Act of 2020	-	-7,300	-
Total Revenues, Transfers, and Other Adjustments	\$3,491	-\$6,999	\$301
Total Resources	\$11,471	\$4,178	\$3,483
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0820 Department of Justice (State Operations)	263	977	314
9892 Supplemental Pension Payments (State Operations)	7	7	7
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	24	12	9

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0820 Department of Justice - Continued

	2019-20*	2020-21*	2021-22*
Total Expenditures and Expenditure Adjustments	\$294	\$996	\$330
FUND BALANCE	\$11,177	\$3,182	\$3,153
Reserve for economic uncertainties	11,177	3,182	3,153
1008 Firearms Safety and Enforcement Special Fund^s			
BEGINNING BALANCE	\$11,439	\$17,464	\$12,124
Prior Year Adjustments	267	-	-
Adjusted Beginning Balance	\$11,706	\$17,464	\$12,124
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4143500 Miscellaneous Services to the Public	9,709	11,369	9,962
4150500 Interest Income - Interfund Loans	143	-	-
4163000 Investment Income - Surplus Money Investments	286	120	115
4173500 Settlements and Judgments - Other	-	2	-
Transfers and Other Adjustments			
Loan Repayment from the General Fund (0001) to the Firearms Safety and Enforcement Special Fund (1008) per Item 0820-011-1008, Budget Act of 2011	4,900	-	-
Loan from the Firearms Safety and Enforcement Fund (1008) to the Dealers' Record of Sale Special Account (0460), per Item 0820-011-1008	-866	-	-
Loan from the Firearms Safety and Enforcement Special Fund (1008) to the General Fund (0001) per Item 0820-011-1008, Budget Act of 2020	-	-6,500	-
Total Revenues, Transfers, and Other Adjustments	\$14,172	\$4,991	\$10,077
Total Resources	\$25,878	\$22,455	\$22,201
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0820 Department of Justice (State Operations)	7,423	9,637	10,214
8880 Financial Information System for California (State Operations)	-1	-	-
9892 Supplemental Pension Payments (State Operations)	236	236	236
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	756	458	268
Total Expenditures and Expenditure Adjustments	\$8,414	\$10,331	\$10,718
FUND BALANCE	\$17,464	\$12,124	\$11,483
Reserve for economic uncertainties	17,464	12,124	11,483
3016 Missing Persons DNA Data Base Fund^s			
BEGINNING BALANCE	\$5,141	\$5,111	\$4,384
Prior Year Adjustments	103	-	-
Adjusted Beginning Balance	\$5,244	\$5,111	\$4,384
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4135000 Local Agencies - Miscellaneous Revenue	3,039	3,060	3,060
4163000 Investment Income - Surplus Money Investments	91	50	35
Total Revenues, Transfers, and Other Adjustments	\$3,130	\$3,110	\$3,095
Total Resources	\$8,374	\$8,221	\$7,479
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0820 Department of Justice (State Operations)	3,040	3,622	3,803
9892 Supplemental Pension Payments (State Operations)	63	63	63
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	160	152	104
Total Expenditures and Expenditure Adjustments	\$3,263	\$3,837	\$3,970
FUND BALANCE	\$5,111	\$4,384	\$3,509
Reserve for economic uncertainties	5,111	4,384	3,509
3053 Public Rights Law Enforcement Special Fund^s			
BEGINNING BALANCE	\$6,092	\$763	\$734
Prior Year Adjustments	-588	-	-
Adjusted Beginning Balance	\$5,504	\$763	\$734

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0820 Department of Justice - Continued

	2019-20*	2020-21*	2021-22*
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4163000 Investment Income - Surplus Money Investments	32	79	79
4173500 Settlements and Judgments - Other	2,840	19,778	24,960
Total Revenues, Transfers, and Other Adjustments	<u>\$2,872</u>	<u>\$19,857</u>	<u>\$25,039</u>
Total Resources	<u>\$8,376</u>	<u>\$20,620</u>	<u>\$25,773</u>
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0820 Department of Justice (State Operations)	6,325	18,582	21,189
8880 Financial Information System for California (State Operations)	-1	-	-
9892 Supplemental Pension Payments (State Operations)	268	268	268
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	1,021	1,036	749
Total Expenditures and Expenditure Adjustments	<u>\$7,613</u>	<u>\$19,886</u>	<u>\$22,206</u>
FUND BALANCE	<u>\$763</u>	<u>\$734</u>	<u>\$3,567</u>
Reserve for economic uncertainties	763	734	3,567
3086 DNA Identification Fund^s			
BEGINNING BALANCE	\$4,966	\$9,161	\$18,050
Prior Year Adjustments	2,530	-	-
Adjusted Beginning Balance	<u>\$7,496</u>	<u>\$9,161</u>	<u>\$18,050</u>
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4163000 Investment Income - Surplus Money Investments	155	180	-
4172500 Miscellaneous Revenue	14	14	14
4173000 Penalty Assessments - Other	48,157	46,173	44,635
4173500 Settlements and Judgments - Other	-	10	-
Total Revenues, Transfers, and Other Adjustments	<u>\$48,326</u>	<u>\$46,377</u>	<u>\$44,649</u>
Total Resources	<u>\$55,822</u>	<u>\$55,538</u>	<u>\$62,699</u>
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0820 Department of Justice (State Operations)	46,668	63,252	62,955
8880 Financial Information System for California (State Operations)	-7	-	-
9892 Supplemental Pension Payments (State Operations)	-	-	1,322
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	-	-	884
Less funding provided by General Fund (State Operations)	-	-25,764	-6,000
Total Expenditures and Expenditure Adjustments	<u>\$46,661</u>	<u>\$37,488</u>	<u>\$59,161</u>
FUND BALANCE	<u>\$9,161</u>	<u>\$18,050</u>	<u>\$3,538</u>
Reserve for economic uncertainties	9,161	18,050	3,538
3087 Unfair Competition Law Fund^s			
BEGINNING BALANCE	\$4,268	\$5,140	\$5,364
Prior Year Adjustments	81	-	-
Adjusted Beginning Balance	<u>\$4,349</u>	<u>\$5,140</u>	<u>\$5,364</u>
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4163000 Investment Income - Surplus Money Investments	228	222	222
4173000 Penalty Assessments - Other	29,993	34,460	34,460
4173500 Settlements and Judgments - Other	-	4	-
Total Revenues, Transfers, and Other Adjustments	<u>\$30,221</u>	<u>\$34,686</u>	<u>\$34,682</u>
Total Resources	<u>\$34,570</u>	<u>\$39,826</u>	<u>\$40,046</u>
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0820 Department of Justice (State Operations)	27,497	33,098	34,647
8880 Financial Information System for California (State Operations)	-1	-	-
9892 Supplemental Pension Payments (State Operations)	268	268	268

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0820 Department of Justice - Continued

	2019-20*	2020-21*	2021-22*
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	1,666	1,096	1,217
Total Expenditures and Expenditure Adjustments	\$29,430	\$34,462	\$36,132
FUND BALANCE	\$5,140	\$5,364	\$3,914
Reserve for economic uncertainties	5,140	5,364	3,914
3088 Registry of Charitable Trusts Fund^s			
BEGINNING BALANCE	\$7,239	\$6,920	\$5,477
Prior Year Adjustments	875	-	-
Adjusted Beginning Balance	\$8,114	\$6,920	\$5,477
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4143500 Miscellaneous Services to the Public	4,505	4,817	4,817
4163000 Investment Income - Surplus Money Investments	136	136	136
4171400 Escheat - Unclaimed Checks, Warrants, Bonds, and Coupons	1	-	-
Total Revenues, Transfers, and Other Adjustments	\$4,642	\$4,953	\$4,953
Total Resources	\$12,756	\$11,873	\$10,430
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0820 Department of Justice (State Operations)	5,503	6,010	6,406
8880 Financial Information System for California (State Operations)	-1	-	-
9892 Supplemental Pension Payments (State Operations)	111	111	111
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	223	275	199
Total Expenditures and Expenditure Adjustments	\$5,836	\$6,396	\$6,716
FUND BALANCE	\$6,920	\$5,477	\$3,714
Reserve for economic uncertainties	6,920	5,477	3,714
3131 California Bingo Fund^s			
BEGINNING BALANCE	\$708	\$708	\$708
Adjusted Beginning Balance	\$708	\$708	\$708
Total Resources	\$708	\$708	\$708
FUND BALANCE	\$708	\$708	\$708
Reserve for economic uncertainties	708	708	708
3132 Charity Bingo Mitigation Fund^s			
BEGINNING BALANCE	\$5	\$5	\$5
Adjusted Beginning Balance	\$5	\$5	\$5
Total Resources	\$5	\$5	\$5
FUND BALANCE	\$5	\$5	\$5
Reserve for economic uncertainties	5	5	5
3136 Foreclosure Consultant Regulation Fund^s			
BEGINNING BALANCE	\$13	\$14	\$14
Adjusted Beginning Balance	\$13	\$14	\$14
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129200 Other Regulatory Fees	1	-	-
Total Revenues, Transfers, and Other Adjustments	\$1	-	-
Total Resources	\$14	\$14	\$14
FUND BALANCE	\$14	\$14	\$14
Reserve for economic uncertainties	14	14	14
3240 Secondhand Dealer and Pawnbroker Fund^s			
BEGINNING BALANCE	\$3,021	\$2,872	\$2,745
Prior Year Adjustments	-1	-	-
Adjusted Beginning Balance	\$3,020	\$2,872	\$2,745

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0820 Department of Justice - Continued

	2019-20*	2020-21*	2021-22*
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4127400 Renewal Fees	419	419	419
4129400 Other Regulatory Licenses and Permits	92	92	92
4163000 Investment Income - Surplus Money Investments	56	56	56
Total Revenues, Transfers, and Other Adjustments	<u>\$567</u>	<u>\$567</u>	<u>\$567</u>
Total Resources	\$3,587	\$3,439	\$3,312
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0820 Department of Justice (State Operations)	675	656	1,182
9892 Supplemental Pension Payments (State Operations)	10	10	10
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	30	28	19
Total Expenditures and Expenditure Adjustments	<u>\$715</u>	<u>\$694</u>	<u>\$1,211</u>
FUND BALANCE	<u>\$2,872</u>	<u>\$2,745</u>	<u>\$2,101</u>
Reserve for economic uncertainties	2,872	2,745	2,101
3285 Electronic Recording Authorization Fund^s			
BEGINNING BALANCE	\$104	\$126	\$78
Adjusted Beginning Balance	<u>\$104</u>	<u>\$126</u>	<u>\$78</u>
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4135000 Local Agencies - Miscellaneous Revenue	161	250	250
4163000 Investment Income - Surplus Money Investments	2	2	2
Total Revenues, Transfers, and Other Adjustments	<u>\$163</u>	<u>\$252</u>	<u>\$252</u>
Total Resources	<u>\$267</u>	<u>\$378</u>	<u>\$330</u>
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0820 Department of Justice (State Operations)	141	300	300
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	-	-	8
Total Expenditures and Expenditure Adjustments	<u>\$141</u>	<u>\$300</u>	<u>\$308</u>
FUND BALANCE	<u>\$126</u>	<u>\$78</u>	<u>\$22</u>
Reserve for economic uncertainties	126	78	22
3297 Major League Sporting Event Raffle Fund^s			
BEGINNING BALANCE	\$1,757	\$2,289	\$2,429
Adjusted Beginning Balance	<u>\$1,757</u>	<u>\$2,289</u>	<u>\$2,429</u>
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129200 Other Regulatory Fees	99	85	85
4129400 Other Regulatory Licenses and Permits	1,081	691	691
4163000 Investment Income - Surplus Money Investments	36	14	14
Total Revenues, Transfers, and Other Adjustments	<u>\$1,216</u>	<u>\$790</u>	<u>\$790</u>
Total Resources	<u>\$2,973</u>	<u>\$3,079</u>	<u>\$3,219</u>
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0820 Department of Justice (State Operations)	642	608	652
9892 Supplemental Pension Payments (State Operations)	8	8	8
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	34	34	37
Total Expenditures and Expenditure Adjustments	<u>\$684</u>	<u>\$650</u>	<u>\$697</u>
FUND BALANCE	<u>\$2,289</u>	<u>\$2,429</u>	<u>\$2,522</u>
Reserve for economic uncertainties	2,289	2,429	2,522
3303 Ammunition Safety and Enforcement Special Fund^s			
BEGINNING BALANCE	\$8,120	\$6,128	\$4,143
Adjusted Beginning Balance	<u>\$8,120</u>	<u>\$6,128</u>	<u>\$4,143</u>
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			

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0820 Department of Justice - Continued

	2019-20*	2020-21*	2021-22*
Revenues:			
4127400 Renewal Fees	50	33	33
4129400 Other Regulatory Licenses and Permits	7	7	7
4143500 Miscellaneous Services to the Public	1,695	1,909	1,909
4163000 Investment Income - Surplus Money Investments	109	50	25
Total Revenues, Transfers, and Other Adjustments	\$1,861	\$1,999	\$1,974
Total Resources	\$9,981	\$8,127	\$6,117
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0820 Department of Justice (State Operations)	2,579	3,984	3,984
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	1,274	-	-
Total Expenditures and Expenditure Adjustments	\$3,853	\$3,984	\$3,984
FUND BALANCE	\$6,128	\$4,143	\$2,133
Reserve for economic uncertainties	6,128	4,143	2,133
3320 Department of Justice Subaccount, Tobacco Law Enforcement Account, CA Healthcare, Research and Prevention Tobacco Tax Act of 2016 Fund^s			
BEGINNING BALANCE	\$4,924	\$10,387	\$8,395
Adjusted Beginning Balance	\$4,924	\$10,387	\$8,395
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4163000 Investment Income - Surplus Money Investments	936	-	-
4173500 Settlements and Judgments - Other	-	1	-
Transfers and Other Adjustments			
Revenue Transfer from the California Healthcare Research and Prevention Tobacco Tax Act of 2016 Fund (3304) to the Department of Justice Tobacco Law Enforcement Account (3320) per Revenue and Taxation Code 30130.57(e)	34,220	32,749	32,603
Revenue Transfer from the Tobacco Law Enforcement Account Fund (3308) to the Department of Justice Tobacco Law Enforcement Account (3320) per Revenue and Taxation Code 30130.57(e)	28,190	-	-
Total Revenues, Transfers, and Other Adjustments	\$63,346	\$32,750	\$32,603
Total Resources	\$68,270	\$43,137	\$40,998
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0820 Department of Justice (State Operations)	3,422	5,714	4,575
0820 Department of Justice (Local Assistance)	54,461	28,286	26,800
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	-	742	616
Total Expenditures and Expenditure Adjustments	\$57,883	\$34,742	\$31,991
FUND BALANCE	\$10,387	\$8,395	\$9,007
Reserve for economic uncertainties	10,387	8,395	9,007
3372 Data Brokers' Registry Fund^s			
BEGINNING BALANCE	-	-	7
Adjusted Beginning Balance	-	-	\$7
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4172500 Miscellaneous Revenue	-	360	187
Total Revenues, Transfers, and Other Adjustments	-	\$360	\$187
Total Resources	-	\$360	\$194
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0820 Department of Justice (State Operations)	-	353	188
Total Expenditures and Expenditure Adjustments	-	\$353	\$188
FUND BALANCE	-	\$7	\$6
Reserve for economic uncertainties	-	7	6

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0820 Department of Justice - Continued**CHANGES IN AUTHORIZED POSITIONS**

	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
Baseline Positions	4,712.5	4,801.2	4,802.2	\$506,214	\$521,142	\$516,503
Salary and Other Adjustments	-24.2	11.5	-20.5	-50,909	-32,000	23,994
Workload and Administrative Adjustments						
Bureau of Gambling Control Licensing Positions and Support						
Office Techn (Typing) (Limited Term 06-30-2023)	-	-	2.0	-	-	85
Program Techn II (Limited Term 06-30-2023)	-	-	3.0	-	-	128
Staff Svcs Mgr I (Limited Term 06-30-2023)	-	-	1.0	-	-	82
Various (Limited Term 06-30-2022)	-	-	-	-	-	1,391
Continuation of CURES Help Desk Resources						
Assoc Govtl Program Analyst	-	-	-	-	-	139
Staff Svcs Analyst (Gen)	-	-	-	-	-	97
Firearms Dealers: Conduct of Business (AB 2362)						
Assoc Govtl Program Analyst	-	-	1.0	-	-	80
Various	-	-	-	-	-	101
Firearms: Inspections (AB 2061)						
Various	-	-	-	-	-	87
Firearms: Unsafe Handguns (AB 2699)						
Field Rep	-	-	4.0	-	-	294
Special Agent	-	-	1.0	-	-	95
Staff Svcs Analyst (Gen)	-	-	1.0	-	-	48
Staff Svcs Mgr I	-	-	1.0	-	-	82
Firearms: Unsafe Handguns (AB 2847)						
Various	-	-	-	-	-	150
Healthcare Rights and Access Workload						
Dep Atty Gen IV	-	-	6.0	-	-	833
Legal Secty	-	-	4.0	-	-	200
Juvenile Court and Probation Statistical System Update (SB 823)						
Dep Atty Gen IV (Limited Term 06-30-2023)	-	-	-	-	-	163
Overtime (Limited Term 06-30-2023)	-	-	-	-	-	9
Medi-Cal Fraud and Elder Abuse Workload						
Various	-	-	-	-	-	1,465
Pawnbrokers (AB 1969)						
Temporary Help	-	-	-	-	-	43
Personal Information: Social Security Numbers: State Agencies (AB 499)						
Temporary Help (Limited Term 09-30-2022)	-	-	-	-	-	99
Police Use of Force (AB 1506)						
Assoc Govtl Program Analyst	-	-	3.0	-	-	308
Asst Bur Chief (Non-Peace Officer)	-	-	1.0	-	-	148
Audio-Visual Spec (Tech)	-	-	7.0	-	-	1,097
Crime Analyst II	-	-	3.0	-	-	199
Criminalist	-	-	3.0	-	-	354
Dep Atty Gen	-	-	9.0	-	-	1,312
Info Tech Assoc	-	-	1.0	-	-	62
Legal Analyst	-	-	2.0	-	-	111
Legal Secty	-	-	8.0	-	-	378

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0820 Department of Justice - Continued

	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
Office Techn (Gen)	-	-	1.0	-	-	38
Prop Cntrler I	-	-	2.0	-	-	86
Special Agent	-	-	15.0	-	-	3,247
Special Agent Supv	-	-	3.0	-	-	453
Special Agent-In-Charge	-	-	1.0	-	-	249
Sr Legal Analyst	-	-	1.0	-	-	63
Staff Svcs Analyst (Gen)	-	-	3.0	-	-	179
Staff Svcs Mgr I	-	-	2.0	-	-	139
Supvng Dep Atty Gen	-	-	2.0	-	-	261
Police Use of Force (AB 1506) Additional Investigative Team						
Various	-	-	10.0	-	-	1,132
Special Agent Supv	-	-	-	-	-	64
Reparation Task Force (AB 3121)						
Assoc Govtl Program Analyst (Limited Term 06-30-2023)	-	-	-	-	-	70
Dep Atty Gen IV (Limited Term 06-30-2023)	-	-	-	-	-	143
Legal Secty (Limited Term 06-30-2023)	-	-	-	-	-	65
Research Data Spec I (Limited Term 06-30-2023)	-	-	-	-	-	76
Sr Legal Analyst (Limited Term 06-30-2023)	-	-	-	-	-	73
Victim Advocacy and Professional Support Workload (Officer Involved Shootings)						
Assoc Govtl Program Analyst	-	-	2.0	-	-	139
Legal Secty	-	-	1.0	-	-	32
Sr Legal Analyst	-	-	1.0	-	-	73
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	105.0	\$-	\$-	\$16,222
Totals, Adjustments	-24.2	11.5	84.5	\$-50,909	\$-32,000	\$40,216
TOTALS, SALARIES AND WAGES	4,688.3	4,812.7	4,886.7	\$455,305	\$489,142	\$556,719

INFRASTRUCTURE OVERVIEW

The Department of Justice (DOJ) has 96 facilities statewide totaling 1.8 million square feet, of which 14 facilities and 1.2 million square feet are state-owned locations. The remaining facilities are leased from non-state entities.

These facilities support the constitutional office of the Attorney General who, as Chief Law Enforcement Officer of the state, has the responsibility to see that the laws of California are uniformly and adequately enforced. This responsibility is fulfilled through the diverse legal, law enforcement and criminal justice information programs of the DOJ.

0840 State Controller

The State Controller is the chief fiscal officer of California, the fifth largest economy in the world. Principally responsible for transparency and accountability of the state's financial resources, the Controller ensures the appropriate disbursement and tracking of taxpayer dollars. The Controller serves on dozens of state boards, commissions, and committees with duties ranging from administrative oversight of the nation's two largest public pension funds, to protection of state lands and coastlines, to modernization and financing of major infrastructure. The Controller also offers fiscal guidance to local governments and has independent auditing authority over government agencies related to the spending of state funds. The Controller's primary objectives are to:

- Account for and control disbursement of all state funds, issuing warrants in payment of the state's bills, including lottery prizes.
- Determine legality and accuracy of financial claims against the state.

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0840 State Controller - Continued

- Audit state and local government programs and agencies.
- Ensure businesses report and remit unclaimed property in compliance with Unclaimed Property Law and safeguard remitted unclaimed property until claimed by the rightful owners or heirs.
- Inform the public of the state's financial condition.
- Inform the public of financial transactions of city, county, and other local governments.
- Administer the Uniform State Payroll System.
- Audit and process all personnel and payroll transactions for state civil service, state exempt employees, California State University employees, and Judicial Council employees.

3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
0500100	Accounting and Reporting	288.0	296.8	296.8	\$42,283	\$40,592	\$46,878
0500200	Audits	218.7	289.7	289.7	30,534	35,389	39,893
0500300	Personnel/Payroll Services	236.5	255.0	259.0	43,492	36,374	45,279
0500400	Unclaimed Property	240.7	254.8	254.8	29,943	30,773	32,785
0500500	Disbursements	84.2	95.8	95.8	25,201	24,283	25,431
0500900	Departmental Administration	334.1	328.8	334.8	72,406	72,546	73,582
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		1,402.2	1,520.9	1,530.9	\$243,859	\$239,957	\$263,848
FUNDING					2019-20*	2020-21*	2021-22*
0001	General Fund				\$87,591	\$73,820	\$85,104
0046	Public Transportation Account, State Transportation Fund				19	19	19
0061	Motor Vehicle Fuel Account, Transportation Tax Fund				5,087	4,902	5,294
0062	Highway Users Tax Account, Transportation Tax Fund				1,749	1,703	1,817
0064	Motor Vehicle License Fee Account, Transportation Tax Fund				17	17	17
0107	Abandoned Vehicle Trust Fund				4	2	2
0330	Local Revenue Fund				821	789	838
0877	DMV Local Agency Collection Fund				15	11	11
0890	Federal Trust Fund				1,241	1,393	1,522
0903	State Penalty Fund				1,623	1,571	1,698
0932	Trial Court Trust Fund				174	174	174
0969	Public Safety Account, Local Public Safety Fund				268	268	268
0970	Unclaimed Property Fund				46,580	44,710	48,568
0988	Other - Unallocated Non-Governmental Cost Funds				301	288	309
0995	Reimbursements				55,543	64,199	64,881
3268	Senior Citizens and Disabled Citizens Property Tax Postponement Fund				2,212	2,419	2,581
3286	Safe Neighborhoods and Schools Fund				383	383	383
3290	Road Maintenance and Rehabilitation Account, State Transportation Fund				1,215	523	618
6086	2016 State School Facilities Fund				-	1,188	1,188
8124	Suicide Prevention Voluntary Contribution Fund				-	-	5
9740	Central Service Cost Recovery Fund				39,016	41,578	48,551
TOTALS, EXPENDITURES, ALL FUNDS					\$243,859	\$239,957	\$263,848

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Constitution, Article XVI, Section 7; and Government Code Section 12402 et seq.

PROGRAM AUTHORITY

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0840 State Controller - Continued

0500100-Accounting and Reporting:

Constitution, Article XVI, Section 7; Government Code Title 1, Division 7, Chapter 21; Government Code Title 2, Division 1, Chapter 12.491; Government Code Title 2, Division 1, Chapter 12.5; Government Code Title 2, Division 3, Part 2, Chapter 5 and Part 10b, Chapter 4; Government Code Title 2, Division 4, Part 1, Chapter 5; Government Code Title 2, Division 4, Part 7; Government Code Title 3, Division 3, Chapters 8 and 9; Government Code Title 5, Division 2, Part 1, Chapter 4, Article 9; Government Code Title 8 Chapter 1, and Chapter 6, Article 10; Penal Code Section 1463.02; Public Contracts Code Division 2, Part 3, Chapter 2; Public Resources Code Division 3, Chapters 1, 3.5, 4, and 6; Public Utilities Code Division 10, Part 11, Chapter 4, Article 3 and 6.5; Revenue and Taxation Code, Division 2, Parts 2, 7, 8, 9.5 and 10.5; Streets and Highways Code Division 3, Chapter 3 and 4; and Welfare and Institutions Code Division 9, Part 5, Chapter 6.

0500200-Audits:

Constitution, Article XVI, Section 7; and Government Code Section 12402 et seq.

0500300-Personnel and Payroll Services:

Constitution, Article XVI, Section 7; Government Code Section 12402 et seq.; Government Code Section 12470 - 12479; Government Code Section 18003; and Government Code Section 19822.3.

0500400-Unclaimed Property:

Code of Civil Procedure Part 3, Title 10.

0500500-Disbursements:

Constitution, Article XVI, Section 7; Government Code Section 11100; Government Code Section 12421; Government Code Section 12478; and Government Code 17000 et seq.

0500900-Departmental Administration:

Government Code Section 12402 et seq.; and Government Code Section 17220 et seq. Membership by State Controller on boards and commissions, principally: State Board of Equalization-Constitution, Articles VII, XIX, and XIII; Franchise Tax Board-Government Code Section 15700; Board of Public Employees' Retirement System-Government Code Section 20090; Board of State Teacher's Retirement System-Education Code Section 22200; California Victim Compensation Board-Government Code Section 13901; State Lands Commission-Public Resources Code Section 6101; and Pooled Money Investment Board-Government Code Section 16480.1. Various bond and finance committees: Education Code Section 15909; Military and Veterans Code Section 991; Water Code Section 12933; and Harbors and Navigation Code Section 3903.

MAJOR PROGRAM CHANGES

- **California State Payroll System Project** – The Budget includes approximately \$5.5 million in fiscal year 2021-22, and \$1.0 million in fiscal year 2022-23 and ongoing to support 6 permanent positions and contracted service costs during the Project Approval Lifecycle process.
 - **Administrative Support Costs** – The Budget includes approximately \$1.6 million in 2021-22 and ongoing to address increased operational costs.
 - **Information Security Workload and Continuity of Operations** – The Budget includes approximately \$308,000 in 2021-22 and \$287,000 ongoing to support 2 permanent positions to address risk assessment remediation efforts and continuity of operations workload.
 - **Local Government Oversight – Internal Control Reviews** – The Budget includes a \$712,000 shift from reimbursements to the General Fund in 2021-22 and ongoing to support audits and oversight reviews of local government agencies pursuant to Government Code Section 12422.5.
 - **Fi\$Cal Audit Workload** – The Budget includes approximately \$408,000 in 2021-22 and ongoing to support pre-payment audits of Fi\$Cal vouchers pursuant to Government Code section 12410.
 - **Fi\$Cal Implementation for ACFR and Other Annual Reports** – The Budget includes approximately \$872,000 in 2021-22 and \$854,000 in 2022-23 to support the transition of financial reporting functions from the current Legacy system to the Fi\$Cal system.
 - **Continuity of Operations Program** – The Budget includes approximately \$301,000 in 2021-22 and \$287,000 ongoing for 2 permanent positions to support the development and expansion of this program and produce various contingency plans.
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0840 State Controller - Continued**DETAILED BUDGET ADJUSTMENTS**

	2020-21*			2021-22*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• California State Payroll System (CSPS) Project	\$-	\$-	-	\$3,120	\$2,353	6.0
• SCO Infrastructure and Operational Costs	-	-	-	1,126	474	-
• SCO FI\$Cal Implementation for ACFR and Other Annual Reports	-	-	-	497	375	-
• Local Government Oversight - Internal Control Reviews	-	-	-	406	-406	-
• Technical Adjustment to Local Government Oversight - Internal Control Reviews	-	-	-	306	-306	-
• SCO Information Security Program Workload and Continuity of Operations Program	-	-	-	235	73	2.0
• FI\$Cal Audit Workload	-	-	-	233	175	-
• SCO Continuity of Operations Program (COOP)	-	-	-	229	72	2.0
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$6,152	\$2,810	10.0
Other Workload Budget Adjustments						
• Other Post-Employment Benefit Adjustments	504	901	-	504	901	-
• Chapter 445, Statutes of 2019	-	-	-	-	5	-
• Section 3.90 Employee Compensation Reduction	-5,898	-9,624	-	-	-	-
• Salary Adjustments	2,593	4,693	-	2,593	4,693	-
• Benefit Adjustments	458	773	-	438	740	-
• SWCAP	-	-	-	-	12	-
• Retirement Rate Adjustments	-694	-1,231	-	-694	-1,231	-
• Miscellaneous Baseline Adjustments	-	-	-	-1,201	1,201	-
Totals, Other Workload Budget Adjustments	\$-3,037	\$-4,488	-	\$1,640	\$6,321	-
Totals, Workload Budget Adjustments	\$-3,037	\$-4,488	-	\$7,792	\$9,131	10.0
Totals, Budget Adjustments	\$-3,037	\$-4,488	-	\$7,792	\$9,131	10.0

PROGRAM DESCRIPTIONS**0500100 - ACCOUNTING AND REPORTING**

The State Accounting and Reporting Division maintains uniform and systematic control accounts of all receipts, payments, appropriations, state fund balances, and bonded indebtedness; monitors the cash flow of the General Fund; administers the statewide discharge from accountability program; participates in offsetting monies owed to the state; reports the financial condition of the state; and collaborates with the Department of Finance, the Treasurer, and the Department of General Services to develop and implement the Financial Information System for California (FI\$Cal) to ensure the system meets the state's primary objectives and provides best business practices for the state.

The Local Government Programs and Services Division maintains a database of information and reports on local financial transactions and government compensation; apportions shared revenues to local governments; administers local mandated cost programs; approves county cost allocation plans; prescribes uniform accounting procedures for counties, special districts, and trial court revenues; administers and collects estate and inheritance taxes; collects delinquent insurance and motor vehicle fuel taxes, petroleum and gas assessments, and trial court penalties; refunds gasoline taxes paid on fuel consumed for off-highway purposes; instructs and advises county tax collectors; approves state and local government requests to participate in the Interagency Intercept Collection Program; and administers the Property Tax Postponement Program for seniors and people with disabilities.

0500200 - AUDITS

The Audits Division determines the legality and accuracy of all claims against the state through the performance of prepayment audits; assures the accuracy of local government claims and financial statements submitted to the state and federal

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0840 State Controller - Continued

governments by establishing and updating audit guidelines, and reviewing audits performed by independent auditors; performs field audits for state and federal programs; reviews the single audit reports of local governments and local education agencies that receive pass-through federal funds from state agencies; and audits major businesses for compliance with the Unclaimed Property Law.

0500300 - PERSONNEL AND PAYROLL SERVICES

The Personnel and Payroll Services Division administers the state's payroll, employment history, the automated travel expense reimbursement system, and leave accounting processes. The Division works with the Department of Human Resources, California Public Employees' Retirement System, Judicial Council, Department of Finance, and California State University Chancellor's Office to maximize the efficiency of the state's personnel and payroll functions.

The California State Payroll System Project was initiated to modernize the statewide human resource management and payroll systems as part of a fully integrated solution. The system should enable the state to improve management processes and fulfill its payroll, reporting, and deduction processing obligations accurately and on time.

0500400 - UNCLAIMED PROPERTY

The Unclaimed Property Division administers the Unclaimed Property Law by providing one central source for owners to claim their property; notifying owners of their unclaimed property before it is transferred to the state; receiving unclaimed property from banks, savings and loans, insurance companies, and other businesses; and providing outreach and education for businesses holding unclaimed property.

0500500 - DISBURSEMENTS

The Disbursements Bureau produces and delivers all payments of the state's obligations after auditing and clearance through control accounts. Examples of some of the state's payments are personal income tax refunds, payroll, vendor payments, and retirement payments. Disbursements also provides post-issuance services including disposition of undeliverable warrants; lost warrant duplication; and maintenance and production of paid warrant images.

0500900 - DEPARTMENTAL ADMINISTRATION

The Executive Office and the Administration Program provide executive direction and support services to all divisions in the State Controller's Office. The Information Systems Division (ISD) develops, maintains, and operates all of the department's mainframe, client-server, and web-based systems; and oversees the planning, procurement, use, and maintenance of information technology hardware and software. Within ISD, the Information Security Office evaluates security compliance, internal control management, and security governance.

DETAILED EXPENDITURES BY PROGRAM

		<u>2019-20*</u>	<u>2020-21*</u>	<u>2021-22*</u>
0500	PROGRAM REQUIREMENTS			
	STATE CONTROLLER'S OFFICE			
	State Operations:			
0001	General Fund	\$87,591	\$73,820	\$85,104
0046	Public Transportation Account, State Transportation Fund	19	19	19
0061	Motor Vehicle Fuel Account, Transportation Tax Fund	5,087	4,902	5,294
0062	Highway Users Tax Account, Transportation Tax Fund	1,749	1,703	1,817
0064	Motor Vehicle License Fee Account, Transportation Tax Fund	17	17	17
0107	Abandoned Vehicle Trust Fund	4	2	2
0330	Local Revenue Fund	821	789	838
0877	DMV Local Agency Collection Fund	15	11	11
0890	Federal Trust Fund	1,241	1,393	1,522
0903	State Penalty Fund	1,623	1,571	1,698
0932	Trial Court Trust Fund	174	174	174
0969	Public Safety Account, Local Public Safety Fund	268	268	268
0970	Unclaimed Property Fund	46,580	44,710	48,568
0988	Other - Unallocated Non-Governmental Cost Funds	301	288	309

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0840 State Controller - Continued

		2019-20*	2020-21*	2021-22*
0995	Reimbursements	55,543	64,199	64,881
3268	Senior Citizens and Disabled Citizens Property Tax Postponement Fund	2,212	2,419	2,581
3286	Safe Neighborhoods and Schools Fund	383	383	383
3290	Road Maintenance and Rehabilitation Account, State Transportation Fund	1,215	523	618
6086	2016 State School Facilities Fund	-	1,188	1,188
8124	Suicide Prevention Voluntary Contribution Fund	-	-	5
9740	Central Service Cost Recovery Fund	39,016	41,578	48,551
	Totals, State Operations	\$243,859	\$239,957	\$263,848

SUBPROGRAM REQUIREMENTS**0500100 Accounting and Reporting****State Operations:**

0001	General Fund	\$18,522	\$11,694	\$14,938
0046	Public Transportation Account, State Transportation Fund	19	19	19
0061	Motor Vehicle Fuel Account, Transportation Tax Fund	2,431	2,324	2,502
0062	Highway Users Tax Account, Transportation Tax Fund	499	489	503
0064	Motor Vehicle License Fee Account, Transportation Tax Fund	17	17	17
0107	Abandoned Vehicle Trust Fund	4	2	2
0330	Local Revenue Fund	821	789	838
0877	DMV Local Agency Collection Fund	15	11	11
0903	State Penalty Fund	222	213	228
0932	Trial Court Trust Fund	174	174	174
0969	Public Safety Account, Local Public Safety Fund	268	268	268
0988	Other - Unallocated Non-Governmental Cost Funds	208	198	212
0995	Reimbursements	8,001	10,643	11,521
3268	Senior Citizens and Disabled Citizens Property Tax Postponement Fund	2,212	2,419	2,581
3290	Road Maintenance and Rehabilitation Account, State Transportation Fund	245	117	134
6086	2016 State School Facilities Fund	-	768	768
8124	Suicide Prevention Voluntary Contribution Fund	-	-	5
9740	Central Service Cost Recovery Fund	8,625	10,447	12,157
	Totals, State Operations	\$42,283	\$40,592	\$46,878

SUBPROGRAM REQUIREMENTS**0500200 Audits****State Operations:**

0001	General Fund	\$7,807	\$5,421	\$8,309
0061	Motor Vehicle Fuel Account, Transportation Tax Fund	2,656	2,578	2,792
0062	Highway Users Tax Account, Transportation Tax Fund	1,250	1,214	1,314
0890	Federal Trust Fund	1,241	1,393	1,522
0903	State Penalty Fund	1,401	1,358	1,470
0970	Unclaimed Property Fund	3,331	3,180	3,444
0988	Other - Unallocated Non-Governmental Cost Funds	93	90	97
0995	Reimbursements	5,283	16,298	16,131
3286	Safe Neighborhoods and Schools Fund	383	383	383
3290	Road Maintenance and Rehabilitation Account, State Transportation Fund	970	406	484
6086	2016 State School Facilities Fund	-	420	420
9740	Central Service Cost Recovery Fund	6,119	2,648	3,527
	Totals, State Operations	\$30,534	\$35,389	\$39,893

SUBPROGRAM REQUIREMENTS**0500300 Personnel/Payroll Services****State Operations:**

0001	General Fund	\$26,530	\$15,638	\$21,930
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0840 State Controller - Continued

		<u>2019-20*</u>	<u>2020-21*</u>	<u>2021-22*</u>
0995	Reimbursements	7,203	10,712	8,692
9740	Central Service Cost Recovery Fund	9,759	10,024	14,657
	Totals, State Operations	\$43,492	\$36,374	\$45,279
	SUBPROGRAM REQUIREMENTS			
0500400	Unclaimed Property			
	State Operations:			
0970	Unclaimed Property Fund	29,900	30,624	32,636
0995	Reimbursements	43	149	149
	Totals, State Operations	\$29,943	\$30,773	\$32,785
	SUBPROGRAM REQUIREMENTS			
0500500	Disbursements			
	State Operations:			
0001	General Fund	\$4,980	\$3,843	\$4,415
0995	Reimbursements	18,219	17,678	19,060
9740	Central Service Cost Recovery Fund	2,002	2,762	1,956
	Totals, State Operations	\$25,201	\$24,283	\$25,431
	SUBPROGRAM REQUIREMENTS			
0500900	Departmental Administration			
	State Operations:			
0001	General Fund	\$29,752	\$37,224	\$35,512
0970	Unclaimed Property Fund	13,349	10,906	12,488
0995	Reimbursements	16,794	8,719	9,328
9740	Central Service Cost Recovery Fund	12,511	15,697	16,254
	Totals, State Operations	\$72,406	\$72,546	\$73,582
	TOTALS, EXPENDITURES			
	State Operations	243,859	239,957	263,848
	Totals, Expenditures	\$243,859	\$239,957	\$263,848

EXPENDITURES BY CATEGORY

1 State Operations	<u>Positions</u>			<u>Expenditures</u>		
	<u>2019-20</u>	<u>2020-21</u>	<u>2021-22</u>	<u>2019-20*</u>	<u>2020-21*</u>	<u>2021-22*</u>
PERSONAL SERVICES						
Baseline Positions	1,501.9	1,520.9	1,520.9	\$116,695	\$118,658	\$118,187
Other Adjustments	-99.7	-	10.0	-5,923	-6,998	9,950
Net Totals, Salaries and Wages	1,402.2	1,520.9	1,530.9	\$110,772	\$111,660	\$128,137
Staff Benefits	-	-	-	60,711	62,179	63,025
Totals, Personal Services	1,402.2	1,520.9	1,530.9	\$171,483	\$173,839	\$191,162
OPERATING EXPENSES AND EQUIPMENT				\$63,667	\$66,118	\$72,686
SPECIAL ITEMS OF EXPENSES				8,709	-	-
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$243,859	\$239,957	\$263,848

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS		<u>2019-20*</u>	<u>2020-21*</u>	<u>2021-22*</u>
	0001 General Fund			
APPROPRIATIONS				
001 Budget Act appropriation		\$87,591	\$76,857	\$85,104

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0840 State Controller - Continued

1 STATE OPERATIONS	2019-20*	2020-21*	2021-22*
Allocation for Employee Compensation	-	2,593	-
Allocation for Other Post-Employment Benefits	-	504	-
Allocation for Staff Benefits	-	458	-
Section 3.60 Pension Contribution Adjustment	-	-694	-
Section 3.90 Employee Compensation Reduction	-	-5,898	-
Totals Available	\$87,591	\$73,820	\$85,104
TOTALS, EXPENDITURES	\$87,591	\$73,820	\$85,104
0046 Public Transportation Account, State Transportation Fund			
APPROPRIATIONS			
Apportionment payment system assessments per Control Section 25.50	\$19	\$19	\$19
TOTALS, EXPENDITURES	\$19	\$19	\$19
0061 Motor Vehicle Fuel Account, Transportation Tax Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$5,087	\$5,092	\$5,294
Allocation for Employee Compensation	-	180	-
Allocation for Other Post-Employment Benefits	-	36	-
Allocation for Staff Benefits	-	34	-
Section 3.60 Pension Contribution Adjustment	-	-47	-
Section 3.90 Employee Compensation Reduction	-	-393	-
TOTALS, EXPENDITURES	\$5,087	\$4,902	\$5,294
0062 Highway Users Tax Account, Transportation Tax Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,444	\$1,446	\$1,512
Allocation for Employee Compensation	-	58	-
Allocation for Other Post-Employment Benefits	-	10	-
Allocation for Staff Benefits	-	12	-
Section 3.60 Pension Contribution Adjustment	-	-13	-
Section 3.90 Employee Compensation Reduction	-	-115	-
Apportionment payment system assessments per Control Section 25.50	305	305	305
TOTALS, EXPENDITURES	\$1,749	\$1,703	\$1,817
0064 Motor Vehicle License Fee Account, Transportation Tax Fund			
APPROPRIATIONS			
Apportionment payment system assessments per Control Section 25.50	\$17	\$17	\$17
TOTALS, EXPENDITURES	\$17	\$17	\$17
0107 Abandoned Vehicle Trust Fund			
APPROPRIATIONS			
Vehicle Code section 9250.7(b)	\$4	\$2	\$2
TOTALS, EXPENDITURES	\$4	\$2	\$2
0330 Local Revenue Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$721	\$722	\$738
Allocation for Employee Compensation	-	15	-
Allocation for Other Post-Employment Benefits	-	4	-
Allocation for Staff Benefits	-	2	-
Section 3.60 Pension Contribution Adjustment	-	-5	-
Section 3.90 Employee Compensation Reduction	-	-49	-
Apportionment payment system assessments per Control Section 25.50	100	100	100
TOTALS, EXPENDITURES	\$821	\$789	\$838
0877 DMV Local Agency Collection Fund			
APPROPRIATIONS			
Vehicle Code section 9250.14(b)	\$13	\$9	\$9

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0840 State Controller - Continued

1 STATE OPERATIONS	2019-20*	2020-21*	2021-22*
Apportionment payment system assessments per Control Section 25.50	2	2	2
TOTALS, EXPENDITURES	\$15	\$11	\$11
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,241	\$1,437	\$1,522
Allocation for Employee Compensation	-	62	-
Allocation for Other Post-Employment Benefits	-	11	-
Allocation for Staff Benefits	-	14	-
Section 3.60 Pension Contribution Adjustment	-	-14	-
Section 3.90 Employee Compensation Reduction	-	-117	-
Totals Available	\$1,241	\$1,393	\$1,522
TOTALS, EXPENDITURES	\$1,241	\$1,393	\$1,522
0903 State Penalty Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,623	\$1,625	\$1,698
Allocation for Employee Compensation	-	65	-
Allocation for Other Post-Employment Benefits	-	11	-
Allocation for Staff Benefits	-	13	-
Section 3.60 Pension Contribution Adjustment	-	-15	-
Section 3.90 Employee Compensation Reduction	-	-128	-
TOTALS, EXPENDITURES	\$1,623	\$1,571	\$1,698
0932 Trial Court Trust Fund			
APPROPRIATIONS			
Apportionment payment system assessments per Control Section 25.50	\$174	\$174	\$174
TOTALS, EXPENDITURES	\$174	\$174	\$174
0969 Public Safety Account, Local Public Safety Fund			
APPROPRIATIONS			
Apportionment payment system assessments per Control Section 25.50	\$268	\$268	\$268
TOTALS, EXPENDITURES	\$268	\$268	\$268
0970 Unclaimed Property Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$46,580	\$46,550	\$48,568
Allocation for Employee Compensation	-	1,354	-
Allocation for Other Post-Employment Benefits	-	294	-
Allocation for Staff Benefits	-	164	-
Section 3.60 Pension Contribution Adjustment	-	-400	-
Section 3.90 Employee Compensation Reduction	-	-3,252	-
Totals Available	\$46,580	\$44,710	\$48,568
TOTALS, EXPENDITURES	\$46,580	\$44,710	\$48,568
0988 Other - Unallocated Non-Governmental Cost Funds			
APPROPRIATIONS			
001 Budget Act appropriation	\$301	\$301	\$309
Allocation for Employee Compensation	-	8	-
Allocation for Other Post-Employment Benefits	-	1	-
Allocation for Staff Benefits	-	1	-
Section 3.60 Pension Contribution Adjustment	-	-2	-
Section 3.90 Employee Compensation Reduction	-	-21	-
TOTALS, EXPENDITURES	\$301	\$288	\$309
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$55,543	\$64,199	\$64,881

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0840 State Controller - Continued

1 STATE OPERATIONS	2019-20*	2020-21*	2021-22*
TOTALS, EXPENDITURES	\$55,543	\$64,199	\$64,881
3268 Senior Citizens and Disabled Citizens Property Tax Postponement Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,212	\$2,519	\$2,581
Allocation for Employee Compensation	-	69	-
Allocation for Other Post-Employment Benefits	-	17	-
Allocation for Staff Benefits	-	9	-
Section 3.60 Pension Contribution Adjustment	-	-24	-
Section 3.90 Employee Compensation Reduction	-	-171	-
Totals Available	\$2,212	\$2,419	\$2,581
TOTALS, EXPENDITURES	\$2,212	\$2,419	\$2,581
3286 Safe Neighborhoods and Schools Fund			
APPROPRIATIONS			
Government Code section 7599.2(d)	\$383	\$383	\$383
TOTALS, EXPENDITURES	\$383	\$383	\$383
3290 Road Maintenance and Rehabilitation Account, State Transportation Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,215	\$594	\$618
Allocation for Employee Compensation	-	22	-
Allocation for Other Post-Employment Benefits	-	3	-
Allocation for Staff Benefits	-	5	-
Section 3.60 Pension Contribution Adjustment	-	-5	-
Section 3.90 Employee Compensation Reduction	-	-96	-
TOTALS, EXPENDITURES	\$1,215	\$523	\$618
6086 2016 State School Facilities Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	\$1,188	\$1,188
Totals Available	-	\$1,188	\$1,188
TOTALS, EXPENDITURES	-	\$1,188	\$1,188
8124 Suicide Prevention Voluntary Contribution Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	-	\$5
TOTALS, EXPENDITURES	-	-	\$5
9740 Central Service Cost Recovery Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$39,016	\$42,759	\$48,551
Allocation for Employee Compensation	-	1,223	-
Allocation for Other Post-Employment Benefits	-	231	-
Allocation for Staff Benefits	-	216	-
Section 3.60 Pension Contribution Adjustment	-	-321	-
Section 3.90 Employee Compensation Reduction	-	-2,530	-
TOTALS, EXPENDITURES	\$39,016	\$41,578	\$48,551
Total Expenditures, All Funds, (State Operations)	\$243,859	\$239,957	\$263,848
2 LOCAL ASSISTANCE	2019-20*	2020-21*	2021-22*
0979 California Firefighters Memorial Fund			
APPROPRIATIONS			
101 Budget Act appropriation	-	\$500	\$500
Allocation to California Firefighters' Memorial Fund	-	-500	-500
TOTALS, EXPENDITURES	-	-	-
Total Expenditures, All Funds, (Local Assistance)	\$0	\$0	\$0

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0840 State Controller - Continued

2 LOCAL ASSISTANCE	2019-20*	2020-21*	2021-22*
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$243,859	\$239,957	\$263,848

FUND CONDITION STATEMENTS

	2019-20*	2020-21*	2021-22*
0442 California Olympic Training Account^s			
BEGINNING BALANCE	-	-	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4142500 License Plate Fees - Personalized Plates	\$44	\$38	\$38
Transfers and Other Adjustments			
Revenue Transfer from the California Olympic Training Account (0442) to the General Fund (0001) per Government Code Section 7592	-44	-38	-38
FUND BALANCE	-	-	-
3268 Senior Citizens and Disabled Citizens Property Tax Postponement Fund^s			
BEGINNING BALANCE	\$15,805	\$15,336	\$13,710
Adjusted Beginning Balance	\$15,805	\$15,336	\$13,710
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4151000 Interest Income - Other Loans	2,239	1,640	1,640
4163000 Investment Income - Surplus Money Investments	286	76	76
Transfers and Other Adjustments			
Revenue Transfer from the Senior Citizens and Disabled Citizens Property Tax Postponement Fund (3268) to the General Fund (0001) per Government Code Section 16180(b)	3,727	3,727	3,727
Total Revenues, Transfers, and Other Adjustments	\$6,252	\$5,443	\$5,443
Total Resources	\$22,057	\$20,779	\$19,153
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0840 State Controller (State Operations)	2,212	2,419	2,581
9100 Tax Relief (Local Assistance)	4,061	4,500	4,500
9892 Supplemental Pension Payments (State Operations)	276	-	-
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	172	150	199
Total Expenditures and Expenditure Adjustments	\$6,721	\$7,069	\$7,280
FUND BALANCE	\$15,336	\$13,710	\$11,873
Reserve for economic uncertainties	15,336	13,710	11,873

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
Baseline Positions	1,501.9	1,520.9	1,520.9	\$116,695	\$118,658	\$118,187
Salary and Other Adjustments	-99.7	-	-	-5,923	-6,998	8,289
Workload and Administrative Adjustments						
California State Payroll System (CSPS) Project						
Assoc Govtl Program Analyst	-	-	2.0	-	-	141
Info Tech Spec I	-	-	1.0	-	-	85
Info Tech Spec II	-	-	2.0	-	-	200
Staff Svcs Mgr I (Limited Term 06-30-2022)	-	-	1.0	-	-	82
Staff Svcs Mgr I	-	-	1.0	-	-	82
Various	-	-	-1.0	-	-	-

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0840 State Controller - Continued

	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
FI\$Cal Audit Workload						
Assoc Mgmt Auditor	-	-	3.0	-	-	229
Various	-	-	-3.0	-	-	-
SCO Continuity of Operations Program (COOP)						
Info Tech Spec I	-	-	2.0	-	-	169
SCO FI\$Cal Implementation for ACFR and Other Annual Reports						
Assoc Accounting Analyst	-	-	2.0	-	-	146
Financial Accountant I	-	-	3.0	-	-	259
Financial Accountant II	-	-	1.0	-	-	99
Various	-	-	-6.0	-	-	-
SCO Information Security Program Workload and Continuity of Operations Program						
Info Tech Spec I	-	-	2.0	-	-	169
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	10.0	\$-	\$-	\$1,661
Totals, Adjustments	-99.7	-	10.0	\$-5,923	\$-6,998	\$9,950
TOTALS, SALARIES AND WAGES	1,402.2	1,520.9	1,530.9	\$110,772	\$111,660	\$128,137

0845 Department of Insurance

California's publicly-elected Insurance Commissioner regulates the fourth largest insurance economy in the world with insurance companies collecting more than \$340 billion in premiums annually in California while protecting consumers and ensuring the health and competitiveness of the insurance marketplace. The vision of the California Department of Insurance (CDI) is "Insurance Protection for All Californians." CDI accomplishes this by enforcing insurance laws and regulations, assisting consumers in their dealings with insurance companies, and using innovation to improve services for insurance producers, consumers, and businesses.

CDI licenses and regulates insurance companies, and individuals in California. Currently, CDI oversees and licenses approximately 1,400 insurance companies and approximately 425,000 individuals and business entities as insurance agents, brokers, adjusters, and bail agents. Additionally, CDI receives and investigates approximately 200,000 consumer inquiries and complaints annually, performs ongoing risk-focused financial surveillance of insurance companies, receives more than 23,000 suspected fraudulent claim referrals annually and investigates approximately 1,300 of those referrals, receives and reviews approximately 6,200 rate filing applications annually, and, works in conjunction with local, state, and federal law enforcement agencies to investigate and prosecute fraudulent insurance practices.

3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
0520	Regulation of Insurance Companies and Insurance Producers	389.0	444.6	442.6	\$95,044	\$94,507	\$101,363
0525	Consumer Protection	306.3	319.2	313.7	63,289	63,305	65,970
0530	Fraud Control	275.3	317.4	306.4	136,221	144,802	146,355
0535	General Fund Tax Collection and Compliance	4.1	3.6	3.6	1,208	1,437	1,436
9900100	Administration	237.2	232.6	232.6	37,258	37,248	38,848
9900200	Administration - Distributed	-	-	-	-37,258	-37,248	-38,848
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		1,211.9	1,317.4	1,298.9	\$295,762	\$304,051	\$315,124
FUNDING		2019-20*			2020-21*		
0001	General Fund	\$10,690			\$10,727		
					\$6,139		

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0845 Department of Insurance - Continued

FUNDING	2019-20*	2020-21*	2021-22*
0217 Insurance Fund	284,869	292,855	308,236
0890 Federal Trust Fund	78	25	25
0995 Reimbursements	125	444	724
TOTALS, EXPENDITURES, ALL FUNDS	\$295,762	\$304,051	\$315,124

LEGAL CITATIONS AND AUTHORITY**PROGRAM AUTHORITY**

0520-Regulation of Insurance Companies and Insurance Producers:
Insurance Code, Sections 1-16032, except as noted below.

0525-Consumer Protection:
Insurance Code, Division 1, Part 2, Chapter 1, Articles 4, 5.1, 6, 6.3, and 6.5, Chapter 5, Article 12, Sections 1872.81, 10127.17, 10273.7, 11629.7-11629.89, 12921.1-12921.5, 12928, and 12930.

0530-Fraud Control:
Insurance Code, Division 1, Part 2, Chapter 12 (except Section 1872.81 cited above).

0535-General Fund Tax Collection and Compliance:
Insurance Code, Sections 1774-1780; and Revenue and Taxation Code, Part 7 of Division 2.

MAJOR PROGRAM CHANGES

- Continuing Enhanced Fraud Investigation and Prevention – The Budget includes \$6.1 million ongoing General Fund to perform enhanced fraud investigation, prevention, and prosecution efforts through the use of settlement revenue.

DETAILED BUDGET ADJUSTMENTS

	2020-21*			2021-22*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Continuation of Enhanced Fraud Investigation and Prevention Activities	\$-	\$-	-	\$6,139	\$-	34.0
• Mental Health or Substance Use Disorders (SB 855)	-	-	-	-	81	0.5
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$6,139	\$81	34.5
Other Workload Budget Adjustments						
• Other Post-Employment Benefit Adjustments	15	927	-	-	927	-
• Section 3.90 Employee Compensation Reduction	-531	-15,754	-	-	-	-
• Salary Adjustments	82	4,400	-	-	4,410	-
• Benefit Adjustments	9	605	-	-	563	-
• Retirement Rate Adjustments	-164	-4,430	-	-	-4,430	-
• Miscellaneous Baseline Adjustments	-	-	-	-1,583	280	-10.0
Totals, Other Workload Budget Adjustments	\$-589	\$-14,252	-	\$-1,583	\$1,750	-10.0
Totals, Workload Budget Adjustments	\$-589	\$-14,252	-	\$4,556	\$1,831	24.5
Totals, Budget Adjustments	\$-589	\$-14,252	-	\$4,556	\$1,831	24.5

PROGRAM DESCRIPTIONS

0520 - REGULATION OF INSURANCE COMPANIES AND INSURANCE PRODUCERS

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0845 Department of Insurance - Continued

The objectives of this program are to: (1) oversee the financial solvency of insurance companies to ensure they can provide the benefits and protections promised to California policyholders; (2) review complex, principles-based reserving methods and underlying assumptions to avoid reliance on "black box" models; (3) prevent unlawful or unfair practices by insurance companies and insurance producers as defined by the Insurance Code; (4) ensure that property and casualty insurance rates are not excessive, inadequate, unfairly discriminatory, or otherwise in violation of the Insurance Code and the California Code of Regulations; (5) assess and implement strategies to reduce climate risk impacts in the insurance sector; (6) review health insurance rates filed with CDI to determine whether they are reasonable and work with insurance companies to get unreasonable rates lowered; (7) review long term care insurance rates to ensure requested rate increases are actuarially justified and not excessive; (8) ensure that applicants for insurance licenses, and holders of insurance licenses, satisfy and maintain the qualifications for licensure. Additionally, CDI administers the estates of insolvent and delinquent insurance companies through the Conservation and Liquidation Office.

0525 - CONSUMER PROTECTION

The objectives of this program are to: (1) provide direct service to California consumers by protecting insurance policyholders and other parties involved in insurance transactions against unfair or illegal practices with respect to claims handling, rating, or underwriting by insurers; (2) protect applicants and policyholders from discriminatory, unlawful, or fraudulent practices or incompetence relating to the sale of insurance; (3) oversee programs that benefit California's underserved communities and (4) assist wildfire survivors, local governments, small businesses, community service organizations, and neighborhood associations by providing access to CDI's services through electronic and printed informational guides, workshops, seminars, roundtables and town halls.

0530 - FRAUD CONTROL

The objective of this program is to protect the public from economic loss by actively investigating, arresting and referring for prosecution those who commit insurance fraud and other violations of the law. The program is primarily staffed by sworn peace officers who conduct criminal investigations of insurance fraud and related criminal cases. The program administers the Insurance Frauds Prevention Act (IFPA), which authorizes CDI to conduct criminal insurance fraud investigations. In addition, the IFPA authorizes the Commissioner to initiate or intervene in whistle-blower "qui tam" actions seeking civil penalties against perpetrators of insurance fraud.

0535 - GENERAL FUND TAX COLLECTION AND COMPLIANCE

This program performs tax collection, ensures the compliance of insurance companies and surplus line brokers with the laws contained in the Insurance Code and Revenue and Taxation Code, and works with the California Department of Tax and Fee Administration and the State Controller's Office on various refund, assessment, and accounting matters relative to the premium tax program. Tax collections from this program are deposited in the state's General Fund.

DETAILED EXPENDITURES BY PROGRAM

		<u>2019-20*</u>	<u>2020-21*</u>	<u>2021-22*</u>
	PROGRAM REQUIREMENTS			
0520	REGULATION OF INSURANCE COMPANIES AND INSURANCE PRODUCERS			
	State Operations:			
0217	Insurance Fund	\$94,981	\$94,257	\$101,113
0890	Federal Trust Fund	60	-	-
0995	Reimbursements	3	250	250
	Totals, State Operations	\$95,044	\$94,507	\$101,363
	SUBPROGRAM REQUIREMENTS			
0520010	Rate Regulation			
	State Operations:			
0217	Insurance Fund	\$31,635	\$26,059	\$28,031
0890	Federal Trust Fund	60	-	-
0995	Reimbursements	3	250	250
	Totals, State Operations	\$31,698	\$26,309	\$28,281
	SUBPROGRAM REQUIREMENTS			
0520019	Regulatory			
	State Operations:			
0217	Insurance Fund	\$20,521	\$28,245	\$31,218

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0845 Department of Insurance - Continued

		<u>2019-20*</u>	<u>2020-21*</u>	<u>2021-22*</u>
	Totals, State Operations	\$20,521	\$28,245	\$31,218
	SUBPROGRAM REQUIREMENTS			
0520028	Licensing			
	State Operations:			
0217	Insurance Fund	\$36,990	\$30,770	\$32,602
	Totals, State Operations	\$36,990	\$30,770	\$32,602
	SUBPROGRAM REQUIREMENTS			
0520037	Special Programs			
	State Operations:			
0217	Insurance Fund	\$5,835	\$9,183	\$9,262
	Totals, State Operations	\$5,835	\$9,183	\$9,262
	PROGRAM REQUIREMENTS			
0525	CONSUMER PROTECTION			
	State Operations:			
0001	General Fund	\$2,534	\$2,366	\$918
0217	Insurance Fund	60,255	60,189	64,302
	Totals, State Operations	\$62,789	\$62,555	\$65,220
	Local Assistance:			
0217	Insurance Fund	\$500	\$750	\$750
	Totals, Local Assistance	\$500	\$750	\$750
	SUBPROGRAM REQUIREMENTS			
0525010	Legal Compliance			
	State Operations:			
0217	Insurance Fund	\$11,002	\$11,587	\$12,209
	Totals, State Operations	\$11,002	\$11,587	\$12,209
	SUBPROGRAM REQUIREMENTS			
0525019	Investigations			
	State Operations:			
0217	Insurance Fund	\$14,411	\$17,265	\$18,426
	Totals, State Operations	\$14,411	\$17,265	\$18,426
	Local Assistance:			
0217	Insurance Fund	\$500	\$750	\$750
	Totals, Local Assistance	\$500	\$750	\$750
	SUBPROGRAM REQUIREMENTS			
0525028	Consumer Services and Market Conduct			
	State Operations:			
0217	Insurance Fund	\$34,842	\$31,337	\$33,667
	Totals, State Operations	\$34,842	\$31,337	\$33,667
	SUBPROGRAM REQUIREMENTS			
0525037	Enhanced Fraud Investigation Division			
	State Operations:			
0001	General Fund	\$2,534	\$2,366	\$918
	Totals, State Operations	\$2,534	\$2,366	\$918
	PROGRAM REQUIREMENTS			
0530	FRAUD CONTROL			
	State Operations:			
0001	General Fund	\$6,656	\$6,861	\$5,221
0217	Insurance Fund	56,750	61,417	65,830
0890	Federal Trust Fund	18	25	25
0995	Reimbursements	122	194	474

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0845 Department of Insurance - Continued

		<u>2019-20*</u>	<u>2020-21*</u>	<u>2021-22*</u>
	Totals, State Operations	\$63,546	\$68,497	\$71,550
	Local Assistance:			
0001	General Fund	\$1,500	\$1,500	\$-
0217	Insurance Fund	71,175	74,805	74,805
	Totals, Local Assistance	\$72,675	\$76,305	\$74,805
	SUBPROGRAM REQUIREMENTS			
0530010	Fraud - Auto			
	State Operations:			
0217	Insurance Fund	\$20,668	\$24,779	\$26,447
0995	Reimbursements	122	194	474
	Totals, State Operations	\$20,790	\$24,973	\$26,921
	Local Assistance:			
0217	Insurance Fund	\$22,451	\$22,100	\$22,100
	Totals, Local Assistance	\$22,451	\$22,100	\$22,100
	SUBPROGRAM REQUIREMENTS			
0530019	Fraud - Workers' Compensation			
	State Operations:			
0217	Insurance Fund	\$28,806	\$29,151	\$31,402
0890	Federal Trust Fund	18	25	25
	Totals, State Operations	\$28,824	\$29,176	\$31,427
	Local Assistance:			
0217	Insurance Fund	\$42,724	\$45,889	\$45,889
	Totals, Local Assistance	\$42,724	\$45,889	\$45,889
	SUBPROGRAM REQUIREMENTS			
0530028	Fraud - General Assessment			
	State Operations:			
0217	Insurance Fund	\$3,413	\$3,295	\$3,500
	Totals, State Operations	\$3,413	\$3,295	\$3,500
	SUBPROGRAM REQUIREMENTS			
0530037	Fraud - Disability and Healthcare			
	State Operations:			
0217	Insurance Fund	\$3,863	\$4,192	\$4,481
	Totals, State Operations	\$3,863	\$4,192	\$4,481
	Local Assistance:			
0217	Insurance Fund	\$6,000	\$6,816	\$6,816
	Totals, Local Assistance	\$6,000	\$6,816	\$6,816
	SUBPROGRAM REQUIREMENTS			
0530055	Enhanced Fraud - Fraud Division			
	State Operations:			
0001	General Fund	\$4,958	\$3,874	\$3,014
	Totals, State Operations	\$4,958	\$3,874	\$3,014
	Local Assistance:			
0001	General Fund	\$1,500	\$1,500	\$-
	Totals, Local Assistance	\$1,500	\$1,500	\$-
	SUBPROGRAM REQUIREMENTS			
0530064	Enhanced Fraud - Legal Branch			
	State Operations:			
0001	General Fund	\$1,698	\$2,987	\$2,207
	Totals, State Operations	\$1,698	\$2,987	\$2,207
	PROGRAM REQUIREMENTS			

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0845 Department of Insurance - Continued

		<u>2019-20*</u>	<u>2020-21*</u>	<u>2021-22*</u>
0535	GENERAL FUND TAX COLLECTION AND COMPLIANCE			
	State Operations:			
0217	Insurance Fund	\$1,208	\$1,437	\$1,436
	Totals, State Operations	\$1,208	\$1,437	\$1,436
	SUBPROGRAM REQUIREMENTS			
9900100	Administration			
	State Operations:			
0217	Insurance Fund	\$37,258	\$37,248	\$38,848
	Totals, State Operations	\$37,258	\$37,248	\$38,848
	SUBPROGRAM REQUIREMENTS			
9900200	Administration - Distributed			
	State Operations:			
0217	Insurance Fund	-\$37,258	-\$37,248	-\$38,848
	Totals, State Operations	-\$37,258	-\$37,248	-\$38,848
	TOTALS, EXPENDITURES			
	State Operations	222,587	226,996	239,569
	Local Assistance	73,175	77,055	75,555
	Totals, Expenditures	\$295,762	\$304,051	\$315,124

EXPENDITURES BY CATEGORY

1 State Operations	<u>Positions</u>			<u>Expenditures</u>		
	<u>2019-20</u>	<u>2020-21</u>	<u>2021-22</u>	<u>2019-20*</u>	<u>2020-21*</u>	<u>2021-22*</u>
PERSONAL SERVICES						
Baseline Positions	1,317.4	1,317.4	1,274.4	\$116,919	\$118,810	\$115,470
Other Adjustments	-105.5	-	24.5	-5,087	-7,037	6,647
Net Totals, Salaries and Wages	1,211.9	1,317.4	1,298.9	\$111,832	\$111,773	\$122,117
Staff Benefits	-	-	-	63,174	60,728	65,221
Totals, Personal Services	1,211.9	1,317.4	1,298.9	\$175,006	\$172,501	\$187,338
OPERATING EXPENSES AND EQUIPMENT				\$79,935	\$54,195	\$51,931
SPECIAL ITEMS OF EXPENSES				4,904	300	300
UNCLASSIFIED EXPENDITURES				-37,258	-	-
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$222,587	\$226,996	\$239,569

2 Local Assistance	<u>Expenditures</u>		
	<u>2019-20*</u>	<u>2020-21*</u>	<u>2021-22*</u>
Grants and Subventions - Governmental	\$73,175	\$77,055	\$75,555
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$73,175	\$77,055	\$75,555

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	<u>2019-20*</u>	<u>2020-21*</u>	<u>2021-22*</u>
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$6,711	\$7,554	\$6,139
Allocation for Employee Compensation	-	72	-
Allocation for Other Post-Employment Benefits	-	13	-

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0845 Department of Insurance - Continued

1 STATE OPERATIONS	2019-20*	2020-21*	2021-22*
Allocation for Staff Benefits	-	9	-
Section 3.60 Pension Contribution Adjustment	-	-143	-
Section 3.90 Employee Compensation Reduction	-	-417	-
002 Budget Act appropriation	2,479	2,262	-
Allocation for Employee Compensation	-	10	-
Allocation for Other Post-Employment Benefits	-	2	-
Section 3.60 Pension Contribution Adjustment	-	-21	-
Section 3.90 Employee Compensation Reduction	-	-114	-
Totals Available	\$9,190	\$9,227	\$6,139
TOTALS, EXPENDITURES	\$9,190	\$9,227	\$6,139
0217 Insurance Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$213,194	\$231,552	\$232,681
Allocation for Employee Compensation	-	4,400	-
Allocation for Other Post-Employment Benefits	-	927	-
Allocation for Staff Benefits	-	605	-
Section 3.60 Pension Contribution Adjustment	-	-4,430	-
Section 3.90 Employee Compensation Reduction	-	-15,754	-
Totals Available	\$213,194	\$217,300	\$232,681
TOTALS, EXPENDITURES	\$213,194	\$217,300	\$232,681
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$78	\$25	\$25
Totals Available	\$78	\$25	\$25
TOTALS, EXPENDITURES	\$78	\$25	\$25
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$125	\$444	\$724
TOTALS, EXPENDITURES	\$125	\$444	\$724
Total Expenditures, All Funds, (State Operations)	\$222,587	\$226,996	\$239,569

2 LOCAL ASSISTANCE	2019-20*	2020-21*	2021-22*
0001 General Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$1,500	\$1,500	-
TOTALS, EXPENDITURES	\$1,500	\$1,500	-
0217 Insurance Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$71,675	\$75,555	\$75,555
Totals Available	\$71,675	\$75,555	\$75,555
TOTALS, EXPENDITURES	\$71,675	\$75,555	\$75,555
Total Expenditures, All Funds, (Local Assistance)	\$73,175	\$77,055	\$75,555
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$295,762	\$304,051	\$315,124

FUND CONDITION STATEMENTS

	2019-20*	2020-21*	2021-22*
0217 Insurance Fund^s			
BEGINNING BALANCE	\$18,474	\$30,282	\$28,522

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0845 Department of Insurance - Continued

	2019-20*	2020-21*	2021-22*
Prior Year Adjustments	6,118	-	-
Adjusted Beginning Balance	\$24,592	\$30,282	\$28,522
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4124000 Insurance Company - Examination Fees	25,548	22,869	28,208
4124200 Insurance Company - License Fees and Penalties	60,727	64,715	65,195
4124400 Insurance Company - General Fees	36,074	35,869	37,561
4124600 Insurance Company - Proposition 103 Fees	35,252	35,562	36,921
4124800 Insurance Fraud Assessment - Automobile	53,238	53,266	53,229
4125000 Insurance Fraud Assessment - General	13,794	13,623	13,623
4125200 Insurance Fraud Assessment - Workers Compensation	72,366	78,267	78,267
4140000 Document Sales	82	28	28
4143500 Miscellaneous Services to the Public	5	6	6
4163000 Investment Income - Surplus Money Investments	458	458	458
4170400 Capital Asset Sales Proceeds	26	-	-
4171100 Cost Recoveries - Other	6,621	2,237	2,764
4171400 Escheat - Unclaimed Checks, Warrants, Bonds, and Coupons	3	5	5
4172500 Miscellaneous Revenue	39	24	24
4173000 Penalty Assessments - Other	1	-	-
4173500 Settlements and Judgments - Other	-	188	-
Transfers and Other Adjustments			
Revenue Transfer from Insurance Fund (0217) to California Health Data and Planning Fund (0143) per Chapter 603, Statutes of 2017	-60	-78	-58
Revenue Transfer from Insurance Fund (0217) to Office of Patient Advocate Trust Fund (3209) per Chapter 552, Statutes of 2011	-145	-143	-97
Total Revenues, Transfers, and Other Adjustments	\$304,029	\$306,896	\$316,134
Total Resources	\$328,621	\$337,178	\$344,656
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0690 Office of Emergency Services (State Operations)	-	1,221	1,314
0845 Department of Insurance (State Operations)	213,194	217,300	232,681
0845 Department of Insurance (Local Assistance)	71,675	75,555	75,555
1690 Alfred E. Alquist Seismic Safety Commission (State Operations)	1,113	-	-
8880 Financial Information System for California (State Operations)	-25	-	-
9892 Supplemental Pension Payments (State Operations)	1,547	3,469	6,907
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	10,835	11,111	13,926
Total Expenditures and Expenditure Adjustments	\$298,339	\$308,656	\$330,383
FUND BALANCE	\$30,282	\$28,522	\$14,273
Reserve for economic uncertainties	30,282	28,522	14,273

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
Baseline Positions	1,317.4	1,317.4	1,274.4	\$116,919	\$118,810	\$115,470
Salary and Other Adjustments	-105.5	-	-10.0	-5,087	-7,037	3,714
Workload and Administrative Adjustments						
Continuation of Enhanced Fraud Investigation and Prevention Activities						
Assoc Govtl Program Analyst	-	-	2.0	-	-	139
Atty	-	-	1.0	-	-	95
Atty III	-	-	2.0	-	-	259

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0845 Department of Insurance - Continued

	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
Atty IV	-	-	2.0	-	-	286
Atty V	-	-	2.0	-	-	302
Investigator	-	-	12.0	-	-	966
Legal Analyst	-	-	3.0	-	-	182
Legal Secty	-	-	3.0	-	-	148
Special Investigator	-	-	7.0	-	-	516
Mental Health or Substance Use Disorders (SB 855)						
Sr Ins Compliance Officer (Spec)	-	-	0.5	-	-	40
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	34.5	\$-	\$-	\$2,933
Totals, Adjustments	-105.5	-	24.5	\$-5,087	\$-7,037	\$6,647
TOTALS, SALARIES AND WAGES	1,211.9	1,317.4	1,298.9	\$111,832	\$111,773	\$122,117

0850 California State Lottery Commission

In 1984, Proposition 37 amended the California Constitution to authorize the establishment of a statewide lottery. As an initiative statute, the California State Lottery Act (Act) of 1984 created the California State Lottery Commission and gave it broad powers to oversee the operations of a statewide lottery. The purpose of the Act was to provide supplemental monies to benefit public education. The Lottery is overseen by a five-person Commission appointed by the Governor and confirmed by the State Senate.

The Act initially required that 50 percent of total annual revenues be returned to the public in the form of prizes and at least 34 percent of total revenues be allocated to the benefit of public education. No more than 16 percent of total revenues were to be used for administrative costs.

In 2010, the Act was changed to allow the Lottery flexibility to pay out more money in prizes and reduce the administrative cost limit to 13 percent of total revenues. Along with that flexibility, the new law requires the Lottery to meet minimum levels of contribution to public education. Revenues to education are placed in a special fund, known as the California State Lottery Education Fund, which holds revenues until they are allocated on a per capita basis, using prior year certified Average Daily Attendance data, to the following categories: K-12 education, Community Colleges, the California State University, the University of California, and other educational entities, including the California Schools for the Deaf and Blind.

In the 35 years since sales began in October 1985 through June 30, 2020, the California State Lottery has raised \$37.6 billion for public education, including approximately \$1.5 billion in FY 2019-20.

Because of the inherently variable nature of lottery ticket sales, revenue estimates for 2020-21 and 2021-22 cannot be made with certainty.

LEGAL CITATIONS AND AUTHORITY**DEPARTMENT AUTHORITY**

Government Code, Title 2, Division 1, Chapter 12.5 (Sections 8880-8880.72).

0850 California State Lottery Commission - Continued**Statement of Operations**

	2019-20*	2020-21*	2021-22*
Lottery sales	\$6,622,003	\$7,120,000	\$7,120,000
Less prizes	<u>4,403,715</u>	<u>4,704,746</u>	<u>4,704,746</u>
Sales after prizes	2,218,288	2,415,254	2,415,254
Less Gaming Costs:			
Retailer costs	460,707	491,520	491,520
Gaming system costs	82,693	85,584	85,584
Instant ticket costs	<u>36,567</u>	<u>45,926</u>	<u>45,926</u>
Total, Game Costs	<u>\$579,967</u>	<u>\$623,030</u>	<u>\$623,030</u>
Resources before operating expenses	1,638,321	1,792,224	1,792,224
Operating Expenses:			
Salaries, wages and benefits	111,146	104,017	106,570
Advertising	40,857	62,318	62,318
Promotion, public relations and point-of-sale	9,386	12,682	12,682
Other professional services	16,226	22,413	22,413
Depreciation and amortization	18,428	20,218	20,218
Other general and administrative expenses	<u>16,928</u>	<u>80,922</u>	<u>78,369</u>
Total, Operating Expenses	<u>\$212,971</u>	<u>\$302,570</u>	<u>\$302,570</u>
Income and Proceeds to Education	1,425,350	1,489,654	1,489,654
Interest and Other Income	<u>12,029</u>	<u>13,000</u>	<u>13,000</u>
Net Resources	\$1,437,379	\$1,502,654	\$1,502,654
Unclaimed Prizes	75,397	35,000	35,000
Administrative Reserve	0	62,498	59,945
TOTAL RESOURCES DUE TO EDUCATION FUND	\$1,512,776	\$1,600,152	\$1,597,599

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0850 California State Lottery Commission - Continued**Distribution of State Lottery Education Fund Revenues**

	2019-20*	2020-21*	2021-22*
Department of Education (K-12)	\$1,193,032	\$1,261,940	\$1,259,927
California Community Colleges	\$220,735	\$233,485	\$233,112
California State University	\$57,714	\$61,048	\$60,950
University of California	\$40,949	\$43,314	\$43,245
Other Public Colleges and Universities	\$138	\$146	\$146
Miscellaneous Educational Institutions	\$208	\$219	\$219
TOTALS	\$1,512,776	\$1,600,152	\$1,597,599

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0855 California Gambling Control Commission

The mission of the California Gambling Control Commission (Commission) is to protect the public by ensuring integrity and justice in California's controlled gambling industry through effective regulations and fair application of the law. The Commission has jurisdiction over gambling establishments (cardrooms), third-party providers of proposition player services, and certain aspects of Tribal casinos, pursuant to its authority under state law and Tribal-State Gaming Compacts (Compacts). The Commission also has jurisdiction over gaming policies, regulations, criteria, and standards.

There are 86 licensed cardrooms in California over which the Commission has broad regulatory and adjudicatory authority. This authority extends to the operation, concentration, and supervision of the cardrooms and all persons and things related to each licensed establishment.

The Commission has fiduciary, regulatory, and administrative responsibilities related to Tribal gaming that include: (1) distributing specified Tribal gaming revenues to Non-Compact, Non-Gaming and Limited-Gaming Tribes; (2) making suitability determinations for key employees, gaming resource suppliers, and financial sources; and (3) serving as the administrator of the Indian Gaming Revenue Sharing Trust Fund, Indian Gaming Special Distribution Fund, and the Tribal Nation Grant Fund.

3-YEAR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
0560 California Gambling Control Commission	33.8	30.6	30.6	\$123,953	\$153,532	\$154,045
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	33.8	30.6	30.6	\$123,953	\$153,532	\$154,045

FUNDING	2019-20*	2020-21*	2021-22*
0366 Indian Gaming Revenue Sharing Trust Fund	\$76,450	\$96,500	\$96,500
0367 Indian Gaming Special Distribution Fund	2,758	3,088	3,303
0567 Gambling Control Fund	3,767	3,944	4,242
8089 Tribal Nation Grant Fund	40,978	50,000	50,000
TOTALS, EXPENDITURES, ALL FUNDS	\$123,953	\$153,532	\$154,045

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Business and Professions Code Sections 19811-19825 and 19840-19984

Government Code Sections 12012.25 - 12012.90, 12710 - 12715, 12716 - 12718, and 63048.65

Penal Code Sections 326.4-326.5, and 337j

DETAILED BUDGET ADJUSTMENTS

	2020-21*			2021-22*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Other Post-Employment Benefit Adjustments	\$-	\$35	-	\$-	\$35	-
• Section 3.90 Employee Compensation Reduction	-	-515	-	-	-	-
• Salary Adjustments	-	109	-	-	109	-
• Benefit Adjustments	-	7	-	-	5	-
• Retirement Rate Adjustments	-	-63	-	-	-63	-
Totals, Other Workload Budget Adjustments	\$-	\$-427	-	\$-	\$86	-
Totals, Workload Budget Adjustments	\$-	\$-427	-	\$-	\$86	-

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0855 California Gambling Control Commission - Continued

	2020-21*			2021-22*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Totals, Budget Adjustments	\$-	\$-427	-	\$-	\$86	-

DETAILED EXPENDITURES BY PROGRAM

		2019-20*	2020-21*	2021-22*
PROGRAM REQUIREMENTS				
0560 CALIFORNIA GAMBLING CONTROL COMMISSION				
State Operations:				
0367 Indian Gaming Special Distribution Fund		\$2,758	\$3,088	\$3,303
0567 Gambling Control Fund		3,767	3,944	4,242
Totals, State Operations		\$6,525	\$7,032	\$7,545
Local Assistance:				
0366 Indian Gaming Revenue Sharing Trust Fund		\$76,450	\$96,500	\$96,500
8089 Tribal Nation Grant Fund		40,978	50,000	50,000
Totals, Local Assistance		\$117,428	\$146,500	\$146,500
TOTALS, EXPENDITURES				
State Operations		6,525	7,032	7,545
Local Assistance		117,428	146,500	146,500
Totals, Expenditures		\$123,953	\$153,532	\$154,045

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
PERSONAL SERVICES						
Baseline Positions	33.3	30.6	30.6	\$3,286	\$3,226	\$3,226
Other Adjustments	0.5	-	-	179	-199	109
Net Totals, Salaries and Wages	33.8	30.6	30.6	\$3,465	\$3,027	\$3,335
Staff Benefits	-	-	-	1,852	2,270	2,475
Totals, Personal Services	33.8	30.6	30.6	\$5,317	\$5,297	\$5,810
OPERATING EXPENSES AND EQUIPMENT				\$1,208	\$1,735	\$1,735
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$6,525	\$7,032	\$7,545

2 Local Assistance	Expenditures		
	2019-20*	2020-21*	2021-22*
Grants and Subventions - Governmental	\$117,428	\$146,500	\$146,500
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$117,428	\$146,500	\$146,500

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2019-20*	2020-21*	2021-22*
0367 Indian Gaming Special Distribution Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,758	\$3,267	\$3,303

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0855 California Gambling Control Commission - Continued

1 STATE OPERATIONS	2019-20*	2020-21*	2021-22*
Allocation for Employee Compensation	-	46	-
Allocation for Other Post-Employment Benefits	-	15	-
Allocation for Staff Benefits	-	3	-
Section 3.60 Pension Contribution Adjustment	-	-27	-
Section 3.90 Employee Compensation Reduction	-	-216	-
Totals Available	\$2,758	\$3,088	\$3,303
TOTALS, EXPENDITURES	\$2,758	\$3,088	\$3,303
0567 Gambling Control Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$3,767	\$4,192	\$4,242
Allocation for Employee Compensation	-	63	-
Allocation for Other Post-Employment Benefits	-	20	-
Allocation for Staff Benefits	-	4	-
Section 3.60 Pension Contribution Adjustment	-	-36	-
Section 3.90 Employee Compensation Reduction	-	-299	-
Totals Available	\$3,767	\$3,944	\$4,242
TOTALS, EXPENDITURES	\$3,767	\$3,944	\$4,242
Total Expenditures, All Funds, (State Operations)	\$6,525	\$7,032	\$7,545
2 LOCAL ASSISTANCE			
0366 Indian Gaming Revenue Sharing Trust Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$76,450	\$96,500	\$96,500
111 Budget Act appropriation (transfer to Tribal Nation Grant Fund)	(45,308)	(50,000)	(50,000)
Totals Available	\$76,450	\$96,500	\$96,500
TOTALS, EXPENDITURES	\$76,450	\$96,500	\$96,500
0367 Indian Gaming Special Distribution Fund			
APPROPRIATIONS			
111 Budget Act appropriation (transfer to Indian Gaming Revenue Sharing Trust Fund)	(\$1)	(\$1)	(\$1)
TOTALS, EXPENDITURES	-	-	-
8089 Tribal Nation Grant Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$40,978	\$50,000	\$50,000
Totals Available	\$40,978	\$50,000	\$50,000
TOTALS, EXPENDITURES	\$40,978	\$50,000	\$50,000
Total Expenditures, All Funds, (Local Assistance)	\$117,428	\$146,500	\$146,500
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$123,953	\$153,532	\$154,045

FUND CONDITION STATEMENTS

	2019-20*	2020-21*	2021-22*
0367 Indian Gaming Special Distribution Fund^s			
BEGINNING BALANCE			
Prior Year Adjustments	-48	-	-
Adjusted Beginning Balance	\$51,423	\$63,580	\$87,553
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4163000 Investment Income - Surplus Money Investments	987	332	280
4172500 Miscellaneous Revenue	-	66	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0855 California Gambling Control Commission - Continued

	2019-20*	2020-21*	2021-22*
4173500 Settlements and Judgments - Other	-	32	-
4173900 Tribal Gaming Revenues	44,053	58,831	65,224
Transfers and Other Adjustments			
Revenue Transfer from the Indian Gaming Special Distribution Fund (0367) to the Indian Gaming Revenue Sharing Trust Fund (0366) per Item 0855-111-0367, Budget Acts	-1	-1	-1
Revenue Transfers from the Indian Gaming Special Distribution Fund (0367) to the Indian Gaming Revenue Sharing Trust Fund (0366) per Item 0855-111-0367, Budget Acts	-	-1	-1
Total Revenues, Transfers, and Other Adjustments	\$45,039	\$59,259	\$65,502
Total Resources	\$96,462	\$122,839	\$153,055
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0820 Department of Justice (State Operations)	20,068	21,563	20,641
0855 California Gambling Control Commission (State Operations)	2,758	3,088	3,303
4265 Department of Public Health (State Operations)	3,932	4,320	4,391
4265 Department of Public Health (Local Assistance)	4,000	4,000	4,000
7501 Department of Human Resources (State Operations)	40	75	75
8880 Financial Information System for California (State Operations)	-4	-	-
9892 Supplemental Pension Payments (State Operations)	623	623	623
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	1,465	1,617	1,181
Total Expenditures and Expenditure Adjustments	\$32,882	\$35,286	\$34,214
FUND BALANCE	\$63,580	\$87,553	\$118,841
Reserve for economic uncertainties	63,580	87,553	118,841

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
Baseline Positions	33.3	30.6	30.6	\$3,286	\$3,226	\$3,226
Salary and Other Adjustments	0.5	-	-	179	-199	109
Totals, Adjustments	0.5	-	-	\$179	\$-199	\$109
TOTALS, SALARIES AND WAGES	33.8	30.6	30.6	\$3,465	\$3,027	\$3,335

0860 State Board of Equalization

The State Board of Equalization's (BOE) duties primarily include assessing and allocating the property values of railroads and specified utilities and businesses; adjudicating property tax appeals of taxable government owned property; overseeing the property tax assessment practices of the 58 county assessors; adopting rules to clarify property tax laws; and administering the alcoholic beverage excise tax and the insurance tax, including appeals. The BOE contracts with the California Department of Tax and Fee Administration (CDTFA) to administer the alcoholic beverage tax and insurance tax program.

3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
0570025	County Assessment Standards Program	107.2	107.2	107.2	\$13,417	\$16,695	\$17,920
0570050	State-Assessed Property Program	85.4	85.4	85.4	11,068	12,815	13,857
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		192.6	192.6	192.6	\$24,485	\$29,510	\$31,777
FUNDING		2019-20*			2020-21*		2021-22*
0001	General Fund	\$24,185			\$29,053		\$31,320
0995	Reimbursements	300			457		457

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0860 State Board of Equalization - Continued

FUNDING	2019-20*	2020-21*	2021-22*
TOTALS, EXPENDITURES, ALL FUNDS	\$24,485	\$29,510	\$31,777

LEGAL CITATIONS AND AUTHORITY**DEPARTMENT AUTHORITY**

Article XIII, section 17 of the California Constitution. Government Code sections 11126, 15600-15624, 15652, and Revenue and Taxation Code sections 20, 32471.

PROGRAM AUTHORITY

0570025-County Assessment Standards Program:

California Constitution, Article XIII, section 18, Revenue & Taxation Code sections 63.1, 64, 69.5, 75.60, 155, 169, 214-214.16, 218.5, 251, 401.5, 407, 422.7, 423, 452, 480.1, 480.2, 480.4., 601, 602, 615, 618, 670-680, 987, 1153, 1252, 1254, 1366, 1603, 1650, 1716, 1717.1, 1815-1817, 5364, 5781, and 5840, and Government Code sections 15606-15608, 15611, 15624, and 15640-15646.

0570050-State-Assessed Property Program:

California Constitution, Article XIII, section 19, Revenue and Taxation Code sections 100-100.96, 721-868, 4876-4880, 5011-5014, 5148, 11201-11702, and 23154, and Government Code sections 54900-54916.5.

0570150-Alcoholic Beverage Tax Program:

California Constitution, Article XX, section 22, Revenue & Taxation Code sections 32001-32557, and Business and Professions Code sections 23000-23673.

0570575-Insurance Tax Program:

California Constitution Article XIII, section 28, Revenue and Taxation Code, sections 12001-13170, and Insurance Code sections 132, 685-685.4, 995.5, 1530, 1531, 1774-1780, 10089.44, 12976, and 12976.5.

DETAILED BUDGET ADJUSTMENTS

	2020-21*			2021-22*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Other Post-Employment Benefit Adjustments	\$168	\$-	-	\$168	\$-	-
• Section 3.90 Employee Compensation Reduction	-2,273	-	-	-	-	-
• Salary Adjustments	606	-	-	606	-	-
• Benefit Adjustments	46	-	-	40	-	-
• Miscellaneous Baseline Adjustments	-	-	-	-	-	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0860 State Board of Equalization - Continued

	2020-21*			2021-22*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
• Retirement Rate Adjustments	-284	-	-	-284	-	-
Totals, Other Workload Budget Adjustments	\$-1,737	\$-	-	\$530	\$-	-
Totals, Workload Budget Adjustments	\$-1,737	\$-	-	\$530	\$-	-
Totals, Budget Adjustments	\$-1,737	\$-	-	\$530	\$-	-

PROGRAM DESCRIPTIONS**0570025 - COUNTY ASSESSMENT STANDARDS PROGRAM**

This program ensures that taxable properties are enrolled and assessed by the 58 county assessors in full conformity with the law. The BOE is also charged with providing consultation and services to the county assessors and their staff, establishing standards, providing training for assessors and assessment appeals boards, evaluating the effectiveness of each county assessor's administration, co-administering the welfare exemption, and administering the Legal Entity Ownership Program.

0570050 - STATE-ASSESSED PROPERTY PROGRAM

This program values and assesses inter-county pipelines and properties owned or used by railroads, regulated telephone companies, companies transmitting or selling gas or electricity, and private railroad car companies. Local jurisdictions use the established values for the levy and collection of local property taxes. In addition, the BOE collects the Private Railroad Car Tax that is deposited in the state General Fund.

0570150 - ALCOHOLIC BEVERAGE TAX PROGRAM

This program collects the excise tax imposed on the sale, distribution, or importation of alcoholic beverages in California. The BOE contracts with the CDTFA to administer this program.

0570575 - INSURANCE TAX PROGRAM

This program provides revenue to the Insurance Tax Fund. Revenue is generated from taxes assessed on insurance premiums, underwriting profits from ocean marine insurance, and retaliatory assessments levied on out-of-state insurers. The taxes collected are used to pay refunds or transferred to the General Fund. The BOE contracts with the CDTFA to administer this program.

DETAILED EXPENDITURES BY PROGRAM

		2019-20*	2020-21*	2021-22*
PROGRAM REQUIREMENTS				
0570	ADMINISTRATION OF THE BOARD OF EQUALIZATION			
	State Operations:			
0001	General Fund	\$24,185	\$29,053	\$31,320
0995	Reimbursements	300	457	457
	Totals, State Operations	\$24,485	\$29,510	\$31,777
SUBPROGRAM REQUIREMENTS				
0570025	County Assessment Standards Program			
	State Operations:			
0001	General Fund	\$13,417	\$16,695	\$17,920
	Totals, State Operations	\$13,417	\$16,695	\$17,920
SUBPROGRAM REQUIREMENTS				
0570050	State-Assessed Property Program			
	State Operations:			
0001	General Fund	\$10,768	\$12,358	\$13,400
0995	Reimbursements	300	457	457
	Totals, State Operations	\$11,068	\$12,815	\$13,857

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0860 State Board of Equalization - Continued

	2019-20*	2020-21*	2021-22*
TOTALS, EXPENDITURES			
State Operations	24,485	29,510	31,777
Totals, Expenditures	\$24,485	\$29,510	\$31,777

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
PERSONAL SERVICES						
Baseline Positions	192.6	192.6	192.6	\$12,352	\$12,588	\$12,588
Other Adjustments	-	-	-	1,580	-876	606
Net Totals, Salaries and Wages	192.6	192.6	192.6	\$13,932	\$11,712	\$13,194
Staff Benefits	-	-	-	6,289	12,965	13,750
Totals, Personal Services	192.6	192.6	192.6	\$20,221	\$24,677	\$26,944
OPERATING EXPENSES AND EQUIPMENT				\$4,264	\$4,833	\$4,833
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$24,485	\$29,510	\$31,777

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2019-20*	2020-21*	2021-22*
0001 General Fund			
APPROPRIATIONS			
Section 3.90 Employee Compensation Reduction	-	-\$2,273	-
002 Budget Act appropriation	24,185	30,790	31,320
Allocation for Employee Compensation	-	606	-
Allocation for Other Post-Employment Benefits	-	168	-
Allocation for Staff Benefits	-	46	-
Section 3.60 Pension Contribution Adjustment	-	-284	-
Totals Available	\$24,185	\$29,053	\$31,320
TOTALS, EXPENDITURES	\$24,185	\$29,053	\$31,320
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$300	\$457	\$457
TOTALS, EXPENDITURES	\$300	\$457	\$457
Total Expenditures, All Funds, (State Operations)	\$24,485	\$29,510	\$31,777

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
Baseline Positions	192.6	192.6	192.6	\$12,352	\$12,588	\$12,588
Salary and Other Adjustments	-	-	-	1,580	-876	606
Totals, Adjustments	-	-	-	\$1,580	\$-876	\$606
TOTALS, SALARIES AND WAGES	192.6	192.6	192.6	\$13,932	\$11,712	\$13,194

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0870 Office of Tax Appeals

The Office of Tax Appeals (OTA) was established effective January 1, 2018, by Chapter 16, Statutes of 2017 (AB 102). The OTA performs those tax appeal duties formerly assigned by statute to the State Board of Equalization, including personal income tax appeals, franchise tax appeals, and sales and use tax appeals. The OTA's mission is to ensure tax appeals are performed in a fair, transparent, consistent, equitable, and impartial manner.

The OTA has hearing offices in Sacramento, Fresno, and Los Angeles.

3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
0610	Office of Tax Appeals	-	-	102.0	\$-	\$-	\$24,124
0620	Office of Tax Appeals	81.9	102.0	-	16,978	22,744	-
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		81.9	102.0	102.0	\$16,978	\$22,744	\$24,124
FUNDING		2019-20*		2020-21*		2021-22*	
0001	General Fund	\$16,978		\$22,744		\$24,124	
TOTALS, EXPENDITURES, ALL FUNDS		\$16,978		\$22,744		\$24,124	

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code sections 15670 through 15679.5.

DETAILED BUDGET ADJUSTMENTS

		2020-21*			2021-22*		
		General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments							
Other Workload Budget Adjustments							
• Other Post-Employment Benefit Adjustments		\$53	\$-	-	\$53	\$-	-
• Administrative Division Budget Revision		-	-	-	-	-	-
• Section 3.90 Employee Compensation Reduction		-1,384	-	-	-	-	-
• Salary Adjustments		349	-	-	349	-	-
• Benefit Adjustments		25	-	-	21	-	-
• Retirement Rate Adjustments		-183	-	-	-183	-	-
Totals, Other Workload Budget Adjustments		\$-1,140	\$-	-	\$240	\$-	-
Totals, Workload Budget Adjustments		\$-1,140	\$-	-	\$240	\$-	-
Totals, Budget Adjustments		\$-1,140	\$-	-	\$240	\$-	-

DETAILED EXPENDITURES BY PROGRAM

		2019-20*	2020-21*	2021-22*
PROGRAM REQUIREMENTS				
0610	OFFICE OF TAX APPEALS			
	State Operations:			
0001	General Fund	\$-	\$-	\$24,124

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0870 Office of Tax Appeals - Continued

		2019-20*	2020-21*	2021-22*
	Totals, State Operations	\$-	\$-	\$24,124
	PROGRAM REQUIREMENTS			
0620	OFFICE OF TAX APPEALS			
	State Operations:			
0001	General Fund	\$16,978	\$22,744	\$-
	Totals, State Operations	\$16,978	\$22,744	\$-
	TOTALS, EXPENDITURES			
	State Operations	16,978	22,744	24,124
	Totals, Expenditures	\$16,978	\$22,744	\$24,124

EXPENDITURES BY CATEGORY

	1 State Operations			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
PERSONAL SERVICES						
Baseline Positions	102.0	102.0	102.0	\$9,660	\$9,588	\$9,588
Other Adjustments	-20.1	-	-	-736	20	349
Net Totals, Salaries and Wages	81.9	102.0	102.0	\$8,924	\$9,608	\$9,937
Staff Benefits	-	-	-	4,433	4,727	5,179
Totals, Personal Services	81.9	102.0	102.0	\$13,357	\$14,335	\$15,116
OPERATING EXPENSES AND EQUIPMENT				\$3,618	\$8,409	\$9,008
SPECIAL ITEMS OF EXPENSES				3	-	-
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$16,978	\$22,744	\$24,124

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2019-20*	2020-21*	2021-22*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$16,199	\$23,884	\$24,124
Allocation for Employee Compensation	-	349	-
Allocation for Other Post-Employment Benefits	-	53	-
Allocation for Staff Benefits	-	25	-
Section 3.60 Pension Contribution Adjustment	-	-183	-
Section 3.90 Employee Compensation Reduction	-	-1,384	-
Prior Year Balances Available:			
Chapter 16, Statutes of 2017	779	-	-
Totals Available	\$16,978	\$22,744	\$24,124
TOTALS, EXPENDITURES	\$16,978	\$22,744	\$24,124
Total Expenditures, All Funds, (State Operations)	\$16,978	\$22,744	\$24,124

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
Baseline Positions	102.0	102.0	102.0	\$9,660	\$9,588	\$9,588

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0870 Office of Tax Appeals - Continued

	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
Salary and Other Adjustments	-20.1	-	-	-736	20	349
Totals, Adjustments	-20.1	-	-	\$-736	\$20	\$349
TOTALS, SALARIES AND WAGES	81.9	102.0	102.0	\$8,924	\$9,608	\$9,937

0890 Secretary of State

The Secretary of State, a constitutionally established office, is the chief elections officer of the state and is responsible for the administration and enforcement of election laws. The Office also is responsible for administering and enforcing laws pertaining to filing documents associated with corporations, limited liability companies, partnerships, limited partnerships, unincorporated associations and pertaining to filing bonds and perfecting security agreements. In addition, the Office is responsible for commissioning notaries public, enforcing the notary laws, and in conjunction with being the home of the State Archives, administering a state records management program and preserving documents and records having historical significance. The Secretary of State is the filing officer for lobbying and campaign registration and disclosure documents filed under the Political Reform Act. The Secretary of State also operates the Safe at Home program, maintains the Domestic Partners and Advance Health Care Directives registries, provides support functions for the Voting Modernization Board, and is home to the California Museum.

Policy associated with the administration of the Office is accomplished through the divisions of Elections, Political Reform, Business Programs, Archives, Information Technology, and Management Services.

3-YEAR EXPENDITURES AND POSITIONS [†]

		Positions			Expenditures		
		2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
0700	Filings and Registrations	320.2	319.5	322.5	\$75,137	\$73,624	\$75,182
0705	Elections	62.1	62.5	64.5	158,431	166,537	78,578
0710	Archives	30.2	31.3	33.3	10,276	9,944	13,627
0715	DOJ Legal Services	-	-	-	816	852	852
9900100	Administration	111.0	114.2	128.2	37,752	36,676	42,443
9900200	Administration - Distributed	-	-	-	-37,752	-36,676	-42,443
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		523.5	527.5	548.5	\$244,660	\$250,957	\$168,239

FUNDING		2019-20*	2020-21*	2021-22*
0001	General Fund	\$139,071	\$91,059	\$60,805
0228	Secretary of States Business Fees Fund	69,901	69,002	68,440
0890	Federal Trust Fund	30,673	86,380	32,903
0942	Special Deposit Fund	463	464	464
0995	Reimbursements	225	225	-
3042	Victims of Corporate Fraud Compensation Fund	1,530	1,530	1,530
3244	Political Disclosure, Accountability, Transparency, and Access Fund	797	797	597
3254	Business Programs Modernization Fund	2,000	1,500	3,500
TOTALS, EXPENDITURES, ALL FUNDS		\$244,660	\$250,957	\$168,239

[†] Fiscal year 2019-20 budget information reflects the latest available estimates for this department and/or fund(s). Changes resulting from the final reconciliation of the 2019-20 ending fund balance will be reflected as a prior year adjustment in the 2022-23 Governor's Budget publication.

LEGAL CITATIONS AND AUTHORITY

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0890 Secretary of State - Continued

DEPARTMENT AUTHORITY

The California Constitution, Article 5, Section 11, Government Code Sections 12159-12179.1.

PROGRAM AUTHORITY

0700-Filings and Registrations:

Corporations Code, Titles 1-3; Government Code, Sections 960.3, 960.4, 1363, 6205-6210, 6215-6216, 6503.5, 6503.7, 6518, 7171, 7220-7229, 8200, 8201, 8201.1, 8201.2, 8201.5, 8202.5, 8203.1-8203.4, 8204.1, 8205, 8206, 8207, 8207.1, 8207.2, 8207.3, 8209, 8213, 8213.6, 8214.1, 8214.15, 8214.21, 8214.23, 8214.4, 8214.5, 8214.8, 8216, 8219.5, 8220, 8222, 8228, 12160, 12163, 12168, 12168.5, 12168.7, 12176, 12177, 12178.1, 12179.1, 12180-12197, 12260-12263, 23712-23714, 34459-34461, and 53051; Business and Professions Code, Sections 14207, 14209, 14215, 14217, 14220, 14225, 14230, 14235, 14240, 14245, 14417, 14427, 14461, 14482, 14483, 14492, 17550 et seq., 18896 et seq., 22441.1, 22442.4, 22443.1, 22443.2, 22908-22912, 22914, 22916, 22917, 22920, 22921, 22923, and 26200 et seq.; Civil Code, Sections 912, 1185, 1189, 1195, 1789.10 et seq., 1812.50 et seq., 1812.80 et seq., 1812.100 et seq., 1812.214, 1812.503., 1812.510, 1812.515, 1812.525, 1812.600, 1812.607, 2945.45, 3344.1, 3440.1, 3440.5, 4225, 4280, 5405, 6622, and 6760; Commercial Code, Sections 9501, 9509, 9512-9516, 9518-9523, and 9525-9528; Code of Civil Procedure, Sections 416.10-416.40, 488.375, 488.405, 488.730, 697.510, 697.550, 697.570, 697.580, 697.640-697.670, 724.060, 1277, 2101, and 2103-2105; Family Code, Sections 297, 297.1, 298-298.8, 299, 535, 6345, 17520, and 17523; Financial Code, Sections 1102-1106, 4966, 5403, 5703-5705, 5715, 5716, 5758, 5760, 14100, 14101, 14101.2, 14101.6, 14101.8, 14102.2, 14102.4, 14102.6, 15252, 15258, 15302, 15304, 18101.5, and 18102; Insurance Code, Sections 881, 881.3, 1556, 1560.10, 1560.17, 1560.18, 4093, 4097.11, 4097.18, 4097.19, 5053, 7049, 7085, 9103, 11542, 11546, and 11547; Food and Agriculture Code, Sections 3951, 3952, 5304, 6047.109, 6047.119, 6273, 6286, 8704, 8757, 54081-54083, 55702, 57405, 57408-57411, 57414, 57512, 57516, 57517, 57519, 57530, 57531, 57535, 57537, 57540, 57541, 57545, 57561 et seq., 57590, 57591, and 57595; Probate Code, Sections 4717, 4800-4806, 6389, and 8574; Vehicle Code, Section 40305.5; Penal Code, Sections 4056-4058, 4067, 4206-4208, and 4227; Health and Safety Code, Sections 1341, 1341.2, 2043, 2832, 2834, 4927, 9043, 33103, 33103.5, 33104, 33142, 34117, 34126, 51153, 103435, and 123371; California Code of Regulations, Title 2, Sections 20800 et seq., 21000 et seq., 21900 et seq., 22000 et seq., 22100 et seq., 22500 et seq., 22600 et seq., and 22610.1 et seq.

0705-Elections:

California Constitution, Article XVI, Section 1; California Elections Code, Sections 10 and 10.5; ; California Government Code, Sections 12172.5, and 81000 et seq.; Help America Vote Act of 2002 (Public Law 107-252) , National Voter Registration Act of 1993, and other federal elections laws; Government Code, Title 9.

0710-Archives:

Chapter 1, Statutes of 1850; Government Code, Sections 1001, 6204, 6268, 9080, 9149., 9196, 11347.3, 12153, 12168.7, 12174, 12220-12237, and 12270-12279, 14746, 14771(a)(7); 14901, 26205.5, 34090.5, 34460, 56382, and 81009. Civil Code, Sections 1798.24, and 1798.64; Evidence Code, Section 1605, and Military and Veterans Code, Section 1360.

MAJOR PROGRAM CHANGES

- **Voter's Choice Act**—The Budget includes \$5.8 million one-time General Fund to support voter education and outreach initiatives in preparation for the 2022 Primary Election and General Election. This funding will be used to provide local assistance to counties adopting the Voter's Choice Act elections model and to fund statutory elections research and reporting requirements.
- **Cal-ACCESS Replacement System Project**—The Budget includes \$10.7 million (\$10.2 million General Fund and \$500,000 Political Disclosure, Accountability, Transparency, and Access Fund) in 2021-22, decreasing to \$5.4 million ongoing (\$4.9 million General Fund and \$500,000 Political Disclosure, Accountability, Transparency, and Access Fund), to support the replacement of the Cal-ACCESS system.
- **Increasing Access to California's Historic Records**—The Budget includes \$3.2 million General Fund in 2021-22, and \$1.6 million annually thereafter, to automate paper-based processes and support increased online and contactless access to the State's historic public records and data held in the State Archives.
- **Oral History and Digital Preservation Subscription**—The Budget transfers \$225,000 ongoing General Fund from the State Library to the Secretary of State for the State Government Oral History Program and digital preservation subscription services.
- **Help America Vote Act**—The Budget includes \$32.5 million one-time Federal Trust Fund to continue implementation of statewide mandates of the Help America Vote Act of 2002 and pay for costs associated with the VoteCal statewide voter registration system.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

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- **California Business Connect Project**—The Budget includes \$11.7 million one-time (\$8.2 million Business Fees Fund and \$3.5 million Business Programs Modernization Fund) to continue implementation of the California Business Connect project.
- **Business Programs Division Processing Times**—The Budget includes \$5.1 million one-time Business Fees Fund to maintain quicker turnaround and processing times for business filings and statements of information.

DETAILED BUDGET ADJUSTMENTS

	2020-21*			2021-22*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• CalACCESS Replacement System Project	\$-	\$-	-	\$10,164	\$500	5.0
• Legislative Proposal: Voters Choice Act (SB 450)	-	-	-	5,842	-	-
• Increasing Access to California's Historic Records	-	-	-	3,078	-	3.0
• Elections Enhancement	-	-	-	1,651	-	2.0
• Continuation of Limited-term Accounting Resources	-	-	-	463	1,129	2.0
• Elections: Voter Eligibility (AB 646)	-	-	-	265	140	-
• Human Resources Bureau Critical Administrative Resources	-	-	-	228	555	6.0
• Oral History Program and Preservice Subscription	-	-	-	225	-	-
• Help America Vote Act-Spending Plan	-	-	-	-	22,735	-
• California Business Connect Project	-	-	-	-	11,674	-
• Help America Vote Act-VoteCal	-	-	-	-	9,789	-
• Business Programs Division Processing Times	-	-	-	-	5,108	47.0
• Corporations: Board of Directors: Underrepresented Communities (AB 979)	-	-	-	-	343	2.0
• Statements of Information Labor Judgment Language (AB 3075)	-	-	-	-	216	1.0
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$21,916	\$52,189	68.0
Other Workload Budget Adjustments						
• Other Post-Employment Benefit Adjustments	144	284	-	144	284	-
• Allocation to support additional costs related to the 2020 Presidential General Election	2,540	-	-	-	-	-
• Executive Order No. E 20/21-222	-	-	-	-	-	-
• Executive Order No. E 20/21-223	-	-	-	-	-	-
• Section 3.90 Employee Compensation Reduction	-1,620	-3,427	-	-	-	-
• Salary Adjustments	216	498	-	216	498	-
• Miscellaneous Baseline Adjustments	125	14	-	121	-	-
• Benefit Adjustments	59	119	-	54	107	-
• SWCAP	-	-	-	-	46	-
• Retirement Rate Adjustments	-256	-461	-	-256	-461	-
Totals, Other Workload Budget Adjustments	\$1,208	\$-2,973	-	\$279	\$474	-
Totals, Workload Budget Adjustments	\$1,208	\$-2,973	-	\$22,195	\$52,663	68.0
Totals, Budget Adjustments	\$1,208	\$-2,973	-	\$22,195	\$52,663	68.0

PROGRAM DESCRIPTIONS**0700 - FILINGS AND REGISTRATIONS**

The Business Programs Division is comprised of three primary areas: Business Entities, Uniform Commercial Code, and Notary Public and Special Filings.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

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Business Entities examines, files, and maintains formation documents for new California business entities and qualifies out-of-state and international entities to conduct business in California; and also examines, files and maintains changes to the records of domestic or qualified foreign business entities to ensure the business entities are properly formed, merged, converted, amended, and dissolved in compliance with California law. Statements of Information containing key officers and contact information are also processed and maintained for public and governmental use.

Uniform Commercial Code files certain financing statements allowing lending institutions to protect their financial interest in personal property and established priority in case of debtor default or bankruptcy. Notices of judgment liens, attachment liens, agricultural liens, and equipment liens are also filed in Uniform Commercial Code, as well as notices of various tax liens submitted by state and federal taxing agencies such as the Franchise Tax Board and the Internal Revenue Service.

Notary Public and Special Filings appoints and commissions qualified notaries public for four- year terms, reviews and approves notary public education courses, and certifies to the authenticity of signatures of notaries public and public officials on documents for use in foreign countries. Special Filings include trademarks and service marks as well as surety bonds that certain business entities are required to file, notices of joint power agreements, city and county charters, and claims for successor-in-interest. This area also has disciplinary functions with regard to notaries public and immigration consultants.

The Advance Health Care Directive (AHCD) Registry maintains AHCDs, which allow a person to indicate his/her medical treatment preferences if he/she cannot speak or make decisions for him/herself. The AHCD also may designate someone else to make decisions regarding medical treatment. AHCDs and related information can be provided upon request to the registrant's health care provider, public guardian, or legal representative.

The Domestic Partners Registry registers same-sex couples regardless of the age of the partners, and opposite-sex couples in which one partner is at least 62 years old, as domestic partners in California.

The Victims of Corporate Fraud Compensation Fund provides limited restitution to victims of corporate fraud who are unable to collect through other means on a judgment against a corporation for corporate fraud.

Safe at Home is a confidential mail forwarding program designed to assist victims and survivors of domestic violence and human trafficking, sexual assault, stalking, elder/dependent adult abuse, their household members and minor children, and reproductive healthcare employees, patients, and volunteers. Participants in the Safe at Home program are provided with a free post office box to use as a substitute mailing address, thereby reducing or eliminating the need to use their actual residential address. Once enrolled into the program, Safe at Home serves as a liaison for those participants who qualify for additional confidential services offered by various state and local agencies.

0705 - ELECTIONS

The Secretary of State serves as California's chief elections officer and has broad responsibility to administer and oversee federal and state elections held within California. The Elections Program maintains the official statewide database of registered voters, tracks and certifies ballot measures, certifies candidates for placement on the ballot, and certifies official election results. In addition, the program oversees the certification and modernization of voting equipment and the enhancement of election processes. The program works to increase accessibility to voters, provides resources to educate voters on the electoral system, and investigates election related criminal violations as provided by the California Elections Code.

This program includes the campaign and lobbying registration and disclosure provisions of the Political Reform Act of 1974. Under this law, the Office registers all levels of campaign committees, and reviews for compliance with statutory requirements all state-level candidate and campaign recipient committees, major donors, slate mailer, political party, and independent expenditure committees. The program registers lobbyists (including placement agents), lobbying firms and lobbyist employers, reviews for compliance with statutory requirements the periodic financial disclosure reports filed by lobbying entities, and publishes a Lobbying Directory on the Internet. This program also supports the electronic filing requirements of the Political Reform Act and posts relevant registration and disclosure information to the Office's web site so the public can track where campaign money is raised and spent and what lobbying activity is occurring in state government. A searchable database of campaign and lobbying financial activity is also provided through the web site.

0710 - ARCHIVES

The California State Archives establishes and administers a records management program that applies efficient and economical management methods to the creation, utilization, maintenance, retention, preservation, and disposal of state records and acquires, indexes, preserves, and provides reference access to irreplaceable historic materials from the three branches of state government. The Archives acquires physical and electronic records with legal, administrative or evidentiary value, or that have historical significance. Materials are transferred to the Archives for permanent preservation.

9900 - ADMINISTRATION

This program develops and manages overall policy. It serves as the liaison to other state agencies, the Legislature, the federal

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government, and other states' Secretaries of State. This program responds to constituent correspondence and requests from the public and advocates in the Legislature, administers the Secretary's role as a member of the National Association of Secretaries of State, in the management of task forces and advisory committees, in the implementation of new federal and state statutory requirements, and coordinates and disseminates information about the Office and its initiatives to the public. This program also monitors elections cybersecurity incidents and promotes transparency in alerting the public regarding false election websites and information as well as providing oversight on cybersecurity risk management. It also provides the Office with the necessary personnel, general administrative, budgeting, fiscal services, and information technology expertise to plan, develop, implement, and operate innovative and effective business and information systems solutions.

DETAILED EXPENDITURES BY PROGRAM [†]

		2019-20*	2020-21*	2021-22*
	PROGRAM REQUIREMENTS			
0700	FILINGS AND REGISTRATIONS			
	State Operations:			
0001	General Fund	\$1,706	\$1,592	\$1,712
0228	Secretary of States Business Fees Fund	69,901	69,002	68,440
3042	Victims of Corporate Fraud Compensation Fund	1,530	1,530	1,530
3254	Business Programs Modernization Fund	2,000	1,500	3,500
	Totals, State Operations	\$75,137	\$73,624	\$75,182
	PROGRAM REQUIREMENTS			
0705	ELECTIONS			
	State Operations:			
0001	General Fund	\$37,733	\$65,396	\$39,572
0890	Federal Trust Fund	10,709	26,134	13,339
0942	Special Deposit Fund	463	464	464
3244	Political Disclosure, Accountability, Transparency, and Access Fund	797	797	597
	Totals, State Operations	\$49,702	\$92,791	\$53,972
	Local Assistance:			
0001	General Fund	\$88,765	\$13,500	\$5,042
0890	Federal Trust Fund	19,964	60,246	19,564
	Totals, Local Assistance	\$108,729	\$73,746	\$24,606
	PROGRAM REQUIREMENTS			
0710	ARCHIVES			
	State Operations:			
0001	General Fund	\$10,051	\$9,719	\$13,627
0995	Reimbursements	225	225	-
	Totals, State Operations	\$10,276	\$9,944	\$13,627
	PROGRAM REQUIREMENTS			
0715	DOJ LEGAL SERVICES			
	State Operations:			
0001	General Fund	\$816	\$852	\$852
	Totals, State Operations	\$816	\$852	\$852
	SUBPROGRAM REQUIREMENTS			
9900100	Administration			
	State Operations:			
0001	General Fund	\$36,252	\$35,176	\$42,443
	Totals, State Operations	\$36,252	\$35,176	\$42,443
	Local Assistance:			
0001	General Fund	\$1,500	\$1,500	\$-
	Totals, Local Assistance	\$1,500	\$1,500	\$-

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		2019-20*	2020-21*	2021-22*
	SUBPROGRAM REQUIREMENTS			
9900200	Administration - Distributed			
	State Operations:			
0001	General Fund	-\$36,252	-\$35,176	-\$42,443
	Totals, State Operations	-\$36,252	-\$35,176	-\$42,443
	Local Assistance:			
0001	General Fund	-\$1,500	-\$1,500	\$-
	Totals, Local Assistance	-\$1,500	-\$1,500	\$-
	TOTALS, EXPENDITURES			
	State Operations	135,931	177,211	143,633
	Local Assistance	108,729	73,746	24,606
	Totals, Expenditures	\$244,660	\$250,957	\$168,239

† Fiscal year 2019-20 budget information reflects the latest available estimates for this department and/or fund(s). Changes resulting from the final reconciliation of the 2019-20 ending fund balance will be reflected as a prior year adjustment in the 2022-23 Governor's Budget publication.

EXPENDITURES BY CATEGORY †

1 State Operations	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
PERSONAL SERVICES						
Baseline Positions	523.5	527.5	480.5	\$33,807	\$39,014	\$32,073
Other Adjustments	-	-	68.0	-	-1,935	9,633
Net Totals, Salaries and Wages	523.5	527.5	548.5	\$33,807	\$37,079	\$41,706
Staff Benefits	-	-	-	31,214	23,460	25,974
Totals, Personal Services	523.5	527.5	548.5	\$65,021	\$60,539	\$67,680
OPERATING EXPENSES AND EQUIPMENT				\$70,910	\$79,132	\$75,953
SPECIAL ITEMS OF EXPENSES				-	37,540	-
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$135,931	\$177,211	\$143,633

2 Local Assistance	Expenditures		
	2019-20*	2020-21*	2021-22*
Grants and Subventions - Governmental	\$108,729	\$73,746	\$24,606
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$108,729	\$73,746	\$24,606

† Fiscal year 2019-20 budget information reflects the latest available estimates for this department and/or fund(s). Changes resulting from the final reconciliation of the 2019-20 ending fund balance will be reflected as a prior year adjustment in the 2022-23 Governor's Budget publication.

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS †

1 STATE OPERATIONS	2019-20*	2020-21*	2021-22*
0001 General Fund			
APPROPRIATIONS			

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

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1 STATE OPERATIONS	2019-20*	2020-21*	2021-22*
001 Budget Act appropriation	\$50,306	\$53,351	\$55,763
Admin and Admin-Distributed Adjustment	-	125	-
Allocation for Employee Compensation	-	216	-
Allocation for Other Post-Employment Benefits	-	144	-
Allocation for Staff Benefits	-	59	-
Allocation to support additional costs related to the 2020 Presidential General Election	-	2,540	-
Executive Order No. E 20/21-222	-	23,000	-
Section 3.60 Pension Contribution Adjustment	-	-256	-
Section 3.90 Employee Compensation Reduction	-	-1,620	-
TOTALS, EXPENDITURES	\$50,306	\$77,559	\$55,763
0228 Secretary of States Business Fees Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$69,901	\$71,975	\$68,440
Allocation for Employee Compensation	-	498	-
Allocation for Other Post-Employment Benefits	-	284	-
Allocation for Staff Benefits	-	119	-
Miscellaneous adjustments (Schedule 8)	-	14	-
Section 3.60 Pension Contribution Adjustment	-	-461	-
Section 3.90 Employee Compensation Reduction	-	-3,427	-
TOTALS, EXPENDITURES	\$69,901	\$69,002	\$68,440
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$10,709	\$14,134	\$13,339
Executive Order No. E 20/21-223	-	12,000	-
TOTALS, EXPENDITURES	\$10,709	\$26,134	\$13,339
0942 Special Deposit Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$463	\$464	\$464
TOTALS, EXPENDITURES	\$463	\$464	\$464
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$225	\$225	-
TOTALS, EXPENDITURES	\$225	\$225	-
3042 Victims of Corporate Fraud Compensation Fund			
APPROPRIATIONS			
011 Budget Act appropriation (loan to the General Fund)	(-)	(\$11,500)	(-)
Corporations Code section 2280	1,530	1,530	1,530
TOTALS, EXPENDITURES	\$1,530	\$1,530	\$1,530
3244 Political Disclosure, Accountability, Transparency, and Access Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$797	\$797	\$597
TOTALS, EXPENDITURES	\$797	\$797	\$597
3254 Business Programs Modernization Fund			
APPROPRIATIONS			
001 Budget Act Appropriation	\$2,000	\$1,500	\$3,500
TOTALS, EXPENDITURES	\$2,000	\$1,500	\$3,500
Total Expenditures, All Funds, (State Operations)	\$135,931	\$177,211	\$143,633

2 LOCAL ASSISTANCE**2019-20* 2020-21* 2021-22*****0001 General Fund**

APPROPRIATIONS

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

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2 LOCAL ASSISTANCE	2019-20*	2020-21*	2021-22*
101 Budget Act appropriation	\$88,765	\$36,500	-
Executive Order No. E 20/21-222	-	-23,000	-
102 Budget Act appropriation	-	-	5,042
TOTALS, EXPENDITURES	\$88,765	\$13,500	\$5,042
0890 Federal Trust Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$19,964	\$72,246	\$19,564
Executive Order No. E 20/21-223	-	-12,000	-
TOTALS, EXPENDITURES	\$19,964	\$60,246	\$19,564
Total Expenditures, All Funds, (Local Assistance)	\$108,729	\$73,746	\$24,606
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$244,660	\$250,957	\$168,239

† Fiscal year 2019-20 budget information reflects the latest available estimates for this department and/or fund(s). Changes resulting from the final reconciliation of the 2019-20 ending fund balance will be reflected as a prior year adjustment in the 2022-23 Governor's Budget publication.

FUND CONDITION STATEMENTS †

	2019-20*	2020-21*	2021-22*
0228 Secretary of States Business Fees Fund^s			
BEGINNING BALANCE	\$1,000	\$1,000	\$1,000
Adjusted Beginning Balance	\$1,000	\$1,000	\$1,000
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4120800 Corporation Fees - Domestic Corporations	10,667	10,265	10,183
4121000 Corporation Fees - Foreign Corporations	1,116	1,294	1,294
4122800 Filing Financing Statements	1,566	2,476	2,476
4125800 Notary Public License Fees	744	1,333	1,333
4129200 Other Regulatory Fees	19,038	13,314	12,314
4145500 Secretary of State - Fees	32,614	39,626	39,626
4163000 Investment Income - Surplus Money Investments	188	188	188
4171000 Cost Recoveries - Delinquent Receivables	29	29	29
4172500 Miscellaneous Revenue	15,443	13,559	11,559
4173500 Settlements and Judgments - Other	-	24	-
Transfers and Other Adjustments			
Revenue Transfer from the Business Fees Fund (0228) to the General Fund (0001) per Government Code Section 12176.	-7,660	-9,087	-5,910
Total Revenues, Transfers, and Other Adjustments	\$73,745	\$73,021	\$73,092
Total Resources	\$74,745	\$74,021	\$74,092
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0890 Secretary of State (State Operations)	69,901	69,002	68,440
8880 Financial Information System for California (State Operations)	-5	-	-
9892 Supplemental Pension Payments (State Operations)	1,087	1,087	1,087
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	2,762	2,932	3,565
Total Expenditures and Expenditure Adjustments	\$73,745	\$73,021	\$73,092
FUND BALANCE	\$1,000	\$1,000	\$1,000
Reserve for economic uncertainties	1,000	1,000	1,000

3042 Victims of Corporate Fraud Compensation Fund^s

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0890 Secretary of State - Continued

	2019-20*	2020-21*	2021-22*
BEGINNING BALANCE	\$14,033	\$14,312	\$7,538
Adjusted Beginning Balance	\$14,033	\$14,312	\$7,538
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4145500 Secretary of State - Fees	1,854	1,854	1,854
4163000 Investment Income - Surplus Money Investments	44	44	44
Transfers and Other Adjustments			
Loan repayment from General Fund (0001) to Victims of Corporate Fraud Compensation Fund (3042) per Item 0890-011-3042, Budget Act of 2020	-	4,400	-
Loan from Victims of Corporate Fraud Compensation Fund (3042) to General Fund (0001) per Item 0890-011-3042, Budget Act of 2020	-	-11,500	-
Total Revenues, Transfers, and Other Adjustments	\$1,898	-\$5,202	\$1,898
Total Resources	\$15,931	\$9,110	\$9,436
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0890 Secretary of State (State Operations)	1,530	1,530	1,530
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	89	42	58
Total Expenditures and Expenditure Adjustments	\$1,619	\$1,572	\$1,588
FUND BALANCE	\$14,312	\$7,538	\$7,848
Reserve for economic uncertainties	14,312	7,538	7,848
3244 Political Disclosure, Accountability, Transparency, and Access Fund^s			
BEGINNING BALANCE	\$518	\$218	\$48
Adjusted Beginning Balance	\$518	\$218	\$48
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4145500 Secretary of State - Fees	433	569	569
4163000 Investment Income - Surplus Money Investments	4	2	2
4173000 Penalty Assessments - Other	70	60	60
Total Revenues, Transfers, and Other Adjustments	\$507	\$631	\$631
Total Resources	\$1,025	\$849	\$679
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0890 Secretary of State (State Operations)	797	797	597
9892 Supplemental Pension Payments (State Operations)	4	4	4
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	6	-	67
Total Expenditures and Expenditure Adjustments	\$807	\$801	\$668
FUND BALANCE	\$218	\$48	\$11
Reserve for economic uncertainties	218	48	11
3254 Business Programs Modernization Fund^s			
BEGINNING BALANCE	\$2,967	\$2,644	\$2,822
Adjusted Beginning Balance	\$2,967	\$2,644	\$2,822
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4145500 Secretary of State - Fees	1,854	1,854	1,854
4163000 Investment Income - Surplus Money Investments	10	10	10
Total Revenues, Transfers, and Other Adjustments	\$1,864	\$1,864	\$1,864
Total Resources	\$4,831	\$4,508	\$4,686
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0890 Secretary of State (State Operations)	2,000	1,500	3,500
9892 Supplemental Pension Payments (State Operations)	12	12	12
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	175	174	55
Total Expenditures and Expenditure Adjustments	\$2,187	\$1,686	\$3,567
FUND BALANCE	\$2,644	\$2,822	\$1,119

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0890 Secretary of State - Continued

	2019-20*	2020-21*	2021-22*
Reserve for economic uncertainties	2,644	2,822	1,119

† Fiscal year 2019-20 budget information reflects the latest available estimates for this department and/or fund(s). Changes resulting from the final reconciliation of the 2019-20 ending fund balance will be reflected as a prior year adjustment in the 2022-23 Governor's Budget publication.

CHANGES IN AUTHORIZED POSITIONS †

	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
Baseline Positions	523.5	527.5	480.5	\$33,807	\$39,014	\$32,073
Salary and Other Adjustments	-	-	-	-	-1,935	1,303
Workload and Administrative Adjustments						
Business Programs Division Processing Times						
Assoc Pers Analyst	-	-	1.0	-	-	70
Info Sys Techn	-	-	1.0	-	-	50
Overtime	-	-	-	-	-	133
Program Techn	-	-	21.0	-	-	804
Program Techn II	-	-	16.0	-	-	681
Program Techn III	-	-	1.0	-	-	48
Supvng Program Techn I	-	-	3.0	-	-	134
Supvng Program Techn II	-	-	3.0	-	-	144
Supvng Program Techn III	-	-	1.0	-	-	55
Temporary Help	-	-	-	-	-	176
CalACCESS Replacement System Project						
Assoc Govtl Program Analyst	-	-	-	-	-	143
Atty	-	-	-	-	-	92
Info Tech Spec I	-	-	4.0	-	-	544
Info Tech Spec II	-	-	1.0	-	-	202
Research Data Analyst II	-	-	-	-	-	75
California Business Connect Project						
Various	-	-	-	-	-	1,107
Continuation of Limited-term Accounting Resources						
Accounting Administrator I (Supvr)	-	-	1.0	-	-	83
Assoc Govtl Program Analyst	-	-	1.0	-	-	70
Various	-	-	-	-	-	773
Corporations: Board of Directors: Underrepresented Communities (AB 979)						
Assoc Govtl Program Analyst	-	-	1.0	-	-	70
Atty III	-	-	1.0	-	-	130
Elections Enhancement						
Assoc Govtl Program Analyst	-	-	2.0	-	-	348
Help America Vote Act-Spending Plan						
Various	-	-	-	-	-	726
Help America Vote Act-VoteCal						
Various	-	-	-	-	-	907
Human Resources Bureau Critical Administrative Resources						
Assoc Pers Analyst	-	-	5.0	-	-	348

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0890 Secretary of State - Continued

	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
Staff Svcs Mgr II (Supvry)	-	-	1.0	-	-	90
Increasing Access to California's Historic Records						
Archivist II	-	-	1.0	-	-	73
Assoc Govtl Program Analyst	-	-	1.0	-	-	70
Info Tech Spec I	-	-	1.0	-	-	92
Statements of Information Labor Judgment Language (AB 3075)						
Overtime	-	-	-	-	-	49
Program Techn II	-	-	1.0	-	-	43
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	68.0	\$-	\$-	\$8,330
Totals, Adjustments	-	-	68.0	\$-	\$-1,935	\$9,633
TOTALS, SALARIES AND WAGES	523.5	527.5	548.5	\$33,807	\$37,079	\$41,706

† Fiscal year 2019-20 budget information reflects the latest available estimates for this department and/or fund(s). Changes resulting from the final reconciliation of the 2019-20 ending fund balance will be reflected as a prior year adjustment in the 2022-23 Governor's Budget publication.

0911 Citizens Redistricting Initiative

The "Voters First Act" (Proposition 11) and the "Voters First Act for Congress" (Proposition 20) reformed the redistricting process and established an independent 14-member Citizens Redistricting Commission to draw the decennial district boundaries for California's Congressional delegation, state Senate, state Assembly, and Board of Equalization.

3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
0730	Support	-	14.5	14.5	\$-	\$109	\$-
0731	Citizens Redistricting Commission	0.3	-	-	65	7,252	3,491
0732	Post Redistricting Process	-	-	-	-	-	5,800
0733	COVID-19/Census Data Delay	-	-	-	-	-	3,600
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		0.3	14.5	14.5	\$65	\$7,361	\$12,891
FUNDING		2019-20*		2020-21*		2021-22*	
0001	General Fund	\$65		\$7,361		\$12,891	
TOTALS, EXPENDITURES, ALL FUNDS		\$65		\$7,361		\$12,891	

LEGAL CITATIONS AND AUTHORITY

Government Code Title 2, Division 1, Chapter 3.2, Sections 8251 to 8253.6, as added by Proposition 11, with amendments as a result of Proposition 20.

MAJOR PROGRAM CHANGES

- **Redistricting Process-2020 Census**—The Budget includes \$8.5 million one-time General Fund in 2021-22, to be spent over three years, to provide the Commission with additional funding to complete the necessary maps and to perform related support activities to finalize redistricting activities related to the 2020 Census.
- **Reappropriation of Funds**—The Budget reappropriates \$4.3 million General Fund from the 2019 Budget, to be spent over

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0911 Citizens Redistricting Initiative - Continued

three years, to continue funding for costs related to activities after the adoption of the final set of maps.

DETAILED BUDGET ADJUSTMENTS

	2020-21*			2021-22*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Redistricting Process - 2020 Census	\$-	\$-	-	\$8,472	\$-	-
• Legislative Proposal: Re-appropriate Funding for Post-map Adoption Activities	-4,297	-	-	4,297	-	-
Totals, Workload Budget Change Proposals	\$-4,297	\$-	-	\$12,769	\$-	-
Other Workload Budget Adjustments						
• Other Post-Employment Benefit Adjustments	9	-	-	9	-	-
• 7A Position Alignment	-	-	14.1	-	-	14.1
• Past Year Expenditure Adjustments	11,549	-	-	-	-	-
• Section 3.90 Employee Compensation Reduction	-13	-	-	-	-	-
• Salary Adjustments	37	-	-	37	-	-
• Benefit Adjustments	3	-	-	3	-	-
• Retirement Rate Adjustments	-19	-	-	-19	-	-
Totals, Other Workload Budget Adjustments	\$11,566	\$-	14.1	\$30	\$-	14.1
Totals, Workload Budget Adjustments	\$7,269	\$-	14.1	\$12,799	\$-	14.1
Totals, Budget Adjustments	\$7,269	\$-	14.1	\$12,799	\$-	14.1

PROGRAM DESCRIPTIONS**0730 - SUPPORT**

The Citizens Redistricting Commission shall draw California's new congressional and state Assembly, Senate, and Board of Equalization districts following the completion of the decennial census. The districts shall be based on strict, non-partisan rules delineated in California's Constitution that are designed to ensure fair representation and shall be defended by the Commission as the sole legal defender.

0731 - Citizens Redistricting Commission

This program supports the operational costs and outreach efforts of the California Redistricting Commission and, in part, supports the California State Auditor in the administration of the California Redistricting Commission pursuant to Chapter 3.2 (commencing with Section 8251) of Division 1 f Title 2 of the Government Code.

0732 - Post-Redistricting Process

This program supports costs for any litigation related to the adoption of the final set of maps.

0733 - COVID-19/Census Data Delay

This program supports costs related to compliance with emergency COVID-19 regulations and costs associated with United States Census data delays.

DETAILED EXPENDITURES BY PROGRAM

	2019-20*	2020-21*	2021-22*
PROGRAM REQUIREMENTS			
0730 SUPPORT			
State Operations:			

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0911 Citizens Redistricting Initiative - Continued

		2019-20*	2020-21*	2021-22*
0001	General Fund	\$-	\$109	\$-
	Totals, State Operations	\$-	\$109	\$-
	PROGRAM REQUIREMENTS			
0731	CITIZENS REDISTRICTING COMMISSION			
	State Operations:			
0001	General Fund	\$65	\$7,252	\$3,491
	Totals, State Operations	\$65	\$7,252	\$3,491
	PROGRAM REQUIREMENTS			
0732	POST REDISTRICTING PROCESS			
	State Operations:			
0001	General Fund	\$-	\$-	\$5,800
	Totals, State Operations	\$-	\$-	\$5,800
	PROGRAM REQUIREMENTS			
0733	COVID-19/CENSUS DATA DELAY			
	State Operations:			
0001	General Fund	\$-	\$-	\$3,600
	Totals, State Operations	\$-	\$-	\$3,600
	TOTALS, EXPENDITURES			
	State Operations	65	7,361	12,891
	Totals, Expenditures	\$65	\$7,361	\$12,891

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
PERSONAL SERVICES						
Baseline Positions	0.4	0.4	0.4	\$39	\$39	\$39
Other Adjustments	-0.1	14.1	14.1	13	30	37
Net Totals, Salaries and Wages	0.3	14.5	14.5	\$52	\$69	\$76
Staff Benefits	-	-	-	1	19	25
Totals, Personal Services	0.3	14.5	14.5	\$53	\$88	\$101
OPERATING EXPENSES AND EQUIPMENT				\$12	\$7,273	\$12,790
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$65	\$7,361	\$12,891

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2019-20*	2020-21*	2021-22*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	\$92	\$8,594
Allocation for Employee Compensation	-	37	-
Allocation for Other Post-Employment Benefits	-	9	-
Allocation for Staff Benefits	-	3	-
Section 3.60 Pension Contribution Adjustment	-	-19	-
Section 3.90 Employee Compensation Reduction	-	-13	-
Prior Year Balances Available:			

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0911 Citizens Redistricting Initiative - Continued

1 STATE OPERATIONS	2019-20*	2020-21*	2021-22*
Item 0911-001-0001, Budget Act of 2019 as reappropriated by Item 0911-490, Budget Act of 2021	65	11,549	4,297
Totals Available	\$65	\$11,658	\$12,891
Balance available in subsequent years	-	-4,297	-
TOTALS, EXPENDITURES	\$65	\$7,361	\$12,891
Total Expenditures, All Funds, (State Operations)	\$65	\$7,361	\$12,891

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
Baseline Positions	0.4	0.4	0.4	\$39	\$39	\$39
Salary and Other Adjustments	-0.1	14.1	14.1	13	30	37
Totals, Adjustments	-0.1	14.1	14.1	\$13	\$30	\$37
TOTALS, SALARIES AND WAGES	0.3	14.5	14.5	\$52	\$69	\$76

0950 State Treasurer

The State Treasurer, a constitutionally established office, provides banking services for state government with goals to minimize interest and service costs and to maximize yield on investments. The Treasurer is responsible for the custody of all monies and securities belonging to or held in trust by the state; investment of temporarily idle state monies; administration of the sale of state bonds, their redemption and interest payments; and payment of warrants or checks drawn by the State Controller and other state agencies.

3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
0740010	Investment Services	19.6	16.0	16.0	\$5,175	\$3,932	\$4,727
0740019	Centralized Treasury & Securities Management	50.8	62.0	62.0	13,373	15,123	16,335
0740028	Public Finance	54.4	51.3	51.3	13,289	12,446	13,745
0740035	Administration	102.8	82.7	82.7	5,664	5,458	9,680
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		227.6	212.0	212.0	\$37,501	\$36,959	\$44,487

FUNDING		2019-20*	2020-21*	2021-22*
0001	General Fund	\$11,620	\$9,496	\$14,307
0995	Reimbursements	22,371	21,220	23,296
9740	Central Service Cost Recovery Fund	3,510	6,243	6,884
TOTALS, EXPENDITURES, ALL FUNDS		\$37,501	\$36,959	\$44,487

LEGAL CITATIONS AND AUTHORITY**DEPARTMENT AUTHORITY**

California Constitution Article XVI, Sections 1.5 and 7; and Government Code Sections 5700-5703, 12300-12333, 16300, 16586, 16650-16857.

0950 State Treasurer - Continued**DETAILED BUDGET ADJUSTMENTS**

	2020-21*			2021-22*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• First-Time Homebuyers Program	\$-	\$-	-	\$3,000	\$-	-
• Relocation Costs	-	-	-	1,222	-	-
• Electronic Resources Augmentation	-	-	-	-	400	-
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$4,222	\$400	-
Other Workload Budget Adjustments						
• Other Post-Employment Benefit Adjustments	64	168	-	64	168	-
• Section 3.90 Employee Compensation Reduction	-746	-2,168	-	-	-	-
• Salary Adjustments	270	722	-	270	722	-
• Benefit Adjustments	36	96	-	33	91	-
• Retirement Rate Adjustments	-95	-257	-	-95	-257	-
• Miscellaneous Baseline Adjustments	-	-	-	-154	154	-
Totals, Other Workload Budget Adjustments	\$-471	\$-1,439	-	\$118	\$878	-
Totals, Workload Budget Adjustments	\$-471	\$-1,439	-	\$4,340	\$1,278	-
Totals, Budget Adjustments	\$-471	\$-1,439	-	\$4,340	\$1,278	-

PROGRAM DESCRIPTIONS**0740010 - INVESTMENT SERVICES**

The Investment Division is responsible for investment of state monies from the date of receipt through the date of redemption. During the 2019-20 fiscal year, this Division handled 8,964 security investment transactions totaling \$415.4 billion. The Pooled Money Investment Board (PMIB) program accounted for 7,687 of these transactions totaling \$383.5 billion; time deposits accounted for 1,002 transactions totaling \$27.1 billion. The remaining \$4.8 billion is invested on behalf of the state's special funds, such as those associated with the California Housing Finance Agency, the Department of Fish and Wildlife, the state's retirement system, etc. The Division also administers the Local Agency Investment Fund (LAIF), a voluntary investment program created to offer California local agencies greater access to the financial markets through the PMIB program. In the 2019-20 fiscal year, 2,362 local agencies participated in LAIF, with deposits averaging \$27.3 billion for the fiscal year.

0740019 - CENTRALIZED TREASURY AND SECURITIES MANAGEMENT

In 1949, the California Legislature amended Government Code Section 16305 to create the Centralized Treasury System (CTS) thereby requiring agencies of the State to deposit their money in trust with the Treasurer. The legislation also requires the Treasurer to safeguard the money and make safe and prudent investments. In 1955, the Legislature created the PMIB, giving the Board the responsibility to designate the amount of money available for the investment in securities, bank deposits, and loans to the General Fund.

The Centralized Treasury and Securities Management Division (CTSMD) oversees all banking aspects of the CTS. The goal of the CTSMD is to maximize the earning of interest consistent with safe and prudent treasury management, and to ensure that the depository banks provide the state with proper and adequate security for the deposit of state monies. The Treasurer maintains demand bank accounts with eight banks for the purpose of providing necessary statewide depository coverage for the remittance of funds collected by the various state agencies.

The CTSMD 1) monitors the cash flow of all state funds, forecasts cash balances, revenue, expenditures, and the amounts available for daily investments, 2) ensures accurate and timely agency deposits, 3) administers and executes the wire transfer of funds, 4) reconciles state accounts with depository banks and redeems all state items submitted by presenting banks for payments, 5) executes the clearance and income collection for state investments (excluding PERS and STRS) and securities pledged to the state, 6) fulfills the clearance and settlement of securities pledged to the state for the time, demand, and other state agency programs, and 7) is responsible for the safekeeping of securities and other personal property owned by or pledged to the state.

0740028 - PUBLIC FINANCE

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0950 State Treasurer - Continued

The Public Finance Division is responsible for selling State of California general obligation bonds, commercial paper notes, revenue anticipation notes, lease revenue bonds, revenue bonds, and any other indebtedness for all state agencies. The Division provides debt administration services for most state bonds and is responsible for disseminating information to bondholders through the Investor Relations Program.

The Division also assures compliance with federal tax laws applicable to certain state debt so there is no adverse consequences to bondholders or increased borrowing costs to the state. The post-issuance tax compliance includes monitoring the use of bond proceeds, managing rebate and yield restriction compliance, coordinating necessary financial disclosure, and ensuring proper record keeping.

0740035 - ADMINISTRATION AND INFORMATION SERVICES

The Administration Division, Executive Office, and the Information Services Division provide executive direction and support services to programs in the State Treasurer's Office. Services include budgeting, personnel, accounting, information systems, business services, technical support, and production operations.

DETAILED EXPENDITURES BY PROGRAM

		2019-20*	2020-21*	2021-22*
	PROGRAM REQUIREMENTS			
0740	STATE TREASURER'S OFFICE			
	State Operations:			
0001	General Fund	\$11,620	\$9,496	\$14,307
0995	Reimbursements	22,371	21,220	23,296
9740	Central Service Cost Recovery Fund	3,510	6,243	6,884
	Totals, State Operations	\$37,501	\$36,959	\$44,487
	SUBPROGRAM REQUIREMENTS			
0740010	Investment Services			
	State Operations:			
0001	General Fund	\$1,308	\$565	\$623
0995	Reimbursements	3,265	2,686	3,352
9740	Central Service Cost Recovery Fund	602	681	752
	Totals, State Operations	\$5,175	\$3,932	\$4,727
	SUBPROGRAM REQUIREMENTS			
0740019	Centralized Treasury & Securities Management			
	State Operations:			
0001	General Fund	\$3,131	\$4,963	\$5,178
0995	Reimbursements	8,911	6,867	7,545
9740	Central Service Cost Recovery Fund	1,331	3,293	3,612
	Totals, State Operations	\$13,373	\$15,123	\$16,335
	SUBPROGRAM REQUIREMENTS			
0740028	Public Finance			
	State Operations:			
0001	General Fund	\$5,095	\$2,904	\$3,220
0995	Reimbursements	6,617	7,273	8,005
9740	Central Service Cost Recovery Fund	1,577	2,269	2,520
	Totals, State Operations	\$13,289	\$12,446	\$13,745
	SUBPROGRAM REQUIREMENTS			
0740035	Administration			
	State Operations:			
0001	General Fund	\$2,086	\$1,064	\$5,286
0995	Reimbursements	3,578	4,394	4,394
	Totals, State Operations	\$5,664	\$5,458	\$9,680
	TOTALS, EXPENDITURES			

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0950 State Treasurer - Continued

	2019-20*	2020-21*	2021-22*
State Operations	37,501	36,959	44,487
Totals, Expenditures	\$37,501	\$36,959	\$44,487

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
PERSONAL SERVICES						
Baseline Positions	211.0	212.0	212.0	\$18,057	\$18,470	\$18,470
Other Adjustments	16.6	-	-	1,948	-933	992
Net Totals, Salaries and Wages	227.6	212.0	212.0	\$20,005	\$17,537	\$19,462
Staff Benefits	-	-	-	10,276	12,713	13,694
Totals, Personal Services	227.6	212.0	212.0	\$30,281	\$30,250	\$33,156
OPERATING EXPENSES AND EQUIPMENT				\$7,220	\$6,709	\$11,331
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$37,501	\$36,959	\$44,487

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2019-20*	2020-21*	2021-22*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$11,620	\$9,967	\$14,307
Allocation for Employee Compensation	-	270	-
Allocation for Other Post-Employment Benefits	-	64	-
Allocation for Staff Benefits	-	36	-
Section 3.60 Pension Contribution Adjustment	-	-95	-
Section 3.90 Employee Compensation Reduction	-	-746	-
Totals Available	\$11,620	\$9,496	\$14,307
TOTALS, EXPENDITURES	\$11,620	\$9,496	\$14,307
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$22,371	\$21,220	\$23,296
TOTALS, EXPENDITURES	\$22,371	\$21,220	\$23,296
9740 Central Service Cost Recovery Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$3,510	\$6,535	\$6,884
Allocation for Employee Compensation	-	194	-
Allocation for Other Post-Employment Benefits	-	45	-
Allocation for Staff Benefits	-	25	-
Section 3.60 Pension Contribution Adjustment	-	-68	-
Section 3.90 Employee Compensation Reduction	-	-488	-
TOTALS, EXPENDITURES	\$3,510	\$6,243	\$6,884
Total Expenditures, All Funds, (State Operations)	\$37,501	\$36,959	\$44,487

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0950 State Treasurer - Continued**FUND CONDITION STATEMENTS**

	2019-20*	2020-21*	2021-22*
0467 State Notes Expense Account^S			
BEGINNING BALANCE	\$250	\$250	\$250
Adjusted Beginning Balance	\$250	\$250	\$250
Total Resources	\$250	\$250	\$250
FUND BALANCE	\$250	\$250	\$250
Reserve for economic uncertainties	250	250	250

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
Baseline Positions	211.0	212.0	212.0	\$18,057	\$18,470	\$18,470
Salary and Other Adjustments	16.6	-	-	1,948	-933	992
Totals, Adjustments	16.6	-	-	\$1,948	\$-933	\$992
TOTALS, SALARIES AND WAGES	227.6	212.0	212.0	\$20,005	\$17,537	\$19,462

0954 Scholarshare Investment Board

The ScholarShare Investment Board administers the Golden State ScholarShare College Savings Trust Program (ScholarShare 529), the Governor's Scholarship Programs (GSP), the California Memorial Scholarship Program (CMS), and the California Kids Investment and Development Savings Program (CalKIDS Program). ScholarShare 529 is a way for families to save for higher education costs using a tax-advantaged 529 college savings plan. GSP provided scholarships to students who attained certain scores on state tests. CMS provides scholarships to surviving dependents of California residents killed in the terrorist attacks of September 11, 2001. The CalKIDS Program provides California children born on or after July 1, 2020, with college savings accounts, including seed deposits and potential incentives.

3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
0780	Golden State Scholarshare Trust Program	8.8	11.0	11.0	\$26,695	\$2,828	\$2,918
0785	Governor's Scholarship Program	1.0	-	-	245	92	92
0795	Statewide Child Savings Account Program	-	-	-	-24,990	24,990	1,885,594
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		9.8	11.0	11.0	\$1,950	\$27,910	\$1,888,604
FUNDING					2019-20*	2020-21*	2021-22*
0001	General Fund				\$25,090	\$92	\$107,792
0564	Scholarshare Administrative Fund				1,850	2,828	2,918
8127	California Kids Investment and Development Savings Program Fund				-24,990	24,990	-
8506	Coronavirus Fiscal Recovery Fund of 2021				-	-	1,777,894
TOTALS, EXPENDITURES, ALL FUNDS					\$1,950	\$27,910	\$1,888,604

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Education Code Sections 69980 to 69994, 69996 to 69999.8, 70010 to 70011.9, and Vehicle Code Section 5066.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0954 Scholarshare Investment Board - Continued**MAJOR PROGRAM CHANGES**

- CalKIDS Program—An increase of approximately \$1.8 billion one-time Federal American Rescue Plan Fund, \$91.7 million one-time General Fund, and \$16 million ongoing General Fund to establish college savings accounts for all current low-income public school students in grades 1-12, as defined for purposes of the Local Control Funding Formula, with supplemental investments for foster youth and homeless students enrolled in a public school, and for successive cohorts of these student populations as they enter first grade.

DETAILED BUDGET ADJUSTMENTS

	2020-21*			2021-22*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Augmentation to Support the CalKIDS Program	\$-	\$-	-	\$107,700	\$1,777,894	-
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$107,700	\$1,777,894	-
Other Workload Budget Adjustments						
• Other Post-Employment Benefit Adjustments	-	10	-	-	10	-
• Past Year Expenditure Adjustments and Carryover	-	24,990	-	-	-	-
• Section 3.90 Employee Compensation Reduction	-	-91	-	-	-	-
• Technical Adjustment to Align with 7A	-	-	1.0	-	-	1.0
• Salary Adjustments	-	37	-	-	37	-
• Benefit Adjustments	-	3	-	-	2	-
• Retirement Rate Adjustments	-	-15	-	-	-15	-
Totals, Other Workload Budget Adjustments	\$-	\$24,934	1.0	\$-	\$34	1.0
Totals, Workload Budget Adjustments	\$-	\$24,934	1.0	\$107,700	\$1,777,928	1.0
Totals, Budget Adjustments	\$-	\$24,934	1.0	\$107,700	\$1,777,928	1.0

PROGRAM DESCRIPTIONS**0780 - GOLDEN STATE SCHOLARSHARE COLLEGE SAVINGS TRUST PROGRAM**

The Golden State ScholarShare College Savings Trust Program is California's state-sponsored 529 college savings plan established to help families save for higher education. Earnings are tax-free when used for qualified higher education expenses (such as tuition, fees, supplies, books, computer equipment, and certain room and board expenses) at eligible educational institutions.

0785 - GOVERNOR'S SCHOLARSHIP PROGRAMS

The Governor's Scholarship Programs (GSP) rewarded public school students who demonstrated high academic achievement with scholarships. Students may have earned multiple \$1,000 Governor's Scholars Awards and a one-time \$2,500 Governor's Distinguished Mathematics and Science Scholars Award for scores attained on tests taken in 2000, 2001, and 2002. GSP became inoperative and was repealed after 2002. The ScholarShare Investment Board administered scholarship awards that were already earned until December 2019, when all students reached the age of 30 and all remaining scholarship awards were subsequently reverted to the State General Fund.

0790 - CALIFORNIA MEMORIAL SCHOLARSHIP PROGRAM

The California Memorial Scholarship Program provides scholarships to surviving dependents of California residents killed as a result of the terrorist attacks of September 11, 2001.

0795 - CALIFORNIA KIDS INVESTMENT AND DEVELOPMENT SAVINGS PROGRAM

The California Kids Investment and Development Savings Program (CalKIDS Program) provides California children born on or after July 1, 2020 with college savings accounts, including seed deposits and potential incentives.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0954 Scholarshare Investment Board - Continued**DETAILED EXPENDITURES BY PROGRAM**

		<u>2019-20*</u>	<u>2020-21*</u>	<u>2021-22*</u>
	PROGRAM REQUIREMENTS			
0780	GOLDEN STATE SCHOLARSHARE TRUST PROGRAM			
	State Operations:			
0001	General Fund	-\$155	\$-	\$-
0564	Scholarshare Administrative Fund	1,850	2,828	2,918
	Totals, State Operations	\$1,695	\$2,828	\$2,918
	Local Assistance:			
0001	General Fund	\$25,000	\$-	\$-
	Totals, Local Assistance	\$25,000	\$-	\$-
	PROGRAM REQUIREMENTS			
0785	GOVERNOR'S SCHOLARSHIP PROGRAM			
	State Operations:			
0001	General Fund	\$245	\$92	\$92
	Totals, State Operations	\$245	\$92	\$92
	PROGRAM REQUIREMENTS			
0795	STATEWIDE CHILD SAVINGS ACCOUNT PROGRAM			
	State Operations:			
0001	General Fund	\$-	\$-	\$700
8127	California Kids Investment and Development Savings Program Fund	10	1,240	-
	Totals, State Operations	\$10	\$1,240	\$700
	Local Assistance:			
0001	General Fund	\$-	\$-	\$107,000
8127	California Kids Investment and Development Savings Program Fund	-25,000	23,750	-
8506	Coronavirus Fiscal Recovery Fund of 2021	-	-	1,777,894
	Totals, Local Assistance	-\$25,000	\$23,750	\$1,884,894
	TOTALS, EXPENDITURES			
	State Operations	1,950	4,160	3,710
	Local Assistance	-	23,750	1,884,894
	Totals, Expenditures	\$1,950	\$27,910	\$1,888,604

EXPENDITURES BY CATEGORY

	1 State Operations					
	Positions			Expenditures		
	<u>2019-20</u>	<u>2020-21</u>	<u>2021-22</u>	<u>2019-20*</u>	<u>2020-21*</u>	<u>2021-22*</u>
PERSONAL SERVICES						
Baseline Positions	10.0	10.0	10.0	\$816	\$816	\$816
Other Adjustments	-0.2	1.0	1.0	-24	-9	487
Net Totals, Salaries and Wages	9.8	11.0	11.0	\$792	\$807	\$1,303
Staff Benefits	-	-	-	418	367	636
Totals, Personal Services	9.8	11.0	11.0	\$1,210	\$1,174	\$1,939
OPERATING EXPENSES AND EQUIPMENT				\$740	\$2,986	\$1,771
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS				\$1,950	\$4,160	\$3,710

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0954 Scholarshare Investment Board - Continued

1 State Operations	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
(State Operations)						
2 Local Assistance				Expenditures		
	2019-20*	2020-21*	2021-22*			
Grants and Subventions - Governmental	\$-	\$23,750	\$1,884,894			
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$-	\$23,750	\$1,884,894			

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2019-20*	2020-21*	2021-22*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$90	\$92	\$792
Totals Available	\$90	\$92	\$792
TOTALS, EXPENDITURES	\$90	\$92	\$792
0564 Scholarshare Administrative Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,850	\$2,884	\$2,918
Allocation for Employee Compensation	-	37	-
Allocation for Other Post-Employment Benefits	-	10	-
Allocation for Staff Benefits	-	3	-
Section 3.60 Pension Contribution Adjustment	-	-15	-
Section 3.90 Employee Compensation Reduction	-	-91	-
Totals Available	\$1,850	\$2,828	\$2,918
TOTALS, EXPENDITURES	\$1,850	\$2,828	\$2,918
8127 California Kids Investment and Development Savings Program Fund			
APPROPRIATIONS			
Education Code 69996.6	\$10	-	-
Past Year Expenditure Adjustments and Carryover	-	1,240	-
Totals Available	\$10	\$1,240	-
TOTALS, EXPENDITURES	\$10	\$1,240	-
Total Expenditures, All Funds, (State Operations)	\$1,950	\$4,160	\$3,710
2 LOCAL ASSISTANCE	2019-20*	2020-21*	2021-22*
0001 General Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$25,000	-	\$107,000
TOTALS, EXPENDITURES	\$25,000	-	\$107,000
8127 California Kids Investment and Development Savings Program Fund			
APPROPRIATIONS			
Past Year Expenditure Adjustments and Carryover	-	\$23,750	-
Totals Available	-	\$23,750	-
TOTALS, EXPENDITURES	-	\$23,750	-
Less funding provided by General Fund	-25,000	-	-
NET TOTALS, EXPENDITURES	-\$25,000	\$23,750	-
8506 Coronavirus Fiscal Recovery Fund of 2021			
APPROPRIATIONS			
162 Budget Act appropriation	-	-	\$1,777,894

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0954 Scholarshare Investment Board - Continued

2 LOCAL ASSISTANCE	2019-20*	2020-21*	2021-22*
TOTALS, EXPENDITURES	-	-	\$1,777,894
Total Expenditures, All Funds, (Local Assistance)	\$0	\$23,750	\$1,884,894
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$1,950	\$27,910	\$1,888,604

FUND CONDITION STATEMENTS

	2019-20*	2020-21*	2021-22*
3033 California Memorial Scholarship Fund^s			
BEGINNING BALANCE	-	\$2	\$2
Adjusted Beginning Balance	-	\$2	\$2
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4142500 License Plate Fees - Personalized Plates	\$2	-	-
Total Revenues, Transfers, and Other Adjustments	\$2	-	-
Total Resources	\$2	\$2	\$2
FUND BALANCE	\$2	\$2	\$2
Reserve for economic uncertainties	2	2	2

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
Baseline Positions	10.0	10.0	10.0	\$816	\$816	\$816
Salary and Other Adjustments	-0.2	1.0	1.0	-24	-9	37
Various Adjustments	-	-	-	-	-	450
Totals, Adjustments	-0.2	1.0	1.0	\$-24	\$-9	\$487
TOTALS, SALARIES AND WAGES	9.8	11.0	11.0	\$792	\$807	\$1,303

0956 California Debt and Investment Advisory Commission

The mission of the California Debt and Investment Advisory Commission is to support and improve the practice of public finance in California by providing responsive and reliable information, analysis, and training. The Commission assists California state and local governments to monitor, issue, and manage public debt and to safely and prudently invest public funds.

The Commission consists of nine members including the State Treasurer, who serves as chairperson; the Governor or, upon his designation, the Director of Finance; the State Controller; two local government finance officers appointed by the State Treasurer; two members of the Assembly appointed by the Speaker of the Assembly; and two members of the Senate appointed by the Senate Committee on Rules.

3-YEAR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
0800 California Debt and Investment Advisory Commission	19.3	16.1	16.1	\$3,281	\$3,831	\$4,048
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	19.3	16.1	16.1	\$3,281	\$3,831	\$4,048
FUNDING				2019-20*	2020-21*	2021-22*
0171 California Debt and Investment Advisory Commission Fund				\$3,244	\$3,651	\$3,868

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0956 California Debt and Investment Advisory Commission - Continued

FUNDING		2019-20*	2020-21*	2021-22*
0995	Reimbursements	37	180	180
TOTALS, EXPENDITURES, ALL FUNDS		\$3,281	\$3,831	\$4,048

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code Sections 8855-8859.

DETAILED BUDGET ADJUSTMENTS

	2020-21*			2021-22*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Other Post-Employment Benefit Adjustments	\$-	\$19	-	\$-	\$19	-
• Section 3.90 Employee Compensation Reduction	-	-218	-	-	-	-
• Salary Adjustments	-	69	-	-	69	-
• Benefit Adjustments	-	5	-	-	4	-
• Retirement Rate Adjustments	-	-27	-	-	-27	-
Totals, Other Workload Budget Adjustments	\$-	\$-152	-	\$-	\$65	-
Totals, Workload Budget Adjustments	\$-	\$-152	-	\$-	\$65	-
Totals, Budget Adjustments	\$-	\$-152	-	\$-	\$65	-

PROGRAM DESCRIPTIONS

0800 - CALIFORNIA DEBT AND INVESTMENT ADVISORY COMMISSION

The Commission's primary objective is to enhance the knowledge of public officials with respect to the administration and issuance of public debt and the investment of public funds. The Commission achieves this objective by performing functions in three principal areas, including:

- Data Collection and Analysis - Serves as a clearinghouse of debt issued by California public entities and nonprofit student loan corporations.
- Continuing Education - Provides educational seminars, workshops, and conferences to public officials on municipal debt and public fund investment topics. In addition, the Commission provides technical assistance to public officials and taxpayers through direct interaction and public forums to disseminate relevant information.
- Policy Research - Undertakes original research on the issuance and administration of public debt and on the investment of public funds. Research results are published in the form of reports, issue briefs, or articles and are disseminated in printed and electronic forms.

DETAILED EXPENDITURES BY PROGRAM

		2019-20*	2020-21*	2021-22*
PROGRAM REQUIREMENTS				
0800	CALIFORNIA DEBT AND INVESTMENT ADVISORY COMMISSION			
State Operations:				
0171	California Debt and Investment Advisory Commission Fund	\$3,244	\$3,651	\$3,868
0995	Reimbursements	37	180	180

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0956 California Debt and Investment Advisory Commission - Continued

	2019-20*	2020-21*	2021-22*
Totals, State Operations	\$3,281	\$3,831	\$4,048
TOTALS, EXPENDITURES			
State Operations	3,281	3,831	4,048
Totals, Expenditures	\$3,281	\$3,831	\$4,048

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
PERSONAL SERVICES						
Baseline Positions	16.1	16.1	16.1	\$1,274	\$1,304	\$1,304
Other Adjustments	3.2	-	-	305	-73	69
Net Totals, Salaries and Wages	19.3	16.1	16.1	\$1,579	\$1,231	\$1,373
Staff Benefits	-	-	-	783	766	841
Totals, Personal Services	19.3	16.1	16.1	\$2,362	\$1,997	\$2,214
OPERATING EXPENSES AND EQUIPMENT				\$919	\$1,834	\$1,834
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$3,281	\$3,831	\$4,048

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2019-20*	2020-21*	2021-22*
0171 California Debt and Investment Advisory Commission Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$3,244	\$3,803	\$3,868
Allocation for Employee Compensation	-	69	-
Allocation for Other Post-Employment Benefits	-	19	-
Allocation for Staff Benefits	-	5	-
Section 3.60 Pension Contribution Adjustment	-	-27	-
Section 3.90 Employee Compensation Reduction	-	-218	-
Totals Available	\$3,244	\$3,651	\$3,868
TOTALS, EXPENDITURES	\$3,244	\$3,651	\$3,868
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$37	\$180	\$180
TOTALS, EXPENDITURES	\$37	\$180	\$180
Total Expenditures, All Funds, (State Operations)	\$3,281	\$3,831	\$4,048

FUND CONDITION STATEMENTS

	2019-20*	2020-21*	2021-22*
0171 California Debt and Investment Advisory Commission Fund ^S			
BEGINNING BALANCE	\$5,500	\$6,023	\$5,352
Prior Year Adjustments	-14	-	-
Adjusted Beginning Balance	\$5,486	\$6,023	\$5,352
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0956 California Debt and Investment Advisory Commission - Continued

	2019-20*	2020-21*	2021-22*
4129200 Other Regulatory Fees	3,883	3,200	3,200
4163000 Investment Income - Surplus Money Investments	97	73	73
Total Revenues, Transfers, and Other Adjustments	\$3,980	\$3,273	\$3,273
Total Resources	\$9,466	\$9,296	\$8,625
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0956 California Debt and Investment Advisory Commission (State Operations)	3,244	3,651	3,868
9892 Supplemental Pension Payments (State Operations)	70	70	70
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	129	223	317
Total Expenditures and Expenditure Adjustments	\$3,443	\$3,944	\$4,255
FUND BALANCE	\$6,023	\$5,352	\$4,370
Reserve for economic uncertainties	6,023	5,352	4,370

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
Baseline Positions	16.1	16.1	16.1	\$1,274	\$1,304	\$1,304
Salary and Other Adjustments	3.2	-	-	305	-73	69
Totals, Adjustments	3.2	-	-	\$305	\$-73	\$69
TOTALS, SALARIES AND WAGES	19.3	16.1	16.1	\$1,579	\$1,231	\$1,373

0959 California Debt Limit Allocation Committee

The California Debt Limit Allocation Committee's mission is to allocate tax-exempt, private activity bond authority for the State of California. Private activity bonds may be used by the private sector for projects and programs that provide a public benefit, such as the creation of affordable housing.

The federal government limits the total amount of tax-exempt private activity bond authority that can be issued on an annual basis. Except for the Qualified Public Educational Facility Bond Program, the bond authority limit (debt limit) is calculated by multiplying the state population by \$105. California's limit totaled over \$4.1 billion in 2020. (California's limit for the Qualified Public Educational Facility Bond Program is calculated separately by multiplying the state population by \$10, and totaled \$395 million for 2020.)

The Committee's allocation of tax-exempt bond authority results in the issuance of bonds by cities, counties, joint powers authorities and state agencies. The bonds are purchased and used by the private sector and are not an obligation of the state or federal government.

The Committee administers five active programs that are funded through the allocation and issuance of tax-exempt private activity bonds. The Committee is funded on a fee-supported basis and is comprised of the State Treasurer (Chairperson), the Governor, or upon his designation, the Director of Finance, and the State Controller.

3-YEAR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
0810 California Debt Limit Allocation Committee	8.4	12.3	12.3	\$1,318	\$2,329	\$2,382
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	8.4	12.3	12.3	\$1,318	\$2,329	\$2,382
FUNDING				2019-20*	2020-21*	2021-22*
0169 California Debt Limit Allocation Committee Fund				\$1,318	\$2,329	\$2,382
TOTALS, EXPENDITURES, ALL FUNDS				\$1,318	\$2,329	\$2,382

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0959 California Debt Limit Allocation Committee - Continued**LEGAL CITATIONS AND AUTHORITY**

DEPARTMENT AUTHORITY

Government Code Section 8869.80 et seq.

DETAILED BUDGET ADJUSTMENTS

	2020-21*			2021-22*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Other Post-Employment Benefit Adjustments	\$-	\$11	-	\$-	\$11	-
• Section 3.90 Employee Compensation Reduction	-	-93	-	-	-	-
• Salary Adjustments	-	44	-	-	44	-
• Benefit Adjustments	-	2	-	-	2	-
• Retirement Rate Adjustments	-	-17	-	-	-17	-
Totals, Other Workload Budget Adjustments	\$-	\$-53	-	\$-	\$40	-
Totals, Workload Budget Adjustments	\$-	\$-53	-	\$-	\$40	-
Totals, Budget Adjustments	\$-	\$-53	-	\$-	\$40	-

PROGRAM DESCRIPTIONS

0810 - CALIFORNIA DEBT LIMIT ALLOCATION COMMITTEE

To lower the cost of financing, specified entities can issue various bonds, including mortgage revenue bonds (MRBs) that support below-market interest rate mortgage loans; and mortgage credit certificates (MCCs) that reduce a homebuyer's federal tax liability. Below is a summary of each program:

Qualified Residential Rental Project Program - Tax-exempt housing revenue bonds lower the cost for developers of multifamily rental housing, to acquire land and construct new housing, or purchase and rehabilitate existing housing. The developers produce affordable rental housing for low-income households by reducing rental rates charged to these individuals and families. To qualify, developers must elect either to reserve at least 20% of the units for households earning up to 50% AMI or reserve at least 40% of the units for households earning up to 60% AMI. Projects that receive an award of bond authority can apply for non-competitive four-percent tax credits using the California Debt Limit Allocation Committee/California Tax Credit Allocation Committee Joint Application.

Single-Family Housing Program - Tax-exempt MRBs or MCCs to assist first-time homebuyers with purchasing homes. Homebuyers may purchase single-family homes, either freestanding or detached, condominiums, or townhouses. Program participants must meet income limits and must purchase a home that falls within the program's purchase price limitations.

Industrial Development Bond Project Program - Small-Issue industrial development bonds are tax-exempt private activity bonds issued to assist manufacturing facilities finance capital expenditures. These bonds offer interest rate savings to small and midsize manufacturers in contrast to conventional loans, and help manufacturers retain and create new jobs within their communities.

Exempt Facility Program - Tax-exempt private activity bonds issued to finance any of the following facilities identified by the IRS as an exempt facility, including but not limited to: solid waste disposal and waste recycling facilities, mass commuting facilities, high-speed rail, energy and power generating facilities, and sustainable design facilities. Loan interest rate savings enable the project owners to charge lower customer rates, while assisting communities they serve to meet mandated requirements to protect and enhance the environment.

Qualified Public Educational Facility Bond Program - Tax-exempt private activity bonds issued to finance the construction, renovation, and furnishing of primary and secondary school facilities.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0959 California Debt Limit Allocation Committee - Continued**DETAILED EXPENDITURES BY PROGRAM**

		2019-20*	2020-21*	2021-22*
	PROGRAM REQUIREMENTS			
0810	CALIFORNIA DEBT LIMIT ALLOCATION COMMITTEE			
	State Operations:			
0169	California Debt Limit Allocation Committee Fund	\$1,318	\$2,329	\$2,382
	Totals, State Operations	\$1,318	\$2,329	\$2,382
	TOTALS, EXPENDITURES			
	State Operations	1,318	2,329	2,382
	Totals, Expenditures	\$1,318	\$2,329	\$2,382

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
PERSONAL SERVICES						
Baseline Positions	8.3	12.3	12.3	\$631	\$1,019	\$1,019
Other Adjustments	0.1	-	-	5	-16	44
Net Totals, Salaries and Wages	8.4	12.3	12.3	\$636	\$1,003	\$1,063
Staff Benefits	-	-	-	349	549	582
Totals, Personal Services	8.4	12.3	12.3	\$985	\$1,552	\$1,645
OPERATING EXPENSES AND EQUIPMENT				\$333	\$777	\$737
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$1,318	\$2,329	\$2,382

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2019-20*	2020-21*	2021-22*
0169 California Debt Limit Allocation Committee Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,318	\$2,382	\$2,382
Allocation for Employee Compensation	-	44	-
Allocation for Other Post-Employment Benefits	-	11	-
Allocation for Staff Benefits	-	2	-
Section 3.60 Pension Contribution Adjustment	-	-17	-
Section 3.90 Employee Compensation Reduction	-	-93	-
011 Budget Act appropriation (loan to the General Fund)	(-)	(4,000)	(-)
Totals Available	\$1,318	\$2,329	\$2,382
TOTALS, EXPENDITURES	\$1,318	\$2,329	\$2,382
Total Expenditures, All Funds, (State Operations)	\$1,318	\$2,329	\$2,382

FUND CONDITION STATEMENTS

	2019-20*	2020-21*	2021-22*
0169 California Debt Limit Allocation Committee Fund^s			
BEGINNING BALANCE	\$7,326	\$7,990	\$4,759
Prior Year Adjustments	257	-	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0959 California Debt Limit Allocation Committee - Continued

	2019-20*	2020-21*	2021-22*
Adjusted Beginning Balance	\$7,583	\$7,990	\$4,759
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129200 Other Regulatory Fees	1,886	3,169	3,169
4163000 Investment Income - Surplus Money Investments	132	110	110
Transfers and Other Adjustments			
Loan from California Debt Limit Allocation Committee Fund (0169) to General Fund (0001) per Item 0959-011-0169, Budget Act of 2020	-	-4,000	-
Total Revenues, Transfers, and Other Adjustments	\$2,018	-\$721	\$3,279
Total Resources	\$9,601	\$7,269	\$8,038
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0959 California Debt Limit Allocation Committee (State Operations)	1,318	2,329	2,382
9892 Supplemental Pension Payments (State Operations)	35	35	35
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	258	146	330
Total Expenditures and Expenditure Adjustments	\$1,611	\$2,510	\$2,747
FUND BALANCE	\$7,990	\$4,759	\$5,291
Reserve for economic uncertainties	7,990	4,759	5,291

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
Baseline Positions	8.3	12.3	12.3	\$631	\$1,019	\$1,019
Salary and Other Adjustments	0.1	-	-	5	-16	44
Totals, Adjustments	0.1	-	-	\$5	-\$16	\$44
TOTALS, SALARIES AND WAGES	8.4	12.3	12.3	\$636	\$1,003	\$1,063

0964 California Transportation Financing Authority

The California Transportation Financing Authority was established by Chapter 474, Statutes of 2009, to increase the construction of new capacity or improvements for the state transportation system in a manner that will help achieve the state's goals of reducing greenhouse gas, improving air quality, and conserving natural resources.

The Authority consists of seven members: the State Treasurer (Chairperson), the Director of the Department of Finance, the State Controller, the Director of Transportation, the Executive Director of the California Transportation Commission, a local agency representative appointed by the Senate Committee on Rules, and a local agency representative appointed by the Speaker of the Assembly.

3-YEAR EXPENDITURES AND POSITIONS [†]

	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
0820 California Transportation Financing Authority	-	-	-	\$-	\$-	\$-
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	-	-	-	\$-	\$-	\$-

[†] This budget display is for informational purposes only. Government Code section 64103 authorizes an Executive Director, however, the position is currently vacant.

LEGAL CITATIONS AND AUTHORITY

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0964 California Transportation Financing Authority - Continued

DEPARTMENT AUTHORITY

Government Code, Division 3 (commencing with Section 64100) of Title 6.7.

PROGRAM DESCRIPTIONS

0820 - CALIFORNIA TRANSPORTATION FINANCING AUTHORITY

The Authority was established to assist transportation agencies in obtaining financing to develop transportation projects. To meet this objective, statutes allow the Authority to issue, or to approve specified project sponsors to issue revenue bonds to fund transportation projects backed in whole or in part, by various revenue streams of transportation funds (including toll revenues). Statutes provide the authority to approve the imposition and collection of tolls on proposed transportation projects under certain conditions. The planning, programming, and allocation of transportation projects are performed by the California Transportation Commission and the California Department of Transportation, as appropriate.

0968 California Tax Credit Allocation Committee

The mission of the California Tax Credit Allocation Committee is to fairly allocate federal and state tax credits to create and maintain safe, quality affordable rental housing for low-income households in California. The Committee does this by forming partnerships with developers, investors and public entities.

The Committee assists development of rental housing projects for low-income families and monitors these projects for years after they are placed in service to ensure compliance with federal and state tax credit program requirements of the Committee. Coordinating with state and local housing fund providers, and with private investors, the Committee helps to create and maintain a supply of quality, affordable housing.

The Committee consists of seven members, including five voting members and two advisors. The voting members are the Treasurer (Chairperson of the Committee), the Governor (who may choose the Director of Finance as his or her representative), the State Controller, the Director of Housing and Community Development, and the Executive Director of the California Housing Finance Agency. The non-voting (advisory) members are two local government representatives. One local representative is associated with a city government and appointed by the Speaker of the Assembly. The other is a county government representative appointed by the Senate Rules Committee.

3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
0840	California Tax Credit Allocation Committee	47.3	62.1	62.1	\$7,874	\$12,268	\$12,590
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		47.3	62.1	62.1	\$7,874	\$12,268	\$12,590
FUNDING					2019-20*	2020-21*	2021-22*
0448	Occupancy Compliance Monitoring Account, Tax Credit Allocation Fee Account				\$4,486	\$6,689	\$6,882
0457	Tax Credit Allocation Fee Account				3,261	5,424	5,553
0995	Reimbursements				127	155	155
TOTALS, EXPENDITURES, ALL FUNDS					\$7,874	\$12,268	\$12,590

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Internal Revenue Code Section 42; California Health and Safety Code Sections 50199.4 through 50199.22; and California Revenue and Taxation Code Sections 12205, 12206, 17057.5, 17058, 23610.4, and 23610.5; Federal Tax Reform Act of 1986, Section 252 of Public Law No. 99-514 (October 22, 1986); Chapter 658, California Statutes of 1987, as amended; Chapter 1138, California Statutes of 1987, as amended; Internal Revenue Code, Title 26, Subtitle A, Chapter 1, Subchapter X, Section 1400E et seq., as amended.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0968 California Tax Credit Allocation Committee - Continued**DETAILED BUDGET ADJUSTMENTS**

	2020-21*			2021-22*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Other Post-Employment Benefit Adjustments	\$-	\$58	-	\$-	\$58	-
• Section 3.90 Employee Compensation Reduction	-	-471	-	-	-	-
• Salary Adjustments	-	218	-	-	218	-
• Benefit Adjustments	-	14	-	-	13	-
• Retirement Rate Adjustments	-	-84	-	-	-84	-
Totals, Other Workload Budget Adjustments	\$-	\$-265	-	\$-	\$205	-
Totals, Workload Budget Adjustments	\$-	\$-265	-	\$-	\$205	-
Totals, Budget Adjustments	\$-	\$-265	-	\$-	\$205	-

PROGRAM DESCRIPTIONS**0840 - CALIFORNIA TAX CREDIT ALLOCATION COMMITTEE****The Federal Program**

Congress authorized the federal Low-Income Housing Tax Credit (LIHTC) program in 1986. It replaced traditional housing tax incentives, such as accelerated depreciation, with a tax credit that enables developers of affordable rental housing to raise project equity through the "sale" of tax benefits to investors. The federal per-capita tax credit ceiling is calculated annually and indexed for inflation.

Two types of federal tax credits are available, generally referred to as 9-percent and 4-percent credits. These terms refer to the percentage of a project's "qualified basis" an investor in LIHTC projects may deduct from his or her federal income tax liability annually in each of ten years. A project's qualified basis is the portion of the total project cost used to calculate the amount of the tax credit and typically excludes non-depreciable costs such as the purchase of land. The affordable housing project sponsor uses or sells ten times the project's tax-credit allocation amount because investors can take the annual tax credit each year for a ten-year period.

The federal per-capita tax credit ceiling for 9-percent credits is calculated annually and indexed for inflation. For 2020, each state has an annual housing credit ceiling of \$2.81 per state resident. Additionally, each state may qualify annually for a share of unused credits in a national pool.

Developments financed with tax-exempt bond proceeds may receive the 4% federal tax credit. The sponsors of such projects must apply to the Committee and must conform to applicable federal and state statutory and regulatory requirements, but there is no annual cap on the amount of credit that may be awarded by the state to such developments.

Under federal law, credit projects must remain affordable for at least 30 years. However, California's program generally requires maintaining affordability for 55 years. Land use agreements are recorded against each credit project to ensure compliance.

The State LIHTC Program

In 1987, recognizing the high cost of housing in California, the Legislature authorized the state's program to augment the federal program. Housing projects receiving (or that have previously received) an allocation of federal tax credits may apply for an allocation of state tax credits. Projects serving farmworkers may apply for state tax credits regardless of their federal tax credit status.

The annual state credit ceiling is indexed for inflation, and for 2020, was \$102.7 million. Investors take the state tax credit annually over a four-year period in contrast to the ten-year federal period.

Chapter 159, Statutes of 2019, authorized the California Tax Credit Allocation Committee and the California Debt Limit Allocation Committee to issue an additional \$500 million in state tax credits in 2020 to be paired with the 4% federal program to bolster new construction of low income housing. Per AB 83, this \$500 million tax credit will be issued in 2021 pursuant to an authorization in the annual Budget Act or related legislation.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0968 California Tax Credit Allocation Committee - Continued

Farmworker Housing Program

Chapter 521, Statutes of 2008, folded the previous Farmworker Housing Assistance Tax Credit program into the general state LIHTC program as a \$500,000 annual set-aside in support of farmworker housing. Pursuant to Chapter 372, Statutes of 2017, these credits differ from other state credits in that the credit percentage for tax-exempt bond financed projects is higher and projects may receive state credits even if they receive a federal tax credit basis increase.

DETAILED EXPENDITURES BY PROGRAM

		<u>2019-20*</u>	<u>2020-21*</u>	<u>2021-22*</u>
PROGRAM REQUIREMENTS				
0840 CALIFORNIA TAX CREDIT ALLOCATION COMMITTEE				
State Operations:				
0448	Occupancy Compliance Monitoring Account, Tax Credit Allocation Fee Account	\$4,486	\$6,689	\$6,882
0457	Tax Credit Allocation Fee Account	3,198	5,234	5,363
0995	Reimbursements	127	155	155
	Totals, State Operations	\$7,811	\$12,078	\$12,400
Local Assistance:				
0457	Tax Credit Allocation Fee Account	\$63	\$190	\$190
	Totals, Local Assistance	\$63	\$190	\$190
TOTALS, EXPENDITURES				
	State Operations	7,811	12,078	12,400
	Local Assistance	63	190	190
	Totals, Expenditures	\$7,874	\$12,268	\$12,590

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	<u>2019-20</u>	<u>2020-21</u>	<u>2021-22</u>	<u>2019-20*</u>	<u>2020-21*</u>	<u>2021-22*</u>
PERSONAL SERVICES						
Baseline Positions	51.1	62.1	62.1	\$3,675	\$4,795	\$4,795
Other Adjustments	-3.8	-	-	-271	-89	218
Net Totals, Salaries and Wages	47.3	62.1	62.1	\$3,404	\$4,706	\$5,013
Staff Benefits	-	-	-	1,999	2,725	2,890
Totals, Personal Services	47.3	62.1	62.1	\$5,403	\$7,431	\$7,903
OPERATING EXPENSES AND EQUIPMENT				\$2,408	\$4,647	\$4,497
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$7,811	\$12,078	\$12,400

2 Local Assistance	Expenditures		
	<u>2019-20*</u>	<u>2020-21*</u>	<u>2021-22*</u>
Grants and Subventions - Governmental	\$63	\$190	\$190
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$63	\$190	\$190

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2019-20*	2020-21*	2021-22*
0448 Occupancy Compliance Monitoring Account, Tax Credit Allocation Fee Account			
APPROPRIATIONS			

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0968 California Tax Credit Allocation Committee - Continued

	2019-20*	2020-21*	2021-22*
1 STATE OPERATIONS			
001 Budget Act appropriation	\$4,486	\$6,838	\$6,882
Allocation for Employee Compensation	-	122	-
Allocation for Other Post-Employment Benefits	-	32	-
Allocation for Staff Benefits	-	8	-
Section 3.60 Pension Contribution Adjustment	-	-47	-
Section 3.90 Employee Compensation Reduction	-	-264	-
011 Budget Act appropriation (loan to the General Fund)	(-)	(60,000)	(-)
Totals Available	\$4,486	\$6,689	\$6,882
TOTALS, EXPENDITURES	\$4,486	\$6,689	\$6,882
0457 Tax Credit Allocation Fee Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$3,198	\$5,350	\$5,363
Allocation for Employee Compensation	-	96	-
Allocation for Other Post-Employment Benefits	-	26	-
Allocation for Staff Benefits	-	6	-
Section 3.60 Pension Contribution Adjustment	-	-37	-
Section 3.90 Employee Compensation Reduction	-	-207	-
011 Budget Act appropriation (loan to the General Fund)	(-)	(60,000)	(-)
Totals Available	\$3,198	\$5,234	\$5,363
TOTALS, EXPENDITURES	\$3,198	\$5,234	\$5,363
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$127	\$155	\$155
TOTALS, EXPENDITURES	\$127	\$155	\$155
Total Expenditures, All Funds, (State Operations)	\$7,811	\$12,078	\$12,400
2 LOCAL ASSISTANCE			
0457 Tax Credit Allocation Fee Account			
APPROPRIATIONS			
Health and Safety Code section 50199.9(b)	\$63	\$190	\$190
Totals Available	\$63	\$190	\$190
TOTALS, EXPENDITURES	\$63	\$190	\$190
Total Expenditures, All Funds, (Local Assistance)	\$63	\$190	\$190
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$7,874	\$12,268	\$12,590

FUND CONDITION STATEMENTS

	2019-20*	2020-21*	2021-22*
0448 Occupancy Compliance Monitoring Account, Tax Credit Allocation Fee Account^S			
BEGINNING BALANCE	\$27,752	\$91,777	\$31,868
Prior Year Adjustments	20	-	-
Adjusted Beginning Balance	\$27,772	\$91,777	\$31,868
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129200 Other Regulatory Fees	5,778	6,652	6,652
4150500 Interest Income - Interfund Loans	4,598	-	-
4163000 Investment Income - Surplus Money Investments	1,593	590	590
Transfers and Other Adjustments			
Loan Repayment from the General Fund (0001) to the Occupancy Compliance Monitoring Account (0448), per Item 0968-001-0448, Budget Act of 2015	57,000	-	-

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0968 California Tax Credit Allocation Committee - Continued

	2019-20*	2020-21*	2021-22*
Loan from Occupancy Compliance Monitoring Account (0448) to General Fund (0001) per Item 0968-011-0448, Budget Act of 2020	-	-60,000	-
Total Revenues, Transfers, and Other Adjustments	\$68,969	-\$52,758	\$7,242
Total Resources	\$96,741	\$39,019	\$39,110
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0968 California Tax Credit Allocation Committee (State Operations)	4,486	6,689	6,882
8880 Financial Information System for California (State Operations)	-1	-	-
9892 Supplemental Pension Payments (State Operations)	97	97	97
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	382	365	533
Total Expenditures and Expenditure Adjustments	\$4,964	\$7,151	\$7,512
FUND BALANCE	\$91,777	\$31,868	\$31,598
Reserve for economic uncertainties	91,777	31,868	31,598
0457 Tax Credit Allocation Fee Account^s			
BEGINNING BALANCE	\$46,075	\$94,033	\$42,802
Prior Year Adjustments	-68	-	-
Adjusted Beginning Balance	\$46,007	\$94,033	\$42,802
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129200 Other Regulatory Fees	10,640	12,152	12,152
4150500 Interest Income - Interfund Loans	3,928	-	-
4163000 Investment Income - Surplus Money Investments	1,999	2,309	2,309
Transfers and Other Adjustments			
Loan Repayment from the General Fund (0001) to the Tax Credit Allocation Fee Account (0457), per Item 0968-001-0457, Budget Act of 2015	35,000	-	-
Loan from Tax Credit Allocation Fee Account (0457) to General Fund (0001) per Item 0968-011-0457, Budget Act of 2020	-	-60,000	-
Total Revenues, Transfers, and Other Adjustments	\$51,567	-\$45,539	\$14,461
Total Resources	\$97,574	\$48,494	\$57,263
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0968 California Tax Credit Allocation Committee (State Operations)	3,198	5,234	5,363
0968 California Tax Credit Allocation Committee (Local Assistance)	63	190	190
9892 Supplemental Pension Payments (State Operations)	58	58	58
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	222	210	431
Total Expenditures and Expenditure Adjustments	\$3,541	\$5,692	\$6,042
FUND BALANCE	\$94,033	\$42,802	\$51,221
Reserve for economic uncertainties	94,033	42,802	51,221

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
Baseline Positions	51.1	62.1	62.1	\$3,675	\$4,795	\$4,795
Salary and Other Adjustments	-3.8	-	-	-271	-89	218
Totals, Adjustments	-3.8	-	-	\$-271	\$-89	\$218
TOTALS, SALARIES AND WAGES	47.3	62.1	62.1	\$3,404	\$4,706	\$5,013

0971 California Alternative Energy and Advanced Transportation Financing Authority

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0971 California Alternative Energy and Advanced Transportation Financing Authority - Continued

The California Alternative Energy and Advanced Transportation Financing Authority was established by Chapter 908, Statutes of 1980, to promote the prompt and efficient development of energy sources which are renewable or which more efficiently utilize and conserve scarce energy resources. Recognizing the importance of developing a secure energy future to protect the environment and ensure economic stability, the Authority advances the state's goals of reducing greenhouse gas emissions by providing financing options to assist industries in increasing the deployment of sustainable and renewable energy sources, implementing measures that increase the efficiency of the use of energy, creating high quality employment opportunities, and lessening the state's dependence on fossil fuels. To support these goals, the Authority provides financing and credit enhancements to promote the establishment of facilities that use alternative methods and sources of energy, and facilities needed for the development and commercialization of advanced transportation technologies.

The Authority consists of five members: the State Treasurer, who serves as the chairperson, the State Controller, the Director of the Department of Finance, the Chairperson of the State Energy Resources Conservation and Development Commission, and the President of the Public Utilities Commission.

3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
0850	California Alternative Energy and Advanced Transportation Financing Authority	19.1	10.0	10.0	\$4,168	\$6,502	\$7,822
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		19.1	10.0	10.0	\$4,168	\$6,502	\$7,822
FUNDING		2019-20*			2020-21*	2021-22*	
0465	Energy Resources Programs Account	\$244			\$511	\$525	
0995	Reimbursements	2,954			4,031	5,387	
9332	California Alternative Energy Authority Fund	970			1,960	1,910	
TOTALS, EXPENDITURES, ALL FUNDS		\$4,168			\$6,502	\$7,822	

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Public Resources Code Sections 26000-26082.

DETAILED BUDGET ADJUSTMENTS

		2020-21*			2021-22*		
		General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments							
Other Workload Budget Adjustments							
• Other Post-Employment Benefit Adjustments		\$-	\$9	-	\$-	\$9	-
• Section 3.90 Employee Compensation Reduction		-	-177	-	-	-	-
• Salary Adjustments		-	31	-	-	31	-
• Benefit Adjustments		-	1	-	-	1	-
• Retirement Rate Adjustments		-	-13	-	-	-13	-
Totals, Other Workload Budget Adjustments		\$-	\$-149	-	\$-	\$28	-
Totals, Workload Budget Adjustments		\$-	\$-149	-	\$-	\$28	-
Totals, Budget Adjustments		\$-	\$-149	-	\$-	\$28	-

PROGRAM DESCRIPTIONS

0850 - ALTERNATIVE ENERGY AND ADVANCED TRANSPORTATION FINANCING AUTHORITY

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0971 California Alternative Energy and Advanced Transportation Financing Authority - Continued

Financial assistance can take the form of loans, loan loss reserves, interest rate reductions, proceeds of bonds issued by the Authority, loan guarantees or other credit enhancements, contributions of money, or a combination thereof, as determined and approved by resolution of the Authority.

The Authority is a conduit issuer of tax-exempt private activity bonds to finance local district heating or cooling facilities, and private activity bonds for 501(c)(3) non-profits. Past issuances total more than \$212 million in bond financing for green projects in California.

In 2010, the Authority launched a sales and use tax exclusion (STE) program for advanced transportation and alternative source manufacturing in California (Chapter 10, Statutes of 2010). The STE program expanded (Chapter 677, Statutes of 2012) to include advanced manufacturing, with an annual cap of \$100 million available for STE awards. Chapter 768, Statutes of 2015, further expanded the program to also include equipment primarily used to process recycled feedstock and equipment that primarily utilizes recycled feedstock in the production of another product. In 2019, Chapter 690, Statutes of 2019, extended the STE program sunset date to January 1, 2026, and Chapter 672, Statutes of 2019, expanded the job creation-related criteria by which CAEATFA must evaluate applications. The objective of this program is to promote the creation of California-based manufacturing, businesses, and jobs that are aimed at reducing greenhouse gases, air and water pollution, and energy consumption.

Legislation in 2010 (Chapter 15, Statutes of 2010), 2011 (Chapter 9, Statutes of 2011, First Extraordinary Session), and 2013 (Chapter 356, Statutes of 2013), authorized financial assistance to encourage energy efficiency retrofits. The Authority developed a loan loss reserve program for residential whole house energy efficiency and renewable energy projects, and a risk mitigation program for residential Property Assessed Clean Energy programs in California. Programs to support low-interest financing of Property Assessed Clean Energy efficiency projects in commercial buildings are in discussion.

The Budget Act of 2014 authorized administration of the California Hub for Energy Efficiency Financing on behalf of, and in collaboration with, the California Public Utilities Commission to develop and launch energy efficiency financing pilot programs to encourage and leverage private capital lending (in both the residential and commercial sectors). These programs employ various types of credit enhancements, lower costs and expand access to capital for Californians making energy efficiency improvements to help achieve the state's energy efficiency and decarbonization goals. The residential pilot, launched in 2016, has now transitioned to a full program.

DETAILED EXPENDITURES BY PROGRAM

		2019-20*	2020-21*	2021-22*
PROGRAM REQUIREMENTS				
0850	CALIFORNIA ALTERNATIVE ENERGY AND ADVANCED TRANSPORTATION FINANCING AUTHORITY			
	State Operations:			
0465	Energy Resources Programs Account	\$244	\$511	\$525
0995	Reimbursements	2,954	4,031	5,387
9332	California Alternative Energy Authority Fund	970	1,960	1,910
	Totals, State Operations	\$4,168	\$6,502	\$7,822
TOTALS, EXPENDITURES				
	State Operations	4,168	6,502	7,822
	Totals, Expenditures	\$4,168	\$6,502	\$7,822

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
PERSONAL SERVICES						
Baseline Positions	9.0	10.0	10.0	\$1,533	\$1,570	\$1,570
Other Adjustments	10.1	-	-	-183	-76	31
Net Totals, Salaries and Wages	19.1	10.0	10.0	\$1,350	\$1,494	\$1,601
Staff Benefits	-	-	-	777	801	871
Totals, Personal Services	19.1	10.0	10.0	\$2,127	\$2,295	\$2,472

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0971 California Alternative Energy and Advanced Transportation Financing Authority - Continued

1 State Operations	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
OPERATING EXPENSES AND EQUIPMENT				\$2,041	\$4,207	\$5,350
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$4,168	\$6,502	\$7,822

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2019-20*	2020-21*	2021-22*
0465 Energy Resources Programs Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$244	\$520	\$525
Allocation for Employee Compensation	-	6	-
Allocation for Other Post-Employment Benefits	-	2	-
Section 3.60 Pension Contribution Adjustment	-	-3	-
Section 3.90 Employee Compensation Reduction	-	-14	-
Totals Available	\$244	\$511	\$525
TOTALS, EXPENDITURES	\$244	\$511	\$525
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$2,954	\$4,031	\$5,387
TOTALS, EXPENDITURES	\$2,954	\$4,031	\$5,387
9332 California Alternative Energy Authority Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$970	\$1,997	\$1,910
Allocation for Employee Compensation	-	25	-
Allocation for Other Post-Employment Benefits	-	7	-
Allocation for Staff Benefits	-	1	-
Section 3.60 Pension Contribution Adjustment	-	-10	-
Section 3.90 Employee Compensation Reduction	-	-60	-
Totals Available	\$970	\$1,960	\$1,910
TOTALS, EXPENDITURES	\$970	\$1,960	\$1,910
Total Expenditures, All Funds, (State Operations)	\$4,168	\$6,502	\$7,822

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
Baseline Positions	9.0	10.0	10.0	\$1,533	\$1,570	\$1,570
Salary and Other Adjustments	10.1	-	-	-183	-76	31
Totals, Adjustments	10.1	-	-	\$-183	\$-76	\$31
TOTALS, SALARIES AND WAGES	19.1	10.0	10.0	\$1,350	\$1,494	\$1,601

0974 California Pollution Control Financing Authority

California Pollution Control Financing Authority is committed to stimulating local economies in California by making capital more accessible to small businesses, and delivering innovative financing for projects that protect and restore the environment. The Authority was created to assist the solid waste, recycling, water, and wastewater industries through its Pollution Control Tax-Exempt Bond Program. Over the last twenty-six years, the Authority has evolved to provide financing assistance to California's

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0974 California Pollution Control Financing Authority - Continued

small business sector through its California Capital Access Program (CalCAP), and to promote the reuse and redevelopment of brownfields through the California Recycle Underutilized Sites Program (CALReUSE).

The Authority consists of the State Treasurer (Chairperson), the State Controller, and the Director of the Department of Finance.

3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
0860	Pollution Control Tax-Exempt Bond Program	5.1	6.0	6.0	\$1,221	\$1,157	\$1,210
0865	Capital Access Program for Small Businesses	17.3	18.3	18.3	2,026	20,147	20,327
0870	California Recycle Underutilized Sites Program	3.1	3.0	3.0	1,127	1,337	1,366
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		25.5	27.3	27.3	\$4,374	\$22,641	\$22,903
FUNDING							
				2019-20*	2020-21*	2021-22*	
0930	Pollution Control Financing Authority Fund			\$4,374	\$22,641	\$22,903	
TOTALS, EXPENDITURES, ALL FUNDS				\$4,374	\$22,641	\$22,903	

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Health and Safety Code Sections 44500-44563.

DETAILED BUDGET ADJUSTMENTS

		2020-21*			2021-22*		
		General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments							
Other Workload Budget Adjustments							
• Other Post-Employment Benefit Adjustments		\$-	\$25	-	\$-	\$25	-
• Section 3.90 Employee Compensation Reduction		-	-263	-	-	-	-
• Salary Adjustments		-	97	-	-	97	-
• Benefit Adjustments		-	6	-	-	5	-
• Retirement Rate Adjustments		-	-35	-	-	-35	-
Totals, Other Workload Budget Adjustments		\$-	\$-170	-	\$-	\$92	-
Totals, Workload Budget Adjustments		\$-	\$-170	-	\$-	\$92	-
Totals, Budget Adjustments		\$-	\$-170	-	\$-	\$92	-

PROGRAM DESCRIPTIONS

0860 - POLLUTION CONTROL TAX-EXEMPT BOND PROGRAM

The objective of this program is to provide bond financing to California businesses, irrespective of company size, for the acquisition, construction, or installation of qualified pollution control, waste disposal, water furnishing, wastewater treatment, waste recovery facilities, and the acquisition and installation of new equipment. Tax-exempt financing issued by the Authority assists municipalities in complying with waste diversion mandates of the California Integrated Waste Management Act of 1989, the California Global Warming Solutions Act of 2006, and more recently, Assembly Bill 1826 (Chesbro, Chapter 727, Statutes of 2014), and Senate Bill 1383 (Lara, Chapter 395, Statutes of 2016). As of June 30, 2020, bonds totaling approximately \$16.4 billion have been issued by the Authority.

0865 - CALIFORNIA CAPITAL ACCESS PROGRAM FOR SMALL BUSINESSES

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0974 California Pollution Control Financing Authority - Continued

The objective of this program is to inspire banks and other financial institutions to make loans to small businesses. CalCAP for Small Business provides a form of loan loss recovery with up to 100 percent coverage on certain loan defaults. By participating in CalCAP, lenders have available to them a proven financing mechanism to assist the financing needs of California's small businesses. The program works through the creation of a loan loss-reserve fund specific to each participating financial institution. The Authority, financial institution, and borrower all contribute to fund the reserve. The Authority provides additional incentives for lending to businesses located in underserved communities and disaster areas. The reserve serves to reduce loan-loss risk, encouraging banks to lend to targeted California small businesses.

Prior to 2010, CalCAP was entirely self-funded with \$38 million transferred from CPCFA's Small Business Assistance Fund (SBAF) established with fees collected from large companies financed through CPCFA's tax-exempt bond program. In October 2010, Chapter 731, Statutes of 2010, appropriated \$6 million from the General Fund to CalCAP to increase lending efforts. The \$6 million appropriation was fully-expended by the end of 2018 allowing the annual recapture of contributions to become the primary source for the State's contributions.

In 2011 California was awarded an allocation of federal funds in the amount of \$168 million from the federal State Small Business Credit Initiative (SSBCI). The allocation was shared between the Authority, \$85 million, and the Governor's Office of Business and Economic Development (GO-Biz), \$83 million. These funds enabled the Authority to expand the availability of its CalCAP for Small Business statewide. The Authority also launched the Collateral Support Program to offset lenders resistance to lend after the 2008 recession. The federal funds were expended by the fourth quarter of 2018, allowing for all recaptured funds to stay with its state. Funds are being recaptured annually to sustain both the State CalCAP for Small Business and the Collateral Support Program.

Utilizing the CalCAP model, the Authority partnered with the California Air Resources Board (ARB) to assist truck and bus owners in meeting new clean air requirements by directing a total of \$215.9 million in Air Quality Improvement Program funds to incentivize lending for cleaner vehicles that comply with ARB's Statewide Truck and Bus Rule. The Authority also partnered with the California Energy Commission to implement a \$2 million pilot program to provide credit enhancements and rebates to small business borrowers and multi-unit dwellings to install electric vehicle charging stations.

0870 - CALIFORNIA RECYCLE UNDERUTILIZED SITES PROGRAM

The CALReUSE program assists with the reuse and redevelopment of underutilized properties with real or perceived contamination issues. CALReUSE addresses a funding and information gap in the development of contaminated properties (brownfields) to help bring these properties into productive reuse. Beginning in 2000, the CALReUSE Assessment Program provided forgivable loans of up to \$300,000 which was later increased to \$500,000 per brownfield site. The funds assisted with brownfield site assessment and characterization, technical assistance, and the development of remedial action plans. Eligible projects included sites with potential beneficial reuse not currently redeveloped due to lack of information about real or perceived contamination, uncertainty about clean-up costs, or concerns regarding time frames and the regulatory process.

Additionally, Proposition 1C, the Housing and Emergency Shelter Trust Fund Act of 2006, included provisions to fund brownfield cleanup that resulted in the expansion of the CALReUSE Program. The CALReUSE Remediation Program received \$60 million in Proposition 1C funds and provided loans and grants up to \$5 million per project for brownfield remediation that promoted affordable infill residential and mixed-used developments. In one instance, CALReUSE provided a grant in excess of \$5 million in order to utilize returned funds. All CALReUSE Program funds have been awarded. The CALReUSE Program's \$60 million investment in cleanup of contaminated sites will result in 5,587 housing units, of which more than 47% will be affordable, in 30 California communities.

0875 - ALTERNATIVE FINANCING PROGRAMS

Under its authority to provide alternative methods of financing facilities for pollution control, clean water supply and alternative energy production, the Authority is developing alternative financing models to assist sister agencies with achieving the state's environmental goals. The Authority is authorized to receive and accept contributions from other sources including federal and state agencies to carry out the purposes of its statutory authority.

0876 - CALIFORNIA CAPITAL ACCESS PROGRAM FOR COMPLIANCE WITH THE AMERICANS WITH DISABILITIES ACT

The objective of this program, created by Chapter 787, Statutes of 2015, is to help small businesses comply with the federal Americans with Disabilities Act and increase access for employees and customers by encouraging lenders and other financial institutions to make loans to very small businesses to finance tenant improvements. The Legislature provided the Authority an appropriation of \$10 million effective January 1, 2016, to administer a credit enhancement program following the CalCAP model incentivizing lenders to enroll qualifying loans. The program also offers borrowers a rebate toward the cost of the Certified Access Specialist (CASP) Report.

0877 - CALIFORNIA SEISMIC SAFETY CAPITAL ACCESS LOAN PROGRAM

The California Seismic Safety Capital Access Loan Program, authorized by Chapter 32, Statutes of 2016, provided a one-time allocation of \$10 million effective January 1, 2017 to administer a credit enhancement program for qualified small businesses

*** Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.**

0974 California Pollution Control Financing Authority - Continued

and residential property owners (including multi-unit dwellings and mobile homes registered by the California Department of Housing and Community Development) for costs related to seismic retrofitting. The Program encourages banks and other financial institutions to participate by creating loan loss reserve accounts for lenders that enroll qualifying loans into the program.

DETAILED EXPENDITURES BY PROGRAM

		2019-20*	2020-21*	2021-22*
	PROGRAM REQUIREMENTS			
0860	POLLUTION CONTROL TAX-EXEMPT BOND PROGRAM			
	State Operations:			
0930	Pollution Control Financing Authority Fund	\$1,221	\$1,157	\$1,210
	Totals, State Operations	\$1,221	\$1,157	\$1,210
	PROGRAM REQUIREMENTS			
0865	CAPITAL ACCESS PROGRAM FOR SMALL BUSINESSES			
	State Operations:			
0930	Pollution Control Financing Authority Fund	\$2,026	\$20,147	\$20,327
	Totals, State Operations	\$2,026	\$20,147	\$20,327
	PROGRAM REQUIREMENTS			
0870	CALIFORNIA RECYCLE UNDERUTILIZED SITES PROGRAM			
	State Operations:			
0930	Pollution Control Financing Authority Fund	\$1,127	\$1,337	\$1,366
	Totals, State Operations	\$1,127	\$1,337	\$1,366
	TOTALS, EXPENDITURES			
	State Operations	4,374	22,641	22,903
	Totals, Expenditures	\$4,374	\$22,641	\$22,903

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
PERSONAL SERVICES						
Baseline Positions	27.3	27.3	27.3	\$1,930	\$1,988	\$1,988
Other Adjustments	-1.8	-	-	-131	-35	97
Net Totals, Salaries and Wages	25.5	27.3	27.3	\$1,799	\$1,953	\$2,085
Staff Benefits	-	-	-	1,041	1,268	1,392
Totals, Personal Services	25.5	27.3	27.3	\$2,840	\$3,221	\$3,477
OPERATING EXPENSES AND EQUIPMENT				\$1,534	\$19,420	\$19,426
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$4,374	\$22,641	\$22,903

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2019-20*	2020-21*	2021-22*
0930 Pollution Control Financing Authority Fund			
APPROPRIATIONS			
Health and Safety Code section 44526	\$4,374	\$20,369	\$20,461
Allocation for Employee Compensation	-	97	-
Allocation for Other Post-Employment Benefits	-	25	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0974 California Pollution Control Financing Authority - Continued

1 STATE OPERATIONS	2019-20*	2020-21*	2021-22*
Allocation for Staff Benefits	-	6	-
Section 3.60 Pension Contribution Adjustment	-	-35	-
Section 3.90 Employee Compensation Reduction	-	-263	-
Health and Safety Code section 44526 (California Capital Access Program for Small Businesses)	-	2,442	2,442
Totals Available	\$4,374	\$22,641	\$22,903
TOTALS, EXPENDITURES	\$4,374	\$22,641	\$22,903
Total Expenditures, All Funds, (State Operations)	\$4,374	\$22,641	\$22,903

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
Baseline Positions	27.3	27.3	27.3	\$1,930	\$1,988	\$1,988
Salary and Other Adjustments	-1.8	-	-	-131	-35	97
Totals, Adjustments	-1.8	-	-	\$-131	\$-35	\$97
TOTALS, SALARIES AND WAGES	25.5	27.3	27.3	\$1,799	\$1,953	\$2,085

0977 California Health Facilities Financing Authority

The mission of the California Health Facilities Financing Authority is to help eligible and credit worthy nonprofit and public health facilities reduce their cost of capital, and promote health care improvement and cost containment objectives by providing cost-effective tax-exempt bond, low-cost loan, and direct grant programs.

The Authority was established by Chapter 1033, Statutes of 1979 (AB 1558), and consists of nine members: the State Treasurer, the Director of the Department of Finance, the State Controller, two public members appointed by the Senate, two public members appointed by the Assembly, and two public members appointed by the Governor.

3-YEAR EXPENDITURES AND POSITIONS [†]

	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
0880 Children's Hospital Program	7.5	7.5	7.5	\$345,154	\$534,388	\$116,388
0885 Health Facilities Grants and Loans	15.5	15.5	15.5	9,295	9,134	9,368
0890 Mental Health Wellness Grants	-	-	-	124,113	140,123	144,000
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	23.0	23.0	23.0	\$478,562	\$683,645	\$269,756

FUNDING	2019-20*	2020-21*	2021-22*
0001 General Fund	\$66,060	\$1,222	\$-
0904 California Health Facilities Financing Authority Fund	9,295	9,134	9,368
3085 Mental Health Services Fund	4,318	30,949	4,000
3357 The Supportive Housing Program Subaccount, Mental Health Services Fund	53,735	107,952	140,000
6046 Childrens Hospital Fund	40,362	40,362	40,362
6079 Childrens Hospital Bond Act Fund	75,434	75,444	75,444
6090 Children's Hospital Bond Act Fund of 2018	229,358	418,582	582
TOTALS, EXPENDITURES, ALL FUNDS	\$478,562	\$683,645	\$269,756

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0977 California Health Facilities Financing Authority - Continued

† Fiscal year 2019-20 budget information reflects the latest available estimates for this department and/or fund(s). Changes resulting from the final reconciliation of the 2019-20 ending fund balance will be reflected as a prior year adjustment in the 2022-23 Governor's Budget publication.

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code Sections 15430-15463. Health and Safety Code Sections 1179.10-1179.43, 1179.50-1179.72, and 1179.81-1179.102. Welfare and Institutions Code Sections 5848.5-5848.6, and 5849.1-5849.15, and 5890-5892.

DETAILED BUDGET ADJUSTMENTS

	2020-21*			2021-22*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• No Place Like Home Program Service Contract Payments per WIC Section 5890(f)	\$-	\$46,676	-	\$-	\$100,792	-
• Other Post-Employment Benefit Adjustments	-	23	-	-	23	-
• Section 3.90 Employee Compensation Reduction	-	-235	-	-	-	-
• Salary Adjustments	-	81	-	-	81	-
• Benefit Adjustments	-	6	-	-	5	-
• Carryover/Reappropriation	1,222	-	-	-	-	-
• Retirement Rate Adjustments	-	-33	-	-	-33	-
• Miscellaneous Baseline Adjustments	-	26,276	-	-	-26,537	-
Totals, Other Workload Budget Adjustments	\$1,222	\$72,794	-	\$-	\$74,331	-
Totals, Workload Budget Adjustments	\$1,222	\$72,794	-	\$-	\$74,331	-
Totals, Budget Adjustments	\$1,222	\$72,794	-	\$-	\$74,331	-

PROGRAM DESCRIPTIONS

0880 - CHILDREN'S HOSPITAL PROGRAM

In 2004, California voters approved Proposition 61, which established the Children's Hospital Program. In 2008, Proposition 3 established the second Children's Hospital Program. In 2018, Proposition 4 established a third Children's Hospital Program. The purpose of the three programs is to improve the health and welfare of California's critically ill children by providing a stable and ready source of funds for capital improvement projects for children's hospitals and, under Proposition 4, hospitals that provide pediatric services for children eligible for California Children's Services. Children's Hospital Program grant funding is derived from the issuance of general obligation bonds, up to \$750 million under Proposition 61, \$980 million under Proposition 3, and \$1.5 billion under Proposition 4.

0885 - HEALTH FACILITIES BONDS, LOANS, AND GRANTS

The Authority serves as a conduit provider of tax-exempt bonds and a direct provider of financial assistance to health institutions.

Tax-Exempt Bond Program

Bonds issued under this conduit program are not a debt, liability, or a pledge of the full faith and credit of the taxing power of the state or any of its political subdivisions. The full faith and credit of the participating institutions are pledged for repayment of the bonds. To qualify for funding, the proposed project must be a health facility, operated by a private nonprofit corporation or association, city, city and county, county, or hospital district.

HELP II Loan Program

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0977 California Health Facilities Financing Authority - Continued

The Authority administers the Healthcare Expansion Loan Program II (HELP II) to assist small and rural health facilities in obtaining financing for their capital needs. The HELP II Program provides two percent and three percent fixed interest rate loans of up to \$1.5 million to California's nonprofit small and rural health facilities in an efficient, timely, and cost effective manner. HELP II loans may be used to purchase or construct new facilities, remodel or renovate existing facilities, purchase equipment and/or furnishings, and refinance existing debt.

0890 - MENTAL HEALTH WELLNESS GRANTS

- Investment in Mental Health Wellness Act of 2013 Grant Program

Chapter 34, Statutes of 2013 (SB 82), the Investment in Mental Health Wellness Act of 2013 and related legislation provided \$142.5 million in one-time General Fund, and \$4 million in ongoing Mental Health Services Act funding to provide grants for community based mental health crisis support.

The Act established a grant program to disburse funds to California counties or to their nonprofit or public agency designees for the purpose of developing mental health crisis support programs. Specifically, funds may be used to increase capacity for client assistance and services in crisis intervention, crisis stabilization, crisis residential treatment, rehabilitative mental health services, and mobile crisis support teams. The grants will support capital improvement, expansion and limited start-up costs.

- Investment in Mental Health Wellness Grant Program for Children and Youth

Chapter 30, Statutes of 2016 (SB 833), Section 20 created the Investment in Mental Health Wellness Grant Program for Children and Youth to increase mental health services for children and youth 21 years of age and under to develop a complete continuum of crisis services. Working with counties, the program funds facility acquisition, construction/renovation, equipment acquisition, applicable startup or expansion costs, and limited personnel funding to develop crisis residential treatment and crisis stabilization facilities, mobile crisis support teams, and family respite care facilities.

- Community Services Infrastructure Grants

Chapter 33, Statutes of 2016 (SB 843), Section 52 created the Community Services Infrastructure competitive grant program to expand community alternatives to jail and prison. The program seeks to expand access to jail and prison diversion programs and services for those with mental health illness, substance use disorders, or who have suffered from trauma. Working with counties, the program funds facility acquisition, renovation, equipment acquisition, and applicable startup or expansion costs for facilities that provide services to this population.

- No Place Like Home Program

Chapter 43, Statutes of 2016 (AB 1618) established the No Place Like Home Program (NPLH), which requires the Department of Housing and Community Development (HCD) to award up to \$2 billion to counties for the acquisition, design, construction, rehabilitation, or preservation of permanent supportive housing for individuals living with a severe mental illness who are homeless or at risk of chronic homelessness. Chapter 322, Statutes of 2016 (AB 1628) authorized CHFFA to enter into contracts with HCD and further authorized CHFFA to issue up to \$2 billion in taxable revenue bonds pursuant to the NPLH Program. Chapter 41, Statutes of 2018 (AB 1827) placed the No Place Like Home program on the November 2018 ballot (Proposition 2), where it was adopted by the voters as the No Place Like Home Act. This ratified existing law establishing the No Place Like Home program as being consistent with the Mental Health Services Act approved through Proposition 63 in 2004. It also ratified the issuance of up to \$2 billion in previously authorized bonds.

DETAILED EXPENDITURES BY PROGRAM [†]

		2019-20*	2020-21*	2021-22*
PROGRAM REQUIREMENTS				
0880	CHILDREN'S HOSPITAL PROGRAM			
	State Operations:			
6046	Childrens Hospital Fund	\$362	\$362	\$362
6079	Childrens Hospital Bond Act Fund	434	444	444
6090	Children's Hospital Bond Act Fund of 2018	370	582	582
	Totals, State Operations	\$1,166	\$1,388	\$1,388
	Local Assistance:			
6046	Childrens Hospital Fund	\$40,000	\$40,000	\$40,000
6079	Childrens Hospital Bond Act Fund	75,000	75,000	75,000
6090	Children's Hospital Bond Act Fund of 2018	228,988	418,000	-

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0977 California Health Facilities Financing Authority - Continued

		2019-20*	2020-21*	2021-22*
	Totals, Local Assistance	\$343,988	\$533,000	\$115,000
	PROGRAM REQUIREMENTS			
0885	HEALTH FACILITIES GRANTS AND LOANS			
	State Operations:			
0904	California Health Facilities Financing Authority Fund	\$2,795	\$2,634	\$2,868
	Totals, State Operations	\$2,795	\$2,634	\$2,868
	Local Assistance:			
0904	California Health Facilities Financing Authority Fund	\$6,500	\$6,500	\$6,500
	Totals, Local Assistance	\$6,500	\$6,500	\$6,500
	PROGRAM REQUIREMENTS			
0890	MENTAL HEALTH WELLNESS GRANTS			
	State Operations:			
0001	General Fund	\$247	\$-	\$-
3357	The Supportive Housing Program Subaccount, Mental Health Services Fund	250	500	500
	Totals, State Operations	\$497	\$500	\$500
	Local Assistance:			
0001	General Fund	\$65,813	\$1,222	\$-
3085	Mental Health Services Fund	4,318	30,949	4,000
3357	The Supportive Housing Program Subaccount, Mental Health Services Fund	53,485	107,452	139,500
	Totals, Local Assistance	\$123,616	\$139,623	\$143,500
	TOTALS, EXPENDITURES			
	State Operations	4,458	4,522	4,756
	Local Assistance	474,104	679,123	265,000
	Totals, Expenditures	\$478,562	\$683,645	\$269,756

† Fiscal year 2019-20 budget information reflects the latest available estimates for this department and/or fund(s). Changes resulting from the final reconciliation of the 2019-20 ending fund balance will be reflected as a prior year adjustment in the 2022-23 Governor's Budget publication.

EXPENDITURES BY CATEGORY †

1 State Operations	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
PERSONAL SERVICES						
Baseline Positions	23.0	23.0	23.0	\$2,977	\$2,124	\$2,124
Other Adjustments	-	-	-	-1,160	-49	81
Net Totals, Salaries and Wages	23.0	23.0	23.0	\$1,817	\$2,075	\$2,205
Staff Benefits	-	-	-	923	884	988
Totals, Personal Services	23.0	23.0	23.0	\$2,740	\$2,959	\$3,193
OPERATING EXPENSES AND EQUIPMENT				\$1,718	\$1,563	\$1,563
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$4,458	\$4,522	\$4,756
2 Local Assistance	Expenditures					
	2019-20*	2020-21*	2021-22*			
Debt Service - Interest		\$7,113	\$25,000	\$25,000		

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0977 California Health Facilities Financing Authority - Continued

2 Local Assistance	Expenditures		
	2019-20*	2020-21*	2021-22*
Debt Service - Principal	46,372	82,452	114,500
Grants and Subventions - Governmental	420,619	571,671	125,500
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$474,104	\$679,123	\$265,000

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DETAIL OF APPROPRIATIONS AND ADJUSTMENTS †

1 STATE OPERATIONS	2019-20*	2020-21*	2021-22*
0001 General Fund			
Prior Year Balances Available:			
Item 0977-001-0001, Budget Act of 2017	247	-	-
Totals Available	\$247	-	-
TOTALS, EXPENDITURES	\$247	-	-
0904 California Health Facilities Financing Authority Fund			
APPROPRIATIONS			
Government Code section 15439	\$2,795	\$2,813	\$2,868
Allocation for Employee Compensation	-	59	-
Allocation for Other Post-Employment Benefits	-	17	-
Allocation for Staff Benefits	-	4	-
Section 3.60 Pension Contribution Adjustment	-	-24	-
Section 3.90 Employee Compensation Reduction	-	-235	-
TOTALS, EXPENDITURES	\$2,795	\$2,634	\$2,868
3357 The Supportive Housing Program Subaccount, Mental Health Services Fund			
APPROPRIATIONS			
Welfare and Institutions Code section 5890(f)	\$250	\$500	\$500
TOTALS, EXPENDITURES	\$250	\$500	\$500
6046 Childrens Hospital Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$362	\$362	\$362
TOTALS, EXPENDITURES	\$362	\$362	\$362
6079 Childrens Hospital Bond Act Fund			
APPROPRIATIONS			
Health and Safety Code section 1179.57(h)	\$434	\$435	\$444
Allocation for Employee Compensation	-	10	-
Allocation for Other Post-Employment Benefits	-	3	-
Allocation for Staff Benefits	-	1	-
Section 3.60 Pension Contribution Adjustment	-	-5	-
TOTALS, EXPENDITURES	\$434	\$444	\$444
6090 Children's Hospital Bond Act Fund of 2018			
APPROPRIATIONS			
Health and Safety Code section 1179.83 and 1179.87(h)	\$370	\$570	\$582
Allocation for Employee Compensation	-	12	-
Allocation for Other Post-Employment Benefits	-	3	-
Allocation for Staff Benefits	-	1	-
Section 3.60 Pension Contribution Adjustment	-	-4	-

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0977 California Health Facilities Financing Authority - Continued

1 STATE OPERATIONS	2019-20*	2020-21*	2021-22*
TOTALS, EXPENDITURES	\$370	\$582	\$582
Total Expenditures, All Funds, (State Operations)	\$4,458	\$4,522	\$4,756
 2 LOCAL ASSISTANCE	 2019-20*	 2020-21*	 2021-22*
0001 General Fund			
Prior Year Balances Available:			
Item 0977-101-0001, Budget Act of 2013 as reappropriated by Item 0977-490, Budget Acts of 2016 and 2019, and Item 0977-491, Budget Act of 2017	-	1,222	-
Item 0977-101-0001, Budget Act of 2017	65,813	-	-
Totals Available	\$65,813	\$1,222	-
TOTALS, EXPENDITURES	\$65,813	\$1,222	-
0904 California Health Facilities Financing Authority Fund			
APPROPRIATIONS			
Government Code section 15439	\$6,500	\$6,500	\$6,500
TOTALS, EXPENDITURES	\$6,500	\$6,500	\$6,500
3085 Mental Health Services Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$4,000	\$4,000	\$4,000
Prior Year Balances Available:			
Item 0977-101-3085, Budget Act of 2016 as reappropriated by Item 0977-490, Budget Act of 2019	318	10,497	-
Item 0977-101-3085, Budget Act of 2017 as reappropriated by Item 0977-490, Budget Act of 2019	-	16,452	-
Totals Available	\$4,318	\$30,949	\$4,000
TOTALS, EXPENDITURES	\$4,318	\$30,949	\$4,000
3357 The Supportive Housing Program Subaccount, Mental Health Services Fund			
APPROPRIATIONS			
Welfare and Institutions Code section 5890(f)	\$53,485	\$60,776	\$139,500
No Place Like Home Program Service Contract Payments per WIC Section 5890(f)	-	46,676	-
TOTALS, EXPENDITURES	\$53,485	\$107,452	\$139,500
6046 Childrens Hospital Fund			
APPROPRIATIONS			
Health and Safety Code sections 1179.10-1179.43	\$40,000	\$40,000	\$40,000
TOTALS, EXPENDITURES	\$40,000	\$40,000	\$40,000
6079 Childrens Hospital Bond Act Fund			
APPROPRIATIONS			
Health and Safety Code section 1179.53	\$75,000	\$75,000	\$75,000
TOTALS, EXPENDITURES	\$75,000	\$75,000	\$75,000
6090 Children's Hospital Bond Act Fund of 2018			
APPROPRIATIONS			
Health and Safety Code section 1179.83 and 1179.85	\$228,988	\$418,000	-
TOTALS, EXPENDITURES	\$228,988	\$418,000	-
Total Expenditures, All Funds, (Local Assistance)	\$474,104	\$679,123	\$265,000
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$478,562	\$683,645	\$269,756

† Fiscal year 2019-20 budget information reflects the latest available estimates for this department and/or fund(s). Changes resulting from the final reconciliation of the 2019-20 ending fund balance will be reflected as a prior year adjustment in the 2022-23 Governor's Budget publication.

0977 California Health Facilities Financing Authority - Continued**FUND CONDITION STATEMENTS [†]**

	2019-20*	2020-21*	2021-22*
3357 The Supportive Housing Program Subaccount, Mental Health Services Fund ^S			
BEGINNING BALANCE	-	-	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Transfers and Other Adjustments			
Revenue Transfer from Mental Health Services Fund (3085) to the Supportive Housing Program Subaccount, Mental Health Services Fund (3357) per Welfare and Institutions Code Section 5890(f)	\$53,735	\$107,952	\$140,000
Total Revenues, Transfers, and Other Adjustments	\$53,735	\$107,952	\$140,000
Total Resources	\$53,735	\$107,952	\$140,000
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0977 California Health Facilities Financing Authority (State Operations)	250	500	500
0977 California Health Facilities Financing Authority (Local Assistance)	53,485	107,452	139,500
Total Expenditures and Expenditure Adjustments	\$53,735	\$107,952	\$140,000
FUND BALANCE	-	-	-

[†] Fiscal year 2019-20 budget information reflects the latest available estimates for this department and/or fund(s). Changes resulting from the final reconciliation of the 2019-20 ending fund balance will be reflected as a prior year adjustment in the 2022-23 Governor's Budget publication.

CHANGES IN AUTHORIZED POSITIONS [†]

	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
Baseline Positions	23.0	23.0	23.0	\$2,977	\$2,124	\$2,124
Salary and Other Adjustments	-	-	-	-1,160	-49	81
Totals, Adjustments	-	-	-	\$-1,160	\$-49	\$81
TOTALS, SALARIES AND WAGES	23.0	23.0	23.0	\$1,817	\$2,075	\$2,205

[†] Fiscal year 2019-20 budget information reflects the latest available estimates for this department and/or fund(s). Changes resulting from the final reconciliation of the 2019-20 ending fund balance will be reflected as a prior year adjustment in the 2022-23 Governor's Budget publication.

0981 California ABLE Act Board

The California Achieving a Better Life Experience Act (ABLE) Board was established by Chapter 796, Statutes of 2015 (SB 324) to create a Qualified ABLE Program. The Program will provide eligible individuals with disabilities the opportunity to save private funds in tax-advantaged ABLE accounts for the purpose of supporting persons with disabilities to maintain their health, independence, and quality of life.

The Board consists of the State Treasurer (Chair), the Director of Finance, the Controller, the Director of Developmental Services, the Chairperson of the State Council on Developmental Disabilities, the Director of Rehabilitation, and the Chair of the State Independent Living Council.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0981 California ABLE Act Board - Continued**3-YEAR EXPENDITURES AND POSITIONS**

		Positions			Expenditures		
		2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
0895	California ABLE Act Board	2.4	3.0	3.0	\$766	\$1,220	\$1,203
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		2.4	3.0	3.0	\$766	\$1,220	\$1,203

		2019-20*	2020-21*	2021-22*
FUNDING				
0001	General Fund		\$-	\$1,195
8101	California ABLE Administrative Fund		766	8
TOTALS, EXPENDITURES, ALL FUNDS			\$766	\$1,220

LEGAL CITATIONS AND AUTHORITY

California Welfare and Institutions Code, Sections 4875-4884; and California Revenue and Taxation Code, Section 23711.4.

DETAILED BUDGET ADJUSTMENTS

		2020-21*			2021-22*		
		General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments							
Workload Budget Change Proposals							
	• Continued Administration of the CalABLE Program	\$-	\$-	-	\$1,195	\$-	3.0
Totals, Workload Budget Change Proposals		\$-	\$-	-	\$1,195	\$-	3.0
Other Workload Budget Adjustments							
	• Other Post-Employment Benefit Adjustments	-	3	-	-	3	-
	• Salary Adjustments	-	9	-	-	9	-
	• Benefit Adjustments	-	1	-	-	1	-
	• Retirement Rate Adjustments	-	-5	-	-	-5	-
Totals, Other Workload Budget Adjustments		\$-	\$8	-	\$-	\$8	-
Totals, Workload Budget Adjustments		\$-	\$8	-	\$1,195	\$8	3.0
Totals, Budget Adjustments		\$-	\$8	-	\$1,195	\$8	3.0

PROGRAM DESCRIPTIONS**0895 - CALIFORNIA ABLE ACT BOARD**

On December 19, 2014, President Obama signed the Stephen Beck Jr., Achieving a Better Life Experience Act of 2014, which allows individuals who became blind or disabled before reaching age 26, to create tax-free savings accounts. Individuals can make nondeductible cash contributions to an ABLE account in the name of a designated beneficiary with tax-free earnings. ABLE account distributions are also not included in the beneficiary's income, as long as they are used for qualified disability expenses. Chapter 774, Statutes of 2015 (AB 449), implements the ABLE Act in California, and directs the Board, with the State Treasurer as Chair, to administer the California ABLE Program accounts on behalf of eligible individuals.

The Board and the California ABLE Program Trust were established by Chapter 796, Statutes of 2015 (SB 324), for the purpose of creating the Program. CalABLE was officially launched in December of 2018 with the mission to meet the diverse needs of our customers and their families. CalABLE's pledge is to be customer-driven, accountable, and a trusted partner in providing financial services.

Under the Program, a person may make contributions of up to \$15,000 during a taxable year, to a designated beneficiary's ABLE account to be used for the qualified disability expenses of that designated beneficiary.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0981 California ABLE Act Board - Continued**DETAILED EXPENDITURES BY PROGRAM**

		2019-20*	2020-21*	2021-22*
	PROGRAM REQUIREMENTS			
0895	CALIFORNIA ABLE ACT BOARD			
	State Operations:			
0001	General Fund	\$-	\$-	\$1,195
8101	California ABLE Administrative Fund	766	1,220	8
	Totals, State Operations	\$766	\$1,220	\$1,203
	TOTALS, EXPENDITURES			
	State Operations	766	1,220	1,203
	Totals, Expenditures	\$766	\$1,220	\$1,203

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
PERSONAL SERVICES						
Baseline Positions	3.0	3.0	-	\$291	\$300	\$-
Other Adjustments	-0.6	-	3.0	-66	9	300
Net Totals, Salaries and Wages	2.4	3.0	3.0	\$225	\$309	\$300
Staff Benefits	-	-	-	147	164	148
Totals, Personal Services	2.4	3.0	3.0	\$372	\$473	\$448
OPERATING EXPENSES AND EQUIPMENT				\$394	\$747	\$755
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$766	\$1,220	\$1,203

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2019-20*	2020-21*	2021-22*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	-	\$1,195
011 Budget Act appropriation (loan to California ABLE Administrative Fund)	(1,070)	(500)	(-)
TOTALS, EXPENDITURES	-	-	\$1,195
8101 California ABLE Administrative Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$766	\$1,212	\$8
Allocation for Employee Compensation	-	9	-
Allocation for Other Post-Employment Benefits	-	3	-
Allocation for Staff Benefits	-	1	-
Section 3.60 Pension Contribution Adjustment	-	-5	-
Totals Available	\$766	\$1,220	\$8
TOTALS, EXPENDITURES	\$766	\$1,220	\$8
Total Expenditures, All Funds, (State Operations)	\$766	\$1,220	\$1,203

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0981 California ABLE Act Board - Continued**CHANGES IN AUTHORIZED POSITIONS**

	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
Baseline Positions	3.0	3.0	-	\$291	\$300	\$-
Salary and Other Adjustments	-0.6	-	-	-66	9	9
Workload and Administrative Adjustments						
Continued Administration of the CalABLE Program						
Assoc Govtl Program Analyst	-	-	1.0	-	-	77
Executive Director	-	-	1.0	-	-	123
Staff Svcs Mgr I	-	-	1.0	-	-	91
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	3.0	\$-	\$-	\$291
Totals, Adjustments	-0.6	-	3.0	\$-66	\$9	\$300
TOTALS, SALARIES AND WAGES	2.4	3.0	3.0	\$225	\$309	\$300

0983 California Urban Waterfront Area Restoration Financing Authority

Created in 1983, the California Urban Waterfront Area Restoration Financing Authority was established to restore, revitalize and develop in an environmentally and economically sound manner, the coastal and inland urban waterfront areas of the state, in cooperation with local governments. The Authority consists of five members: the State Treasurer (Chairperson), the Director of the Department of Finance, the State Controller, the Secretary of the Natural Resources Agency, and the Executive Director of the State Coastal Conservancy.

3-YEAR EXPENDITURES AND POSITIONS [†]

		Positions			Expenditures		
		2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
0900	California Urban Waterfront Restoration Financing Program	-	-	-	\$-	\$-	\$-
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		-	-	-	\$-	\$-	\$-

[†] This budget display is for informational purposes only. Public Resources Code section 32054 authorizes an Executive Director, however, the position is currently vacant.

LEGAL CITATIONS AND AUTHORITY**DEPARTMENT AUTHORITY**

Public Resources Code, Division 22, Sections 32000-32208.

PROGRAM DESCRIPTIONS

0900 - The Authority was established to issue up to \$650 million in conduit financing to make loans or acquire title to property and to underwrite or undertake directly a variety of urban waterfront development projects within the coastal zone, the Sacramento-Yolo Port District, the Stockton Port District, and those metropolitan statistical areas meeting specified conditions. The Federal Tax Reform Act of 1986 requires that bonds authorized by the Authority generally be within an allocation from the state's "private activity" bond limit in order for the bonds to be federally tax-exempt, unless the issuer qualifies as a private, nonprofit business.

Financing is available for both publicly and privately sponsored projects that provide visitor-serving facilities, waterfront-dependent industries, public recreation, and erosion control facilities. The State Coastal Conservancy must approve both the

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0983 California Urban Waterfront Area Restoration Financing Authority - Continued

specific project and a master plan for urban waterfront restoration before any project can obtain the Authority approval for revenue bond financing. The Authority has sold \$3.33 million in revenue bonds to date.

0984 CalSavers Retirement Savings Board

The CalSavers Retirement Savings Board (Board) was established by Chapter 734, Statutes of 2012 (SB 1234). Subsequently, Chapter 804, Statutes of 2016 (SB 1234), authorized the Board to implement the CalSavers Retirement Savings Program (CalSavers), which is a state-administered retirement savings program for private sector employees in California with no access to workplace retirement savings plans.

The Board consists of nine members: the State Treasurer (Chairperson), the Director of the Department of Finance, the State Controller, a retirement savings and investment expert appointed by the Senate Committee on Rules, an employee representative appointed by the Speaker of the Assembly, a small business representative appointed by the Governor, a public member appointed by the Governor, and two additional members appointed by the Governor.

3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
0910	CalSavers Retirement Savings Program	7.5	10.0	10.0	\$1,466	\$2,226	\$2,321
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		7.5	10.0	10.0	\$1,466	\$2,226	\$2,321
FUNDING					2019-20*	2020-21*	2021-22*
8111	CalSavers Retirement Savings Trust Administration Fund				\$1,466	\$2,226	\$2,321
TOTALS, EXPENDITURES, ALL FUNDS					\$1,466	\$2,226	\$2,321

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code Sections 100000-100050.

DETAILED BUDGET ADJUSTMENTS

	2020-21*			2021-22*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Other Post-Employment Benefit Adjustments	\$-	\$9	-	\$-	\$9	-
• Miscellaneous Baseline Adjustments	-	-1,186	-	-	2,324	-
• Salary Adjustments	-	3	-	-	3	-
• Benefit Adjustments	-	-	-	-	-1	-
• Retirement Rate Adjustments	-	-14	-	-	-14	-
Totals, Other Workload Budget Adjustments	\$-	\$-1,188	-	\$-	\$2,321	-
Totals, Workload Budget Adjustments	\$-	\$-1,188	-	\$-	\$2,321	-
Totals, Budget Adjustments	\$-	\$-1,188	-	\$-	\$2,321	-

PROGRAM DESCRIPTIONS

0910 - CALSAVERS

The Program provides workers access to a simple, portable, low-cost retirement savings account. Any private sector employer with at least five employees that does not offer a workplace retirement savings vehicle by the statutory compliance deadline will

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0984 CalSavers Retirement Savings Board - Continued

be required to provide their employees with access to the Program. The final date to do so is June 30, 2022. CalSavers launched statewide on July 1, 2019, following a limited scope pilot.

DETAILED EXPENDITURES BY PROGRAM

		2019-20*	2020-21*	2021-22*
	PROGRAM REQUIREMENTS			
0910	CALSAVERS RETIREMENT SAVINGS PROGRAM			
	State Operations:			
8111	CalSavers Retirement Savings Trust Administration Fund	\$1,466	\$2,226	\$2,321
	Totals, State Operations	\$1,466	\$2,226	\$2,321
	TOTALS, EXPENDITURES			
	State Operations	1,466	2,226	2,321
	Totals, Expenditures	\$1,466	\$2,226	\$2,321

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
PERSONAL SERVICES						
Baseline Positions	10.0	10.0	10.0	\$717	\$880	\$-
Other Adjustments	-2.5	-	-	-105	-165	715
Net Totals, Salaries and Wages	7.5	10.0	10.0	\$612	\$715	\$715
Staff Benefits	-	-	-	365	322	322
Totals, Personal Services	7.5	10.0	10.0	\$977	\$1,037	\$1,037
OPERATING EXPENSES AND EQUIPMENT				\$489	\$1,189	\$1,284
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$1,466	\$2,226	\$2,321

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2019-20*	2020-21*	2021-22*
8111 CalSavers Retirement Savings Trust Administration Fund			
APPROPRIATIONS			
Government Code section 100004 (Secure Choice administration)	\$1,466	\$3,414	\$2,321
Allocation for Employee Compensation	-	3	-
Allocation for Other Post-Employment Benefits	-	9	-
Miscellaneous Adjustments	-	-1,186	-
Section 3.60 Pension Contribution Adjustment	-	-14	-
Totals Available	\$1,466	\$2,226	\$2,321
TOTALS, EXPENDITURES	\$1,466	\$2,226	\$2,321
Total Expenditures, All Funds, (State Operations)	\$1,466	\$2,226	\$2,321

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0984 CalSavers Retirement Savings Board - Continued

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
Baseline Positions	10.0	10.0	10.0	\$717	\$880	\$-
Salary and Other Adjustments	-2.5	-	-	-105	-165	715
Totals, Adjustments	-2.5	-	-	\$-105	\$-165	\$715
TOTALS, SALARIES AND WAGES	7.5	10.0	10.0	\$612	\$715	\$715

0985 California School Finance Authority

The California School Finance Authority (CSFA), created in 1985, provides facilities and working capital financing to school districts, community college districts, county offices of education, and charter schools. The State Treasurer serves as chair of CSFA and the Superintendent of Public Instruction and the Director of Finance serve as members. CSFA has offices in Los Angeles and Sacramento.

CSFA serves as the primary state agency for California charter schools seeking facility and working capital assistance by providing access to low-cost financing through several State and federally funded programs.

3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
0918	Smart Bonds	-	-	-	\$345	\$306	\$306
0920	Charter School Facilities Program	2.5	2.5	2.5	974	1,325	1,375
0925	State Charter School Facilities Incentive Grants Program	3.0	3.0	3.0	388	20,499	20,520
0930	Charter School Facility Grant Program	4.9	2.6	2.6	137,084	137,290	144,059
0935	Charter School Revolving Loan Program	1.0	1.0	1.0	3,915	12,519	12,528
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		11.4	9.1	9.1	\$142,706	\$171,939	\$178,788

		2019-20*	2020-21*	2021-22*
FUNDING				
0001	General Fund	\$403	\$637	\$681
0001	General Fund, Proposition 98	136,786	136,786	143,520
0526	California School Finance Authority Fund	345	306	306
0606	Charter School Revolving Loan Fund	3,810	12,386	12,386
0890	Federal Trust Fund	388	20,499	20,520
6044	2004 State School Facilities Fund	696	750	800
6057	2006 State School Facilities Fund	278	575	575
TOTALS, EXPENDITURES, ALL FUNDS		\$142,706	\$171,939	\$178,788

LEGAL CITATIONS AND AUTHORITY

PROGRAM AUTHORITY

Education Code Sections 17078.52-17078.66, 17170-17199.5, 41365, 41366.5, 41366.7, 41367, and 47614.5

MAJOR PROGRAM CHANGES

- An increase of \$728,000 ongoing Proposition 98 General Fund to support a 1.70 percent cost-of-living adjustment for the Charter School Facility Grant Program.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0985 California School Finance Authority - Continued

- An increase of \$6,006,000 ongoing Proposition 98 General Fund to support revised estimates of eligible costs for the Charter School Facility Grant Program.

DETAILED BUDGET ADJUSTMENTS

	2020-21*			2021-22*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Align Charter School Facility Grant Program with Current Service Level	\$-	\$-	-	\$6,006	\$-	-
• Charter School Facility Grant Program Cost-of-Living Adjustment	-	-	-	728	-	-
• Other Post-Employment Benefit Adjustments	59	83	-	59	83	-
• Section 3.90 Employee Compensation Reduction	-44	-81	-	-	-	-
• Salary Adjustments	14	22	-	14	22	-
• Benefit Adjustments	1	2	-	1	1	-
• Miscellaneous Baseline Adjustments	-	-	-	-	-	-
• SWCAP	-	-	-	-	-9	-
• Retirement Rate Adjustments	-7	-11	-	-7	-11	-
Totals, Other Workload Budget Adjustments	\$23	\$15	-	\$6,801	\$86	-
Totals, Workload Budget Adjustments	\$23	\$15	-	\$6,801	\$86	-
Totals, Budget Adjustments	\$23	\$15	-	\$6,801	\$86	-

PROGRAM DESCRIPTIONS**0918 - SMART BONDS PROGRAM**

Chapter 1438, Statutes of 1985, as amended by Chapter 598, Statutes of 1987, established CSFA and authorized the issuance of revenue bonds or other debt instruments. The proceeds from the sale of the bonds were available for loans to schools and community college districts to assist with the acquisition of equipment and new school sites, construction of new facilities, reconstruction of existing facilities, capital improvements, and to provide working capital. Chapter 325, Statutes of 2006 (AB 2717), allows CSFA to issue debt on behalf of California charter schools for capital improvements and working capital needs.

0920 - CHARTER SCHOOL FACILITIES PROGRAM

Chapter 935, Statutes of 2002, as amended by Chapter 587, Statutes of 2003, created the Charter School Facilities Program (CSFP), which provides funding for the new construction, renovation or rehabilitation of charter school facilities. CSFA and the Office of Public School Construction jointly administer the program. CSFP was funded through the Kindergarten-University Public Education Facilities Bond Acts of 2002, 2004, 2006, and 2016, for a total of \$1.4 billion. The program provides a 50 percent state subsidy for the charter school facilities project costs, with the balance of the project costs being repaid (to the state) by the charter school in the form of a long-term lease. Recipient charter schools must provide site-based instruction, be deemed financially sound by CSFA, and meet other program eligibility requirements.

0925 - STATE CHARTER SCHOOL FACILITIES INCENTIVE GRANTS PROGRAM

In 2004, 2009, and 2014, CSFA was awarded grants under the United States Department of Education's State Charter School Facilities Incentive Grants Program (CFDA 84.282D) to provide California charter schools with assistance for facilities costs. Grant funds reimburse a charter school's costs for rent, lease, mortgage or debt service payments for existing or new facilities or the costs of acquiring land and/or constructing or renovating a facility. Grants are awarded to charter schools based on preference points allotted by the percentage of low-income students, percentage of overcrowding, not-for-profit status, and demonstrated student performance. Schools cannot use program funds to supplant state funding.

0930 - CHARTER SCHOOL FACILITY GRANT PROGRAM

The Charter School Facility Grant Program provides Proposition 98 General Fund grants to assist charter schools with rent and lease costs. This program targets schools and communities with significant populations of economically disadvantaged

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0985 California School Finance Authority - Continued

students. Eligible charter schools are provided the lesser of \$1,232 per unit of eligible average daily attendance, or 75 percent of annual facilities rent and lease costs.

0935 - CHARTER SCHOOL REVOLVING LOAN FUND

Charter 1010, Statutes of 1976 established the Charter School Revolving Loan Fund in the State Treasury to provide loans of up to \$250,000 to new charter schools to assist them in meeting the purposes of the school's approved charter. The program is available to any charter school that is not a conversion of an existing public school, and preference is given to schools that have not opened nor completed their full charter term.

DETAILED EXPENDITURES BY PROGRAM

		<u>2019-20*</u>	<u>2020-21*</u>	<u>2021-22*</u>
	PROGRAM REQUIREMENTS			
0918	SMART BONDS			
	State Operations:			
0526	California School Finance Authority Fund	\$345	\$306	\$306
	Totals, State Operations	\$345	\$306	\$306
	PROGRAM REQUIREMENTS			
0920	CHARTER SCHOOL FACILITIES PROGRAM			
	State Operations:			
6044	2004 State School Facilities Fund	\$696	\$750	\$800
6057	2006 State School Facilities Fund	278	575	575
	Totals, State Operations	\$974	\$1,325	\$1,375
	PROGRAM REQUIREMENTS			
0925	STATE CHARTER SCHOOL FACILITIES INCENTIVE GRANTS PROGRAM			
	State Operations:			
0890	Federal Trust Fund	\$388	\$499	\$520
	Totals, State Operations	\$388	\$499	\$520
	Local Assistance:			
0890	Federal Trust Fund	\$-	\$20,000	\$20,000
	Totals, Local Assistance	\$-	\$20,000	\$20,000
	PROGRAM REQUIREMENTS			
0930	CHARTER SCHOOL FACILITY GRANT PROGRAM			
	State Operations:			
0001	General Fund	\$298	\$504	\$539
	Totals, State Operations	\$298	\$504	\$539
	Local Assistance:			
0001	General Fund	\$136,786	\$136,786	\$143,520
	Totals, Local Assistance	\$136,786	\$136,786	\$143,520
	PROGRAM REQUIREMENTS			
0935	CHARTER SCHOOL REVOLVING LOAN PROGRAM			
	State Operations:			
0001	General Fund	\$105	\$133	\$142
	Totals, State Operations	\$105	\$133	\$142
	Local Assistance:			
0606	Charter School Revolving Loan Fund	\$3,810	\$12,386	\$12,386
	Totals, Local Assistance	\$3,810	\$12,386	\$12,386
	TOTALS, EXPENDITURES			
	State Operations	2,110	2,767	2,882
	Local Assistance	140,596	169,172	175,906

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0985 California School Finance Authority - Continued

	2019-20*	2020-21*	2021-22*
Totals, Expenditures	\$142,706	\$171,939	\$178,788

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
PERSONAL SERVICES						
Baseline Positions	9.1	9.1	9.1	\$626	\$653	\$653
Other Adjustments	2.3	-	-	129	-46	36
Net Totals, Salaries and Wages	11.4	9.1	9.1	\$755	\$607	\$689
Staff Benefits	-	-	-	420	619	661
Totals, Personal Services	11.4	9.1	9.1	\$1,175	\$1,226	\$1,350
OPERATING EXPENSES AND EQUIPMENT				\$935	\$1,541	\$1,532
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$2,110	\$2,767	\$2,882

2 Local Assistance	Expenditures		
	2019-20*	2020-21*	2021-22*
Grants and Subventions - Governmental	\$140,596	\$169,172	\$175,906
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$140,596	\$169,172	\$175,906

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2019-20*	2020-21*	2021-22*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$403	\$614	\$681
Allocation for Employee Compensation	-	14	-
Allocation for Other Post-Employment Benefits	-	59	-
Allocation for Staff Benefits	-	1	-
Section 3.60 Pension Contribution Adjustment	-	-7	-
Section 3.90 Employee Compensation Reduction	-	-44	-
Totals Available	\$403	\$637	\$681
TOTALS, EXPENDITURES	\$403	\$637	\$681
0526 California School Finance Authority Fund			
APPROPRIATIONS			
Education Code section 17181(a)	\$345	\$306	\$306
Totals Available	\$345	\$306	\$306
TOTALS, EXPENDITURES	\$345	\$306	\$306
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$388	\$489	\$520
Allocation for Employee Compensation	-	8	-
Allocation for Other Post-Employment Benefits	-	36	-
Allocation for Staff Benefits	-	1	-
Section 3.60 Pension Contribution Adjustment	-	-4	-
Section 3.90 Employee Compensation Reduction	-	-31	-
Totals Available	\$388	\$499	\$520

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0985 California School Finance Authority - Continued

1 STATE OPERATIONS	2019-20*	2020-21*	2021-22*
TOTALS, EXPENDITURES	\$388	\$499	\$520
6044 2004 State School Facilities Fund			
APPROPRIATIONS			
Education Code Section 17078.52(b)(2) (Transfer to 2004 Charter School Facilities Account, 2004 State School Facilities Fund)	\$696	\$745	\$800
Allocation for Employee Compensation	-	14	-
Allocation for Other Post-Employment Benefits	-	47	-
Allocation for Staff Benefits	-	1	-
Section 3.60 Pension Contribution Adjustment	-	-7	-
Section 3.90 Employee Compensation Reduction	-	-50	-
Totals Available	\$696	\$750	\$800
TOTALS, EXPENDITURES	\$696	\$750	\$800
6057 2006 State School Facilities Fund			
APPROPRIATIONS			
Education Code Section 17078.52(b)(3) (Transfer to 2006 Charter School Facilities Account, 2006 State School Facilities Fund)	\$278	\$575	\$575
Totals Available	\$278	\$575	\$575
TOTALS, EXPENDITURES	\$278	\$575	\$575
9734 2004 Charter School Facilities Account, 2004 State School Facilities Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$696	\$745	\$800
Allocation for Employee Compensation	-	14	-
Allocation for Other Post-Employment Benefits	-	47	-
Allocation for Staff Benefits	-	1	-
Section 3.60 Pension Contribution Adjustment	-	-7	-
Section 3.90 Employee Compensation Reduction	-	-50	-
Totals Available	\$696	\$750	\$800
TOTALS, EXPENDITURES	\$696	\$750	\$800
Less funding provided by 2004 State School Facilities Fund	-696	-750	-800
NET TOTALS, EXPENDITURES	-	-	-
9735 2006 Charter School Facilities Account, 2006 State School Facilities Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$278	\$575	\$575
Totals Available	\$278	\$575	\$575
TOTALS, EXPENDITURES	\$278	\$575	\$575
Less funding provided by 2006 State School Facilities Fund	-278	-575	-575
NET TOTALS, EXPENDITURES	-	-	-
Total Expenditures, All Funds, (State Operations)	\$2,110	\$2,767	\$2,882
2 LOCAL ASSISTANCE	2019-20*	2020-21*	2021-22*
0001 General Fund, Proposition 98			
APPROPRIATIONS			
220 Budget Act appropriation	\$136,786	\$136,786	\$143,520
TOTALS, EXPENDITURES	\$136,786	\$136,786	\$143,520
0606 Charter School Revolving Loan Fund			
APPROPRIATIONS			
Education Code section 41365	\$3,810	\$12,386	\$12,386
Totals Available	\$3,810	\$12,386	\$12,386
TOTALS, EXPENDITURES	\$3,810	\$12,386	\$12,386
0890 Federal Trust Fund			
APPROPRIATIONS			

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0985 California School Finance Authority - Continued

2 LOCAL ASSISTANCE	2019-20*	2020-21*	2021-22*
101 Budget Act appropriation	-	\$20,000	\$20,000
Totals Available	-	\$20,000	\$20,000
TOTALS, EXPENDITURES	-	\$20,000	\$20,000
Total Expenditures, All Funds, (Local Assistance)	\$140,596	\$169,172	\$175,906
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$142,706	\$171,939	\$178,788

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
Baseline Positions	9.1	9.1	9.1	\$626	\$653	\$653
Salary and Other Adjustments	2.3	-	-	129	-46	36
Totals, Adjustments	2.3	-	-	\$129	\$-46	\$36
TOTALS, SALARIES AND WAGES	11.4	9.1	9.1	\$755	\$607	\$689

0989 California Educational Facilities Authority

The California Educational Facilities Authority (CEFA) provides qualified nonprofit higher education institutions with assistance through a tax-exempt revenue bond program to reduce the costs of financing academic facilities. CEFA also may issue bonds, notes, and other forms of indebtedness for student loans to support students' costs of higher education.

CEFA consists of the following five members: the Director of Finance, the State Controller, the State Treasurer, and two public members appointed by the Governor.

3-YEAR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
0940	Bond Financing	3.1	5.0	5.0	\$528	\$913	\$968
0955	College Access Tax Credit Program	-	-	-	25	79	79
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		3.1	5.0	5.0	\$553	\$992	\$1,047
FUNDING					2019-20*	2020-21*	2021-22*
0911	Educational Facilities Authority Fund				\$528	\$913	\$968
3263	College Access Tax Credit Fund				25	79	79
TOTALS, EXPENDITURES, ALL FUNDS					\$553	\$992	\$1,047

LEGAL CITATIONS AND AUTHORITY**DEPARTMENT AUTHORITY**

Education Code Sections 94100 to 94213.

DETAILED BUDGET ADJUSTMENTS

	2020-21*			2021-22*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

0989 California Educational Facilities Authority - Continued

	2020-21*			2021-22*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Other Workload Budget Adjustments						
• Other Post-Employment Benefit Adjustments	\$-	\$4	-	\$-	\$4	-
• Section 3.90 Employee Compensation Reduction	-	-55	-	-	-	-
• Salary Adjustments	-	12	-	-	12	-
• Benefit Adjustments	-	1	-	-	1	-
• Retirement Rate Adjustments	-	-6	-	-	-6	-
Totals, Other Workload Budget Adjustments	\$-	\$-44	-	\$-	\$11	-
Totals, Workload Budget Adjustments	\$-	\$-44	-	\$-	\$11	-
Totals, Budget Adjustments	\$-	\$-44	-	\$-	\$11	-

PROGRAM DESCRIPTIONS**0940 - BOND FINANCING**

CEFA issues tax-exempt revenue bonds to assist private educational institutions of higher learning to construct educational facilities. Because it is authorized to issue tax-exempt bonds, CEFA may be able to provide more favorable financing terms than might otherwise be obtainable. CEFA also may include qualifying nonprofit entities as eligible program participants for the construction of student and faculty housing. Bonds issued by CEFA are not a debt, liability, or claim on the faith and credit or the taxing power of the State of California or any of its political subdivisions. The full faith and credit of the participating institution is normally pledged to the payment of the bonds. Bonds issued for this purpose are not subject to the state's "private activity" bond ceiling as specified in federal law.

For purposes of the California Educational Facilities Act, "private college" or "private participating college" means a private college that does not restrict the admission of a student based on the student's ethnicity, provided that the financing does not violate constitutional provisions.

"Bond" means bonds, notes, debentures, securities, or other evidences of indebtedness. This broad definition allows CEFA to issue tax-exempt direct or private placement loans for colleges and universities, which may provide greater cost savings than these colleges and universities might otherwise realize on a traditional bond financing.

0955 - COLLEGE ACCESS TAX CREDIT FUND

Chapter 367, Statutes of 2014, required CEFA to administer the College Access Tax Credit Fund and allocate and certify the tax credits for taxable years beginning on or after January 1, 2014, and before January 1, 2017. Chapter 22, Statutes of 2015, added a requirement that CEFA continue to allocate and certify the tax credits for taxable years beginning on or after January 1, 2017, and before January 1, 2018. Chapter 527, Statutes of 2017, extended CEFA's responsibility to administer the tax credits for taxable years beginning on or after January 1, 2017, and before January 1, 2023.

DETAILED EXPENDITURES BY PROGRAM

		2019-20*	2020-21*	2021-22*
0940	PROGRAM REQUIREMENTS			
	BOND FINANCING			
	State Operations:			
0911	Educational Facilities Authority Fund	\$528	\$913	\$968
	Totals, State Operations	\$528	\$913	\$968
0955	PROGRAM REQUIREMENTS			
	COLLEGE ACCESS TAX CREDIT PROGRAM			
	State Operations:			
3263	College Access Tax Credit Fund	\$25	\$79	\$79
	Totals, State Operations	\$25	\$79	\$79
	TOTALS, EXPENDITURES			

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0989 California Educational Facilities Authority - Continued

	2019-20*	2020-21*	2021-22*
State Operations	553	992	1,047
Totals, Expenditures	\$553	\$992	\$1,047

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
PERSONAL SERVICES						
Baseline Positions	5.0	5.0	5.0	\$453	\$453	\$453
Other Adjustments	-1.9	-	-	-208	-23	12
Net Totals, Salaries and Wages	3.1	5.0	5.0	\$245	\$430	\$465
Staff Benefits	-	-	-	125	231	251
Totals, Personal Services	3.1	5.0	5.0	\$370	\$661	\$716
OPERATING EXPENSES AND EQUIPMENT				\$183	\$331	\$331
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$553	\$992	\$1,047

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2019-20*	2020-21*	2021-22*
0911 Educational Facilities Authority Fund			
APPROPRIATIONS			
Education Code sections 94140-94141	\$528	\$957	\$968
Allocation for Employee Compensation	-	12	-
Allocation for Other Post-Employment Benefits	-	4	-
Allocation for Staff Benefits	-	1	-
Section 3.60 Pension Contribution Adjustment	-	-6	-
Section 3.90 Employee Compensation Reduction	-	-55	-
TOTALS, EXPENDITURES	\$528	\$913	\$968
3263 College Access Tax Credit Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$25	\$79	\$79
Totals Available	\$25	\$79	\$79
TOTALS, EXPENDITURES	\$25	\$79	\$79
Total Expenditures, All Funds, (State Operations)	\$553	\$992	\$1,047

FUND CONDITION STATEMENTS

	2019-20*	2020-21*	2021-22*
3263 College Access Tax Credit Fund ^s			
BEGINNING BALANCE	\$5,771	\$1,917	\$760
Adjusted Beginning Balance	\$5,771	\$1,917	\$760
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4171300 Donations	928	928	928
Transfers and Other Adjustments			

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0989 California Educational Facilities Authority - Continued

	2019-20*	2020-21*	2021-22*
Revenue Transfer from College Access Tax Credit Fund (3263) to the General Fund (0001) per Revenue and Taxation Code Sections 17053.86 (Ch. 367/2014) and 17053.87 (Ch. 527/2017)	-464	-464	-464
Total Revenues, Transfers, and Other Adjustments	\$464	\$464	\$464
Total Resources	\$6,235	\$2,381	\$1,224
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
0989 California Educational Facilities Authority (State Operations)	25	79	79
6980 California Student Aid Commission (Local Assistance)	4,287	1,542	439
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	6	-	-
Total Expenditures and Expenditure Adjustments	\$4,318	\$1,621	\$518
FUND BALANCE	\$1,917	\$760	\$706
Reserve for economic uncertainties	1,917	760	706

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2019-20	2020-21	2021-22	2019-20*	2020-21*	2021-22*
Baseline Positions	5.0	5.0	5.0	\$453	\$453	\$453
Salary and Other Adjustments	-1.9	-	-	-208	-23	12
Totals, Adjustments	-1.9	-	-	\$-208	\$-23	\$12
TOTALS, SALARIES AND WAGES	3.1	5.0	5.0	\$245	\$430	\$465

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